

MONOMIAL BASES FOR q -SCHUR ALGEBRAS

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ABSTRACT. Using the Beilinson-Lusztig-MacPherson construction of the quantized enveloping algebra of \mathfrak{gl}_n and its associated monomial basis, we investigate q -Schur algebras $\mathbf{S}_q(n, r)$ as “little quantum groups”. We give a presentation for $\mathbf{S}_q(n, r)$ and obtain a new basis for the integral q -Schur algebra $S_q(n, r)$, which consists of certain monomials in the original generators. Finally, when $n \geq r$, we interpret the Hecke algebra part of the monomial basis for $S_q(n, r)$ in terms of Kazhdan-Lusztig basis elements.

1. INTRODUCTION

Let $\mathbf{U} = \mathbf{U}(\mathfrak{g})$ be the quantized enveloping algebra over $\mathbb{Q}(v)$ associated to a finite-dimensional complex semisimple Lie algebra \mathfrak{g} , and let U be its Lusztig \mathcal{Z} -form, where $\mathcal{Z} = \mathbb{Z}[v, v^{-1}]$. When \mathfrak{g} has a simply laced root system, monomial bases for the positive (resp., negative) part U^+ (resp., U^-) of U have been constructed in [19, 7.8] using the theory of Ringel-Hall algebras; see [3] for an approach that works for all finite types. For example, the monomial basis for U^+ consists of certain explicit (ordered) monomials in the standard generators for U^+ . The algebra \mathbf{U} also has a PBW-type basis, but monomial bases are simpler than PBW bases and, in addition, they are closely related to canonical (or crystal) bases.

Let $\mathbf{S}_q(n, r)$ be a q -Schur algebra over $\mathbb{Q}(v)$; see below for the definition of $\mathbf{S}_q(n, r)$ and the associated Hecke algebra $\mathbf{H} = \mathbf{H}(\mathfrak{S}_r)$. The q -Schur algebras were introduced by Dipper and James [5], [6] (see [16] for an earlier version in the context of quantum groups). These algebras, as well as their analogues over other fields, play an important role in the non-defining representation and cohomology theories of the finite general linear groups. It is natural to ask how to construct monomial bases for the $\mathbf{S}_q(n, r)$. Using a beautiful geometric setting for q -Schur algebras, Beilinson, Lusztig, and MacPherson [1] studied the quantized enveloping algebra $\mathbf{U} = \mathbf{U}(\mathfrak{gl}_n)$ of the reductive Lie algebra \mathfrak{gl}_n as a “limit” of q -Schur algebras and described a monomial basis for \mathbf{U} in terms of another basis whose elements are formal infinite sums indexed by certain $n \times n$ matrices over \mathbb{Z} . In particular, there is a natural surjection $\mathbf{U} \twoheadrightarrow \mathbf{S}_q(n, r)$, arising as a “truncation” map; it carries an infinite sum in \mathbf{U} to a finite sum in $\mathbf{S}_q(n, r)$. In addition, the results of [1] have an integral version (i.e., over \mathcal{Z}) [9], and there is a corresponding surjection $U \twoheadrightarrow S_q(n, r)$. Eventually, this work leads to a quantum Weyl reciprocity [11], also valid at the integral level.

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This paper applies the approach of [1] to obtain a natural monomial basis for $\mathbf{S}_q(n, r)$. Thus, Theorems 5.4 and 6.4 present monomial bases for $\mathbf{S}_q(n, r)$ and its integral version $S_q(n, r)$, while Theorem 5.5 describes the monomial basis elements in terms of certain elements constructed in [1]. As we show elsewhere in the paper, these bases are very natural and enjoy nice properties not shared by the PBW basis; see, e.g., 4.9, 7.2, 9.4. For example, if $n \geq r$, then $\mathbf{H} \subset \mathbf{S}_q(n, r)$, and Theorem 9.4 shows how the monomial basis “restricts” to a monomial basis for \mathbf{H} (given as monomials in the Kazhdan-Lusztig elements $C'_s \in \mathbf{H}$ [15]).

This work was initially motivated by [7] (as well as by an announcement of the results in [8] by Doty at the 2001 New Orleans AMS meeting). Their work gives an explicit presentation of $\mathbf{S}_q(n, r)$ as well as a PBW-type basis $\mathbf{S}_q(n, r)$. We were motivated to see how to cast these results in the more geometric setting of [1]. Both a presentation (in a slightly different form) and a PBW basis also can be obtained as a new application of [1]; see Theorems 5.4 and 6.6.

The table below displays three different bases for $S_q(n, r)$, indicating how they stand in relation to bases for both the integral quantum enveloping algebra $U_{\mathcal{Z}}(\mathfrak{gl}_n)$ and the integral Hecke algebra $H(\mathfrak{S}_r)$ with $r \leq n$.

$H(\mathfrak{S}_r)$	$S_q(n, r)$	$U_{\mathcal{Z}}(\mathfrak{gl}_n)$
\tilde{T}_w	$[A]$	PBW basis
C'_w	$\{A\}$	Canonical basis (for $U_{\mathcal{Z}}^+(\mathfrak{gl}_n)$)
$C'_{s_1} \cdots C'_{s_k}$	$\mathfrak{m}^{(A)}$	Monomial basis

The “orbital” basis elements $[A]$ are indexed by $n \times n$ matrices over \mathbb{N} whose entries sum to r . This basis is the normalized version of the usual standard basis for a centralizer algebra, whose elements are denoted by $\phi_{\lambda\mu}^d$ in [6, 1.4]. Hence, its Hecke algebra counterpart consists of the normalized basis elements $\tilde{T}_w = v^{-\ell(w)}T_w$. In $U_{\mathcal{Z}}(\mathfrak{gl}_n)$, this basis corresponds to a PBW basis by means of its connection with a canonical (or crystal) basis; see [14] for further connections. All three algebras have canonical bases indicated in the second row in the table. They arise naturally from the corresponding monomial bases.

This work provides a foundation for [12], which directly relates the geometric approach [1] to the theory of Ringel-Hall algebras for linear quivers. In particular, this leads to a new connection between the theories of Ringel-Hall algebras and q -Schur algebras.

Some notation. Throughout, $\mathcal{Z} = \mathbb{Z}[v, v^{-1}]$ is the ring of Laurent polynomials in a variable v . Write $q = v^2$ and let $^- : \mathcal{Z} \rightarrow \mathcal{Z}$ be the ring automorphism satisfying $v^i \mapsto v^{-i}$ for all i . For $m \in \mathbb{N}$, put

$$[m]^! = [1][2] \cdots [m], \quad \text{where } [i] = \frac{v^i - v^{-i}}{v - v^{-1}}.$$

We also let, for $c \in \mathbb{Z}, t \in \mathbb{N}$,

$$\begin{bmatrix} c \\ t \end{bmatrix} = \prod_{s=1}^t \frac{v^{c-s+1} - v^{-c+s-1}}{v^s - v^{-s}}.$$

If $|c| < t$, then $\begin{bmatrix} c \\ t \end{bmatrix} = 0$, and $\begin{bmatrix} c \\ t \end{bmatrix} = \frac{[c]!}{[t]![c-t]!}$ for $c \geq t \geq 0$.

Let $H = H(\mathfrak{S}_r)$ be the Hecke algebra over \mathcal{Z} for the symmetric group \mathfrak{S}_r . If $S = \{(1, 2), (2, 3), \dots, (r - 1, r)\}$, then H has \mathcal{Z} -basis T_w , $w \in \mathfrak{S}_r$, and relations

$$(1.0.1) \quad \begin{cases} T_s T_w = T_{sw}, & l(sw) = 1 + l(w), \quad s \in S, w \in W; \\ (T_s + 1)(T_s - q) = 0, & s \in S. \end{cases}$$

If V is a free \mathcal{Z} -module of rank n , there is a natural right action of H on $V^{\otimes r}$ by “place” permutations. The q -Schur algebra $S_q(n, r)$ over \mathcal{Z} is the centralizer ring

$$(1.0.2) \quad S_q(n, r) = \text{End}_H(V^{\otimes r}).$$

The algebra $S_q(n, r)$ is \mathcal{Z} -free of rank $\binom{n^2+r-1}{r}$. For more details, see [5], [11]. Put $\mathbf{H} = \mathbb{Q}(v) \otimes H$ and $\mathbf{S}_q(n, r) = \mathbb{Q}(v) \otimes S_q(n, r)$.

2. THE QUANTIZED ENVELOPING ALGEBRA OF \mathfrak{gl}_n

The definition below for the quantized enveloping algebra of \mathfrak{gl}_n is a slightly modified version of Jimbo [16]; see [23, 3.2], [9, 1.1].

Definition 2.1. The quantized enveloping algebra of \mathfrak{gl}_n is the algebra \mathbf{U} over $\mathbb{Q}(v)$ generated by the elements

$$E_i, F_i \quad (1 \leq i \leq n - 1), \quad K_i, K_i^{-1} \quad (1 \leq i \leq n)$$

subject to the following relations:

- (a) $K_i K_j = K_j K_i, \quad K_i K_i^{-1} = 1;$
- (b) $K_i E_j = v^{\epsilon(i,j)} E_j K_i$, where $\epsilon(i, i) = 1, \epsilon(i + 1, i) = -1$ and $\epsilon(i, j) = 0$, otherwise;
- (c) $K_i F_j = v^{-\epsilon(i,j)} F_j K_i$ with $\epsilon(i, j)$ as in (b) above;
- (d) $E_i E_j = E_j E_i, F_i F_j = F_j F_i$ when $|i - j| > 1;$
- (e) $E_i F_j - F_j E_i = \delta_{i,j} \frac{\tilde{K}_i - \tilde{K}_i^{-1}}{v - v^{-1}}$, where $\tilde{K}_i = K_i K_{i+1}^{-1};$
- (f) $E_i^2 E_j - (v + v^{-1}) E_i E_j E_i + E_j E_i^2 = 0$ when $|i - j| = 1;$
- (g) $F_i^2 F_j - (v + v^{-1}) F_i F_j F_i + F_j F_i^2 = 0$ when $|i - j| = 1.$

Relations 2.1(f),(g) are called the quantum Serre relations. The subalgebra generated by the E_i, F_i and \tilde{K}_i ($1 \leq i \leq n - 1$) is the quantized enveloping algebra $\mathbf{U}_v(\mathfrak{sl}_n)$.

There is a unique \mathbb{Q} -algebra anti-isomorphism $\Omega : \mathbf{U} \rightarrow \mathbf{U}$ defined by

$$(2.1.1) \quad \Omega(E_i) = F_i, \quad \Omega(F_i) = E_i, \quad \Omega(K_j) = K_j^{-1} \quad \text{and} \quad \Omega(v) = v^{-1}.$$

Clearly, Ω extends the anti-isomorphism Ω defined in [18, 1.2(a)] for $\mathbf{U}_v(\mathfrak{sl}_n)$.

Let \mathbf{U}^+ (resp., $\mathbf{U}^-, \mathbf{U}^0$) be the subalgebra of \mathbf{U} generated by the E_i (resp., F_i, K_i). There is a triangular decomposition $\mathbf{U}^+ \otimes \mathbf{U}^0 \otimes \mathbf{U}^- \xrightarrow[\sim]{\text{mult}} \mathbf{U}^+ \mathbf{U}^0 \mathbf{U}^- = \mathbf{U}$ which is an isomorphism of vector spaces—see below for references in the integral case. Clearly, the elements $K^{\mathbf{j}} := K_1^{j_1} \cdots K_n^{j_n}$ for all $\mathbf{j} = (j_1, \dots, j_n) \in \mathbb{Z}^n$ form a basis for \mathbf{U}^0 . The subalgebras \mathbf{U}^+ and \mathbf{U}^- are both \mathbb{N} -graded in terms of the degrees of monomials in the E_i and F_i . For monomials M in the E_i and M' in the F_i , and an element $h \in \mathbf{U}^0$, write $\text{deg}(MhM') = \text{deg}(M) + \text{deg}(M')$. Observe that deg does not define an algebra grading on \mathbf{U} : the appropriate algebra grading (which we do not use) would be given by $\text{deg}'(MhM') = \text{deg}(M) - \text{deg}(M')$.

For an analogue of a Kostant \mathcal{Z} -form over \mathcal{Z} , define, for $m, t \in \mathbb{N}$ and $c \in \mathbb{Z}$,

$$E_i^{(m)} = \frac{E_i^m}{[m]!}, \quad F_i^{(m)} = \frac{F_i^m}{[m]!} \quad \text{and} \quad \begin{bmatrix} K_i; c \\ t \end{bmatrix} = \prod_{s=1}^t \frac{K_i v^{c-s+1} - K_i^{-1} v^{-c+s-1}}{v^s - v^{-s}}.$$

Following [23], let U (resp., U^+, U^-) be the \mathcal{Z} -subalgebra of \mathbf{U} generated by all $E_i^{(m)}, F_i^{(m)}, K_i$ and $\begin{bmatrix} K_i; 0 \\ t \end{bmatrix}$ (resp., $E_i^{(m)}, F_i^{(m)}$). Let U^0 be the \mathcal{Z} -subalgebra of \mathbf{U} generated by all K_i and $\begin{bmatrix} K_i; 0 \\ t \end{bmatrix}$. Then U, U^+, U^0, U^- are \mathcal{Z} -forms for $\mathbf{U}, \mathbf{U}^+, \mathbf{U}^0, \mathbf{U}^-$, respectively, and there is a triangular decomposition $U^+ \otimes U^0 \otimes U^- \cong U^+ U^0 U^- = U$ as free \mathcal{Z} -modules (apply the anti-automorphism Ω to the triangular decomposition given in [23, (3.2.6)]).¹ The following is known from [18, 2.14] and [9, Lemma 2.1].

Lemma 2.2. *The algebra U^0 has a \mathcal{Z} -basis*

$$K_1^{\delta_1} \dots K_n^{\delta_n} \begin{bmatrix} K_1; 0 \\ t_1 \end{bmatrix} \dots \begin{bmatrix} K_n; 0 \\ t_n \end{bmatrix}, \quad \delta_i \in \{0, 1\}, t_i \in \mathbb{N}.$$

The formulas below will be useful; see [18, p.269], [17, 4.1(a)].

Lemma 2.3. *The following formulas hold in \mathbf{U} :*

- (1) $\begin{bmatrix} K_i; 0 \\ t \end{bmatrix} \begin{bmatrix} K_i; -t \\ s \end{bmatrix} = \begin{bmatrix} t+s \\ t \end{bmatrix} \begin{bmatrix} K_i; 0 \\ t+s \end{bmatrix} \quad (t, s \geq 0);$
- (2) $\begin{bmatrix} K_i; c \\ t \end{bmatrix} - v^{-t} \begin{bmatrix} K_i; c+1 \\ t \end{bmatrix} = -v^{-(c+1)} K_i^{-1} \begin{bmatrix} K_i; c \\ t-1 \end{bmatrix} \quad (t \geq 1);$
- (3) $\begin{bmatrix} K_i; -c \\ t \end{bmatrix} = \sum_{0 \leq j \leq t} (-1)^j v^{c(t-j)} \begin{bmatrix} c+j-1 \\ j \end{bmatrix} K_i^j \begin{bmatrix} K_i; 0 \\ t-j \end{bmatrix} \quad (t \geq 0, c \geq 1);$
- (4) $\begin{bmatrix} K_i; c \\ t \end{bmatrix} = \sum_{0 \leq j \leq t} v^{c(t-j)} \begin{bmatrix} c \\ j \end{bmatrix} K_i^{-j} \begin{bmatrix} K_i; 0 \\ t-j \end{bmatrix} \quad (t \geq 0, c \geq 0);$
- (5) $E_i^{(m)} \begin{bmatrix} K_i; c \\ t \end{bmatrix} = \begin{bmatrix} K_i; c-m \\ t \end{bmatrix} E_i^{(m)}$ and $E_i^{(m)} \begin{bmatrix} K_{i+1}; c \\ t \end{bmatrix} = \begin{bmatrix} K_{i+1}; c+m \\ t \end{bmatrix} E_i^{(m)}$;
- (6) $F_i^{(m)} \begin{bmatrix} K_i; c \\ t \end{bmatrix} = \begin{bmatrix} K_i; c+m \\ t \end{bmatrix} F_i^{(m)}$ and $F_i^{(m)} \begin{bmatrix} K_{i+1}; c \\ t \end{bmatrix} = \begin{bmatrix} K_{i+1}; c-m \\ t \end{bmatrix} F_i^{(m)}$;
- (7) *For any positive integers k, l , we have*

$$E_i^{(k)} F_i^{(l)} = \sum_{t=0}^{\min(k,l)} F_i^{(l-t)} \begin{bmatrix} \tilde{K}_i; 2t - k - l \\ t \end{bmatrix} E_i^{(k-t)}.$$

Proof. The formulas (1)-(4) and (7) are proved exactly as in [17]. Finally, (5) and (6) follow from 2.1(b),(c) by induction on m . □

The commutator formula 2.3(7) plus 2.3(5),(6) show that, for monomials M in the E_i and M' in the F_i , $MM' = M'M + \sum_j M_j h_j M'_j$, where M_j (resp., M'_j) are monomials in the E_i 's (resp., F_i 's), $h_j \in U^0$ and

$$\deg(M_j h_j M'_j) \leq \deg(MM') - 2.$$

¹Note that the Lusztig \mathcal{Z} -form ${}^l U$ of $\mathbf{U}_v(\mathfrak{sl}_n)$ is generated by all $E_i^{(m)}, F_i^{(m)}, \tilde{K}_i$, and \tilde{K}_i^{-1} . Thus, by [9, 2.6], the \mathcal{Z} -form U can be generated by all $E_i^{(m)}, F_i^{(m)}, \tilde{K}_i, \tilde{K}_i^{-1}, K_1$ and $\begin{bmatrix} K_1; 0 \\ t \end{bmatrix}$.

3. THE BEILINSON-LUSZTIG-MACPHERSON CONSTRUCTION
AND MONOMIAL BASES

Let $\tilde{\Xi}$ be the set of all $n \times n$ matrices over \mathbb{Z} with all off-diagonal entries in \mathbb{N} , and let $\Xi = M_n(\mathbb{N})$ be the subset of $\tilde{\Xi}$ consisting of matrices with entries all in \mathbb{N} . Let $\sigma : \Xi \rightarrow \mathbb{N}$ be the map sending a matrix to the sum of its entries. Then, for $r \in \mathbb{N}$, the inverse image $\Xi_r := \sigma^{-1}(r)$ is the set of $n \times n$ matrices in Ξ whose entries sum to r . For $1 \leq i, j \leq n$, let $E_{i,j} \in \Xi$ be the matrix $(a_{k,l})$ with $a_{k,l} = \delta_{i,k}\delta_{j,l}$.

Let U_r be the algebra over \mathcal{Z} introduced in [1, 1.2].² It has a normalized \mathcal{Z} -basis $\{[A]\}_{A \in \Xi_r}$ defined in [1, 1.4]. In particular, if $\lambda \in \mathbb{N}^n$ with $D = \text{diag}(\lambda) \in \Xi_r$, then (cf. [1, 1.3])

(3.0.1)

$$[D][A] = \begin{cases} [A], & \text{if } \lambda = ro(A) \\ 0, & \text{otherwise;} \end{cases} \quad \text{and} \quad [A][D] = \begin{cases} [A], & \text{if } \lambda = co(A) \\ 0, & \text{otherwise,} \end{cases}$$

where $ro(A) = (\sum_j a_{1,j}, \dots, \sum_j a_{n,j})$ and $co(A) = (\sum_i a_{i,1}, \dots, \sum_i a_{i,n})$ are the sequences of row and column sums of $A = (a_{i,j})$. We put $\mathbf{U}_r = \mathbb{Q}(v) \otimes_{\mathcal{Z}} U_r$.

In [9, 1.4], the algebra U_r is shown to be naturally isomorphic to the q -Schur algebra $S_q(n, r)$ as defined in (1.0.2). In the sequel, we often call U_r and \mathbf{U}_r q -Schur algebras.³

Let \mathbf{K} be the \mathcal{Z} -algebra (without 1), defined in [1, §4], with basis $\{[A]\}_{A \in \tilde{\Xi}}$, and let $\dot{\mathbf{U}} = \mathbb{Q}(v) \otimes_{\mathcal{Z}} \mathbf{K}$.⁴ The multiplication \cdot in \mathbf{K} (and hence in $\dot{\mathbf{U}}$) is defined in [1, 4.4] by specializing v' to 1 from another algebra over $\mathbb{Q}(v)[v', v'^{-1}]$ whose multiplication is induced from the stabilization property of the multiplication of q -Schur algebras. By the definition in [1, 4.5], the relations (3.0.1), $D \in \tilde{\Xi}$, continue to hold in $\dot{\mathbf{U}}$.

As in [1, 5.1], $\dot{\mathbf{U}}_{\infty}$ is the vector space of all formal (possibly infinite) $\mathbb{Q}(v)$ -linear combinations $\sum_{A \in \tilde{\Xi}} \beta_A [A]$ satisfying: for diagonal $D, D' \in \tilde{\Xi}$, the sums

$$\sum_{A \in \tilde{\Xi}} \beta_A [D] \cdot [A] \quad \text{and} \quad \sum_{A \in \tilde{\Xi}} \beta_A [A] \cdot [D']$$

are finite. Defining the product of $\sum_{A \in \tilde{\Xi}} \beta_A [A], \sum_{B \in \tilde{\Xi}} \gamma_B [B] \in \dot{\mathbf{U}}_{\infty}$ to be

$$\sum_{A, B} \beta_A \gamma_B [A] \cdot [B]$$

gives $\dot{\mathbf{U}}_{\infty}$ an associative algebra structure, with $1 = \sum [D]$, the sum over all diagonal $D \in \tilde{\Xi}$. Also, $\dot{\mathbf{U}}$ is naturally a subalgebra (without 1) of $\dot{\mathbf{U}}_{\infty}$.

²The algebra U_r is denoted \mathbf{K}_r in [1].

³The algebra U_r can be roughly described as follows: Let $G = GL_r(p^d)$ for some prime power p^d . Let $S_q(n, r) = \mathbb{C} \otimes S_q(n, r)$ via the base change $\mathcal{Z} \rightarrow \mathbb{C}, v \mapsto p^{d/2}$. It is well known (see, e.g., [5, (2.24)] that

(3.0.2)
$$S_q(n, r) \cong \text{End}_G \left(\bigoplus \text{ind}_P^G \mathbb{C} \right);$$

here λ runs over all compositions λ of r into n parts, and P_{λ} denotes the corresponding parabolic subgroup of G . Using the geometry of relative positions of pairs of n -step filtrations on r -dimensional space, [1] defines U_r directly as a kind of “deformation” of (3.0.2).

⁴The notation here has been abused as in [1]: the basis $\{[A]\}_{A \in \Xi_r}$ for a q -Schur algebra is *not* a subset of the basis $\{[A]\}_{A \in \tilde{\Xi}}$ for $\dot{\mathbf{U}}$. Given $A \in \Xi$, it should always be clear from the context whether $[A]$ is to be regarded as a basis element of \mathbf{U} or of \mathbf{U}_r .

Let Ξ^\pm be the set of all $A \in \Xi$ whose diagonal entries are zero. Given $r \in \mathbb{N}$, $r > 0$, $A \in \Xi^\pm$ and $\mathbf{j} = (j_1, \dots, j_n) \in \mathbb{Z}^n$, we define

$$A(\mathbf{j}, r) = \sum_{\substack{D \in \Xi^0 \\ \sigma(A+D)=r}} v^{\sum_i d_i j_i} [A + D] \in \mathbf{U}_r,$$

$$A(\mathbf{j}) = A(\mathbf{j}, \infty) = \sum_{D \in \Xi^0} v^{\sum_i d_i j_i} [A + D] \in \dot{\mathbf{U}}_\infty,$$

where Ξ^0 (resp., $\tilde{\Xi}^0$) denotes the subset of diagonal matrices in Ξ (resp., $\tilde{\Xi}$) and $D = \text{diag}(d_1, \dots, d_n)$. If $\sigma(A) > r$, then $A(\mathbf{j}, r) = 0$. For any diagonal matrix $D \in \Xi_r$, we have from (3.0.1)

(3.0.3)

$$A(\mathbf{0}, r)[D] = \begin{cases} [A + D'], & \text{if } co(D') = ro(D) - co(A) \in \mathbb{N}^n; \\ 0, & \text{if such a } D' \in \mathbb{N}^n \text{ does not exist.} \end{cases}$$

Of course, $\mathbf{0} := (0, \dots, 0) \in \mathbb{N}^n$. Obviously, the D' in (3.0.3) satisfying $co(D') = ro(D) - co(A)$ is unique, if it exists.

Let \mathbf{V} be the subspace of $\dot{\mathbf{U}}_\infty$ spanned by

$$\mathcal{B} = \{A(\mathbf{j}) \mid A \in \Xi^\pm, \mathbf{j} \in \mathbb{Z}^n\}.$$

The next result is proved in [1, 5.5, 5.7].

Proposition 3.1. (1) \mathbf{V} is a subalgebra of $\dot{\mathbf{U}}_\infty$ with $\mathbb{Q}(v)$ -basis \mathcal{B} . It is generated by $E_{h,h+1}(\mathbf{0})$, $E_{h+1,h}(\mathbf{0})$ and $0(\mathbf{j})$ for all $1 \leq h < n$ and $\mathbf{j} \in \mathbb{Z}^n$.

(2) For any positive integer r , the q -Schur algebra \mathbf{U}_r is generated by the elements

$$E_{h,h+1}(\mathbf{0}, r), \quad E_{h+1,h}(\mathbf{0}, r), \quad \text{and} \quad 0(\mathbf{j}, r)$$

for all $1 \leq h < n$ and $\mathbf{j} \in \mathbb{N}^n$.

(3) There is an algebra isomorphism $\mathbf{U} \xrightarrow{\sim} \mathbf{V}$ satisfying

$$E_h \mapsto E_{h,h+1}(\mathbf{0}), \quad K^{\mathbf{j}} \mapsto 0(\mathbf{j}), \quad F_h \mapsto E_{h+1,h}(\mathbf{0})$$

and an algebra epimorphism $\zeta_r : \mathbf{U} \rightarrow \mathbf{U}_r$ satisfying

$$E_h \mapsto E_{h,h+1}(\mathbf{0}, r), \quad K^{\mathbf{j}} \mapsto 0(\mathbf{j}, r), \quad F_h \mapsto E_{h+1,h}(\mathbf{0}, r).$$

We shall identify \mathbf{U} with \mathbf{V} and hence identify E_h with $E_{h,h+1}(\mathbf{0})$, etc., in the sequel. We now describe a monomial basis for \mathbf{U} .

Let Ξ^+ (resp., Ξ^-) be the subset of Ξ consisting of those matrices $(a_{i,j})$ with $a_{i,j} = 0$ for all $i \geq j$ (resp., $i \leq j$). For $A \in \Xi$, write $A = A^+ + A^0 + A^-$ with $A^+ \in \Xi^+$, $A^0 \in \Xi^0$, and $A^- \in \Xi^-$. We also introduce the degree function:⁵

$$(3.1.1) \quad \text{deg}(A) = \sum_{1 \leq i, j \leq n} |j - i| a_{i,j}.$$

Let $A = (a_{ij}) \in \Xi$. For $i < j$, let $\sigma_{i,j}(A) = \sum_{s \leq i; t \geq j} a_{s,t}$ and $\sigma_{j,i}(A) = \sum_{s \leq i; t \geq j} a_{t,s}$. Define, following [1, 3.5], $A' \preceq A$ if and only if $\sigma_{i,j}(A') \leq \sigma_{i,j}(A)$ and $\sigma_{j,i}(A') \leq \sigma_{j,i}(A)$ for all $1 \leq i < j \leq n$. Put $A' \prec A$ if $A' \preceq A$ and, for some pair (i, j) with $i < j$, either $\sigma_{i,j}(A') < \sigma_{i,j}(A)$ or $\sigma_{j,i}(A') < \sigma_{j,i}(A)$. Since $\text{deg } A^+ = \sum_{i=1}^{n-1} \sigma_{i,i+1}(A)$, and $\text{deg } A^- = \sum_{i=1}^{n-1} \sigma_{i+1,i}(A)$, the lemma below holds.

⁵The degree function here differs from the Ψ function [1, p.668]. But, it plays a similar role for induction; see 4.14.

Lemma 3.2. *If $A' \preceq A$, then $\deg(A') \leq \deg(A)$.*

Note that $A' \prec A$ does not necessarily imply that $\deg(A') < \deg(A)$.

For $A \in \Xi^\pm$ and $\mathbf{j} \in \mathbb{Z}^n$, let

$$M^{(A,\mathbf{j})} = E^{(A^+)} \cdot 0(\mathbf{j}) \cdot F^{(A^-)},$$

where

$$E^{(A^+)} = \prod_{1 \leq i \leq h < j \leq n} E_h^{(a_{i,j})} \text{ and } F^{(A^-)} = \prod_{1 \leq j \leq h < i \leq n} F_h^{(a_{i,j})}.$$

The orders in which the products $E^{(A^+)}$ and $F^{(A^-)}$ are taken are defined as follows: For the j th column (reading upwards) $a_{j-1,j}, \dots, a_{1,j}$ ($2 \leq j \leq n$) of A^+ , fix the following reduced expression for the longest word $w_{0,j}$ of \mathfrak{S}_j :

$$(3.2.1) \quad \begin{aligned} w_{0,j} &= s_{j-1}(s_{j-2}s_{j-1})(s_{j-3}s_{j-2}s_{j-1}) \cdots (s_1s_2 \cdots s_{j-1}) \\ &= (s_{j-1} \cdots s_1)(s_{j-1} \cdots s_2) \cdots (s_{j-1}s_{j-2})s_{j-1}. \end{aligned}$$

Here (and later), $s_i = (i, i + 1)$ for $1 \leq i < j$. Put⁶

$$M_j = M_j(A^+) = E_{j-1}^{(a_{j-1,j})}(E_{j-2}^{(a_{j-2,j})}E_{j-1}^{(a_{j-2,j})}) \cdots (E_1^{(a_{1,j})}E_2^{(a_{1,j})}) \cdots E_{j-1}^{(a_{1,j})}.$$

Similarly, for the j th row (reading to the right) $a_{j,1}, \dots, a_{j,j-1}$ ($2 \leq j \leq n$) of A^- , put

$$M'_j = (F_{j-1}^{(a_{j,1})} \cdots F_2^{(a_{j,1})}F_1^{(a_{j,1})}) \cdots (F_{j-1}^{(a_{j,j-2})}F_{j-2}^{(a_{j,j-2})})F_{j-1}^{(a_{j,j-1})} = \Omega(M_j(A'))$$

(cf. (2.1.1)), where $A' = (A^-)^T$ is the transpose of A^- . Then we have $E^{(A^+)} = M_n M_{n-1} \cdots M_2$ and $F^{(A^-)} = M'_2 M'_3 \cdots M'_n$. Clearly, $\deg E^{(A^+)} = \deg(A^+)$ and $\deg F^{(A^-)} = \deg(A^-)$. The following result is also essentially proved in [1].

Proposition 3.3. *The set*

$$\mathcal{M} = \{M^{(A,\mathbf{j})} \mid A \in \Xi^\pm, \mathbf{j} \in \mathbb{Z}^n\}$$

forms a basis for \mathbf{U} . For $A \in \Xi^\pm, \mathbf{j} \in \mathbb{Z}^n$, there exist $a \in \mathbb{Z}$, $f_{\mathbf{j}',B}, g_{\mathbf{j}'',C} \in \mathbb{Q}(v)$ such that

$$(3.3.1) \quad M^{(A,\mathbf{j})} = v^a A(\mathbf{j}) + \sum_{\substack{\mathbf{j}' \in \mathbb{Z}^n, B \in \Xi^\pm \\ B \prec A}} f_{\mathbf{j}',B} B(\mathbf{j}'),$$

$$(3.3.2) \quad A(\mathbf{j}) = v^{-a} M^{(A,\mathbf{j})} + \sum_{\substack{\mathbf{j}'' \in \mathbb{Z}^n, C \in \Xi^\pm \\ C \prec A}} g_{\mathbf{j}'',C} M^{(C,\mathbf{j}'')}.$$

In particular, the set $\{E^{(A)}\}_{A \in \Xi^+}$ (resp., $\{F^{(B)}\}_{B \in \Xi^-}$) forms a basis for \mathbf{U}^+ (resp., \mathbf{U}^-).

⁶Observe that changing from the first reduced expression in (3.2.1) to the second requires only relations of the form $s_i s_j = s_j s_i$, $|i - j| > 1$. Thus, by definition 2.1, we have

$$M_j = (E_{j-1}^{(a_{j-1,j})} \cdots E_1^{(a_{1,j})})(E_{j-2}^{(a_{j-2,j})} \cdots E_2^{(a_{1,j})}) \cdots (E_{j-1}^{(a_{2,j})} E_{j-2}^{(a_{1,j})}) E_{j-1}^{(a_{1,j})}.$$

This is the original definition given in [1].

Proof. For the first assertion, see [1, 5.7]. Next, [1, 5.4(c)]⁷ (and the discussion following it) implies (3.3.1), while (3.3.2) is obtained by solving (3.3.1) inductively. The final assertion follows from an argument along the line of that of [1, 5.5] (see [12, 4.3] for some details). \square

The basis \mathcal{M} is the *monomial basis* associated to the given ordering on the $w_{0,j}$ above.

Corollary 3.4. *Let $A \in \Xi^+$ and let M be any monomial in the E_i . Then the product $ME^{(A)}$ is a (finite) \mathcal{Z} -linear combination of $E^{(B)}$ with $B \in \Xi^+$ and $\deg(B) \leq \deg(M) + \deg(A)$. Thus, M itself can be written as a linear combination of $E^{(B)}$ with $B \in \Xi^+$ and $\deg(B) \leq \deg(M)$. A similar statement holds for the F_i .*

Proof. Using [1, 5.4(c)] again, we have

$$(3.4.1) \quad E^{(A)} = M^{(A, \mathbf{0})} = A(\mathbf{0}) + \sum_{\substack{\mathbf{j} \in \mathbb{Z}^n, B' \in \Xi^\pm \\ B' \prec A}} f_{\mathbf{j}, B'} B'(\mathbf{j}).$$

The first formula given in [1, 5.3] implies that, if $A' \in \Xi^+$, then $E_h A'(\mathbf{0})$ is a linear combination of terms $B(\mathbf{0})$ with $B \in \Xi^+$. (The fact that $A' \in \Xi^+$ is essential to guarantee that the summands in [1, 5.3] of the form $B(\mathbf{j})$ with $\mathbf{j} \neq \mathbf{0}$ all have zero coefficient.) Since $E^{(A)}$ is a product (up to a scalar) of various $E_h = E_{h, h+1}(\mathbf{0})$, induction shows that the only $B'(\mathbf{j})$ that occur in (3.4.1) are those with $B' \in \Xi^+$ and $\mathbf{j} = \mathbf{0}$. Therefore, we obtain

$$(3.4.2) \quad E^{(A)} = A(\mathbf{0}) + \sum_{B' \in \Xi^+ : B' \prec A} f_{\mathbf{0}, B'} B'(\mathbf{0}).$$

Clearly, if $f_{\mathbf{0}, B'} \neq 0$, then $B' \prec A$ implies $\deg(B') \leq \deg(A)$, by 3.2, and $B' \in \Xi^+$ as well.

To prove the corollary, we can easily reduce to the special case when $M = E_h$ for $1 \leq h \leq n-1$. Applying [1, 5.3, p. 672] again shows that the product $E_h A(\mathbf{0})$ (resp., $E_h B'(\mathbf{0})$) is a linear combination of $B''(\mathbf{0})$ with $B'' \in \Xi^+$, $\deg(B'') \leq \deg(A) + 1$ (resp., $\deg(B'') \leq \deg(B') + 1 \leq \deg(A) + 1$). Every $B''(\mathbf{0})$ is a linear combination of $E^{(B)}$ with $B \in \Xi^+$, $B \prec B''$ by (3.4.2). So $E_h E^{(A)}$ is a linear combination of $E^{(B)}$ with $B \in \Xi^+$ and $\deg(B) \leq \deg(B'') \leq \deg(A) + 1$. \square

Remark 3.5. We note that the inequalities $\deg(B) \leq \deg(A) + \deg(M)$ in the statement of 3.4 can even be replaced by the equalities $\deg(B) = \deg(A) + \deg(M)$, using the fact that the relations defining \mathbf{U}^+ are homogeneous and the monomial basis given in the last assertion of 3.3 preserves the graded structure on \mathbf{U}^+ . We thank Fu Qiang for pointing this out.

4. THE ALGEBRA \mathbf{S}_r

Let X be another indeterminate which is independent of v . For $t \in \mathbb{N}$, put

$$[X; t]^1 = (X-1)(X-v) \cdots (X-v^{t-1}),$$

with $[X; 0]^1 = 1$ by definition.

⁷We will make much use of this result, which holds for both \mathbf{U} and for \mathbf{U}_r , in the sequel.

Definition 4.1. Let \mathbf{S}_r be the associative algebra over $\mathbb{Q}(v)$ generated by the elements

$$\mathbf{e}_i, \mathbf{f}_i, \mathbf{k}_i \quad (1 \leq i \leq n - 1),$$

subject to the relations:

- (a) $\mathbf{k}_i \mathbf{k}_j = \mathbf{k}_j \mathbf{k}_i$;
- (b) $[\mathbf{k}_1; t_1]^! [\mathbf{k}_2; t_2]^! \cdots [\mathbf{k}_{n-1}; t_{n-1}]^! = 0 \quad \forall t_i \in \mathbb{N}$ such that $t_1 + \cdots + t_{n-1} = r + 1$;
- (c) $\mathbf{e}_i \mathbf{e}_j = \mathbf{e}_j \mathbf{e}_i, \mathbf{f}_i \mathbf{f}_j = \mathbf{f}_j \mathbf{f}_i \quad (|i - j| > 1)$;
- (d) $\mathbf{e}_i^2 \mathbf{e}_j - (v + v^{-1}) \mathbf{e}_i \mathbf{e}_j \mathbf{e}_i + \mathbf{e}_j \mathbf{e}_i^2 = 0 \quad (|i - j| = 1)$;
- (e) $\mathbf{f}_i^2 \mathbf{f}_j - (v + v^{-1}) \mathbf{f}_i \mathbf{f}_j \mathbf{f}_i + \mathbf{f}_j \mathbf{f}_i^2 = 0 \quad (|i - j| = 1)$;
- (f) $\mathbf{k}_i \mathbf{e}_j = v^{\epsilon(i,j)} \mathbf{e}_j \mathbf{k}_i, \quad \mathbf{k}_i \mathbf{f}_j = v^{-\epsilon(i,j)} \mathbf{f}_j \mathbf{k}_i$ with $\epsilon(i, j)$ as in 2.1(b);
- (g) $\mathbf{e}_i \mathbf{f}_j - \mathbf{f}_j \mathbf{e}_i = \delta_{i,j} \frac{\tilde{\mathbf{k}}_i - \tilde{\mathbf{k}}_i^{-1}}{v - v^{-1}}$, where $\tilde{\mathbf{k}}_i = \mathbf{k}_i \mathbf{k}_{i+1}^{-1}, 1 \leq i \leq n - 1$, with $\mathbf{k}_n = v^r \mathbf{k}_1^{-1} \cdots \mathbf{k}_{n-1}^{-1}$.

Since $[\mathbf{k}_i; t_i]^! = 0$ if $t_i = r + 1$, each \mathbf{k}_i is invertible and \mathbf{k}_i^{-1} is a polynomial of \mathbf{k}_i of degree r ; so the definitions of $\tilde{\mathbf{k}}_i$ and \mathbf{k}_n make sense. Also, 4.1(f) holds for $i = n$. By 2.1, there is a surjective homomorphism $\mathbf{U} \rightarrow \mathbf{S}_r$ in which $E_i \mapsto \mathbf{e}_i, F_i \mapsto \mathbf{f}_i$ and $K_j \mapsto \mathbf{k}_j$. In particular, for $A \in \Xi^+$, let $\mathbf{e}^{(A)}$ be the image of $E^{(A)}$ under this homomorphism, with a similar convention for $\mathbf{f}^{(A)}, A \in \Xi^-$. The relations in 2.3 hold with E_i, F_i, K_i replaced by $\mathbf{e}_i, \mathbf{f}_i, \mathbf{k}_i$, respectively. The definition implies the following result.

Lemma 4.2. (1) *There is a unique $\mathbb{Q}(v)$ -algebra anti-automorphism⁸ τ on \mathbf{S}_r satisfying*

$$\tau(\mathbf{e}_i) = \mathbf{f}_i, \quad \tau(\mathbf{f}_i) = \mathbf{e}_i, \quad \tau(\mathbf{k}_i) = \mathbf{k}_i.$$

(2) *There is a unique $\mathbb{Q}(v)$ -algebra anti-automorphism γ on \mathbf{S}_r satisfying*

$$\gamma(\mathbf{e}_i) = \mathbf{e}_{n-i}, \quad \gamma(\mathbf{f}_i) = \mathbf{f}_{n-i}, \quad \gamma(\mathbf{k}_i) = \mathbf{k}_{n-i+1}.$$

(3) *There is a unique \mathbb{Q} -algebra involution $\bar{}$ on \mathbf{S}_r satisfying*

$$\bar{\mathbf{e}}_i = \mathbf{e}_i, \quad \bar{\mathbf{f}}_i = \mathbf{f}_i, \quad \bar{\mathbf{k}}_i = \mathbf{k}_i^{-1}, \quad \bar{v} = v^{-1}.$$

Proposition 4.3. *Let $\mathbf{t} = (t_1, \dots, t_n) \in \mathbb{N}^n$ and put $|\mathbf{t}| = t_1 + \cdots + t_n$. Then*

$$[\mathbf{k}; \mathbf{t}] := [\mathbf{k}_1; t_1]^! [\mathbf{k}_2; t_2]^! \cdots [\mathbf{k}_n; t_n]^! = 0 \quad \text{whenever } |\mathbf{t}| = r + 1.$$

In particular, $[\mathbf{k}_n; r + 1]^! = 0$.

Proof. If $t_n = 0$, the result holds by 4.1(b). So assume $t_n \geq 1$. Then

$$\begin{aligned} [\mathbf{k}_n; t_n]^! &= -v^{t_n-1} \mathbf{k}_1^{-1} \cdots \mathbf{k}_{n-1}^{-1} [\mathbf{k}_n; t_n - 1]^! (\mathbf{k}_1 \cdots \mathbf{k}_{n-1} - v^{r-t_n+1}) \\ &= -v^{t_n-1} \mathbf{k}_1^{-1} \cdots \mathbf{k}_{n-1}^{-1} [\mathbf{k}_n; t_n - 1]^! \sum_{i=1}^{n-1} v^{t_1+\cdots+t_{i-1}} (\mathbf{k}_i - v^{t_i}) \mathbf{k}_{i+1} \cdots \mathbf{k}_{n-1}. \end{aligned}$$

(Observe the above sum is telescoping.) Putting $a_i = -v^{t_1+\cdots+t_{i-1}+t_{n-1}-1} \mathbf{k}_1^{-1} \cdots \mathbf{k}_i^{-1}$,

$$[\mathbf{k}; \mathbf{t}] = \sum_{i=1}^{n-1} a_i [\mathbf{k}_1; t_1]^! \cdots [\mathbf{k}_i; t_i + 1]^! \cdots [\mathbf{k}_{n-1}; t_{n-1}]^! [\mathbf{k}_n; t_n - 1]^! = 0,$$

⁸The composition of this isomorphism and the bar involution $\bar{}$ below is the “little” version of the map Ω defined in (2.1.1).

by induction on t_n . □

Remarks 4.4. (1) 4.3 provides a connection between the presentation 4.1 and that given in [8]. Let \mathbf{S}_r^0 be the commutative subalgebra of \mathbf{S}_r generated by $\mathbf{k}_1, \dots, \mathbf{k}_{n-1}$. By 4.7 below, the relations 4.1(a),(b) provide a presentation for \mathbf{S}_r^0 . However, by 4.3 and 4.6 below, \mathbf{S}_r^0 can also be described differently, taking generators $\mathbf{k}_1, \dots, \mathbf{k}_n$ satisfying the relations $\mathbf{k}_i \mathbf{k}_j = \mathbf{k}_j \mathbf{k}_i$, $[\mathbf{k}_i; r + 1]^1 = 0$, and $\mathbf{k}_1 \cdots \mathbf{k}_n = v^r$; see [8, Prop. 7.4]. This gives another presentation of \mathbf{S}_r^0 , replacing $\mathbf{k}_1, \dots, \mathbf{k}_{n-1}$ by new generators $\mathbf{k}_1, \dots, \mathbf{k}_n$ and replacing the relations 4.1(a),(b) by those above. This presentation is studied in [8]; it has fewer relations than 4.3, but one more generator \mathbf{k}_n and the relations involving \mathbf{k}_n .

(2) To justify the relations for \mathbf{S}_r^0 , let $L_v(\lambda)$ be the irreducible type 1 \mathbf{U} -module with highest weight λ , where λ is a partition of r with at most n parts. Suppose $u_\mu \in L_v(\lambda)_\mu$, the μ -weight space of $L_v(\lambda)$. Then $\mu \in \mathbb{N}^n$, $|\mu| = r$, $\mu \leq \lambda$ (the dominance order—see below the proof of Prop. 4.5) and $K_i u_\mu = v^{\mu_i} u_\mu$. Thus,

$$\prod_{i=1}^n [K_i; t_i]^1 u_\mu = \prod_{i=1}^n [v^{\mu_i}; t_i]^1 u_\mu.$$

Since $\prod_{i=1}^n [v^{\mu_i}; t_i]^1 = 0$ whenever $t_1 + \dots + t_n = r + 1$, $L_v(\lambda)$ naturally becomes an \mathbf{S}_r -module.

By definition, for $\mathbf{t} = (t_1, \dots, t_n) \in \mathbb{N}^n$ and $A \in \Xi$, $\mathbf{e}^{(A^+)}$, $\mathbf{f}^{(A^-)}$ are the images of $E^{(A^+)}$, $F^{(A^-)}$, respectively, under the epimorphism $\mathbf{U} \rightarrow \mathbf{S}_r$. Thus,

$$\begin{aligned} \mathbf{e}^{(A^+)} &= \prod_{1 \leq i \leq h < j \leq n} \mathbf{e}_h^{(a_{i,j})}, & \mathbf{f}^{(A^-)} &= \prod_{1 \leq j \leq h < i \leq n} \mathbf{f}_h^{(a_{i,j})}, \\ \mathbf{k}_\mathbf{t} &= \prod_{i=1}^n \begin{bmatrix} \mathbf{k}_i; 0 \\ t_i \end{bmatrix}. \end{aligned}$$

Here the order in the products is the same as the order used for $E^{(A^+)}$ and $F^{(A^-)}$ in §3. The next result is a direct consequence of the defining relations on the \mathbf{k}_i (cf. [8, 7.4(c), 7.6(a)]).

Lemma 4.5. *Let $\mathbf{t} = (t_1, \dots, t_n) \in \mathbb{N}^n$. Then:*

- (1) $\mathbf{k}_\mathbf{t} = 0$ if $|\mathbf{t}| > r$.
- (2) If $|\mathbf{t}| = r$, then $\mathbf{k}_i \mathbf{k}_\mathbf{t} = v^{t_i} \mathbf{k}_\mathbf{t}$; in particular, $\begin{bmatrix} \mathbf{k}_i; c \\ t \end{bmatrix} \mathbf{k}_\mathbf{t} = \begin{bmatrix} t_i + c \\ t \end{bmatrix} \mathbf{k}_\mathbf{t}$.

Proof. To see (1), observe that

$$\begin{bmatrix} \mathbf{k}_i; 0 \\ t_i \end{bmatrix} = \prod_{s=1}^{t_i} \frac{\mathbf{k}_i v^{-s+1} - \mathbf{k}_i^{-1} v^{+s-1}}{v^s - v^{-s}} = \prod_{s=1}^{t_i} \frac{\mathbf{k}_i^{-1} v^{-s+1} (\mathbf{k}_i^2 - v^{2(s-1)})}{v^s - v^{-s}},$$

so that $\begin{bmatrix} \mathbf{k}_i; t_i \\ t_i \end{bmatrix}$ is a factor of $\begin{bmatrix} \mathbf{k}_i; 0 \\ t_i \end{bmatrix}$ for every i . Thus, 4.3 implies (1). If $|\mathbf{t}| = r$, (1) implies that $(\mathbf{k}_i - v^{t_i}) \mathbf{k}_\mathbf{t} = 0$, proving the first (and hence the last) assertion in (2). □

The subalgebra \mathbf{S}_r^0 of \mathbf{S}_r generated by the \mathbf{k}_i is a quotient of \mathbf{U}^0 . Let

$$\Lambda(n, r) = \{ \mathbf{t} \mid \mathbf{t} \in \mathbb{N}^n, |\mathbf{t}| = r \}$$

be the set of compositions of r , and let \supseteq be the dominance partial ordering on $\Lambda(n, r)$: $\lambda \supseteq \mu \iff \sum_{i=1}^j \lambda_i \geq \sum_{i=1}^j \mu_i, \forall j$. We have the following result (cf. [8, 7.4(b)]).

Corollary 4.6. *The algebra \mathbf{S}_r^0 is a commutative semisimple algebra over $\mathbb{Q}(v)$. The set $\{\mathbf{k}_\lambda\}_{\lambda \in \Lambda(n,r)}$ is a complete set of primitive orthogonal idempotents (hence a basis) for \mathbf{S}_r^0 . In particular, the identity element $1 \in \mathbf{S}_r$ has the form $1 = \sum_{\lambda \in \Lambda(n,r)} \mathbf{k}_\lambda$.*

Proof. Let λ^+ be the partition obtained by permuting the components of $\lambda \in \Lambda(n,r)$. By 4.4(2), \mathbf{k}_λ acts on $L_v(\lambda^+)_\lambda \neq 0$ as an identity operator. Hence, $\mathbf{k}_\lambda \neq 0$. By 4.5(2), $\mathbf{k}_\lambda \mathbf{k}_\mu = \delta_{\lambda,\mu} \mathbf{k}_\lambda$ for all $\lambda, \mu \in \Lambda(n,r)$; so the \mathbf{k}_λ are nonzero orthogonal idempotents. The relations given in 4.1 imply that the $\#\Lambda(n,r)$ monomials $\mathbf{k}_1^{j_1} \cdots \mathbf{k}_{n-1}^{j_{n-1}}$ in the \mathbf{k}_i of total degree at most r span \mathbf{S}_r^0 . Thus, by dimension considerations, the linearly independent elements $\mathbf{k}_\lambda, \lambda \in \Lambda(n,r)$, must be a basis for \mathbf{S}_r^0 . The corollary now follows. \square

A dimension comparison gives rise to other bases for \mathbf{S}_r^0 ; e.g., part (1) of the corollary below follows from the proof above.

Corollary 4.7. (1) *The elements $\mathbf{k}_1^{j_1} \cdots \mathbf{k}_{n-1}^{j_{n-1}}$ ($j_i \in \mathbb{N}, j_1 + \cdots + j_{n-1} \leq r$) form a basis for \mathbf{S}_r^0 .*

(2) *For any $\lambda \in \Lambda(n,r)$, let*

$$\mathbf{k}'_\lambda := \begin{bmatrix} \mathbf{k}_1; 0 \\ \lambda_1 \end{bmatrix} \begin{bmatrix} \mathbf{k}_2; 0 \\ \lambda_2 \end{bmatrix} \cdots \begin{bmatrix} \mathbf{k}_{n-1}; 0 \\ \lambda_{n-1} \end{bmatrix}.$$

Then the set $\{\mathbf{k}'_\lambda\}_{\lambda \in \Lambda(n,r)}$ forms a basis for \mathbf{S}_r^0 .

Proof. We prove (2). For $\lambda, \mu \in \Lambda(n,r)$, write $\lambda \leq \mu \iff \lambda_i \leq \mu_i$, for all $i = 1, 2, \dots, n-1$. Set $\lambda < \mu$ if also $\lambda_i < \mu_i$ for some i . By 4.5, $\mathbf{k}'_\mu \mathbf{k}_\lambda \neq 0 \iff \mu \leq \lambda$. So 4.6 implies

$$(4.7.1) \quad \mathbf{k}'_\mu = \sum_{\lambda \in \Lambda(n,r), \mu \leq \lambda} \mathbf{k}'_\mu \mathbf{k}_\lambda = \mathbf{k}_\mu + \sum_{\lambda \in \Lambda(n,r), \mu < \lambda} \prod_{i=1}^{n-1} \begin{bmatrix} \lambda_i \\ \mu_i \end{bmatrix} \mathbf{k}_\lambda.$$

Now the assertion (2) follows easily. \square

For $A \in \Xi$ and $1 \leq i \leq n$, define

$$\sigma_i(A) = a_{i,i} + \sum_{1 \leq j < i} (a_{i,j} + a_{j,i}), \quad \sigma'_i(A) = a_{i,i} + \sum_{i < j \leq n} (a_{i,j} + a_{j,i}).$$

Then

$$\#\{(\lambda, A) \mid \lambda \in \Lambda(n,r), A \in \Xi^\pm, \lambda_i \geq \sigma_i(A) \forall i\} = \binom{r+n^2-1}{r}.$$

To see this, put $a_{i,i} = \lambda_i - \sigma_i(A)$. Then the cardinality above is the number of matrices $(a_{i,j}) \in \Xi$ such that $\sum_{i,j} a_{i,j} = r$. The identity holds if σ_i is replaced by σ'_i .

The next result was observed in [7, 4.6] and generalized in [8, 7.9]. For $1 \leq i \leq n-1$, let

$$\alpha_i = (0, \dots, 0, \frac{1}{i}, -1, 0, \dots, 0).$$

Lemma 4.8. *Let $\lambda \in \Lambda(n,r)$.*

- (1) *If $\lambda_{i+1} \geq 1$, then $\mathbf{e}_i \mathbf{k}_\lambda = \mathbf{k}_{\lambda+\alpha_i} \mathbf{e}_i$.*
- (2) *If $\lambda_i \geq 1$, then $\mathbf{f}_i \mathbf{k}_\lambda = \mathbf{k}_{\lambda-\alpha_i} \mathbf{f}_i$.*

Proof. Formula (2) results by applying the anti-automorphism τ given in 4.2(1) to (1). We prove (1). By 2.3(5), we have

$$\mathbf{e}_i \mathbf{k}_\lambda = \prod_{j \neq i, i+1} \begin{bmatrix} \mathbf{k}_j; 0 \\ \lambda_j \end{bmatrix} \begin{bmatrix} \mathbf{k}_i; -1 \\ \lambda_i \end{bmatrix} \begin{bmatrix} \mathbf{k}_{i+1}; 1 \\ \lambda_{i+1} \end{bmatrix} \mathbf{e}_i.$$

Multiplying on the left by $\begin{bmatrix} \mathbf{k}_i; 0 \\ 1 \end{bmatrix}$ and applying 2.3(1),(5) and 4.5(2) gives

$$\begin{bmatrix} \lambda_i + 1 \\ 1 \end{bmatrix} \mathbf{e}_i \mathbf{k}_\lambda = \begin{bmatrix} \lambda_i + 1 \\ 1 \end{bmatrix} \prod_{j \neq i, i+1} \begin{bmatrix} \mathbf{k}_j; 0 \\ \lambda_j \end{bmatrix} \begin{bmatrix} \mathbf{k}_i; 0 \\ \lambda_i + 1 \end{bmatrix} \begin{bmatrix} \mathbf{k}_{i+1}; 1 \\ \lambda_{i+1} \end{bmatrix} \mathbf{e}_i.$$

By 2.3(4) and 4.5, (1) follows after cancelling $\begin{bmatrix} \lambda_i + 1 \\ 1 \end{bmatrix}$. □

Recall the sequence $ro(A)$ (resp., $co(A)$) of row (resp., column) sums of A defined in §2.

Corollary 4.9. *Let $A \in \Xi^\pm$ and $\lambda \in \Lambda(n, r)$.*

- (1) *If $\lambda_i \geq \sigma_i(A^+)$, $1 \leq i \leq n$, then $\mathbf{e}^{(A^+)} \mathbf{k}_\lambda = \mathbf{k}_{\lambda'} \mathbf{e}^{(A^+)}$, where $\lambda' = \lambda - co(A^+) + ro(A^+)$.*
- (2) *If $\lambda_i \geq \sigma_i(A^-)$, $1 \leq i \leq n$, then $\mathbf{k}_\lambda \mathbf{f}^{(A^-)} = \mathbf{f}^{(A^-)} \mathbf{k}_{\lambda''}$, where $\lambda'' = \lambda + co(A^-) - ro(A^-)$.*

In these cases, we have $\lambda' \supseteq \lambda$ and $\lambda'' \supseteq \lambda$.

Proof. If $i < j$ and $a \leq \lambda_l$ for all $l = i + 1, \dots, j$, by 4.8,

$$(\mathbf{e}_i^a \cdots \mathbf{e}_{j-1}^a) \mathbf{k}_\lambda = \mathbf{k}_\mu (\mathbf{e}_i^a \cdots \mathbf{e}_{j-1}^a),$$

where $\mu = \lambda + a(\alpha_i + \cdots + \alpha_{j-1})$. Now assume that $\lambda_i \geq \sigma_i(A^+)$ for all i . Since $\mathbf{e}^{(A^+)}$ is a product of terms $\mathbf{e}_i^{(a_{i,j})} \cdots \mathbf{e}_{j-1}^{(a_{i,j})}$, we obtain that $\mathbf{e}^{(A^+)} \mathbf{k}_\lambda = \mathbf{k}_{\lambda'} \mathbf{e}^{(A^+)}$ for $\lambda' = \lambda + \sum_{i < j} a_{i,j}(\alpha_i + \cdots + \alpha_{j-1})$, that is,

$$\begin{aligned} \lambda'_1 &= \lambda_1 + a_{1,2} + \cdots + a_{1,n}, \\ \lambda'_2 &= \lambda_2 - a_{1,2} + a_{2,3} + \cdots + a_{2,n}, \\ \lambda'_3 &= \lambda_3 - (a_{1,3} + a_{2,3}) + a_{3,4} + \cdots + a_{3,n}, \\ &\dots \\ \lambda'_{n-1} &= \lambda_{n-1} - (a_{1,n-1} + \cdots + a_{n-2,n-1}) + a_{n-1,n}, \\ \lambda'_n &= \lambda_n - (a_{1,n} + \cdots + a_{n-1,n}), \end{aligned} \tag{4.9.1}$$

yielding the required formula. Applying τ in 4.2 to the identity in (1) gives that in (2). The last assertion follows easily from the definition. □

For part (1) of the following result, see also [8, 7.9].

Lemma 4.10. *Let $\lambda \in \Lambda(n, r)$.*

- (1) *If $\lambda_{i+1} = 0$ for some $1 \leq i \leq n - 1$, then $\mathbf{e}_i \mathbf{k}_\lambda = \mathbf{k}_\lambda \mathbf{f}_i = 0$.*
- (2) *More generally, if $A \in \Xi^+$ (resp., $A \in \Xi^-$) and $\lambda_i < \sigma_i(A)$ for some i , then $\mathbf{e}^{(A)} \mathbf{k}_\lambda = 0$ (resp., $\mathbf{k}_\lambda \mathbf{f}^{(A)} = 0$).*

Proof. It suffices to prove (1) for \mathbf{e}_i and (2) for $A \in \Xi^+$; the others can be obtained by applying the anti-automorphism τ , since $\tau(\mathbf{e}^{(A)}) = \mathbf{f}^{(A^T)}$ if A^T is the transpose of $A \in \Xi^+$.

To prove (1), assume $\lambda_{i+1} = 0$. By 4.1 and 4.5(2), $\mathbf{k}_{i+1}\mathbf{e}_i\mathbf{k}_\lambda = v^{-1}\mathbf{e}_i\mathbf{k}_\lambda$. Also, 4.1 and 4.6 imply that $\mathbf{e}_i\mathbf{k}_\lambda$ is a $\mathbb{Q}(v)$ -linear combination of terms $\mathbf{k}_\mu\mathbf{e}_i$, $\mu \in \Lambda(n, r)$. Since $\mathbf{k}_{i+1}\mathbf{k}_\mu\mathbf{e}_i = v^{\mu_{i+1}}\mathbf{k}_\mu\mathbf{e}_i$ and $\mu_{i+1} \geq 0$, it follows that $\mathbf{e}_i\mathbf{k}_\lambda = 0$.

To prove (2), let i be minimal with $\lambda_i < \sigma_i(A)$. Then $i > 1$, since $\sigma_1(A) = 0$. Suppose $a_{1,i} + \dots + a_{i'-1,i} \leq \lambda_i < a_{1,i} + \dots + a_{i',i}$ for some $1 \leq i' \leq i - 1$. Write $\begin{bmatrix} a_{i',i} \\ x \end{bmatrix} \mathbf{e}^{(A)} = \mathbf{m}_1\mathbf{m}_2$, where

$$\mathbf{m}_1 = \mathbf{e}_{n-1}^{(a_{n-1,n})} \dots \mathbf{e}_{i-1}^{(a_{i',i-x})}, \quad \mathbf{m}_2 = \mathbf{e}_{i-1}^{(x)} \mathbf{e}_{i'-1}^{(a_{i'-1,i})} \mathbf{e}_{i'}^{(a_{i'-1,i})} \dots \mathbf{e}_{i-1}^{(a_{i'-1,i})} \dots \mathbf{e}_1^{(a_{1,2})}$$

and $x = \lambda_i - (a_{1,i} + \dots + a_{i'-1,i})$. By 4.9 and 4.8, $\begin{bmatrix} a_{i',i} \\ x \end{bmatrix} \mathbf{e}^{(A)}\mathbf{k}_\lambda = \mathbf{m}_1\mathbf{k}_\mu\mathbf{m}_2$, where $\mu = (\mu_1, \dots, \mu_n) \in \Lambda(n, r)$ with $\mu_i = (\lambda_i - a_{1,i} - \dots - a_{i'-1,i}) - x = 0$. Now, since $a_{i',i} - x > 0$, we have $\mathbf{m}_1\mathbf{k}_\mu = \mathbf{m}'_1\mathbf{e}_{i-1}\mathbf{k}_\mu = 0$ by part (1), and $\mathbf{e}^{(A)}\mathbf{k}_\lambda = 0$. \square

Let \mathbf{S}_r^+ (resp., \mathbf{S}_r^-) be the subalgebra of \mathbf{S}_r generated by the \mathbf{e}_i (resp., \mathbf{f}_i). Using PBW bases, [14, 2.5] gives a version of the following result; see also §6 below.

Corollary 4.11. *The algebra \mathbf{S}_r^+ (resp., \mathbf{S}_r^-) is spanned by the elements*

$$\{\mathbf{e}^{(A)} : A \in \Xi^+, \sigma(A) \leq r\} \quad (\text{resp., } \{\mathbf{f}^{(A)} : A \in \Xi^-, \sigma(A) \leq r\}).$$

Proof. If $\sigma(A^+) = \sum_i \sigma_i(A^+) > r$, then $\mathbf{e}^{(A)} = \sum_{\lambda \in \Lambda(n, r)} \mathbf{e}^{(A)}\mathbf{k}_\lambda = 0$ by 4.6 and 4.10(2). The result follows since \mathbf{S}_r^+ is spanned by all $\mathbf{e}^{(A)}$ with $A \in \Xi^+$, $\sigma(A) \leq r$, by 3.3. \square

For $A = (a_{i,j}) \in \Xi$, let $b_{i,j} = a_{n-j+1, n-i+1}$. So ${}^T A := (b_{i,j})$ is the matrix obtained by transposing A along its skew-diagonal. Thus, $\sigma'_i({}^T A) = \sigma_{n-i+1}(A)$. The following result is an application of the anti-automorphism γ in 4.2(2). Part (1) is a special case of [8, 7.9].

Corollary 4.12. *Let $\lambda \in \Lambda(n, r)$.*

- (1) *If $\lambda_i = 0$ for some i with $1 \leq i \leq n - 1$, then $\mathbf{k}_\lambda\mathbf{e}_i = \mathbf{f}_i\mathbf{k}_\lambda = 0$.*
- (2) *More generally, if $A \in \Xi^+$ (resp., $A \in \Xi^-$) and $\lambda_i < \sigma'_i(A)$ for some i , then $\mathbf{k}_\lambda\gamma(\mathbf{e}^{(A)}) = 0$ (resp., $\gamma(\mathbf{f}^{(A)})\mathbf{k}_\lambda = 0$).*

Proof. Define $\lambda^{\text{op}} = (\lambda_1^{\text{op}}, \dots, \lambda_n^{\text{op}})$ by reversing the components of $\lambda = (\lambda_1, \dots, \lambda_n)$ (i.e., $\lambda_i^{\text{op}} = \lambda_{n-i+1}$). Then, $\gamma(\mathbf{k}_\lambda) = \mathbf{k}_{\lambda^{\text{op}}}$, and (1) follows easily from 4.10(1). Since $\lambda_i < \sigma'_i(A)$ means $\lambda_{n-i+1}^{\text{op}} < \sigma_{n-i+1}({}^T A)$, by 4.10(2), $\mathbf{e}^{(A)}\mathbf{k}_{\lambda^{\text{op}}} = 0 = \mathbf{k}_{\lambda^{\text{op}}}\mathbf{f}^{(A)}$. Now apply γ . \square

The elements $\gamma(\mathbf{e}^{(A^+)})$ and $\gamma(\mathbf{f}^{(A^-)})$ can be explicitly described as follows: for the j th row (reading to the left) $a_{j,n}, \dots, a_{j,j+1}$ ($1 \leq j \leq n - 1$) of A^+ , put

$$\mathbf{n}_j = (\mathbf{e}_j^{(a_{j,n})} \mathbf{e}_{j+1}^{(a_{j,n})} \dots \mathbf{e}_{n-1}^{(a_{j,n})}) \dots (\mathbf{e}_j^{(a_{j,j+2})} \mathbf{e}_{j+1}^{(a_{j,j+2})}) \mathbf{e}_j^{(a_{j,j+1})}.$$

Similarly, for the j -th column (reading downwards) $a_{j+1,j}, \dots, a_{n,j}$ of A^- , put

$$\mathbf{n}'_j = \mathbf{f}_j^{(a_{j+1,j})} (\mathbf{f}_{j+1}^{(a_{j+2,j})} \mathbf{f}_j^{(a_{j+2,j})}) \dots (\mathbf{f}_{n-1}^{(a_{n,j})} \mathbf{f}_{n-2}^{(a_{n,j})}) \dots \mathbf{f}_j^{(a_{n,j})}.$$

Then $\gamma(\mathbf{e}^{(A^+)}) = \mathbf{n}_{n-1}\mathbf{n}_{n-2} \dots \mathbf{n}_1$ and $\gamma(\mathbf{f}^{(A^-)}) = \mathbf{n}'_1\mathbf{n}'_2 \dots \mathbf{n}'_{n-1}$.

The following is the “little” version of 3.4, from which it follows.

Lemma 4.13. *Let $A = (a_{i,j}) \in \Xi^+$ and let $\mathbf{m} \in \mathbf{S}_r$ be any monomial in the \mathbf{e}_i . Then the product $\mathbf{m}\mathbf{e}^{(A)}$ is a linear combination of $\mathbf{e}^{(B)}$ with $B \in \Xi^+$ (and hence, of $\mathbf{e}^{(B)}\mathbf{k}_\lambda$ with $\lambda \in \Lambda(n, r)$, $B \in \Xi^+$), and $\text{deg}(B) \leq \text{deg}(\mathbf{m}) + \text{deg}(A)$. In particular,*

\mathfrak{m} itself can be written as a linear combination of $\mathbf{e}^{(B)}\mathbf{k}_\lambda$ with $\lambda \in \Lambda(n, r)$, $B \in \Xi^+$ and $\deg(B) \leq \deg(\mathfrak{m})$. A similar result holds for the negative part of the algebra.

For any $A \in \Xi^\pm$ and $\lambda \in \Lambda(n, r)$, let

$$(4.13.1) \quad \mathfrak{m}^{(A, \lambda)} = \mathbf{e}^{(A^+)}\mathbf{k}_\lambda\mathbf{f}^{(A^-)}.$$

By 3.3, 4.6, and 4.10(2), \mathbf{S}_r is spanned by all such $\mathfrak{m}^{(A, \lambda)}$ with $\lambda \in \Lambda(n, r)$, and $A \in \Xi^\pm$ satisfying $\sigma(A^+) \leq r$ and $\sigma(A^-) \leq r$.

Theorem 4.14. For $A = (a_{i,j}) \in \Xi_r$, let

$$\mathfrak{m}^{(A)} = \prod_{1 \leq i \leq h < j \leq n} \mathbf{e}_h^{(a_{i,j})} \prod_{i=1}^n \begin{bmatrix} \mathbf{k}_i; 0 \\ \lambda_i \end{bmatrix} \prod_{1 \leq j \leq h < i \leq n} \mathbf{f}_h^{(a_{i,j})} = \mathbf{e}^{(A^+)}\mathbf{k}_\lambda\mathbf{f}^{(A^-)},$$

where $\lambda = \lambda(A) = (\sigma_1(A), \dots, \sigma_n(A))$. The set $\mathfrak{M} = \{\mathfrak{m}^{(A)}\}_{A \in \Xi_r}$ is a spanning set for \mathbf{S}_r .

Proof. Fix $B \in \Xi^\pm$ satisfying $\sigma(B^+) \leq r$ and $\sigma(B^-) \leq r$. Let $\lambda \in \Lambda(n, r)$. If $\lambda_i \geq \sigma_i(B)$ for all i , then there is a unique $A \in \Xi_r$ such that $\mathfrak{m}^{(A)} = \mathfrak{m}^{(B, \lambda)}$. Therefore, to prove the theorem, we must show that if $\lambda_i < \sigma_i(B)$ for some i , then $\mathfrak{m}^{(B, \lambda)}$ lies in the span of \mathfrak{M} . We proceed by induction on $\deg(B)$; cf. (3.1.1). The result follows from 4.10 if $\deg(B) = 1$. Assume now that $\deg(B) > 1$, and suppose i is minimal with $\lambda_i < \sigma_i(B)$. Let B_i be the submatrix of B consisting of the first i rows and columns, and write $\mathbf{e}^{(B^+)} = \mathbf{m}_1\mathbf{e}^{(B_i^+)}$ and $\mathbf{f}^{(B^-)} = \mathbf{f}^{(B_i^-)}\mathbf{m}'_1$. Then

$$\mathfrak{m}^{(B, \lambda)} = \mathbf{m}_1\mathbf{e}^{(B_i^+)}\mathbf{k}_\lambda\mathbf{f}^{(B_i^-)}\mathbf{m}'_1.$$

By 4.10(2), we can assume $\lambda_i \geq \sigma_i(B^+)$ (and so $\lambda_j \geq \sigma_j(B^+)$ for all $1 \leq j \leq i$ by the minimality assumption on i). Now 4.9(1) implies

$$\mathfrak{m}^{(B, \lambda)} = \mathbf{m}_1(\mathbf{e}^{(B_i^+)}\mathbf{k}_\lambda)\mathbf{f}^{(B_i^-)}\mathbf{m}'_1 = \mathbf{m}_1\mathbf{k}_{\lambda'}\mathbf{e}^{(B_i^+)}\mathbf{f}^{(B_i^-)}\mathbf{m}'_1,$$

where $\lambda' = (\lambda'_1, \dots, \lambda'_n)$ with $\lambda'_i = \lambda_i - (a_{1,i} + \dots + a_{i-1,i}) = \lambda_i - \sigma_i(B_i^+) \geq 0$; cf. the last equation in (4.9.1). By remarks after 2.3,

$$\mathbf{e}^{(B_i^+)}\mathbf{f}^{(B_i^-)} = \mathbf{f}^{(B_i^-)}\mathbf{e}^{(B_i^+)} + f,$$

where f is a linear combination of monomials $\mathbf{m}_j^e\mathbf{h}_j\mathbf{m}_j^f$ with $\mathbf{h}_j \in \mathbf{S}_r^0$ and $\deg(\mathbf{m}_j^e\mathbf{m}_j^f) < \deg(B_i)$. Here, \mathbf{m}_j^e (resp., \mathbf{m}_j^f) denotes a monomial in the \mathbf{e}_i (resp., \mathbf{f}_i). Thus, $\deg(\mathbf{m}_1\mathbf{m}_j^e\mathbf{m}_j^f\mathbf{m}'_1) < \deg(B)$. Since $\lambda'_i < \sigma_i(B_i^-)$, $\mathbf{m}_1\mathbf{k}_{\lambda'}\mathbf{e}^{(B_i^-)}\mathbf{e}^{(B_i^+)} = 0$ by 4.10. By 4.13, $\mathbf{m}_1\mathbf{m}_j^e$ (resp., $\mathbf{m}_j^f\mathbf{m}'_1$) is a linear combination of $\mathbf{e}^{(C)}\mathbf{k}_{\lambda''}$, $C \in \Xi^+$ (resp., $\mathbf{f}^{(C')}\mathbf{k}_{\lambda''}$, $C' \in \Xi^-$) with $\deg(C) \leq \deg(\mathbf{m}_1\mathbf{m}_j^e)$ (resp., $\deg(C') \leq \deg(\mathbf{m}_j^f\mathbf{m}'_1)$). Thus, each $\mathbf{m}_1\mathbf{k}_{\lambda'}\mathbf{m}_j^e\mathbf{h}_j\mathbf{m}_j^f\mathbf{m}'_1$ ($= \mathbf{m}_1\mathbf{m}_j^e\mathbf{k}_{\lambda''}\mathbf{h}_j\mathbf{m}_j^f\mathbf{m}'_1$) is a linear combination of $\mathfrak{m}^{(B', \mu)}$ with $\deg(B') < \deg(B)$, since $\deg(\mathbf{m}_1\mathbf{m}_j^e\mathbf{m}_j^f\mathbf{m}'_1) < \deg(B)$. By induction, $\mathfrak{m}^{(B, \lambda)}$ is in the span of \mathfrak{M} . \square

Note that all elements in \mathfrak{M} are fixed under the involution $\bar{}$ defined in 4.2(3).

5. THE ISOMORPHISM BETWEEN \mathbf{S}_r AND \mathbf{U}_r

Recall from 3.1(3) the surjective algebra homomorphism $\zeta_r : \mathbf{U} \rightarrow \mathbf{U}_r$. Since

$$\zeta_r(K_i) = \zeta_r(0(\mathbf{e}_i)) = 0(\mathbf{e}_i, r) = \sum_{\substack{D \in \Xi_r^0 \\ D = \text{diag}(d_1, \dots, d_n)}} v^{d_i} [D],$$

where $\mathbf{e}_i = (0, \dots, 0, \underset{i}{1}, 0, \dots, 0)$, and since $[D][D'] = \delta_{D,D'}[D]$, we obtain the following.

Lemma 5.1. *We have $\zeta_r(K_1 K_2 \cdots K_n) = v^r 1$.*

For any $i, j, 1 \leq i \leq n, 0 \leq j \leq r$, let

$$\mathfrak{d}_i(j) = \sum_{D \in \Xi_r^0, d_i=j} [D];$$

so $\zeta_r(K_i) = \sum_{j=0}^r v^j \mathfrak{d}_i(j)$.

Lemma 5.2. *For any $\mathbf{t} \in \mathbb{N}^n$, let*

$$K(\mathbf{t}) = [K_1; t_1]^! [K_2; t_2]^! \cdots [K_n; t_n]^!.$$

Then $\zeta_r(K(\mathbf{t})) = 0$ whenever $|\mathbf{t}| > r$.

Proof. Since $\zeta_r(K_i - v^d) = \sum_{j_i=0}^r (v^{j_i} - v^d) \mathfrak{d}_i(j_i)$, we have

$$\zeta_r([K_i; t_i]^!) = \sum_{j_i=0}^r (v^{j_i} - 1)(v^{j_i} - v) \cdots (v^{j_i} - v^{t_i-1}) \mathfrak{d}_i(j_i) = \sum_{j_i=t_i}^r \prod_{l=0}^{t_i-1} (v^{j_i} - v^l) \mathfrak{d}_i(j_i).$$

Thus, if $|\mathbf{t}| > r$, the fact that $j_1 + \cdots + j_n \geq t_1 + \cdots + t_n > r$ implies

$$\zeta_r(K(\mathbf{t})) = \sum_{j_1 \geq t_1, \dots, j_n \geq t_n} \left(\prod_{i=1}^n \prod_{l=0}^{t_i-1} (v^{j_i} - v^l) \right) \mathfrak{d}_1(j_1) \cdots \mathfrak{d}_n(j_n) = 0,$$

since $\mathfrak{d}_1(j_1) \mathfrak{d}_2(j_2) \cdots \mathfrak{d}_n(j_n) = 0$, whenever $j_1 + j_2 + \cdots + j_n > r$. □

The following has already been obtained in [14, 2.10] using [9, (3.4.a)].

Corollary 5.3. *For any $\mathbf{t} \in \mathbb{N}^n$, let $K_{\mathbf{t}} = \prod_{i=1}^n [K_i; 0]_{t_i}$. Then*

$$\zeta_r(K_{\mathbf{t}}) = \begin{cases} 0, & \text{if } |\mathbf{t}| > r, \\ [\text{diag}(t_1, \dots, t_n)], & \text{if } |\mathbf{t}| = r. \end{cases}$$

Proof. The case for $|\mathbf{t}| > r$ follows from 5.2. If $|\mathbf{t}| = r$, [9, (3.4.a)] implies that

$$\zeta_r \left[\begin{matrix} K_i; 0 \\ t_i \end{matrix} \right] = \sum_{j_i=0}^r \begin{bmatrix} j_i \\ t_i \end{bmatrix} \mathfrak{d}_i(j_i) = \sum_{j_i=t_i}^r \begin{bmatrix} j_i \\ t_i \end{bmatrix} \mathfrak{d}_i(j_i),$$

since $\begin{bmatrix} j \\ t \end{bmatrix} = 0$ for $0 \leq j < t$. Thus,

$$\zeta_r \left(\prod_{i=1}^n \begin{bmatrix} K_i; 0 \\ t_i \end{bmatrix} \right) = \sum_{j_1 \geq t_1, \dots, j_n \geq t_n} \prod_{i=1}^n \begin{bmatrix} j_i \\ t_i \end{bmatrix} \mathfrak{d}_1(j_1) \cdots \mathfrak{d}_n(j_n).$$

If $\mathfrak{d}_1(j_1) \cdots \mathfrak{d}_n(j_n) \neq 0$ here, then $j_i = t_i, \forall i$. Since $\mathfrak{d}_1(t_1) \mathfrak{d}_2(t_2) \cdots \mathfrak{d}_n(t_n) = [\text{diag}(t_1, \dots, t_n)]$, the result follows. □

Note that we actually have $\mathfrak{d}_1(j_1)\mathfrak{d}_2(j_2)\cdots\mathfrak{d}_{n-1}(j_{n-1}) = [\text{diag}(j_1, \dots, j_n)]$, where $j_n = r - (j_1 + j_2 + \cdots + j_{n-1})$. Thus, the proof above also shows that, for $\mathbf{t} \in \mathbb{N}^{n-1}$ with $|\mathbf{t}| \leq r$,

$$\begin{aligned} \zeta_r \left(\prod_{i=1}^{n-1} \begin{bmatrix} K_i; 0 \\ t_i \end{bmatrix} \right) &= \sum_{j_1 \geq t_1, \dots, j_{n-1} \geq t_{n-1}} \prod_{i=1}^{n-1} \begin{bmatrix} j_i \\ t_i \end{bmatrix} \mathfrak{d}_1(j_1) \cdots \mathfrak{d}_{n-1}(j_{n-1}) \\ &= \sum_{\substack{\lambda \in \Lambda(n,r) \\ t_j \leq \lambda_j}} \prod_{i=1}^{n-1} \begin{bmatrix} \lambda_i \\ t_i \end{bmatrix} \zeta_r(K_\lambda), \end{aligned}$$

yielding the \mathbf{U}_r version of 4.7.1.

Theorem 5.4. *The algebra homomorphism $\zeta_r : \mathbf{U} \rightarrow \mathbf{U}_r$ induces an isomorphism $\mathbf{S}_r \cong \mathbf{U}_r$. Moreover, the set \mathfrak{M} given in 4.14 forms a basis for \mathbf{S}_r .*

Proof. By 5.1 and 5.2, ζ_r induces a surjection $\bar{\zeta}_r : \mathbf{S}_r \rightarrow \mathbf{U}_r$. But 4.14 implies $\dim \mathbf{S}_r \leq \#\mathfrak{M} = \dim \mathbf{U}_r$; so $\bar{\zeta}_r$ is an isomorphism. \square

We call \mathfrak{M} a *monomial basis* for \mathbf{S}_r . Using the isomorphism $\mathbf{S}_r \cong \mathbf{U}_r$, we identify the generators \mathbf{e}_i , etc. with $E_{i,i+1}(\mathbf{0}, r)$, etc. By 5.3,

$$(5.4.1) \quad \mathbf{k}_\lambda = [\text{diag}(\lambda)] := [\text{diag}(\lambda_1, \dots, \lambda_n)], \quad \forall \lambda \in \Lambda(n, r).$$

Using [1, 5.4], we can identify the elements $(mE_{h,h+1})(\mathbf{0}, r)$ with $\mathbf{e}_h^{(m)}$, and the elements $(mE_{h+1,h})(\mathbf{0}, r)$ with $\mathbf{f}_h^{(m)}$.

Recall the Bruhat ordering on Ξ_r [1, 1.4]: Fix an algebraically closed field k , and let V be an r -dimensional vector space over k . As shown in [1, 1.1], the matrices $A \in \Xi_r$ correspond bijectively to $GL(V)$ -orbits \mathcal{O}_A of pairs of n -step filtrations of V . Then, given $A', A'' \in \Xi_r$, $A' < A''$ means $\mathcal{O}_{A'} \subsetneq \overline{\mathcal{O}_{A''}}$. Here $\overline{\mathcal{O}_{A''}}$ denotes the Zariski closure of $\mathcal{O}_{A''}$. This partial ordering is independent of the algebraically closed field k .

We have the following identification of the monomial basis elements.

Theorem 5.5. *For any $A \in \Xi_r$, $\mathfrak{m}^{(A)}$ is exactly the element defined in [1, 3.9(a)]. In particular, in \mathbf{U}_r we have*

$$(5.5.1) \quad \mathfrak{m}^{(A)} = [A] + \sum_{B \in \Xi_r, B < A} f_{B,A}[B] \quad (f_{B,A} \in \mathcal{Z}).$$

Proof. By 4.14 and 4.9,

$$\mathfrak{m}^{(A)} = \mathbf{e}^{(A^+)} \mathbf{k}_\lambda \mathbf{f}^{(A^-)} = \mathbf{k}_{\lambda'} \mathbf{e}^{(A^+)} \mathbf{f}^{(A^-)} = \mathbf{e}^{(A^+)} \mathbf{f}^{(A^-)} \mathbf{k}_{\lambda''},$$

with $\lambda' = \lambda - \text{co}(A^+) + \text{ro}(A^+)$ and $\lambda'' = \lambda + \text{co}(A^-) - \text{ro}(A^-)$. Since $\lambda = \lambda(A) = (\sigma_1(A), \dots, \sigma_n(A))$, $\lambda' = \text{ro}(A)$ and $\lambda'' = \text{co}(A)$. Recall that $\mathbf{e}^{(A^+)}$ (resp. $\mathbf{f}^{(A^-)}$) is a product of $\mathbf{e}_h^{(a_{i,j})}$ (resp. $\mathbf{f}_h^{(a_{i,j})}$) for all $1 \leq i \leq h < j \leq n$ (resp. $1 \leq j \leq h < i \leq n$), which are ordered as in [1, 3.9(a)] (cf. §3 and footnote 6 above). If $\nu \in \Lambda(n, r)$ and $D = \text{diag}(\nu)$ are such that $\mathbf{e}_h^{(a_{i,j})} \mathbf{k}_\nu \neq 0$ then, by (3.0.3),

$$\mathbf{e}_h^{(a_{i,j})} \mathbf{k}_\nu = (a_{i,j} E_{h,h+1})(\mathbf{0}, r)[D] = [a_{i,j} E_{h,h+1} + D']$$

for a unique $D' \in \Xi_r^0$ with $\text{co}(a_{i,j} E_{h,h+1} + D') = \nu$. A similar statement holds for any $\mathbf{f}_h^{(a_{i,j})} \mathbf{k}_\nu$. Thus, applying this and 4.9 (noting also that $\mathbf{k}_\nu^2 = \mathbf{k}_\nu$) repeatedly

from right to left beginning with $\mathbf{f}_{n-1}^{(a_{n,n-1})} \mathbf{k}_{\lambda''}$, where $\mathbf{f}_{n-1}^{(a_{n,n-1})}$ is the rightmost term of $\mathbf{f}^{(A^-)}$, we identify $\mathfrak{m}^{(A)}$ with a product

$$P = \prod_{1 \leq i \leq h < j \leq n} [D_{i,h,j} + a_{i,j} E_{h,h+1}] \times \prod_{1 \leq j \leq h < i \leq n} [D_{i,h,j} + a_{i,j} E_{h+1,h}].$$

Here the order of the factors follows that for $\mathbf{e}^{(A^+)}$ and $\mathbf{f}^{(A^-)}$, and the diagonal matrices $D_{i,h,j} \in \Xi^0$ are inductively and uniquely determined by the conditions $co(D_{n,n-1,n-1} + a_{n,n-1} E_{n,n-1}) = \lambda'' = co(A)$ (cf. 5.4.1) and $co(X) = ro(Y)$ if $[X], [Y]$ are two adjacent terms in P . However, P is identified in [1, 3.9(a)] as equaling an expression of the form given on the right-hand side of (5.5.1). \square

The following result will use the relation \preccurlyeq on Ξ defined above 3.2. Observe that \preccurlyeq does not involve diagonal entries: given $A, B \in \Xi$, put $A^\pm = A^+ + A^-$ and $B^\pm = B^+ + B^-$. Then $A \preccurlyeq B \iff A^\pm \preccurlyeq B^\pm$. In addition, [1, 3.6] states that for $A, B \in \Xi_r$, $A \leq B \implies A \preccurlyeq B$ and $A < B \implies A \prec B$. (For more results on these various poset structures, see [12, §5].)

Corollary 5.6. *Suppose $\mathfrak{m}^{(A,\lambda)} \neq 0$ for some $A \in \Xi^\pm$ and $\lambda \in \Lambda(n, r)$. If there exists $D \in \Xi^0$ such that $co(A + D) = \lambda + co(A^-) - ro(A^-)$, then $\mathfrak{m}^{(A,\lambda)} = \mathfrak{m}^{(A+D)}$. Otherwise,*

$$(5.6.1) \quad \mathfrak{m}^{(A,\lambda)} = \sum_{B \in \Xi_r, B \prec A} f_{B,A} \mathfrak{m}^{(B)} \quad (f_{B,A} \in \mathbb{Q}(v)).$$

Proof. If $co(A + D) = \lambda + co(A^-) - ro(A^-)$ for some $D \in \Xi^0$, then $\lambda = \lambda(A + D)$, and the first assertion follows from the definition. Now suppose that no such $D \in \Xi^0$ exists. By 4.14 and 4.9, $\mathfrak{m}^{(A,\lambda)} = \mathbf{e}^{(A^+)} \mathbf{f}^{(A^-)} \mathbf{k}_{\lambda''}$, with $\lambda'' = \lambda + co(A^-) - ro(A^-)$. By [1, 5.4(c)],

$$\mathbf{e}^{(A^+)} \mathbf{f}^{(A^-)} = A(\mathbf{0}, r) + \sum_{\substack{\mathbf{j} \in \mathbb{N}^n, B \in \Xi_{\leq r}^\pm \\ B \prec A}} f_{B,\mathbf{j},A} B(\mathbf{j}, r)$$

for $f_{B,\mathbf{j},A} \in \mathbb{Q}(v)$, where $\Xi_{\leq r}^\pm = \{A \in \Xi^\pm \mid \sigma(A) \leq r\}$. By (5.4.1), $[\text{diag}(\lambda'')] = \mathbf{k}_{\lambda''}$, and so $A(\mathbf{0}, r) \mathbf{k}_{\lambda''} = 0$, since there is no $D \in \Xi^0$ satisfying $co(A + D) = \lambda + co(A^-) - ro(A^-)$. Therefore, $\mathfrak{m}^{(A,\lambda)} = \sum f_{B,\mathbf{j},A} B(\mathbf{j}, r) \mathbf{k}_{\lambda''}$. But by (5.4.1) and (3.0.1) (see also (3.0.3)), $B(\mathbf{j}, r) \mathbf{k}_{\lambda''}$ is equal either to 0 or to $v^a [B + D]$ for some $a \in \mathbb{N}$ and some $D \in \Xi^0$. The corollary now follows from (5.5.1), using the fact, discussed above, that $A < B \implies A \prec B$. \square

Another monomial basis for \mathbf{S}_r results by replacing the divided powers $\mathbf{e}_i^{(a)}$ by the ordinary powers \mathbf{e}_i^a . Also, if the \mathbf{k}_λ in \mathfrak{M} are replaced by the \mathbf{k}_λ defined in 4.7(2), then we obtain a new monomial basis. Monomial bases can be obtained by applying the anti-automorphism τ defined in 4.2 to the known monomial bases. However, we next show that \mathfrak{M} is an integral basis.

6. INTEGRAL FORMS AND PBW BASES

Recall from §2 the various \mathcal{Z} -integral forms U^+, U^- and U^0 . These subalgebras are all free over \mathcal{Z} ; see 2.2 for a basis of U^0 . For U^+ and U^- , the so-called PBW bases are described as follows. Given a reduced expression $w_0 = s_{i_1} s_{i_2} \cdots s_{i_\nu}$ for

the longest word w_0 of \mathfrak{S}_n , let $\mathbf{i} = (i_1, i_2, \dots, i_\nu)$. For any $\mathbf{c} = (c_1, \dots, c_\nu) \in \mathbb{N}^\nu$, define

$$E_{\mathbf{i}}^{\mathbf{c}} = E_{i_1}^{(c_1)} \tilde{T}_{i_1} (E_{i_2}^{(c_2)}) \tilde{T}_{i_1} \tilde{T}_{i_2} (E_{i_3}^{(c_3)}) \cdots \tilde{T}_{i_1} \tilde{T}_{i_2} \cdots \tilde{T}_{i_{\nu-1}} (E_{i_\nu}^{(c_\nu)}),$$

where the \tilde{T}_i are the braid group actions on \mathbf{U} [18, 1.3]. Then $\{E_{\mathbf{i}}^{\mathbf{c}}\}_{\mathbf{c} \in \mathbb{N}^\nu}$ is a \mathcal{Z} -basis for U^+ . Let $F_{\mathbf{i}}^{\mathbf{c}} = \Omega(E_{\mathbf{i}}^{\mathbf{c}})$ (cf. [18, 1.3(d)] and (2.1.1)); so $\{F_{\mathbf{i}}^{\mathbf{c}}\}_{\mathbf{c} \in \mathbb{N}^\nu}$ is a \mathcal{Z} -basis for U^- .

In [19, 7.8], Lusztig established a relation between the monomial basis given in 3.3 and a PBW basis. To describe this, we choose the following reduced expression (see (3.2.1)):

$$w_0 = s_{i_1} s_{i_2} \cdots s_{i_\nu} = (s_{n-1} s_{n-2} \cdots s_1) (s_{n-1} s_{n-2} \cdots s_2) \cdots (s_{n-1} s_{n-2}) s_{n-1}.$$

So $\mathbf{i} = (n-1, \dots, 2, 1, \dots, n-1, n-2, n-1)$. Let $\alpha_1, \dots, \alpha_{n-1}$ be the standard list of simple roots of type A_{n-1} , and put $\beta_1 = \alpha_{n-1}$ and $\beta_k = s_{i_1} s_{i_2} \cdots s_{i_{k-1}}(\alpha_{i_k})$. Then, ordering from left to right down successive rows, we have the following listing of the positive roots:

$$\begin{aligned} \{\beta_1, \dots, \beta_\nu\} = & \{\alpha_{n-1}, \alpha_{n-1} + \alpha_{n-2}, \dots, \alpha_{n-1} + \cdots + \alpha_1, \\ & \alpha_{n-2}, \alpha_{n-2} + \alpha_{n-3}, \dots, \alpha_{n-2} + \cdots + \alpha_1, \\ & \dots \\ & \alpha_2, \alpha_2 + \alpha_1, \\ & \alpha_1\}. \end{aligned}$$

Write $\beta_k = \sum_{j=1}^{n-1} p_{jk} \alpha_j$, and define $\chi_{\mathbf{i}} : \mathbb{N}^\nu \rightarrow \mathbb{N}^{n-1}$ by

$$\mathbf{c} = (c_1, c_2, \dots, c_\nu) \mapsto \chi_{\mathbf{i}}(\mathbf{c}) = \mathbf{d} = (d_1, \dots, d_{n-1}), \quad \text{where } d_j = \sum_{k=1}^{\nu} p_{jk} c_k.$$

Clearly, $\chi_{\mathbf{i}}(\mathbf{c}' + \mathbf{c}'') = \chi_{\mathbf{i}}(\mathbf{c}') + \chi_{\mathbf{i}}(\mathbf{c}'')$. Order the fibre $\chi_{\mathbf{i}}^{-1}(\mathbf{d})$ of $\chi_{\mathbf{i}}$ over \mathbf{d} by setting

$$\mathbf{c}' <_{\dim} \mathbf{c}'' \iff \dim \mathcal{O}_{\mathbf{c}'} < \dim \mathcal{O}_{\mathbf{c}''},$$

where $\mathcal{O}_{\mathbf{c}}$ denotes the orbit of the quiver representation defined by \mathbf{c} (see [19, p. 463]).

Given $\mathbf{c} \in \mathbb{N}^\nu$, define, for $1 \leq k \leq \nu$, $\mathbf{c}_k \in \mathbb{N}^\nu$ such that the entry in the k -th position is c_k , and 0 otherwise. (Thus, $\mathbf{c} = \sum_{k=1}^{\nu} \mathbf{c}_k$.) Put $\mathbf{d}^k = \chi_{\mathbf{i}}(\mathbf{c}_k)$, and define

$$E((\mathbf{c})) = E(\mathbf{d}^1) E(\mathbf{d}^2) \cdots E(\mathbf{d}^\nu), \quad \text{where } E(\mathbf{d}^k) = E_1^{(d_1^k)} E_2^{(d_2^k)} \cdots E_{n-1}^{(d_{n-1}^k)}.$$

Define a bijection $\kappa : \mathbb{N}^\nu \rightarrow \Xi^+$ by sending \mathbf{c} to $A_{\mathbf{c}}^+ = (a_{i,j})$ so that the first $n-1$ components of \mathbf{c} become the n -th column reading upwards, and the next $n-2$ components become the $(n-1)$ -th column, and so on, i.e.,

$$c_1 = a_{n-1,n}, \dots, c_{n-1} = a_{1,n-1}, c_n = a_{n-2,n-1}, \dots,$$

and define $\kappa^- : \mathbb{N}^\nu \rightarrow \Xi^-$ similarly. Then we have $E^{(A_{\mathbf{c}}^+)} = E((\mathbf{c}))$. Define $F^{(A_{\mathbf{c}}^-)} = F((\mathbf{c})) := \Omega(E((\mathbf{c})))$.

The following result⁹ appears in [19, 7.8(b)].

⁹This version is the result of applying the graph automorphism $E_i \mapsto E_{n-i+1}$ to Lusztig's version.

Lemma 6.1. *Let $\mathbf{i} = (n - 1, \dots, 2, 1, \dots, n - 1, n - 2, n - 1)$ and let $\mathbf{c} \in \mathbb{N}^\nu$. For any $\mathbf{c}' \in \mathbb{N}^\nu$, there exists $h_{\mathbf{c}, \mathbf{c}'} \in \mathcal{Z}$ such that*

$$(6.1.1) \quad E((\mathbf{c})) = E_{\mathbf{i}}^{\mathbf{c}} + \sum_{\mathbf{c}' \in \chi_{\mathbf{i}}^{-1}(\mathbf{d}) : \mathbf{c}' <_{\dim \mathbf{c}} \mathbf{c}} h_{\mathbf{c}, \mathbf{c}'} E_{\mathbf{i}}^{\mathbf{c}'},$$

where $\mathbf{d} = \chi_{\mathbf{i}}(\mathbf{c})$. A similar result holds for $F((\mathbf{c}))$.

Remarks 6.2. (1) Using the language of quiver representations, $\mathbf{d} = \chi_{\mathbf{i}}(\mathbf{c})$ is the dimension vector of the quiver representation $V_{\mathbf{c}}$ corresponding to \mathbf{c} (see [19, 4.15]). Thus, $\mathbf{c}' \in \chi_{\mathbf{i}}^{-1}(\mathbf{d})$ simply means that $V_{\mathbf{c}'}$ and $V_{\mathbf{c}}$ have the same dimension vector.

(2) By [4, 7.3(10)] and the remark [4, 7.4], (6.1.1) may be written

$$E((\mathbf{c})) = E_{\mathbf{i}}^{\mathbf{c}} + \sum_{\mathbf{c}' \in \chi_{\mathbf{i}}^{-1}(\mathbf{d}) : \mathcal{O}_{\mathbf{c}'} \subsetneq \mathcal{O}_{\mathbf{c}}} h_{\mathbf{c}, \mathbf{c}'} E_{\mathbf{i}}^{\mathbf{c}'} = E_{\mathbf{i}}^{\mathbf{c}} + \sum_{\mathbf{c}' \in \chi_{\mathbf{i}}^{-1}(\mathbf{d}) : A_{\mathbf{c}'}^+ \prec A_{\mathbf{c}}^+} h_{\mathbf{c}, \mathbf{c}'} E_{\mathbf{i}}^{\mathbf{c}'},$$

where $\bar{\mathcal{O}}_{\mathbf{c}}$ is the Zariski closure of $\mathcal{O}_{\mathbf{c}}$. Here we have used the fact, given in [2, 3.2] (see [12, 5.4] for details), that $\mathcal{O}_{\mathbf{c}'} \subsetneq \mathcal{O}_{\mathbf{c}}$ implies $A_{\mathbf{c}'}^+ \prec A_{\mathbf{c}}^+$.

Corollary 6.3. *The set $\{E^{(A)}\}_{A \in \Xi^+}$ (resp., $\{F^{(B)}\}_{B \in \Xi^-}$) forms a basis for U^+ (resp., U^-).*

By [9], $U_r = \zeta_r(U)$ is an integral \mathcal{Z} -form of U_r , generated by $[D]$, $(mE_{h, h+1})(\mathbf{0}, r)$ and $(mE_{h+1, h})(\mathbf{0}, r)$ with $D \in \Xi_r^0, m \in \mathbb{N}$.

Theorem 6.4. *The \mathcal{Z} -subalgebra U_r is isomorphic to the subalgebra of \mathbf{S}_r generated over \mathcal{Z} by $\mathbf{e}_i^{(m)}, \mathbf{f}_i^{(m)}$ ($m \in \mathbb{Z}, 1 \leq i \leq n - 1$) and \mathbf{k}_λ ($\lambda \in \Lambda(n, r)$). Moreover, the set \mathfrak{M} defined in 4.14 forms a \mathcal{Z} -basis for U_r . (It is called the monomial basis for U_r .)*

Proof. Since $\{[A]\}_{A \in \Xi_r}$ forms a \mathcal{Z} -basis for U_r , it follows from 5.5 that \mathfrak{M} forms a \mathcal{Z} -basis of U_r . The first assertion follows as well. \square

Recall from 4.14 that, for any $A \in \Xi_r, \lambda(A) = (\sigma_1(A), \dots, \sigma_n(A))$. By 4.7(2) and its proof, we have another integral basis for U_r .

Corollary 6.5. *The set*

$$\mathfrak{M}' = \{\mathbf{e}^{(A^+)} \mathbf{k}'_{\lambda(A)} \mathbf{f}^{(A^-)} \mid A \in \Xi_r\}$$

forms a \mathcal{Z} -basis for U_r .

Let $\mathbf{e}_i^{\mathbf{c}} = \zeta_r(E_{\mathbf{i}}^{\mathbf{c}})$ and $\mathbf{f}_i^{\mathbf{c}} = \zeta_r(F_{\mathbf{i}}^{\mathbf{c}})$. For any $A \in \Xi_r$, let $\mathbf{c}(A^+) \in \mathbb{N}^\nu$ (resp., $\mathbf{c}(A^-) \in \mathbb{N}^\nu$) correspond to A^+ (resp., A^-) under the bijection κ (resp., κ^-) above. We now obtain the PBW-basis for U_r .

Theorem 6.6. *Let $\mathbf{i} = (n - 1, \dots, 2, 1, \dots, n - 1, n - 2, n - 1)$. Then the set*

$$\mathfrak{B}_{\mathbf{i}} = \{\mathbf{e}_i^{\mathbf{c}(A^+)} \mathbf{k}_{\lambda(A)} \mathbf{f}_i^{\mathbf{c}(A^-)} \mid A \in \Xi_r\}$$

forms a \mathcal{Z} -basis for U_r .

Proof. Using 6.1 and noting 4.11 and 6.3, we may write $\mathbf{e}_i^{\mathbf{c}(A^+)} = \mathbf{e}^{(A^+)} + \text{lower terms}$ and $\mathbf{f}_i^{\mathbf{c}(A^-)} = \mathbf{f}^{(A^-)} + \text{lower terms}$. Here the lower terms are relative to \preccurlyeq by 6.2(2). By 6.4, the coefficients $f_{B, A}$ in 5.6 must lie in \mathcal{Z} . So (5.6.1) gives

$$\mathbf{e}_i^{\mathbf{c}(A^+)} \mathbf{k}_{\lambda(A)} \mathbf{f}_i^{\mathbf{c}(A^-)} = \mathbf{m}^{(A)} + \text{lower terms (relative to } \preccurlyeq).$$

Now the assertion follows from 6.4. □

Corollary 6.7. *Maintain the notation used above. The set*

$$\mathfrak{B}'_i = \{ \mathbf{e}_i^{c(A^+)} \mathbf{k}'_{\lambda(A)} \mathbf{f}_i^{c(A^-)} \mid A \in \Xi_r \}$$

forms a \mathcal{Z} -basis for U_r .

7. THE TRANSFER MAPS $U_{n+r} \rightarrow U_r$

We define epimorphisms $U_{n+r} \rightarrow U_r$; these are the “transfer maps” in [21]. Let $\mathbf{k}_i, \mathbf{e}_i$ and \mathbf{f}_i denote the generators for \mathbf{U}_{n+r} . Denote the monomial basis for \mathbf{U}_{n+r} by $\{ \mathbf{m}^{(A)} \}_{A \in \Xi_{n+r}}$.

Proposition 7.1. *There is a unique algebra epimorphism*

$$\psi = \psi_{n+r,r} : \mathbf{U}_{n+r} \rightarrow \mathbf{U}_r$$

satisfying $\psi(\mathbf{k}_i) = v\mathbf{k}_i, \psi(\mathbf{e}_i) = \mathbf{e}_i$ and $\psi(\mathbf{f}_i) = \mathbf{f}_i$.

Proof. It follows directly that ψ preserves the relations for $\mathbf{k}_i, \mathbf{e}_i$ and \mathbf{f}_i . □

The maps $\psi_{n+r,r}$ agree with the maps $\phi_{n+r,r}$, described (for both finite and affine cases) in [20, 9.1]. The existence of $\phi_{n+r,r}$ is proved in [21, 1.10] (cf. [10, 5.4(a)] for a dual treatment in the GL_n case). Our next result shows that \mathfrak{M} shares a similar property with the canonical basis under the transfer maps. See the conjecture [20, 9.2] and a proof in [22].

Corollary 7.2. *The map ψ induces an epimorphism $\psi : U_{n+r} \rightarrow U_r$. More precisely,*

$$\psi(\mathbf{m}^{(A)}) = \begin{cases} \mathbf{m}^{(A-I_n)}, & \text{if } A - I_n \in \Xi_r, \\ 0, & \text{otherwise.} \end{cases}$$

Here I_n denotes the $n \times n$ identity matrix.

Proof. We first observe that, if $\lambda_i \geq 1$, then

$$\begin{bmatrix} v\mathbf{k}_i; 0 \\ \lambda_i \end{bmatrix} = \frac{v\mathbf{k}_i - v^{-1}\mathbf{k}_i^{-1}}{v^{\lambda_i} - v^{-\lambda_i}} \begin{bmatrix} \mathbf{k}_i; 0 \\ \lambda_i - 1 \end{bmatrix}.$$

Let $\mathbf{1} = (1, \dots, 1) \in \mathbb{N}^n$. For any $\lambda \in \Lambda(n, n+r)$, if $\lambda - \mathbf{1} \in \Lambda(n, r)$, then $\lambda_i \geq 1$ for all i and, by 4.5(2),

$$\psi(\mathbf{k}_\lambda) = \prod_{i=1}^n \frac{v\mathbf{k}_i - v^{-1}\mathbf{k}_i^{-1}}{v^{\lambda_i} - v^{-\lambda_i}} \mathbf{k}_{\lambda-\mathbf{1}} = \mathbf{k}_{\lambda-\mathbf{1}}.$$

If $\lambda - \mathbf{1} \notin \Lambda(n, r)$, then we have clearly $\psi(\mathbf{k}_\lambda) = \mathbf{k}_\mu$ with $|\mu| = n+r-x > r$, where x is the number of i with $\lambda_i = 0$. Therefore, $\psi(\mathbf{k}_\lambda) = 0$. The rest of the proof is clear. □

8. PRESENTATIONS FOR BOREL SUBALGEBRAS

For positive integers n and r , the symmetric group \mathfrak{S}_r acts on the set

$$\mathcal{I} = \mathcal{I}(n, r) := \{(i_1, \dots, i_r) \mid 1 \leq i_j \leq n\}$$

by place permutations, and then acts on $\mathcal{I} \times \mathcal{I}$ diagonally.

There is a bijection between Ξ_r and the set of all \mathfrak{S}_r -orbits in $\mathcal{I} \times \mathcal{I}$ defined as follows: If $A = (a_{i,j}) \in \Xi_r$ with $\lambda = ro(A)$, we let

$$\mathbf{i}_A = (\underbrace{1, \dots, 1}_{\lambda_1 \text{ times}}, \dots, \underbrace{n, \dots, n}_{\lambda_n \text{ times}}) \quad \text{and} \quad \mathbf{j}_A = (\mathbf{j}_1, \dots, \mathbf{j}_n),$$

where $\mathbf{j}_i = (\underbrace{1, \dots, 1}_{a_{i,1} \text{ times}}, \dots, \underbrace{n, \dots, n}_{a_{i,n} \text{ times}})$. The map sending A to the orbit containing $(\mathbf{i}_A, \mathbf{j}_A)$ is a bijection.

We order \mathcal{I} by setting $\mathbf{i} \leq \mathbf{j}$ if and only if $i_1 \leq j_1, \dots, i_r \leq j_r$. Clearly, $A \in \Xi_r$ with $A^- = 0 \iff \mathbf{i}_A \leq \mathbf{j}_A$. It is known (see, e.g., [13, 1.3.3, 5.6.1]) that the subspace $\mathbf{U}_r^{\geq 0}$ (resp., $\mathbf{U}_r^{\leq 0}$) spanned by all $[A]$ where $A \in \Xi_r$ with $A^- = 0$ (resp., $A^+ = 0$) is a subalgebra, called a Borel subalgebra. Clearly, we have the following dimension formula:

$$\dim \mathbf{U}_r^{\geq 0} = \dim \mathbf{U}_r^{\leq 0} = \binom{r + \binom{n+1}{2} - 1}{r} = \sum_{\lambda \in \Lambda(n,r)} \prod_{i=1}^n \binom{\lambda_i + i - 1}{i - 1}.$$

We now can state the following.

Theorem 8.1. *The subalgebra $\mathbf{U}_r^{\geq 0}$ is isomorphic to the algebra \mathbf{B} with generators $\mathbf{e}_i, \mathbf{k}_i$, ($1 \leq i, j \leq n - 1$), subject to the following relations:*

- (a) $\mathbf{k}_i \mathbf{k}_j = \mathbf{k}_j \mathbf{k}_i$;
- (b) $[\mathbf{k}_1; t_1]^1 [\mathbf{k}_2; t_2]^1 \cdots [\mathbf{k}_{n-1}; t_{n-1}]^1 = 0, \forall t_i \in \mathbb{N}, t_1 + \cdots + t_{n-1} = r + 1$;
- (c) $\mathbf{e}_r \mathbf{e}_j = \mathbf{e}_j \mathbf{e}_i \quad (|i - j| > 1)$;
- (d) $\mathbf{e}_i^2 \mathbf{e}_j - (v + v^{-1}) \mathbf{e}_i \mathbf{e}_j \mathbf{e}_i + \mathbf{e}_j \mathbf{e}_i^2 = 0$ when $|i - j| = 1$;
- (e) $\mathbf{k}_i \mathbf{e}_j = v^{\epsilon(i,j)} \mathbf{e}_j \mathbf{k}_i$, where $\epsilon(i, i) = 1, \epsilon(i + 1, i) = -1$ and $\epsilon(i, j) = 0$, otherwise.

A similar result holds for $\mathbf{U}_r^{\leq 0}$.

Proof. Identifying \mathbf{U}_r with \mathbf{S}_r , it is clear that $\mathbf{U}_r^{\geq 0}$ is generated by the $\mathbf{e}_i, 1 \leq i < n$, and the $\mathbf{k}_\lambda, \lambda \in \Lambda(n, r)$. Using 5.5, $\mathbf{U}_r^{\geq 0}$ has a basis consisting of terms $\mathbf{e}^{(A^+)} \mathbf{k}_\lambda$, with $\lambda \in \Lambda(n, r)$, and $\lambda_i \geq \sigma_i(A^+), \forall i$. Temporarily denote the generators of \mathbf{B} by $\mathbf{e}'_i, \mathbf{k}'_i$. Because 4.10(2) clearly holds for the algebra \mathbf{B} , it is obviously spanned by elements $\mathbf{e}'^{(A^+)} \mathbf{k}'_\lambda$ with A^+ , etc., satisfying the same conditions for the basis vectors $\mathbf{e}^{(A^+)} \mathbf{k}_\lambda$ of $\mathbf{U}_r^{\geq 0}$. Hence, the natural algebra surjection $\mathbf{B} \twoheadrightarrow \mathbf{U}_r^{\geq 0}$ is an isomorphism by dimension considerations. \square

Let $U_r^{\geq 0}$ (resp., $U_r^{\leq 0}$) be the \mathcal{Z} -subalgebra of \mathbf{U}_r generated by the $\mathbf{e}_i^{(m)}$ (resp., $\mathbf{f}_i^{(m)}, \mathbf{k}_\lambda$ with $m \in \mathbb{N}, \lambda \in \Lambda(n, r)$).

Corollary 8.2. *The set of all $\mathbf{e}^{(A)} \mathbf{k}_\lambda$ (resp., $\mathbf{k}_\lambda \mathbf{f}^{(A)}$), where $A \in \Xi^+$ (resp., $A \in \Xi^-$), $\lambda \in \Lambda(n, r)$ satisfying $\lambda_i \geq \sigma_i(A), \forall i$, forms a \mathcal{Z} -basis for $U_r^{\geq 0}$ (resp., $U_r^{\leq 0}$).*

We have a further decomposition for $U_r, U_r^{\geq 0}$ and $U_r^{\leq 0}$. Let U_r^+ (resp., U_r^-, U_r^0) be the \mathcal{Z} -subalgebras of U_r generated by the $\mathbf{e}_i^{(m)}$ (resp., $\mathbf{f}_i^{(m)}, \mathbf{k}_\lambda$). Note that

$U_r^0 \otimes_{\mathcal{Z}} \mathbb{Q}(v) = \mathbf{U}_r^0 = \mathbf{S}_r^0$. A PBW basis version of the following is obtained in [14, 2.5-6].

Theorem 8.3. *The algebra U_r^+ (resp., U_r^-) is \mathcal{Z} -free with basis*

$$\{\mathbf{e}^{(A)} \mid A \in \Xi^+, \sigma(A) \leq r\} \quad (\text{resp., } \{\mathbf{f}^{(A)} \mid A \in \Xi^-, \sigma(A) \leq r\}).$$

Hence, $\dim \mathbf{S}_r^+ = \dim \mathbf{S}_r^- = \binom{N+r}{r}$, where $N = \frac{n(n-1)}{2}$. Moreover, we have triangular decompositions:

$$U_r = U_r^+ U_r^0 U_r^-, \quad U_r^{\geq 0} = U_r^+ U_r^0, \quad \text{and } U_r^{\leq 0} = U_r^0 U_r^-.$$

Proof. By 4.11, the two sets span U_r^+ and U_r^- , respectively. The linear independence is seen easily from 8.2 by writing $\mathbf{e}^{(A)} = \sum_{\lambda: \lambda_i \geq \sigma_i(A)} \mathbf{e}^{(A)} \mathbf{k}_\lambda$. □

Note that, by 4.12, the multiplication map from $U_r^+ \otimes U_r^0 \otimes U_r^-$ to U_r is no longer injective. Thus, there is no tensor product triangular decomposition in this case.

9. IDENTIFYING THE MONOMIAL BASIS IN H

In this section, assume that $n = r$ for simplicity; all results below are still valid for $r \leq n$. Let

$$\omega = (1, 1, \dots, 1) \in \Lambda(n, n),$$

and let $\mathbf{H} = \mathbf{k}_\omega \mathbf{U}_n \mathbf{k}_\omega$ and $H = \mathbf{k}_\omega U_n \mathbf{k}_\omega$. By (5.4.1), $\mathbf{k}_\omega = [I_n]$, where I_n is the $n \times n$ identity matrix. It is well known (see, e.g., [6]) that H is isomorphic to the Hecke algebra defined in (1.0.1). However, in this section, we will not assume this identification, but rederive it from the monomial basis theory for q -Schur algebras. At a deeper and more interesting level, 9.4 explicitly identifies a basis $\mathfrak{M}_\omega \subset \mathfrak{M}$ of $H \subset U_n$ as a monomial basis involving certain monomials in the Kazhdan-Lusztig elements C'_s ; see 9.6(2).

For $w \in \mathfrak{S}_n$, the permutation matrix $A_w \in \Xi_n$ is defined inductively by setting $A_w = A_y A_s$, where $w = ys$ with $y < w$ and $s = (i, i + 1)$ for some i . Writing $\mathbf{m}^{(A_w)} = \mathbf{e}^{(A_w^+)} \mathbf{k}_\lambda \mathbf{f}^{(A_w^-)}$ as in 4.14, 4.9 implies that $\mathbf{e}^{(A_w^+)} \mathbf{k}_\lambda = \mathbf{k}_\omega \mathbf{e}^{(A_w^+)}$ and $\mathbf{k}_\lambda \mathbf{f}^{(A_w^-)} = \mathbf{f}^{(A_w^-)} \mathbf{k}_\omega$; so $\mathbf{m}^{(A_w)} \in \mathbf{H}$.

Proposition 9.1. *The algebra H is free over \mathcal{Z} with basis $\mathfrak{M}_\omega = \{\mathbf{m}^{(A_w)} \mid w \in \mathfrak{S}_n\}$.*

Proof. For $A \in \Xi_n$, if $\mathbf{k}_\omega[A] \mathbf{k}_\omega \neq 0$, then $ro(A) = co(A) = \omega$. So A is necessarily a permutation matrix. Thus, $\mathfrak{M}_\omega \subseteq \mathbf{k}_\omega \mathfrak{M} \mathbf{k}_\omega$. By 5.5, if A is not a permutation matrix and $\mathbf{k}_\omega \mathbf{m}^{(A)} \mathbf{k}_\omega \neq 0$, then $\mathbf{k}_\omega \mathbf{m}^{(A)} \mathbf{k}_\omega$ is a linear combination of the elements of \mathfrak{M}_ω . Therefore, \mathfrak{M}_ω forms a basis for H . □

We record the following simple commutation relations.

Lemma 9.2. *Let \mathbf{m} be a monomial in the \mathbf{f}_i . For any $1 \leq i \leq n - 2$, let $\partial_i(\mathbf{m}) = 2 \deg_i(\mathbf{m}) - \deg_{i-1}(\mathbf{m}) - \deg_{i+1}(\mathbf{m})$, where \deg_j denotes the degree of \mathbf{f}_j in \mathbf{m} . Then we have the following.*

- (1) *If $\partial_i(\mathbf{m}) = 1$, then $\begin{bmatrix} \bar{k}_i; 0 \\ 1 \end{bmatrix} \mathbf{m} \mathbf{k}_\omega = -\mathbf{m} \mathbf{k}_\omega$.*
- (2) *If $\mathbf{m} = \mathbf{m}_1 \mathbf{f}_i \mathbf{m}_2$ and $\partial_i(\mathbf{m}_2) = 0$, then $\mathbf{m}_1 \mathbf{f}_i \mathbf{e}_i \mathbf{m}_2 \mathbf{k}_\omega = \mathbf{m}_1 \mathbf{e}_i \mathbf{f}_i \mathbf{m}_2 \mathbf{k}_\omega$.*

Proof. Since $\begin{bmatrix} \bar{k}_i; 0 \\ 1 \end{bmatrix} \mathbf{f}_i = \mathbf{f}_i \begin{bmatrix} \bar{k}_i; -2 \\ 1 \end{bmatrix}$ and $\begin{bmatrix} \bar{k}_i; 0 \\ 1 \end{bmatrix} \mathbf{f}_j = \mathbf{f}_j \begin{bmatrix} \bar{k}_i; 1 \\ 1 \end{bmatrix}$ for $j = i - 1, i + 1$, it follows that $\begin{bmatrix} \bar{k}_i; 0 \\ 1 \end{bmatrix} \mathbf{m} = \mathbf{m} \begin{bmatrix} \bar{k}_i; -\partial_i(\mathbf{m}) \\ 1 \end{bmatrix}$. Since $\begin{bmatrix} \bar{k}_i; c \\ 1 \end{bmatrix} \mathbf{k}_\omega = c \mathbf{k}_\omega$ for $c = 0, -1$ and $\mathbf{f}_i \mathbf{e}_i = \mathbf{e}_i \mathbf{f}_i - \begin{bmatrix} \bar{k}_i; 0 \\ 1 \end{bmatrix}$, the two assertions follow immediately. □

Let $s_i = (i, i + 1)$ and put

$$C_i = m^{(A_{s_i})} = e^{(A_{s_i}^+)} k_{\lambda(s_i)} f^{(A_{s_i}^-)} = e_i k_{\lambda(s_i)} f_i = k_\omega e_i f_i k_\omega = k_\omega f_i e_i k_\omega,$$

where

$$\lambda(s_i) = \lambda(A_{s_i}) = (\sigma_1(A_{s_i}), \dots, \sigma_n(A_{s_i})) = (1, \dots, 1, \underset{(i)}{0}, 2, 1 \dots, 1)$$

as defined in 4.14. For any i we have $k_\omega e_i = e_i k_{\lambda(s_i)}$ by 4.8, since $\lambda(s_i) = \omega + \alpha_i$.

Theorem 9.3. *The elements $T_i := C_i - v^{-1}$, $1 \leq i \leq n - 1$, satisfy the following relations:*

- (a) $(T_i - v)(T_i + v^{-1}) = 0$;
- (b) $T_i T_j = T_j T_i$ when $|i - j| > 1$;
- (c) $T_i T_{i+1} T_i = T_{i+1} T_i T_{i+1}$ when $1 \leq i \leq n - 2$.

In particular, T_i is invertible and $T_i^{-1} = C_i - v$.

Proof. If T_i^{-1} has the required form, then (a) follows. So, to prove (a), we must show that

$$(C_i - v^{-1})(C_i - v) = 1.$$

This is clear, since

$$\begin{aligned} C_i^2 &= (e_i k_{\lambda(s_i)} f_i)(e_i k_{\lambda(s_i)} f_i) = e_i k_{\lambda(s_i)} (e_i f_i - \frac{\tilde{k}_i - \tilde{k}_i^{-1}}{v - v^{-1}}) k_{\lambda(s_i)} f_i \\ &= (v + v^{-1}) e_i k_{\lambda(s_i)} f_i = (v + v^{-1}) C_i. \end{aligned}$$

Here we use 4.5(2) and the fact that, since the i -th component of $\lambda(s_i)$ is 0, 4.12(1) implies $k_{\lambda(s_i)} e_i = 0$. The relation (b) follows easily from the relation $C_i C_j = C_j C_i$ whenever $|i - j| > 1$. We now prove (c). Since

$$T_i T_{i+1} T_i = C_i C_{i+1} C_i - C_i - v^{-1}(C_i C_{i+1} + C_{i+1} C_i) + v^{-2}(C_i + C_{i+1}) + v^{-3},$$

and a similar formula holds with i and $i + 1$ switched, it suffices to prove that

$$(9.3.1) \quad C_i C_{i+1} C_i - C_i = C_{i+1} C_i C_{i+1} - C_{i+1}.$$

For notational simplicity, put $C = C_i C_{i+1} C_i$ and $C' = C_{i+1} C_i C_{i+1}$. Then

$$C = k_\omega (e_i f_i e_{i+1} f_{i+1} e_i f_i) k_\omega, \quad C' = k_\omega (e_{i+1} f_{i+1} e_i f_i e_{i+1} f_{i+1}) k_\omega.$$

Now, by 4.1,

$$\begin{aligned} e_i f_i e_{i+1} f_{i+1} e_i f_i &= e_i e_{i+1} (f_i e_i) f_{i+1} f_i = e_i e_{i+1} (e_i f_i - \begin{bmatrix} \tilde{k}_i; 0 \\ 1 \end{bmatrix}) f_{i+1} f_i \\ &= e_i e_{i+1} e_i f_i f_{i+1} f_i - \begin{bmatrix} \tilde{k}_i; -1 \\ 1 \end{bmatrix} e_i e_{i+1} f_{i+1} f_i. \end{aligned}$$

By the quantum Serre relations,

$$\begin{aligned} e_i e_{i+1} e_i f_i f_{i+1} f_i &= (e_i^{(2)} e_{i+1} + e_{i+1} e_i^{(2)}) (f_i^{(2)} f_{i+1} + f_{i+1} f_i^{(2)}) \\ &= e_i^{(2)} e_{i+1} (f_i^{(2)} f_{i+1} + f_{i+1} f_i^{(2)}) + e_{i+1} e_i^{(2)} (f_i^{(2)} f_{i+1} + f_{i+1} f_i^{(2)}). \end{aligned}$$

Since $k_\omega e_i^{(2)} = 0 = k_\omega e_{i+1} e_i^{(2)}$ by 4.12(1), multiplying by k_ω gives

$$C = -k_\omega \begin{bmatrix} \tilde{k}_i; -1 \\ 1 \end{bmatrix} e_i e_{i+1} f_{i+1} f_i k_\omega = k_\omega e_i e_{i+1} f_{i+1} f_i k_\omega.$$

The calculation of C' can be done similarly by switching the subscripts i and $i + 1$; so

$$\begin{aligned} \mathbf{e}_{i+1}\mathbf{e}_i\mathbf{e}_{i+1}\mathbf{f}_{i+1}\mathbf{f}_i\mathbf{f}_{i+1} &= (\mathbf{e}_{i+1}^{(2)}\mathbf{e}_i + \mathbf{e}_i\mathbf{e}_{i+1}^{(2)})(\mathbf{f}_{i+1}^{(2)}\mathbf{f}_i + \mathbf{f}_i\mathbf{f}_{i+1}^{(2)}) \\ &= \mathbf{e}_{i+1}^{(2)}\mathbf{e}_i(\mathbf{f}_{i+1}^{(2)}\mathbf{f}_i + \mathbf{f}_i\mathbf{f}_{i+1}^{(2)}) + \mathbf{e}_i\mathbf{e}_{i+1}^{(2)}\mathbf{f}_i\mathbf{f}_{i+1}^{(2)} + \mathbf{e}_i\mathbf{e}_{i+1}^{(2)}\mathbf{f}_{i+1}^{(2)}\mathbf{f}_i. \end{aligned}$$

However, in this case, only the first two summands vanish after multiplying by \mathbf{k}_ω . Thus,

$$C' = \mathbf{k}_\omega(\mathbf{e}_i\mathbf{e}_{i+1}^{(2)}\mathbf{f}_{i+1}^{(2)}\mathbf{f}_i - \begin{bmatrix} \tilde{\mathbf{k}}_i; -1 \\ 1 \end{bmatrix} \mathbf{e}_{i+1}\mathbf{e}_i\mathbf{f}_i\mathbf{f}_{i+1})\mathbf{k}_\omega.$$

Now, by 2.3(7) and the last relation in 4.1, we have

$$\begin{aligned} \mathbf{e}_i(\mathbf{e}_{i+1}^{(2)}\mathbf{f}_{i+1}^{(2)})\mathbf{f}_i &= \mathbf{e}_i(\mathbf{f}_{i+1}^{(2)}\mathbf{e}_{i+1}^{(2)} + \mathbf{f}_{i+1} \begin{bmatrix} \tilde{\mathbf{k}}_{i+1}; -2 \\ 1 \end{bmatrix} \mathbf{e}_{i+1} + \begin{bmatrix} \tilde{\mathbf{k}}_{i+1}; 0 \\ 2 \end{bmatrix})\mathbf{f}_i \\ &= \mathbf{e}_i\mathbf{f}_{i+1}^{(2)}\mathbf{e}_{i+1}^{(2)}\mathbf{f}_i + \begin{bmatrix} \tilde{\mathbf{k}}_{i+1}; 1 \\ 2 \end{bmatrix} \mathbf{e}_i\mathbf{f}_i + \begin{bmatrix} \tilde{\mathbf{k}}_{i+1}; 1 \\ 1 \end{bmatrix} \mathbf{e}_i(\mathbf{e}_{i+1}\mathbf{f}_{i+1} - \begin{bmatrix} \tilde{\mathbf{k}}_{i+1}; 0 \\ 1 \end{bmatrix})\mathbf{f}_i. \end{aligned}$$

The first two terms vanish after multiplying by \mathbf{k}_ω . The last two terms equal

$$\begin{bmatrix} \tilde{\mathbf{k}}_{i+1}; 1 \\ 1 \end{bmatrix} \mathbf{e}_i\mathbf{e}_{i+1}\mathbf{f}_{i+1}\mathbf{f}_i - \begin{bmatrix} \tilde{\mathbf{k}}_{i+1}; 1 \\ 1 \end{bmatrix}^2 \mathbf{e}_i\mathbf{f}_i.$$

On the other hand, we have

$$\mathbf{e}_{i+1}\mathbf{e}_i\mathbf{f}_i\mathbf{f}_{i+1} = \mathbf{e}_{i+1}(\mathbf{f}_i\mathbf{e}_i + \begin{bmatrix} \tilde{\mathbf{k}}_i; 0 \\ 1 \end{bmatrix})\mathbf{f}_{i+1} = \mathbf{e}_{i+1}\mathbf{f}_i\mathbf{e}_i\mathbf{f}_{i+1} + \begin{bmatrix} \tilde{\mathbf{k}}_i; 1 \\ 1 \end{bmatrix} \mathbf{e}_{i+1}\mathbf{f}_{i+1}.$$

Since $\mathbf{k}_\omega\mathbf{e}_{i+1}\mathbf{f}_i\mathbf{e}_i\mathbf{f}_{i+1}\mathbf{k}_\omega = 0$, we obtain after combining everything that

$$C' = \mathbf{k}_\omega\mathbf{e}_i\mathbf{e}_{i+1}\mathbf{f}_{i+1}\mathbf{f}_i\mathbf{k}_\omega - \mathbf{C}_i + \mathbf{C}_{i+1} = C - \mathbf{C}_i + \mathbf{C}_{i+1}.$$

This proves (9.3.1), and hence (c). □

Interestingly, the commuting relations 4.1(g) and the quantum Serre relations 4.1(d),(e) give rise to the braid relation 9.3(c). We also record the following relations on the elements \mathbf{C}_i (cf. [8, (H1-3)] and [24, §2]):

(9.3.2)

$$\begin{cases} (1) & \mathbf{C}_i^2 = (v + v^{-1})\mathbf{C}_i; \\ (2) & \mathbf{C}_i\mathbf{C}_j = \mathbf{C}_j\mathbf{C}_i \text{ for } |i - j| > 1; \\ (3) & \mathbf{C}_i\mathbf{C}_{i+1}\mathbf{C}_i - \mathbf{C}_i = \mathbf{C}_{i+1}\mathbf{C}_i\mathbf{C}_{i+1} - \mathbf{C}_{i+1} \text{ for } 1 \leq i \leq n - 2. \end{cases}$$

For a permutation $y : i \mapsto y_i$ in \mathfrak{S}_n , let

$$I = I_y = \{i \mid i < y_i\}, \quad J = J_y = \{j \mid j > y_j\}.$$

Since $\sum_{i=1}^n (i - y_i) = 0$, we have immediately

$$\deg(A_y^+) = \sum_{i \in I} (y_i - i) = \sum_{j \in J} (j - y_j) = \deg(A_y^-).$$

We fix an order on $I = \{i_1, \dots, i_s\}$ ($s = \#I$) such that $y_{i_1} > \dots > y_{i_s}$ and an order on $J = \{j_1, \dots, j_t\}$ ($t = \#J$) such that $j_1 < \dots < j_t$. For any $i \in I$ and $j \in J$ we put

$$\mathbf{e}_{[i, y_i]} = \mathbf{e}_i\mathbf{e}_{i+1} \cdots \mathbf{e}_{y_i-1}, \quad \mathbf{f}_{(j, y_j)} = \mathbf{f}_{j-1}\mathbf{f}_{j-2} \cdots \mathbf{f}_{y_j}.$$

Here for $a < b$ and $c > d$, we use the notation

$$[a, b] := \{a, a + 1, \dots, b - 1\}, \quad (c, d] := \{c - 1, c - 2, \dots, d\}.$$

Then

$$\mathbf{e}^{(A_y^+)} = \mathbf{e}_{[i_1, y_{i_1}]} \cdots \mathbf{e}_{[i_s, y_{i_s}]}, \quad \mathbf{f}^{(A_y^-)} = \mathbf{f}_{(j_1, y_{j_1}]} \cdots \mathbf{f}_{(j_t, y_{j_t}]}$$

Theorem 9.4. *For any $w \in \mathfrak{S}_n$, there is a reduced expression $w = s_{i_1} \cdots s_{i_l}$ satisfying*

$$\mathbf{m}^{(A_w)} = \mathbf{C}_{i_1} \cdots \mathbf{C}_{i_l}.$$

Proof. For $i = 1, \dots, n$, identify \mathfrak{S}_i with the subgroup of \mathfrak{S}_n generated by s_1, \dots, s_{i-1} . We induct on the smallest integer m such that $w \in \mathfrak{S}_m$. If $m = 1$, then $w = 1$; so $\mathbf{m}^{(A_w)} = \mathbf{k}_\omega$, the identity element in H , and the result is clear. Thus, assume, for some $m > 1$, that $\mathbf{m}^{(A_z)} = \mathbf{C}_{i_1} \cdots \mathbf{C}_{i_l}$ whenever $z \in \mathfrak{S}_{m-1}$. For notational simplicity, we take $m = n$. It suffices to prove that if $z = ws_{n-1} \cdots s_i$ for some $i, i \leq n$ and some $w \in \mathfrak{S}_{n-1}$, then $\mathbf{m}^{(A_z)} = \mathbf{m}^{(A_w)}\mathbf{C}_{n-1} \cdots \mathbf{C}_i$.¹⁰ In the case $i = n$, this means just that $z = w \in \mathfrak{S}_{n-1}$, and the result is true by the inductive hypothesis. So we proceed by downward induction on i .

Write $A_n = A_w$, and for $1 \leq i \leq n - 1$, set

$$A_i = A_{i+1}A_{s_i} = A_nA_{s_{n-1}} \cdots A_{s_i}.$$

Since A_i is obtained from A_{i+1} by switching the i th and $(i + 1)$ th columns of A_i , the permutation matrix A_i has a 1 in the (n, i) -position. Also, the entries in the first $i - 1$ columns of A_i and A_w agree identically. In addition, the i th, \dots , $(n - 1)$ th-columns of A_w identify with the $(i + 1)$ th, \dots , n th columns of A_i . Simply put, the matrix A_i is obtained from the matrix A_w by cyclically permuting to the right the last $n - i + 1$ columns of A_w .

Put $y = ws_{n-1} \cdots s_{i+1}$, so that $A_{i+1} = A_y$. Write $B = (b_{i,j}) = A_y$ for simplicity. We can assume that $\mathbf{m}^{(A_y)} = \mathbf{m}^{(A_w)}\mathbf{C}_{n-1} \cdots \mathbf{C}_{i+1}$. By the notation introduced before the statement of the theorem, $j_t = n$ ($t = \#J$) and $y_{j_t} = i + 1$. We must consider two cases:

Case 1. Suppose $i \neq y_a$ for all $a \in J$. Thus, the 1 appearing in column i of B does not appear in B^- . It is either on the diagonal or above the diagonal. That is, there exists $k \leq i$ such that $b_{k,i} = 1$.

Case 1a. If $i \notin (j_a, y_{j_a}]$ for all $a = 1, \dots, t$, then \mathbf{e}_i commutes with every factor $\mathbf{f}_{(j,y_j]}$ appearing in $\mathbf{f}^{(B^-)}$, and hence

$$(9.4.1) \quad \mathbf{m}^{(B)}\mathbf{C}_i = \mathbf{k}_\omega \mathbf{e}^{(B^+)} \mathbf{f}^{(B^-)} \mathbf{e}_i \mathbf{f}_i \mathbf{k}_\omega = \mathbf{k}_\omega \mathbf{e}^{(B^+)} \mathbf{e}_i \mathbf{f}^{(B^-)} \mathbf{f}_i \mathbf{k}_\omega.$$

Since the 1 in the i -th column of B is not in B^- ,

$$(9.4.2) \quad \mathbf{f}^{(B^-)} \mathbf{f}_i = \mathbf{f}^{(A_i^-)}.$$

If $k = i$, then $(A_i)_{i,i+1} = 1$ ($= (A_i)_{n,i}$) and

$$\mathbf{e}^{(B^+)} \mathbf{e}_i = \mathbf{e}_{[i_1, y_{i_1}]} \cdots \mathbf{e}_{[i_a, y_{i_a}]} \mathbf{e}_i \mathbf{e}_{[i_{a+1}, y_{i_{a+1}}]} \cdots \mathbf{e}_{[i_s, y_{i_s}]},$$

where $y_{i_{a+1}} < i \leq y_{i_a}$: if $i = y_{i_a}$, then the i -th column of B would have two 1's, which is impossible. Thus, $i < y_{i_a}$ and $\mathbf{e}^{(B^+)} \mathbf{e}_i = \mathbf{e}^{(A_i^+)}$. Now 9.4.2 gives $\mathbf{m}^{(B)}\mathbf{C}_i = \mathbf{m}^{(A_i)}$.

¹⁰Here we use the fact that if $w = t_1 \cdots t_u$ is a reduced expression for w , then $z = t_1 \cdots t_u s_{n-1} \cdots s_i$ is a reduced expression for z .

If $k < i$, then $i = y_a$ for some $a \in I$ and

$$\mathbf{e}^{(B^+)} = \mathbf{e}_{[i_1, y_{i_1}]} \cdots \mathbf{e}_{[a, i]} \cdots \mathbf{e}_{[i_s, y_{i_s}]}.$$

Since \mathbf{e}_i commutes with all the factors on the right-hand side of $\mathbf{e}_{[a, i]}$ and $\mathbf{e}_{[a, i]}\mathbf{e}_i = \mathbf{e}_{[a, i+1]}$, it follows that $\mathbf{e}^{(B^+)}\mathbf{e}_i = \mathbf{e}^{(A_i^+)}$, and hence, combining 9.4.2, $\mathbf{m}^{(B)}\mathbf{C}_i = \mathbf{m}^{(A_i)}$.

Case 1b. If $i \in (j_a, y_{j_a}]$ for some $a \in J$ (perhaps more than one), then $j_a > i > y_{j_a}$. If b is the largest among those a 's, the monomial $\mathbf{m} = \prod_{b < a < t} \mathbf{f}_{(j_a, y_{j_a})}$ ($t = \#J$) does not involve \mathbf{f}_i . Hence, \mathbf{m} does not involve \mathbf{f}_{i-1} and \mathbf{f}_{i+1} : if \mathbf{f}_{i-1} is involved, then $j_a - 1 = i - 1$ and so $j_a = i$ for some $a > b$, but $j_b > i$, which is absurd since $j_b < j_a$; if \mathbf{f}_{i+1} is involved, then $i + 1 = j_{y_a}$ for some $b < a < t$ and so column $i + 1$ of B has two 1's. Thus, by 4.1,

$$\begin{aligned} \prod_{b \leq a \leq t} \mathbf{f}_{(j_a, y_{j_a})} \mathbf{e}_i \mathbf{f}_i &= \mathbf{f}_{(j_b, y_{j_b})} \mathbf{e}_i \prod_{b < a \leq t} \mathbf{f}_{(j_a, y_{j_a})} \mathbf{f}_i \\ &= \mathbf{f}_{j_b-1} \cdots (\mathbf{f}_i \mathbf{e}_i) \mathbf{f}_{i-1} \cdots \prod_{b < a \leq t} \mathbf{f}_{(j_a, y_{j_a})} \mathbf{f}_i. \end{aligned}$$

Since $j_t = n$ and $y_{j_t} = i + 1$, it follows that $\partial_i(\mathbf{f}_{i-1} \cdots \prod_{b < a \leq t} \mathbf{f}_{(j_a, y_{j_a})} \mathbf{f}_i) = 0$. By 9.2(2), $\prod_{a \geq b} \mathbf{f}_{(j_a, y_{j_a})} \mathbf{e}_i \mathbf{f}_i \mathbf{k}_\omega = \mathbf{e}_i \prod_{a \geq b} \mathbf{f}_{(j_a, y_{j_a})} \mathbf{f}_i \mathbf{k}_\omega$. A similar argument, using induction and repeatedly applying 9.2, implies that \mathbf{e}_i “commutes” with every $\mathbf{f}_{(j_a, y_{j_a})}$ with $i \in (j_a, y_{j_a}]$ and $i < j_a - 1$. Finally, if $i = j_a - 1$ occurs, then for any $1 \leq b \leq a - 1$ we have $i \notin (j_b, y_{j_b}]$. So, after switching \mathbf{e}_i with $\mathbf{f}_{(j_a, y_{j_a})}$, which is possible using 9.2(2) again, we may commute \mathbf{e}_i with the rest of the product. This proves 9.4.1 in this case. The rest of the argument is entirely similar to the previous case. Therefore, we eventually obtain $\mathbf{m}^{(B)}\mathbf{C}_i = \mathbf{m}^{(A_i)}$.

Case 2. Suppose $i = y_j$ for some $j \in J$. Then the 1 in column i of B appears in B^- . So there exists $k \in J$, $k > i$, with $b_{k, i} = 1$. This implies that $B^+ = A_i^+$. Let $k = j_a$. For any $a < b \leq t$, if $i \in (j_b, y_{j_b}]$, then $i \neq y_{j_b}$. We claim that $i < j_b - 1$. Suppose the contrary: $i = j_b - 1$. Then $j_b = i + 1$ and so $k = j_a < j_b = i + 1$, which is absurd since $k > i$. Thus, from the argument in Case 1b, we see that

$$\left(\prod_{a < b \leq t} \mathbf{f}_{(j_b, y_{j_b})} \right) \mathbf{e}_i \mathbf{f}_i \mathbf{k}_\omega = \mathbf{e}_i \left(\prod_{a < b \leq t} \mathbf{f}_{(j_b, y_{j_b})} \right) \mathbf{f}_i \mathbf{k}_\omega.$$

Therefore, we have

$$\begin{aligned} \mathbf{f}^{(B^-)} \mathbf{e}_i \mathbf{f}_i \mathbf{k}_\omega &= \mathbf{f}_{(j_1, y_{j_1})} \cdots \mathbf{f}_{(j_a, i]} \mathbf{e}_i \cdots \mathbf{f}_{(n, i+1]} \mathbf{f}_i \mathbf{k}_\omega \\ &= \mathbf{f}_{(j_1, y_{j_1})} \cdots \mathbf{f}_{(j_a, i+1]} \left(\mathbf{e}_i \mathbf{f}_i - \begin{bmatrix} \tilde{\mathbf{k}}_i; 0 \\ 1 \end{bmatrix} \right) \cdots \mathbf{f}_{(n, i+1]} \mathbf{f}_i \mathbf{k}_\omega \\ &= \mathbf{e}_i \mathbf{f}^{(B^-)} \mathbf{f}_i \mathbf{k}_\omega - \mathbf{f}_{(j_1, y_{j_1})} \cdots \mathbf{f}_{(j_a, i+1]} \begin{bmatrix} \tilde{\mathbf{k}}_i; 0 \\ 1 \end{bmatrix} \cdots \mathbf{f}_{(n, i+1]} \mathbf{f}_i \mathbf{k}_\omega. \end{aligned}$$

Here the first term requires a commutation between $\mathbf{f}_{(j_1, y_{j_1})} \cdots \mathbf{f}_{(j_a, i+1]}$ and \mathbf{e}_i , which can be argued as above by 9.2.

We claim that $\mathbf{f}^{(B^-)} \mathbf{f}_i \mathbf{k}_\omega = 0$. Indeed, since $\mathbf{f}_i \mathbf{k}_\omega = \mathbf{k}_{\lambda(s_i)} \mathbf{f}_i$, it suffices to prove that $\mathbf{f}^{(B^-)} \mathbf{k}_{\lambda(s_i)} = 0$. We observe that the i th entry $\lambda_i^{(t)}$ of $\lambda^{(t)} := \lambda(s_i)$ is 0 and, if $\mathbf{f}_{(n, i+1]} \mathbf{k}_\lambda = \mathbf{k}_{\lambda^{(t-1)}} \mathbf{f}_{(n, i+1]}$, then, by 4.8, the i th entry $\lambda_i^{(t-1)}$ of $\lambda^{(t-1)}$ is also 0. Inductively, for any $a < b < t$, if $\mathbf{f}_{(j_b, y_{j_b})} \mathbf{k}_{\lambda^{(b)}} = 0$, then we are done. Otherwise, we have $\mathbf{f}_{(j_b, y_{j_b})} \mathbf{k}_{\lambda^{(b)}} = \mathbf{k}_{\lambda^{(b-1)}} \mathbf{f}_{(j_b, y_{j_b})}$ such that $\lambda_i^{(b-1)} = 0$. This is seen as follows: if

$i \notin (j_b, y_{j_b}]$, then $i < y_{j_b}$ and $\lambda_i^{(b-1)} = \lambda_i^{(b)} = 0$; if $i \in (j_b, y_{j_b}]$, then $\mathbf{f}_{i+1}\mathbf{f}_i\mathbf{f}_{i-1}$ is a factor of $\mathbf{f}_{(j_b, y_{j_b}]}$, which guarantees $\lambda_i^{(b-1)} = 0$. In the worst case scenario, 4.12(1) implies $\mathbf{f}_{(k,i)}\mathbf{k}_{\lambda^{(a)}} = 0$, since $\lambda_i^{(a)} = 0$.

On the other hand, the argument above shows that $\prod_{a < b < t} \mathbf{f}_{(j_b, y_{j_b}]}$ has the same number of \mathbf{f}_{i-1} , \mathbf{f}_i and \mathbf{f}_{i+1} ; while $\mathbf{f}_{(n, i+1]}\mathbf{f}_i$ has one \mathbf{f}_{i+1} and one \mathbf{f}_i . By 9.2(1),

$$\begin{bmatrix} \tilde{\mathbf{k}}_i; 0 \\ 1 \end{bmatrix} \prod_{a < b \leq t} \mathbf{f}_{(j_b, y_{j_b}]}\mathbf{f}_i\mathbf{k}_\omega = - \prod_{a < b \leq t} \mathbf{f}_{(j_b, y_{j_b}]}\mathbf{f}_i\mathbf{k}_\omega.$$

Combining all these observations, we obtain

$$\mathbf{f}^{(B^+)}\mathbf{e}_i\mathbf{f}_i\mathbf{k}_\omega = \mathbf{f}_{(j_1, y_{j_1}]} \cdots \mathbf{f}_{(j_a, y_{j_a}+1]} \cdots \mathbf{f}_{(n, i+1]}\mathbf{f}_i\mathbf{k}_\omega = \mathbf{f}^{(A_i^-)}\mathbf{k}_\omega.$$

Since $B^+ = A_i^+$, we have proved that $\mathfrak{m}^{(B)}\mathbf{C}_i = \mathfrak{m}^{(A_i)}$. □

Corollary 9.5. *The integral algebra H is generated over \mathcal{Z} by the \mathbf{C}_i , and hence, by the \mathbf{T}_i . Therefore, H is isomorphic to the Hecke algebra $H(\mathfrak{S}_n)$ (1.0.1). In particular, the \mathbf{C}_i together with the relations (9.3.2) form a presentation of H .*

Proof. The first two assertions follow from 9.3 and 9.4. Thus, the generators and relations given in 9.3 form a presentation for H , easily giving the last assertion. □

Remarks 9.6. (1) With this presentation, the monomial basis \mathfrak{M}_ω is a set of certain monomials in the \mathbf{C}_i .

(2) In the notation of [15], \mathbf{T}_i corresponds to $\tilde{T}_{s_i} = v^{-1}T_{s_i}$ and \mathbf{C}_i corresponds to C'_{s_i} .

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