

## LOCAL WELL-POSEDNESS FOR THE MODIFIED KDV EQUATION IN ALMOST CRITICAL $\widehat{H}_s^r$ -SPACES

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ABSTRACT. We study the Cauchy problem for the modified KdV equation

$$u_t + u_{xxx} + (u^3)_x = 0, \quad u(0) = u_0$$

for data  $u_0$  in the space  $\widehat{H}_s^r$  defined by the norm

$$\|u_0\|_{\widehat{H}_s^r} := \|\langle \xi \rangle^s \widehat{u_0}\|_{L_{\xi}^{r'}}.$$

Local well-posedness of this problem is established in the parameter range  $2 \geq r > 1$ ,  $s \geq \frac{1}{2} - \frac{1}{2r}$ , so the case  $(s, r) = (0, 1)$ , which is critical in view of scaling considerations, is almost reached. To show this result, we use an appropriate variant of the Fourier restriction norm method as well as bi- and trilinear estimates for solutions of the Airy equation.

### 1. INTRODUCTION AND MAIN RESULT

In this paper we study the local well-posedness (LWP) of the Cauchy problem for the modified KdV equation

$$(1) \quad u_t + u_{xxx} + (u^3)_x = 0, \quad u(0) = u_0, \quad x \in \mathbb{R}.$$

As long as data  $u_0$  in the classical Sobolev spaces  $H_x^s$  are considered, this problem is known to be well-posed for  $s \geq \frac{1}{4}$  and ill-posed (in the  $C^0$ -uniform sense) for  $s < \frac{1}{4}$ . Both the positive and the negative results were shown by Kenig, Ponce, and the second author; see [KPV93, Theorem 2.4] and [KPV01, Theorem 1.3], respectively. The situation remains the same when the defocusing modified KdV equation, i.e. (1) with a negative sign in front of the nonlinearity, is considered. In this case the proof of the well-posedness result remains identically valid, while the ill-posedness result here is due to Christ, Colliander and Tao; cf. [CCT03, Theorem 4]. In both cases the standard scaling argument suggests LWP for  $s > -\frac{1}{2}$ , so - on the  $H_x^s$ -scale - there is a considerable gap of  $\frac{3}{4}$  derivatives between the scaling prediction and the optimal LWP result.

This gap could be closed partially by the first author in [G04], where data in the spaces  $\widehat{H}_s^r$  are considered, which are defined by the norms

$$\|u_0\|_{\widehat{H}_s^r} := \|\langle \xi \rangle^s \widehat{u_0}\|_{L_{\xi}^{r'}},$$

where  $\widehat{u_0}$  denotes the Fourier transform of  $u_0$ ,  $\langle \xi \rangle = (1 + \xi^2)^{\frac{1}{2}}$  and  $\frac{1}{r} + \frac{1}{r'} = 1$ . The choice of these norms was motivated by earlier work of Cazenave, Vilela and

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the second author on nonlinear Schrödinger equations (see [CVV01]), yet another alternative class of data spaces has been considered in [VV01].

The main result in [G04] was LWP for (1) in the parameter range  $2 \geq r > \frac{4}{3}$ ,  $s \geq s(r) := \frac{1}{2} - \frac{1}{2r}$ , which coincides for  $r = 2$  with the optimal result on the  $H_x^s$ -scale. The proof used an appropriate variant of Bourgain’s Fourier restriction norm method; cf. [B93]. Especially the function spaces  $X_{s,b}^r$ , defined by

$$\|f\|_{X_{s,b}^r} := \left( \int d\xi d\tau \langle \xi \rangle^{sr'} \langle \tau - \xi^3 \rangle^{br'} |\hat{f}(\xi, \tau)|^{r'} \right)^{\frac{1}{r'}}, \quad \frac{1}{r} + \frac{1}{r'} = 1,$$

were utilised, as well as the time restriction norm spaces

$$X_{s,b}^r(\delta) := \{f = \tilde{f}|_{[-\delta,\delta] \times \mathbb{R}} : \tilde{f} \in X_{s,b}^r\}$$

with norm

$$\|f\|_{X_{s,b}^r(\delta)} := \inf\{\|\tilde{f}\|_{X_{s,b}^r} : \tilde{f}|_{[-\delta,\delta] \times \mathbb{R}} = f\}.$$

A key estimate in [G04] was the following Airy-version of the Fefferman-Stein-estimate (cf. [F70] and [G04, Corollary 3.6]):

$$(2) \quad \|e^{-t\partial^3} u_0\|_{L_{xt}^{3r}} \leq c \|I^{-\frac{1}{3r}} u_0\|_{\widehat{L}_x^r}, \quad r > \frac{4}{3}.$$

Here and below  $I(J)$  denotes the Riesz (Bessel) potential operator of order  $-1$  and  $\widehat{L}_x^r = \widehat{H}_0^r$ . This estimate fails to be true for  $r \leq \frac{4}{3}$ , which explains the restriction  $r > \frac{4}{3}$  in [G04].

It is the aim of the present paper to show how this difficulty can be overcome by using bi- and trilinear estimates for solutions of the Airy equation (instead of linear and bilinear ones). This allows us to extend the LWP result for (1) to the parameter range  $2 \geq r > 1$ ,  $s \geq s(r)$ . More precisely, the following theorem is the main result of this paper.

**Theorem 1.** *Let  $2 \geq r > 1$ ,  $s \geq s(r) = \frac{1}{2} - \frac{1}{2r}$  and  $u_0 \in \widehat{H}_s^r$ . Then there exist  $b > \frac{1}{r}$ ,  $\delta = \delta(\|u_0\|_{\widehat{H}_s^r}) > 0$  and a unique solution  $u \in X_{s,b}^r(\delta)$  of (1). This solution is persistent, and the flow map  $S : u_0 \mapsto u$ ,  $\widehat{H}_s^r \rightarrow X_{s,b}^r(\delta_0)$  is locally Lipschitz continuous for any  $\delta_0 \in (0, \delta)$ .*

Theorem 1 is sharp in the sense that, for given  $r \in (1, 2]$ , we have ill-posedness in the  $C^0$ -uniform sense for  $\frac{1}{r} - 1 < s < s(r)$ . This can be seen by using the counterexample from [KPV01], as it was discussed in [G04, section 5]. Combined with scaling considerations - observe that  $\widehat{H}_s^r$  scales like  $H_x^\sigma$  if  $s - \frac{1}{r} = \sigma - \frac{1}{2}$  - this shows that the case  $(s, r) = (0, 1)$  becomes critical in our setting and that our result covers the whole subcritical range. Unfortunately, our argument breaks down - even for small data - in the critical case, and we must leave this as an open problem. Notice, however, that for specific data

$$u_0 = a \delta + \mu \text{ p.v. } \frac{1}{x} \quad (a, \mu \text{ small})$$

of critical regularity the existence of global solutions of (1) was shown in [PV05, Theorem 1.2]. By the general LWP Theorem [G04, Theorem 2.3] the proof of the following estimate is sufficient to establish Theorem 1.

**Theorem 2.** *Let  $2 \geq r > 1$  and  $s \geq s(r) = \frac{1}{2} - \frac{1}{2r}$ . Then for all  $b' < 0$  and  $b > \frac{1}{r}$  the estimate*

$$(3) \quad \left\| \partial_x \left( \prod_{i=1}^3 u_i \right) \right\|_{X_{s,b'}^r} \leq c \prod_{i=1}^3 \|u_i\|_{X_{s,b}^r}$$

holds true.

*Remarks.*

- i) (On the lifespan of local solutions) Using [G05, Lemma 5.2], we have for  $u_1, u_2, u_3$  supported in  $[-\delta, \delta] \times \mathbb{R}$  ( $0 < \delta \leq 1$ ) the estimate

$$\left\| \partial_x \left( \prod_{i=1}^3 u_i \right) \right\|_{X_{s,b-1}^r} \leq c \delta^{1-\frac{1}{r}-\varepsilon} \prod_{i=1}^3 \|u_i\|_{X_{s,b}^r},$$

provided  $2 \geq r > 1, s \geq s(r), b > \frac{1}{r}, \varepsilon > 0$ . Inserting this estimate, especially the specific power of  $\delta$ , into the proof of the local result, we obtain a lifespan of size  $\delta \sim \|u_0\|_{\widehat{H}_s^r}^{-\frac{2r}{r-1}-\varepsilon'}$ . For  $r = 2$  this coincides - up to  $\varepsilon'$  - with the result in [KPV93] (see also [FLP99, Theorem 1.1]).

- ii) Concerning related results for the one-dimensional cubic NLS and DNLS equations we refer to [G05].

## 2. BI- AND TRILINEAR AIRY ESTIMATES

Throughout this section we consider solutions  $u(t) = e^{-t\partial_x^3}u_0, v(t) = e^{-t\partial_x^3}v_0$  and  $w(t) = e^{-t\partial_x^3}w_0$  of the Airy equation with data  $u_0, v_0$  and  $w_0$ , respectively. Certain bi- and trilinear expressions involving these solutions will be estimated in the spaces  $\widehat{L}_x^p(\widehat{L}_t^q)$  and  $\widehat{L}_{xt}^r := \widehat{L}_x^r(\widehat{L}_t^r)$ , where

$$\|f\|_{\widehat{L}_x^q(\widehat{L}_t^p)} := \left( \int \left( \int |f(\xi, \tau)|^{p'} d\tau \right)^{\frac{q'}{p'}} d\xi \right)^{\frac{1}{q'}}, \quad \frac{1}{q} + \frac{1}{q'} = \frac{1}{p} + \frac{1}{p'} = 1.$$

(Below we will always write  $p', q', \dots$  to indicate conjugate Hölder exponents,  $\widehat{f}$  or  $\mathcal{F}f$  will denote the Fourier transform of  $f$ , while for the partial Fourier transform in the space variable the symbol  $\mathcal{F}_x$  will be used.) We begin with the following bilinear estimate, which we state and prove in a slightly more general version than actually needed.

**Lemma 1.** *Let  $I^s$  denote the Riesz potential of order  $-s$  and let  $I_-^s(f, g)$  be defined by its Fourier transform (in the space variable):*

$$\mathcal{F}_x I_-^s(f, g)(\xi) := \int_* d\xi_1 |\xi_1 - \xi_2|^s \mathcal{F}_x f(\xi_1) \mathcal{F}_x g(\xi_2),$$

where  $\int_*$  is shorthand for  $\int_{\xi_1+\xi_2=\xi}$ . Then we have

$$\|I_-^{\frac{1}{p}} I_-^{\frac{1}{p}}(u, v)\|_{\widehat{L}_x^q(\widehat{L}_t^p)} \leq c \|u_0\|_{\widehat{L}_x^{r_1}} \|v_0\|_{\widehat{L}_x^{r_2}},$$

provided  $1 \leq q \leq r_{1,2} \leq p \leq \infty$  and  $\frac{1}{p} + \frac{1}{q} = \frac{1}{r_1} + \frac{1}{r_2}$ .

*Proof.* Taking the Fourier transform first in space and then in time we obtain

$$\mathcal{F}_x I_{\pm}^{\frac{1}{p}} I_{\pm}^{\frac{1}{p}}(u, v)(\xi, t) = c|\xi|^{\frac{1}{p}} \int_* d\xi_1 |\xi_1 - \xi_2|^{\frac{1}{p}} e^{it(\xi_1^3 + \xi_2^3)} \mathcal{F}_x u_0(\xi_1) \mathcal{F}_x v_0(\xi_2)$$

and

$$\mathcal{F} I_{\pm}^{\frac{1}{p}} I_{\pm}^{\frac{1}{p}}(u, v)(\xi, \tau) = c|\xi|^{\frac{1}{p}} \int_* d\xi_1 |\xi_1 - \xi_2|^{\frac{1}{p}} \delta(\tau - \xi_1^3 - \xi_2^3) \mathcal{F}_x u_0(\xi_1) \mathcal{F}_x v_0(\xi_2),$$

respectively. We use  $\delta(g(x)) = \sum_n \frac{1}{|g'(x_n)|} \delta(x - x_n)$ , where the sum is taken over all simple zeros of  $g$ , which in our case is

$$g(\xi_1) = \tau - \xi_1^3 - \xi_2^3 = \tau - \xi^3 + 3\xi\xi_1(\xi - \xi_1)$$

with the zeros

$$\xi_1^{\pm} = \frac{\xi \pm y}{2}, \quad y := 2\sqrt{\frac{\tau}{3\xi} - \frac{\xi^2}{12}}$$

and the derivative

$$g'(\xi_1^{\pm}) = 3\xi(\xi - 2\xi_1^{\pm}) = \mp 3\xi y.$$

Hence

$$(4) \quad \begin{aligned} &\mathcal{F} I_{\pm}^{\frac{1}{p}} I_{\pm}^{\frac{1}{p}}(u, v)(\xi, \tau) \\ &= c|\xi|^{-\frac{1}{p'}} y^{-\frac{1}{p'}} \left( \mathcal{F}_x u_0\left(\frac{\xi + y}{2}\right) \mathcal{F}_x v_0\left(\frac{\xi - y}{2}\right) + \mathcal{F}_x u_0\left(\frac{\xi - y}{2}\right) \mathcal{F}_x v_0\left(\frac{\xi + y}{2}\right) \right). \end{aligned}$$

Using  $d\tau = 3|\xi|ydy$ , we see that the  $L_{\tau}^{p'}$ -norm of the first contribution equals

$$\left( \int dy |\mathcal{F}_x u_0\left(\frac{\xi + y}{2}\right) \mathcal{F}_x v_0\left(\frac{\xi - y}{2}\right)|^{p'} \right)^{\frac{1}{p'}} = c \left( |\mathcal{F}_x u_0|^{p'} * |\mathcal{F}_x v_0|^{p'}(\xi) \right)^{\frac{1}{p'}}.$$

Now Young's inequality is applied to see that

$$\left( \int d\xi (|\mathcal{F}_x u_0|^{p'} * |\mathcal{F}_x v_0|^{p'}(\xi))^{\frac{q'}{q}} \right)^{\frac{1}{q'}} \leq c \|u_0\|_{\widehat{L}_x^{r_1}} \|v_0\|_{\widehat{L}_x^{r_2}}$$

(cf. the proof of [G05, Lemma 1]), which is the desired bound. Finally we observe that the second contribution in (4) can be treated in precisely the same manner with  $r_1$  and  $r_2$  interchanged.  $\square$

Arguing similarly as in the proof of Lemma 2.1 in [G04] we obtain:

**Corollary 1.** For  $p, q, r_{1,2}$  as in the previous lemma and  $b_i > \frac{1}{r_i}$ , the estimate

$$\|I_{\pm}^{\frac{1}{p}} I_{\pm}^{\frac{1}{p}}(u_1, u_2)\|_{\widehat{L}_x^q(\widehat{L}_t^{b_i})} \leq c \|u_1\|_{X_{0,b_1}^{r_1}} \|u_2\|_{X_{0,b_2}^{r_2}}$$

is valid.

The next step is to dualize the preceding corollary. For that purpose we recall the bilinear operator  $I_{\pm}^s$ , defined by

$$\mathcal{F}_x I_{\pm}^s(f, g)(\xi) := \int_{\xi_1 + \xi_2 = \xi} d\xi_1 |\xi + \xi_2|^s \mathcal{F}_x f(\xi_1) \mathcal{F}_x g(\xi_2),$$

and the linear operators

$$M_u^s v := I_{-}^s(u, v) \quad \text{and} \quad N_u^s w := I_{+}^s(w, \bar{u}),$$

which are formally adjoint w.r.t. the inner product on  $L_{xt}^2$  (cf. [G04, p. 3299]). With this notation, Corollary 1 expresses the boundedness of

$$I^{\frac{1}{p}} M_{u_1}^{\frac{1}{p}} : X_{0,b_2}^{r_2} \longrightarrow \widehat{L}_x^q(\widehat{L}_t^p)$$

with operator norm  $\leq c\|u_1\|_{X_{0,b_1}^{r_1}}$ . By duality, under the additional hypothesis  $1 < p, q, r_{1,2} < \infty$ , it follows that

$$N_{u_1}^{\frac{1}{p}} I^{\frac{1}{p}} : \widehat{L}_x^{q'}(\widehat{L}_t^{p'}) \longrightarrow X_{0,-b_2}^{r_2'}$$

is bounded with the same norm. Thus we obtain the following estimate:

**Corollary 2.** *Let  $1 < q \leq r_{1,2} \leq p < \infty$ ,  $\frac{1}{p} + \frac{1}{q} = \frac{1}{r_1} + \frac{1}{r_2}$  and  $b_i > \frac{1}{r_i}$ . Then*

$$(5) \quad \|I_+^{\frac{1}{p}}(I^{\frac{1}{p}}u_2, \bar{u}_1)\|_{X_{0,-b_2}^{r_2'}} \leq c\|u_1\|_{X_{0,b_1}^{r_1}} \|u_2\|_{\widehat{L}_x^{q'}(\widehat{L}_t^{p'})}.$$

*Remark.* Since the phase function  $\phi(\xi) = \xi^3$  is odd, we have  $\|u_1\|_{X_{s,b}^r} = \|\bar{u}_1\|_{X_{s,b}^r}$ , and we may replace  $\bar{u}_1$  by  $u_1$  in the left hand side of (5).

The special case in (5), where  $p = q = r_{1,2}$ , will be sufficient for our purposes. In this case, (5) can be written as

$$(6) \quad \|I_+^{\frac{1}{r}}(I^{\frac{1}{r}}u_2, u_1)\|_{X_{0,b'}^r} \leq c\|u_1\|_{X_{0,-b'}^{r'}} \|u_2\|_{\widehat{L}_{xt}^r},$$

provided  $1 < r < \infty$ ,  $b' < -\frac{1}{r'}$ . Combining this with the trivial endpoint of the Hausdorff-Young inequality, i.e.

$$\|u_2 u_1\|_{\widehat{L}_{xt}^r} \leq c\|u_1\|_{\widehat{L}_{xt}^\infty} \|u_2\|_{\widehat{L}_{xt}^r},$$

we obtain by elementary Hölder estimates

$$(7) \quad \|I_+^{\frac{1}{\rho'}}(I^{\frac{1}{\rho'}}u_2, u_1)\|_{X_{0,\beta}^r} \leq c\|u_1\|_{X_{0,-\beta}^{\rho'}} \|u_2\|_{\widehat{L}_{xt}^r},$$

where  $0 \leq \frac{1}{\rho'} \leq \frac{1}{r'}$  and  $\beta < -\frac{1}{\rho'}$ . In this form we shall actually make use of Corollary 2.

Now we turn to the trilinear estimates. Again we take the Fourier transform first in  $x$  and then in  $t$  to obtain

$$\mathcal{F}_x(uvw)(\xi, t) = c \int_* d\xi_1 d\xi_2 e^{it(\xi_1^3 + \xi_2^3 + \xi_3^3)} \mathcal{F}_x u_0(\xi_1) \mathcal{F}_x v_0(\xi_2) \mathcal{F}_x w_0(\xi_3)$$

(where now  $\int_* = \int_{\xi_1 + \xi_2 + \xi_3 = \xi}$ ) and

$$\mathcal{F}(uvw)(\xi, \tau) = c \int_* d\xi_1 d\xi_2 \delta(\xi_1^3 + \xi_2^3 + \xi_3^3 - \tau) \mathcal{F}_x u_0(\xi_1) \mathcal{F}_x v_0(\xi_2) \mathcal{F}_x w_0(\xi_3).$$

Now the argument of  $\delta$ , that is,

$$g(\xi_2) = 3(\xi - \xi_1)\xi_2^2 - 3(\xi - \xi_1)^2\xi_2 - 3\xi\xi_1(\xi - \xi_1) + \xi^3 - \tau,$$

has exactly two zeros

$$(8) \quad \xi_2^\pm = \frac{\xi - \xi_1}{2} \pm \sqrt{\frac{(\xi + \xi_1)^2}{4} + \frac{\tau - \xi^3}{3(\xi - \xi_1)}} =: \frac{\xi - \xi_1}{2} \pm y,$$

with

$$|g'(\xi_2^\pm)| = 6|\xi - \xi_1| \sqrt{\frac{(\xi + \xi_1)^2}{4} + \frac{\tau - \xi^3}{3(\xi - \xi_1)}} = 6|\xi - \xi_1|y.$$

Using  $\delta(g(\xi_2)) = \sum_{g(x_n)=0} \frac{\delta(\xi_2 - x_n)}{|g'(x_n)|}$ , where the sum is taken over all simple zeros of  $g$ , we see that

$$\mathcal{F}(uvw)(\xi, \tau) = c(K_+(\xi, \tau) + K_-(\xi, \tau)),$$

where

$$K_\pm(\xi, \tau) = \int d\xi_1 \frac{1}{|\xi - \xi_1|y} \mathcal{F}_x u_0(\xi_1) \mathcal{F}_x v_0\left(\frac{\xi - \xi_1}{2} \pm y\right) \mathcal{F}_x w_0\left(\frac{\xi - \xi_1}{2} \mp y\right)$$

with  $y$  as defined in (8).

In order to estimate  $\|uvw\|_{\widehat{L}_{xt}^r} = \|\mathcal{F}(uvw)\|_{L_{\xi\tau}^{r'}}$  we distinguish between three cases depending on the relative size of the frequencies  $\xi_1, \xi_2$  and  $\xi_3$ :

- i)  $|\xi_1| \sim |\xi_2| \gg \langle \xi_3 \rangle$ ,
- ii)  $|\xi_2 - \xi_3| \geq |\xi_2 + \xi_3|$ ,
- iii)  $1 \leq |\xi_2 - \xi_3| \leq |\xi_2 + \xi_3|$ .

To treat the first case we define the trilinear operator  $T$  by

$$\mathcal{F}_x T(f, g, h) := \int_* d\xi_1 d\xi_2 \mathcal{F}_x f(\xi_1) \mathcal{F}_x g(\xi_2) \mathcal{F}_x h(\xi_3) \chi_{\{|\xi_1| \sim |\xi_2| \gg \langle \xi_3 \rangle\}},$$

where again  $\int_* = \int_{\xi_1 + \xi_2 + \xi_3 = \xi}$ . In this case we have:

**Lemma 2.** *Let  $1 \leq r \leq 2$  and  $s_1 > \frac{1}{4r'} - \frac{1}{2}$ ,  $s_2 \geq \frac{1}{2r'}$ . Then*

$$\|T(u, v, w)\|_{\widehat{L}_{xt}^r} \leq c \|u_0\|_{\widehat{H}_{s_1}^r} \|v_0\|_{\widehat{H}_{s_1}^r} \|w_0\|_{\widehat{H}_{s_2}^r}.$$

*Proof.* By the above computation we have

$$\mathcal{F}T(u, v, w)(\xi, \tau) = c(K^+(\xi, \tau) + K^-(\xi, \tau))$$

with

$$K^\pm(\xi, \tau) = \int_{A_\pm} d\xi_1 \frac{1}{|\xi - \xi_1|y} \mathcal{F}_x u_0(\xi_1) \mathcal{F}_x v_0\left(\frac{\xi - \xi_1}{2} \pm y\right) \mathcal{F}_x w_0\left(\frac{\xi - \xi_1}{2} \mp y\right),$$

where  $A_\pm = \{|\xi_1| \sim |\frac{\xi - \xi_1}{2} \pm y| \gg \langle \frac{\xi - \xi_1}{2} \mp y \rangle\}$  and  $y$  is defined by (8). Since in  $A_\pm$  the inequality  $|\xi_1| |\frac{\xi - \xi_1}{2} \pm y| \leq c|\xi - \xi_1|y$  holds true, we get the upper bound

$$K^\pm(\xi, \tau) \leq c \int d\xi_1 \mathcal{F}_x J^{-1} u_0(\xi_1) \mathcal{F}_x J^{-1} v_0\left(\frac{\xi - \xi_1}{2} \pm y\right) \mathcal{F}_x w_0\left(\frac{\xi - \xi_1}{2} \mp y\right),$$

leading to

$$\|T(u, v, w)\|_{\widehat{L}_{xt}^1} \leq c \|J^{-1} u_0\|_{\widehat{L}_x^\infty} \|J^{-1} v_0\|_{\widehat{L}_x^1} \|w_0\|_{\widehat{L}_x^1}.$$

By symmetry between the first two factors and multilinear interpolation we obtain

$$(9) \quad \|T(u, v, w)\|_{\widehat{L}_{xt}^1} \leq c \|J^{-1} u_0\|_{L_x^2} \|J^{-1} v_0\|_{L_x^2} \|w_0\|_{\widehat{L}_x^1}.$$

On the other hand we have

$$\|uvw\|_{L_{xt}^2} \leq c \|u\|_{L_x^s(L_t^4)} \|v\|_{L_x^s(L_t^4)} \|w\|_{L_x^4(L_t^\infty)}$$

with

$$(10) \quad \|w\|_{L_x^4(L_t^\infty)} \leq c \|I^{\frac{1}{4}} u_0\|_{L_x^2},$$

which is the maximal function estimate from [S87, Thm. 3]. Concerning the first two factors we interpolate between the sharp version of Kato's smoothing effect, i.e.  $\|Iu\|_{L_x^\infty(L_t^2)} = c\|u_0\|_{L_x^2}$  (see [KPV91, Thm. 4.1]) and (10) to obtain

$$\|I^{\frac{3}{8}}u\|_{L_x^8(L_t^4)} \leq c\|u_0\|_{L_x^2},$$

such that

$$(11) \quad \|T(u, v, w)\|_{L_{xt}^2} \leq c\|J^{-\frac{3}{8}}u_0\|_{L_x^2}\|J^{-\frac{3}{8}}v_0\|_{L_x^2}\|J^{\frac{1}{4}}w_0\|_{L_x^2}.$$

Using multilinear interpolation again, now between (9) and (11) we finally see that, for  $1 \leq r \leq 2$ ,

$$\begin{aligned} \|T(u, v, w)\|_{\widehat{L}_{xt}^r} &\leq c\|J^{\frac{5}{4r'}-1}u_0\|_{L_x^2}\|J^{\frac{5}{4r'}-1}v_0\|_{L_x^2}\|J^{\frac{1}{2r'}}w_0\|_{\widehat{L}_x^r} \\ &\leq c\|u_0\|_{\widehat{H}_{s_1}^r}\|v_0\|_{\widehat{H}_{s_1}^r}\|w_0\|_{\widehat{H}_{s_2}^r}, \end{aligned}$$

where in the last step we have used the Sobolev type embedding  $\widehat{H}_s^r \subset \widehat{H}_\sigma^\rho$ , which holds true for  $s - \frac{1}{r} > \sigma - \frac{1}{\rho}$ ,  $r \leq \rho$ .  $\square$

**Corollary 3.** For  $r, s_{1,2}$  as in the previous lemma and  $b > \frac{1}{r}$  the estimate

$$\|T(u_1, u_2, u_3)\|_{\widehat{L}_{xt}^r} \leq c\|u_1\|_{X_{s_1,b}^r}\|u_2\|_{X_{s_1,b}^r}\|u_3\|_{X_{s_2,b}^r}$$

holds true.

Next we introduce  $T_{\geq}$  ( $T_{\leq}$ ) by

$$\mathcal{F}_x T_{\geq}(f, g, h) := \int_* d\xi_1 d\xi_2 \mathcal{F}_x f(\xi_1) \mathcal{F}_x g(\xi_2) \mathcal{F}_x h(\xi_3) \chi_{\{|\xi_2 - \xi_3| \geq |\xi_2 + \xi_3|\}}$$

and

$$\mathcal{F}_x T_{\leq}(f, g, h) := \int_* d\xi_1 d\xi_2 \mathcal{F}_x f(\xi_1) \mathcal{F}_x g(\xi_2) \mathcal{F}_x h(\xi_3) \chi_{\{1 \leq |\xi_2 - \xi_3| \leq |\xi_2 + \xi_3|\}}.$$

**Lemma 3.** Let  $1 < p_1 < p < p_0 < \infty$ ,  $p < p'_0$ ,  $\frac{3}{p} = \frac{1}{p_0} + \frac{2}{p_1}$  and  $\frac{2}{p_1} < 1 + \frac{1}{p}$ . Then the estimate

$$\|T_{\geq}(u, v, w)\|_{\widehat{L}_{xt}^p} \leq c\|u_0\|_{\widehat{L}_{x^0}^{p_0}}\|I^{-\frac{1}{2p}}v_0\|_{\widehat{L}_{x^1}^{p_1}}\|I^{-\frac{1}{2p}}w_0\|_{\widehat{L}_x^{p_1}}$$

is valid.

*Proof.* For the Fourier transform of  $T_{\geq}(u, v, w)$  in both variables we obtain

$$\mathcal{F}T_{\geq}(u, v, w)(\xi, \tau) = c(K_{\geq}^+(\xi, \tau) + K_{\geq}^-(\xi, \tau)),$$

where

$$K_{\geq}^\pm(\xi, \tau) = \int_{\{2y \geq |\xi - \xi_1|\}} d\xi_1 \frac{1}{|\xi - \xi_1|y} \mathcal{F}_x u_0(\xi_1) \mathcal{F}_x v_0\left(\frac{\xi - \xi_1}{2} \pm y\right) \mathcal{F}_x w_0\left(\frac{\xi - \xi_1}{2} \mp y\right),$$

with  $y$  as in (8) again. By symmetry we may restrict ourselves to the estimation of  $K_{\geq}^+$ . Using  $|\frac{\xi - \xi_1}{2} \pm y| \leq 2y$  and Hölder's inequality, we see that

$$\begin{aligned} K_{\geq}^+(\xi, \tau) &\leq c \left( \int d\xi_1 \frac{|\mathcal{F}_x u_0(\xi_1)|^p}{|\xi - \xi_1|^{(1-\theta)p}} \right)^{\frac{1}{p}} \\ &\times \left( \int \frac{d\xi_1}{|\xi - \xi_1|^{\theta p' y}} |\mathcal{F}_x I^{-\frac{1}{2p}}v_0\left(\frac{\xi - \xi_1}{2} + y\right) \mathcal{F}_x I^{-\frac{1}{2p}}w_0\left(\frac{\xi - \xi_1}{2} - y\right)|^{p'} \right)^{\frac{1}{p'}}, \end{aligned}$$

where  $\theta = \frac{3}{p'} - \frac{2}{p_1}$  ( $\in (0, 1)$  by our assumptions). Taking the  $L_{\tau}^{p'}$ -norm of both sides and using  $d\tau = 6|\xi - \xi_1|ydy$  we arrive at

$$\begin{aligned} \|\mathcal{F}T_{\geq}(u, v, w)(\xi, \cdot)\|_{L_{\tau}^{p'}} &\leq c(|\mathcal{F}_x u_0|^p * |\xi|^{(\theta-1)p})^{\frac{1}{p}} \\ &\times \left( \int \frac{d\xi_1 dy}{|\xi - \xi_1|^{\theta p' - 1}} |\mathcal{F}_x I^{-\frac{1}{2p}} v_0(\frac{\xi - \xi_1}{2} + y) \mathcal{F}_x I^{-\frac{1}{2p}} w_0(\frac{\xi - \xi_1}{2} - y)|^{p'} \right)^{\frac{1}{p'}}. \end{aligned}$$

Changing variables ( $z_{\pm} := \frac{\xi - \xi_1}{2} \pm y$ ) we see that the second factor equals

$$\left( \int \frac{dz_+ dz_-}{|z_+ + z_-|^{\theta p' - 1}} |\mathcal{F}_x I^{-\frac{1}{2p}} v_0(z_+) \mathcal{F}_x I^{-\frac{1}{2p}} w_0(z_-)|^{p'} \right)^{\frac{1}{p'}} \leq c \|I^{-\frac{1}{2p}} v_0\|_{L_x^{p_1}} \|I^{-\frac{1}{2p}} w_0\|_{L_x^{p_1}},$$

by the Hardy-Littlewood-Sobolev-inequality, requiring  $\theta$  to be chosen as above and  $1 < \theta p' < 2$ , which follows from our assumptions. It remains to estimate the  $L_{\xi}^{p'}$ -norm of the first factor, that is,

$$\begin{aligned} &\| |\mathcal{F}_x u_0|^p * |\xi|^{(\theta-1)p} \|_{L_{\xi}^{\frac{p'}{p}}} \\ &\leq c \left( \| |\mathcal{F}_x u_0|^p \|_{L_{\xi}^{\frac{p'}{p}}} \| |\xi|^{(\theta-1)p} \|_{L_{\xi}^{\frac{1}{(1-\theta)p}, \infty}} \right)^{\frac{1}{p}} \\ &\leq c \|u_0\|_{L_x^{p_0}}, \end{aligned}$$

where the HLS inequality was used again. For its application we need

$$0 < (1 - \theta)p < 1; \quad 1 < \frac{p'_0}{p} < \frac{1}{1 - (1 - \theta)p} \quad \text{and} \quad \theta = \frac{1}{p'_0},$$

which follows from the assumptions, too. □

**Corollary 4.** *For  $1 < r < 2$  there exist  $s_{0,1} \geq 0$  with  $s_0 + 2s_1 = \frac{1}{r}$ , such that*

$$(12) \quad \|T_{\geq}(u, v, w)\|_{L_{xt}^r} \leq c \|I^{-s_0} u_0\|_{L_x^r} \|I^{-s_1} v_0\|_{L_x^r} \|I^{-s_1} w_0\|_{L_x^r}.$$

*In addition, for  $b > \frac{1}{r}$  we have*

$$\|T_{\geq}(u_1, u_2, u_3)\|_{L_{xt}^r} \leq c \|I^{-s_0} u_1\|_{X_{0,b}^r} \|I^{-s_1} u_2\|_{X_{0,b}^r} \|I^{-s_1} u_3\|_{X_{0,b}^r}.$$

*Proof of (12).* Using Hölder’s inequality and the Airy-version of the Fefferman-Stein-estimate, that is,

$$(13) \quad \|u\|_{L_{xt}^{3q}} \leq c \|I^{-\frac{1}{3q}} u_0\|_{L_x^q}, \quad q > \frac{4}{3}$$

(see [G04, Corollary 3.6]), we get for

$$(14) \quad \frac{4}{3} < q_0 < 2 < q_1 \quad \text{with} \quad \frac{3}{2} = \frac{1}{q_0} + \frac{2}{q_1}$$

that

$$(15) \quad \|T_{\geq}(u, v, w)\|_{L_{xt}^2} \leq \|uvw\|_{L_{xt}^2} \leq c \|I^{-\frac{1}{3q_0}} u_0\|_{L_x^{q_0}} \|I^{-\frac{1}{3q_1}} v_0\|_{L_x^{q_1}} \|I^{-\frac{1}{3q_1}} w_0\|_{L_x^{q_1}}.$$

Multilinear interpolation of (15) with Lemma 3 yields (12), provided  $p, p_0, p_1; q_0, q_1$ , defined by the interpolation conditions

$$\frac{1}{r} = \frac{1 - \theta}{p} + \frac{\theta}{2} = \frac{1 - \theta}{p_0} + \frac{\theta}{q_0} = \frac{1 - \theta}{p_1} + \frac{\theta}{q_1},$$



fulfill the assumptions of Lemma 3 and (14), respectively, which can be guaranteed by choosing  $\theta$  sufficiently small. Now  $s_{0,1}$  are obtained from

$$s_0 = \frac{\theta}{3q_0} \quad \text{and} \quad s_1 = \frac{1-\theta}{2p} + \frac{\theta}{3q_1},$$

which gives

$$s_0 + 2s_1 = \frac{1-\theta}{p} + \frac{\theta}{3} \left( \frac{1}{q_0} + \frac{2}{q_1} \right) = \frac{1}{r},$$

as desired.  $\square$

*Remark.* By (13), Corollary 4 still holds true for  $r \geq 2$  (with  $s_0 = s_1 = \frac{1}{3r}$ ).

**Lemma 4.** *Let  $1 \leq r < \rho \leq \infty$ . Then*

$$\|T_{\leq}(u, v, w)\|_{\widehat{L}_{xt}^p} \leq c \|u_0\|_{\widehat{L}_x^p} \|I^{-\frac{1}{2r}} v_0\|_{\widehat{L}_x^r} \|I^{-\frac{1}{2r}} w_0\|_{\widehat{L}_x^r}.$$

*Proof.* We have

$$\mathcal{F}T_{\leq}(u, v, w)(\xi, \tau) = c(K_{\leq}^+(\xi, \tau) + K_{\leq}^-(\xi, \tau)),$$

where

$$K_{\leq}^{\pm}(\xi, \tau) = \int_{\{1 \leq 2y \leq |\xi - \xi_1|\}} \frac{d\xi_1}{|\xi - \xi_1|y} \mathcal{F}_x u_0(\xi_1) \mathcal{F}_x v_0\left(\frac{\xi - \xi_1}{2} \pm y\right) \mathcal{F}_x w_0\left(\frac{\xi - \xi_1}{2} \mp y\right)$$

with  $y$  as defined in (8). By symmetry between  $v$  and  $w$  it suffices to treat  $K_{\leq}^+$ , which we decompose dyadically with respect to  $y$  to obtain the upper bound:

$$\begin{aligned} & c \sum_{j=0}^{\infty} \int_{\{1 \leq 2y \leq |\xi - \xi_1|, y \sim 2^j\}} \frac{d\xi_1}{|\xi - \xi_1|y} \mathcal{F}_x u_0(\xi_1) \mathcal{F}_x v_0\left(\frac{\xi - \xi_1}{2} + y\right) \mathcal{F}_x w_0\left(\frac{\xi - \xi_1}{2} - y\right) \\ & \leq c \sum_{j=0}^{\infty} 2^{-j} \int_{\{y \sim 2^j\}} d\xi_1 \mathcal{F}_x u_0(\xi_1) \mathcal{F}_x I^{-\frac{1}{2}} v_0\left(\frac{\xi - \xi_1}{2} + y\right) \mathcal{F}_x I^{-\frac{1}{2}} w_0\left(\frac{\xi - \xi_1}{2} - y\right) \\ & \leq c \sum_{j=0}^{\infty} 2^{-j} \|u_0\|_{\widehat{L}_x^p} \lambda(\{y \sim 2^j\})^{\frac{1}{p'}} \|I^{-\frac{1}{2}} v_0\|_{\widehat{L}_x^1} \|I^{-\frac{1}{2}} w_0\|_{\widehat{L}_x^1}, \end{aligned}$$

where  $\lambda(\{y \sim 2^j\})$  denotes the Lebesgue measure of  $\{\xi_1 : y(\xi_1) \sim 2^j\}$ , which is bounded by  $c2^j$ .<sup>1</sup> Hence, for any  $p > 1$ ,

$$\begin{aligned} \|K_{\leq}^+\|_{L_{\xi\tau}^{\infty}} & \leq c \sum_{j=0}^{\infty} 2^{-\frac{j}{p'}} \|u_0\|_{\widehat{L}_x^p} \|I^{-\frac{1}{2}} v_0\|_{\widehat{L}_x^1} \|I^{-\frac{1}{2}} w_0\|_{\widehat{L}_x^1} \\ (16) \quad & \leq c \|u_0\|_{\widehat{L}_x^p} \|I^{-\frac{1}{2}} v_0\|_{\widehat{L}_x^1} \|I^{-\frac{1}{2}} w_0\|_{\widehat{L}_x^1}. \end{aligned}$$

<sup>1</sup>To see this, we write  $\{\xi_1 : y(\xi_1) \sim 2^j\} = S_1 \cup S_2$ , where in  $S_1$  we assume that  $|\xi - \xi_1| \lesssim 2^j$ ,  $|\xi + \xi_1| \lesssim 2^j$  or  $|\xi - 3\xi_1| \lesssim 2^j$ . Then  $S_1$  consists of a finite number of intervals of total length bounded by  $c2^j$ . For  $S_2$  we have  $|\xi - \xi_1| \gg 2^j$ ,  $|\xi + \xi_1| \gg 2^j$  and  $|\xi - 3\xi_1| \gg 2^j$ , implying that

$$\left| \frac{dy}{d\xi_1} \right| = \frac{1}{2y|\xi - \xi_1|} \left| \frac{(\xi + \xi_1)(\xi - 3\xi_1)}{4} + y^2 \right| \gtrsim \frac{|\xi + \xi_1||\xi - 3\xi_1|}{y|\xi - \xi_1|} \gtrsim 1,$$

which gives

$$\lambda(S_2) = \int_{S_2} d\xi_1 \leq \int \frac{d\xi_1}{dy} \chi_{\{y \sim 2^j\}} dy \leq c2^j.$$

On the other hand, by integration with respect first to  $d\tau = 6y(\xi - \xi_1)dy$ , then to  $d\xi$ , and finally to  $d\xi_1$ , we see that

$$(17) \quad \|K_{\leq}^+\|_{L_{\xi\tau}^1} \leq c\|u_0\|_{L_x^\infty}\|v_0\|_{L_x^\infty}\|w_0\|_{L_x^\infty}.$$

Now multilinear interpolation between (16) and (17) leads to

$$\|K_{\leq}^+\|_{L_{\xi\tau}^{r'}} \leq c\|u_0\|_{L_x^{\rho}}\|I^{-\frac{1}{2r}}v_0\|_{L_x^r}\|I^{-\frac{1}{2r}}w_0\|_{L_x^r},$$

which gives the desired result. □

**Corollary 5.** *Let  $1 \leq r < \rho \leq \infty$ ,  $\beta > \frac{1}{\rho}$ ,  $b > \frac{1}{r}$  and  $\varepsilon > 0$ . Then*

$$\|T_{\leq}(u_1, u_2, u_3)\|_{L_{xt}^{\rho}} \leq c\|u_1\|_{X_{0,\beta}^{\rho}}\|I^{-\frac{1}{2r}}u_2\|_{X_{0,b}^r}\|I^{-\frac{1}{2r}}u_3\|_{X_{0,b}^r}$$

and

$$\|T_{\leq}(u_1, u_2, u_3)\|_{L_{xt}^{\rho}} \leq c\|u_1\|_{X_{\varepsilon,b}^r}\|I^{-\frac{1}{2r}}u_2\|_{X_{0,b}^r}\|I^{-\frac{1}{2r}}u_3\|_{X_{0,b}^r}$$

are valid.

### 3. PROOF OF THEOREM 2

Without loss of generality we may assume that  $s = s(r)$ . Then we rewrite the left hand side of (3) as

$$\left\| \langle \tau - \xi^3 \rangle^{b'} \langle \xi \rangle^s |\xi| \int d\nu \prod_{i=1}^3 \widehat{u}_i(\xi_i, \tau_i) \right\|_{L_{\xi,\tau}^{r'}},$$

where  $d\nu = d\xi_1 d\xi_2 d\tau_1 d\tau_2$  and  $\sum_{i=1}^3 (\xi_i, \tau_i) = (\xi, \tau)$ .

In the sequel, we shall use the following notation:

- $\xi_{max}, \xi_{med}, \xi_{min}$  are defined by  $|\xi_{max}| \geq |\xi_{med}| \geq |\xi_{min}|$ ,
- $p$  denotes the projection on low frequencies, i.e.  $\widehat{pf}(\xi) = \chi_{\{|\xi| \leq 1\}} \widehat{f}(\xi)$ ,
- $f \preceq g$  is shorthand for  $|\widehat{f}| \leq c|\widehat{g}|$ ,
- for the mixed weights coming from the  $X_{s,b}^r$ -norms we shall write  $\sigma_0 := \tau - \xi^3$  and  $\sigma_i := \tau_i - \xi_i^3$ ,  $1 \leq i \leq 3$ , respectively,
- the Fourier multiplier associated with these weights is denoted by  $\Lambda^b := \mathcal{F}^{-1} \langle \tau - \xi^3 \rangle^b \mathcal{F}$ ,
- for a real number  $x$  we write  $x \pm$  to denote  $x \pm \varepsilon$  for arbitrarily small  $\varepsilon > 0$ ;  $\infty -$  stands for an arbitrarily large real number.

Apart from the trivial region where  $|\xi_{max}| \leq 1$ , whose contribution can be estimated by

$$\left\| \prod_{i=1}^3 pu_i \right\|_{L_{xt}^{\rho}} \leq c \prod_{i=1}^3 \|pu_i\|_{L_{xt}^{3r}} \leq c \prod_{i=1}^3 \|pu_i\|_{X_{0,b}^r} \leq c \prod_{i=1}^3 \|u_i\|_{X_{s,b}^r},$$

we consider three cases:

1. the nonresonant case, where  $|\xi_{max}| \gg |\xi_{med}|$ ,
2. the semiresonant case with  $|\xi_{max}| \sim |\xi_{med}| \gg |\xi_{min}|$  and, finally,
3. the resonant case, where  $|\xi_{max}| \sim |\xi_{min}|$ .

1. In the nonresonant case we assume without loss of generality that  $|\xi_1| \geq |\xi_2| \geq |\xi_3|$ . Then we have for this region

$$\begin{aligned} J^s \partial_x(u_1 u_2 u_3) &\preceq \partial_x(J^s u_1 J^s u_2 J^{-s} u_3) \\ &\preceq I_{-}^{\frac{1}{r}} I_{-}^{\frac{1}{r}}(J^s u_1, J^s u_2) J^{1-s-\frac{2}{r}} u_3 \\ &\preceq I_{+}^{0+}(I_{+}^{\frac{1}{r}} + I_{-}^{\frac{1}{r}}(J^s u_1, J^s u_2), J^{1-s-\frac{2}{r}} u_3). \end{aligned}$$

Now the dual version (7) of the bilinear estimate is applied to obtain

$$\begin{aligned} &\|I_{+}^{0+}(I_{+}^{\frac{1}{r}} + I_{-}^{\frac{1}{r}}(J^s u_1, J^s u_2), J^{1-s-\frac{2}{r}} u_3)\|_{X_{0,b'}^r} \\ &\leq c \|I_{-}^{\frac{1}{r}} I_{-}^{\frac{1}{r}}(J^s u_1, J^s u_2)\|_{\widehat{L}_{xt}^r} \|J^{1-s-\frac{2}{r}} u_3\|_{X_{0,0+}^{\infty-}} \leq c \prod_{i=1}^3 \|u_i\|_{X_{s,b}^r}, \end{aligned}$$

where in the last step we have used the bilinear estimate itself (Corollary 1) for the first and Sobolev-type embeddings for the second factor.

2. In the semiresonant case we assume again  $|\xi_1| \geq |\xi_2| \geq |\xi_3|$  and consider two subcases: If, in addition,  $|\xi_1 + \xi_2| \leq 1$  (so that  $\langle \xi \rangle \leq c \langle \xi_3 \rangle$ ), we can argue as in case 1, with  $u_1$  and  $u_3$  interchanged:

$$\begin{aligned} J^s \partial_x(u_1 u_2 u_3) &\preceq \partial_x(J^{-s} u_1 J^s u_2 J^s u_3) \\ &\preceq I_{+}^{0+}(I_{+}^{\frac{1}{r}} + I_{-}^{\frac{1}{r}}(J^s u_3, J^s u_2), J^{1-s-\frac{2}{r}} u_1), \end{aligned}$$

which can be treated as above by applying (7), Sobolev-type embeddings and Corollary 1. On the other hand, if  $|\xi_1 + \xi_2| \geq 1$ , we have

$$|\sigma_0 - \sigma_1 - \sigma_2 - \sigma_3| = 3|\xi_1 + \xi_2||\xi_2 + \xi_3||\xi_3 + \xi_1| \gtrsim \langle \xi_1 \rangle \langle \xi_2 \rangle,$$

and hence, for any  $\varepsilon > 0$ ,

$$\langle \xi_1 \rangle^\varepsilon \langle \xi_2 \rangle^\varepsilon \leq c \prod_{i=0}^3 \langle \sigma_i \rangle^\varepsilon.$$

So, in this subcase, we have the upper bound

$$\|T(J^{\frac{s+1}{2}} - \Lambda^{0+} u_1, J^{\frac{s+1}{2}} - \Lambda^{0+} u_2, \Lambda^{0+} u_3)\|_{\widehat{L}_{xt}^r} \leq c \prod_{i=1}^3 \|u_i\|_{X_{s,b}^r}$$

by Corollary 3.

3. In the resonant case we distinguish several subcases:

3.1. At least for one pair  $(i, j)$  we have  $|\xi_i - \xi_j| \geq |\xi_i + \xi_j|$ .

Here we may assume by symmetry that  $|\xi_2 - \xi_3| \geq |\xi_2 + \xi_3|$ . Then we have for nonnegative  $s_{0,1}$  with  $s_0 + 2s_1 = \frac{1}{r}$

$$\partial_x J^s(u_1 u_2 u_3) \preceq T_{\geq}(J^{s+s_0} u_1, J^{s+s_1} u_2, J^{s+s_1} u_3),$$

so that Corollary 4 leads to the desired bound.

3.2.  $|\xi_1 - \xi_2| \leq |\xi_1 + \xi_2|$ ,  $|\xi_2 - \xi_3| \leq |\xi_2 + \xi_3|$  and  $|\xi_3 - \xi_1| \leq |\xi_3 + \xi_1|$ , so that all the  $\xi_i$  have the same sign, which implies

$$|\xi_1|^3 \sim |\xi_2|^3 \sim |\xi_3|^3 \leq \prod_{i=0}^3 \langle \sigma_i \rangle.$$

3.2.1. At least one of the  $|\xi_i - \xi_j| \geq 1$ .

By symmetry we may assume that  $|\xi_2 - \xi_3| \geq 1$ . Gaining a  $\langle \xi \rangle^\varepsilon$  from the  $\sigma$ 's we obtain as an upper bound for this subcase

$$\|T_{\leq}(J^{s-}\Lambda^{0+}u_1, J^{\frac{1}{2}}\Lambda^{0+}u_2, J^{\frac{1}{2}}\Lambda^{0+}u_3)\|_{\widehat{L}_{xt}^r} \leq c \prod_{i=1}^3 \|u_i\|_{X_{s,b}^r},$$

where we have used the second part of Corollary 5.

3.2.2.  $|\xi_i - \xi_j| \leq 1$  for all  $1 \leq i \neq j \leq 3$ .

Again, we can gain a  $\langle \xi \rangle^\varepsilon$  from the  $\sigma$ 's. Now, writing

$$f_i(\xi, \tau) = \langle \xi \rangle^s \langle \tau - \xi^3 \rangle^b \mathcal{F}u_i(\xi, \tau), \quad 1 \leq i \leq 3, \quad \text{such that} \quad \|f_i\|_{L_{\xi\tau}^{r'}} = \|u_i\|_{X_{s,b}^r},$$

it suffices to show

$$(18) \quad \left\| \langle \xi \rangle^{s-} |\xi| \int_A d\nu \prod_{i=1}^3 \langle \xi_i \rangle^{-s} \langle \tau_i - \xi_i^3 \rangle^{-\frac{1}{r}-} f_i(\xi_i, \tau_i) \right\|_{L_{\xi\tau}^{r'}} \leq c \prod_{i=1}^3 \|f_i\|_{L_{\xi\tau}^{r'}},$$

where in  $A$  all the differences  $|\xi_k - \xi_j|$ ,  $1 \leq k \neq j \leq 3$ , are bounded by 1 and  $|\xi| \sim |\xi_i| \sim \langle \xi_i \rangle$  for all  $1 \leq i \leq 3$ . By Hölder's inequality and Fubini's Theorem the proof of (18) is reduced to show that

$$(19) \quad \sup_{\xi, \tau} \langle \xi \rangle^{1-2s-} \left( \int_A d\nu \prod_{i=1}^3 \langle \tau_i - \xi_i^3 \rangle^{-1-} \right)^{\frac{1}{r}} < \infty.$$

Using [GTV97, Lemma 4.2] twice, we see that

$$\int_A d\nu \prod_{i=1}^3 \langle \tau_i - \xi_i^3 \rangle^{-1-} \leq c \int_{A'} d\xi_1 d\xi_2 \langle \tau - \xi^3 + 3(\xi_1 + \xi_2)(\xi - \xi_1)(\xi - \xi_2) \rangle^{-1-},$$

where  $A'$  is simply the projection of  $A$  onto  $\mathbb{R}^2$ . We decompose

$$A' = A_0 \cup A_1 \cup \bigcup_{0 \leq k, j \leq c \ln(|\xi|)} A_{kj},$$

where in  $A_0$  ( $A_1$ ) we have that  $|\xi_1 + \xi_2 - \frac{2\xi}{3}| \leq \frac{100}{|\xi|}$  ( $|\xi_1 + \xi_3 - \frac{2\xi}{3}| \leq \frac{100}{|\xi|}$ ), so that the contributions of these subregions are bounded by  $\frac{c}{|\xi|}$ , while in  $A_{kj}$  it should hold that  $|\xi_1 + \xi_2 - \frac{2\xi}{3}| \sim 2^{-k}$  and  $|\xi_1 + \xi_3 - \frac{2\xi}{3}| \sim 2^{-j}$ . By symmetry we may assume  $k \leq j$ . To estimate the integral over  $A_{kj}$ , we introduce new variables  $x_1 := \xi_1 + \xi_2 - \frac{2\xi}{3}$  and  $x_2 := \xi_1 - \xi_2$ , such that

$$|x_1| \sim 2^{-k} \quad \text{and} \quad |x_2| = |\xi_1 + \xi_2 - \frac{2\xi}{3} + 2(\xi_1 + \xi_3 - \frac{2\xi}{3})| \lesssim 2^{-k}.$$

Then

$$\begin{aligned} & \int_{A_{kj}} d\xi_1 d\xi_2 \langle \tau - \xi^3 + 3(\xi_1 + \xi_2)(\xi - \xi_1)(\xi - \xi_2) \rangle^{-1-} \\ & \leq \int_{|x_2| \lesssim 2^{-k}} dx_2 \int_{|x_1| \sim 2^{-k}} dx_1 \langle \tau - \xi^3 + 3(x_1 + \frac{2\xi}{3})(\frac{x_1+x_2}{2} - \frac{2\xi}{3})(\frac{x_1-x_2}{2} - \frac{2\xi}{3}) \rangle^{-1-}. \end{aligned}$$

Substituting  $z := (x_1 + \frac{2\xi}{3})(\frac{x_1+x_2}{2} - \frac{2\xi}{3})(\frac{x_1-x_2}{2} - \frac{2\xi}{3})$ , so that

$$\left| \frac{dz}{dx_1} \right| = \left| \frac{3x_1^2 - x_2^2}{4} - x_1\xi \right| \sim |x_1\xi| \sim |\xi|2^{-k},$$

we see that the latter is bounded by

$$\int_{|x_2| \lesssim 2^{-k}} dx_2 \int \frac{2^k dz}{|\xi|} \langle \tau - \xi^3 + 3z \rangle^{-1-} \leq \frac{c}{|\xi|}.$$

Finally, summing up over  $j$  and  $k$ , we have

$$\int_{A'} d\xi_1 d\xi_2 \langle \tau - \xi^3 + 3(\xi_1 + \xi_2)(\xi - \xi_1)(\xi - \xi_2) \rangle^{-1-} \leq c \frac{(\ln |\xi|)^2}{|\xi|} \leq c |\xi|^{-1+},$$

which gives (19).  $\square$

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