

## ADDING A LOT OF COHEN REALS BY ADDING A FEW. I

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ABSTRACT. In this paper we produce models  $V_1 \subseteq V_2$  of set theory such that adding  $\kappa$ -many Cohen reals to  $V_2$  adds  $\lambda$ -many Cohen reals to  $V_1$ , for some  $\lambda > \kappa$ . We deal mainly with the case when  $V_1$  and  $V_2$  have the same cardinals.

### 1. INTRODUCTION

A basic fact about Cohen reals is that adding  $\lambda$ -many Cohen reals cannot produce more than  $\lambda$ -many Cohen reals.<sup>1</sup> More precisely, if  $\langle s_\alpha : \alpha < \lambda \rangle$  are  $\lambda$ -many Cohen reals over  $V$ , then in  $V[\langle s_\alpha : \alpha < \lambda \rangle]$  there are no  $\lambda^+$ -many Cohen reals over  $V$ . But if instead of dealing with one universe  $V$  we consider two; then the above may no longer be true.

The purpose of this paper is to produce models  $V_1 \subseteq V_2$  such that adding  $\kappa$ -many Cohen reals to  $V_2$  adds  $\lambda$ -many Cohen reals to  $V_1$ , for some  $\lambda > \kappa$ . We deal mainly with the case when  $V_1$  and  $V_2$  have the same cardinals.

### 2. MODELS WITH THE SAME REALS

In this section we produce models  $V_1 \subseteq V_2$  as above with the same reals. We first state a general result.

**Theorem 2.1.** *Let  $V_1$  be an extension of  $V$ . Suppose that in  $V_1$ :*

- (a)  $\kappa < \lambda$  are infinite cardinals,
- (b)  $\lambda$  is regular,
- (c) there exists an increasing sequence  $\langle \kappa_n : n < \omega \rangle$  of regular cardinals cofinal in  $\kappa$ ; in particular,  $\text{cf}(\kappa) = \omega$ ,
- (d) there exists an increasing (mod finite) sequence  $\langle f_\alpha : \alpha < \lambda \rangle$  of functions in  $\prod_{n < \omega} (\kappa_{n+1} \setminus \kappa_n)$ ,<sup>2</sup> and
- (e) there exists a club  $C \subseteq \lambda$  which avoids points of countable  $V$ -cofinality.

*Then adding  $\kappa$ -many Cohen reals over  $V_1$  produces  $\lambda$ -many Cohen reals over  $V$ .*

*Proof.* We consider two cases.

*Case  $\lambda = \kappa^+$ .* Force to add  $\kappa$ -many Cohen reals over  $V_1$ . Split them into two sequences of length  $\kappa$  denoted by  $\langle r_\iota : \iota < \kappa \rangle$  and  $\langle r'_\iota : \iota < \kappa \rangle$ . Also let

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<sup>1</sup>By “ $\lambda$ -many Cohen reals” we mean “a generic object  $\langle s_\alpha : \alpha < \lambda \rangle$  for the poset  $\mathcal{C}(\lambda)$  of finite partial functions from  $\lambda \times \omega$  to  $2$ ”.

<sup>2</sup>Note that condition (d) holds automatically for  $\lambda = \kappa^+$ ; given any collection  $\mathcal{F}$  of  $\kappa$ -many elements of  $\prod_{n < \omega} (\kappa_{n+1} \setminus \kappa_n)$  there exists  $f$  such that for each  $g \in \mathcal{F}$ ,  $f(n) > g(n)$  for all large  $n$ . Thus we can define, by induction on  $\alpha < \kappa^+$ , an increasing (mod finite) sequence  $\langle f_\alpha : \alpha < \kappa^+ \rangle$  in  $\prod_{n < \omega} (\kappa_{n+1} \setminus \kappa_n)$ .

$\langle f_\alpha : \alpha < \kappa^+ \rangle \in V_1$  be an increasing (mod finite) sequence in  $\prod_{n < \omega} (\kappa_{n+1} \setminus \kappa_n)$ . Let  $\alpha < \kappa^+$ . We define a real  $s_\alpha$  as follows:

*Case 1.*  $\alpha \in C$ . Then

$$\forall n < \omega, s_\alpha(n) = r_{f_\alpha(n)}(0).$$

*Case 2.*  $\alpha \notin C$ . Let  $\alpha^*$  and  $\alpha^{**}$  be two successive points of  $C$  so that  $\alpha^* < \alpha < \alpha^{**}$ . Let  $\langle \alpha_\iota : \iota < \kappa \rangle$  be some fixed enumeration of the interval  $(\alpha^*, \alpha^{**})$ . Then for some  $\iota < \kappa$ ,  $\alpha = \alpha_\iota$ . Let  $k(\iota) = \min\{k < \omega : r'_\iota(k) = 1\}$ . Set

$$\forall n < \omega, s_\alpha(n) = r_{f_\alpha(k(\iota)+n)}(0).$$

The following lemma completes the proof.

**Lemma 2.2.**  $\langle s_\alpha : \alpha < \kappa^+ \rangle$  is a sequence of  $\kappa^+$ -many Cohen reals over  $V$ .

*Notation 2.3.* For each set  $I$ , let  $\mathbb{C}(I)$  be the Cohen forcing notion for adding  $I$ -many Cohen reals. Thus  $\mathbb{C}(I) = \{p : p \text{ is a finite partial function from } I \times \omega \text{ into } 2\}$ , ordered by reverse inclusion.

*Proof.* First note that  $\langle \langle r_\iota : \iota < \kappa \rangle, \langle r'_\iota : \iota < \kappa \rangle \rangle$  is  $\mathbb{C}(\kappa) \times \mathbb{C}(\kappa)$ -generic over  $V_1$ . By the c.c.c. of  $\mathbb{C}(\kappa^+)$  it suffices to show that for any countable set  $I \subseteq \kappa^+$ ,  $I \in V$ , the sequence  $\langle s_\alpha : \alpha \in I \rangle$  is  $\mathbb{C}(I)$ -generic over  $V$ . Thus it suffices to prove the following:

(\*) for every  $(p, q) \in \mathbb{C}(\kappa) \times \mathbb{C}(\kappa)$  and every open dense subset  $D \in V$  of  $\mathbb{C}(I)$ , there is  $(\bar{p}, \bar{q}) \leq (p, q)$  such that  $(\bar{p}, \bar{q}) \Vdash \langle \check{s}_\alpha : \alpha \in I \rangle$  extends some element of  $D$ .

Let  $(p, q)$  and  $D$  be as above. For simplicity suppose that  $p = q = \emptyset$ . By (e) there are only finitely many  $\alpha^* \in C$  such that  $I \cap [\alpha^*, \alpha^{**}) \neq \emptyset$ , where  $\alpha^{**} = \min(C \setminus (\alpha^* + 1))$ . For simplicity suppose that there are two  $\alpha_1^* < \alpha_2^*$  in  $C$  with this property. Let  $n^* < \omega$  be such that for all  $n \geq n^*$ ,  $f_{\alpha_1^*}(n) < f_{\alpha_2^*}(n)$ . Let  $p \in \mathbb{C}(\kappa)$  be such that

$$\text{dom}(p) = \{\langle \beta, 0 \rangle : \exists n < n^* (\beta = f_{\alpha_1^*}(n) \text{ or } \beta = f_{\alpha_2^*}(n))\}.$$

Then for  $n < n^*$  and  $j \in \{1, 2\}$ ,

$$(p, \emptyset) \Vdash \check{s}_{\alpha_j^*}(n) = \check{r}_{f_{\alpha_j^*}(n)}(0) = p(f_{\alpha_j^*}(n), 0).$$

Thus  $(p, \emptyset)$  decides  $s_{\alpha_1^*} \upharpoonright n^*$  and  $s_{\alpha_2^*} \upharpoonright n^*$ . Let  $b \in D$  be such that  $\langle b(\alpha_1^*), b(\alpha_2^*) \rangle$  extends  $\langle s_{\alpha_1^*} \upharpoonright n^*, s_{\alpha_2^*} \upharpoonright n^* \rangle$ , where  $b(\alpha)$  is defined by  $b(\alpha) : \{n : (\alpha, n) \in \text{dom}(b)\} \rightarrow 2$  and  $b(\alpha)(n) = b(\alpha, n)$ . Let

$$p' = p \cup \bigcup_{j \in \{1, 2\}} \{\langle f_{\alpha_j^*}(n), 0, b(\alpha_j^*, n) \rangle : n \geq n^*, (\alpha_j^*, n) \in \text{dom}(b)\}.$$

Then  $p' \in \mathbb{C}(\kappa)^3$  and

$$(p', \emptyset) \Vdash \langle \check{s}_{\alpha_1^*}, \check{s}_{\alpha_2^*} \rangle \text{ extends } \langle b(\alpha_1^*), b(\alpha_2^*) \rangle.$$

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<sup>3</sup>This is because for  $n \geq n^*$ ,  $f_{\alpha_1^*}(n) \neq f_{\alpha_2^*}(n)$ , and for  $j \in \{1, 2\}$ ,  $f_{\alpha_j^*}(n) \notin \{f_{\alpha_j^*}(m) : m < n\}$ ; thus there are no collisions.

For  $j \in \{1, 2\}$ , let  $\{\alpha_{j_0}, \dots, \alpha_{jk_j-1}\}$  be an increasing enumeration of components of  $b$  in the interval  $(\alpha_j^*, \alpha_j^{**})$  (i.e. those  $\alpha \in (\alpha_j^*, \alpha_j^{**})$  such that  $(\alpha, n) \in \text{dom}(b)$  for some  $n$ ). For  $j \in \{1, 2\}$  and  $l < k_j$  let  $\alpha_{jl} = \alpha_{\iota_{jl}}$ , where  $\iota_{jl} < \kappa$  is the index of  $\alpha_{jl}$  in the enumeration of the interval  $(\alpha_j^*, \alpha_j^{**})$  considered in Case 2 above. Let  $m^* < \omega$  be such that for all  $n \geq m^*$ ,  $j \in \{1, 2\}$  and  $l_j < l'_j < k_j$  we have

$$f_{\alpha_1^*}(n) < f_{\alpha_{1\ell_1}}(n) < f_{\alpha_{1\ell'_1}}(n) < f_{\alpha_2^*}(n) < f_{\alpha_{2\ell_2}}(n) < f_{\alpha_{2\ell'_2}}(n).$$

Let

$$\bar{q} = \{\langle \iota_{jl}, n, 0 \rangle : j \in \{1, 2\}, l < k_j, n < m^* \} \cup \{\langle \iota_{jl}, m^*, 1 \rangle : j \in \{1, 2\}, l < k_j \}.$$

Then  $\bar{q} \in \mathbb{C}(\kappa)$  and for  $j \in \{1, 2\}$  and  $n < m^*$ ,  $(\emptyset, \bar{q}) \Vdash \text{“}r'_{\iota_{jl}}(n) = 0 \text{ and } r'_{\iota_{jl}}(m^*) = 1\text{”}$ ; thus  $(\emptyset, \bar{q}) \Vdash k(j, l) = \min\{k < \omega : r'_{\iota_{jl}}(k) = 1\} = m^*$ . Let

$$\bar{p} = p' \cup \bigcup_{j \in \{1, 2\}} \{\langle f_{\alpha_{jl}}(m^* + n), 0, b(\alpha_{jl}, n) \rangle : l < k_j, (\alpha_{jl}, n) \in \text{dom}(b)\}.$$

It is easily seen that  $\bar{p} \in \mathbb{C}(\kappa)$  is well defined, and for  $j \in \{1, 2\}$  and  $l < k_j$ ,

$$(\bar{p}, \bar{q}) \Vdash \text{“}\mathcal{L}_{\alpha_{jl}} \text{ extends } b(\alpha_{jl})\text{”}.$$

Thus

$$(\bar{p}, \bar{q}) \Vdash \text{“}\langle \mathcal{L}_\alpha : \alpha \in I \rangle \text{ extends } b\text{”}.$$

(\*) follows and we are done. □

*Case  $\lambda > \kappa^+$ .* Force to add  $\kappa$ -many Cohen reals over  $V_1$ . We now construct  $\lambda$ -many Cohen reals over  $V$  as in the above case using  $C$  and  $\langle f_\alpha : \alpha < \lambda \rangle$ . Case 2 of the definition of  $\langle s_\alpha : \alpha < \lambda \rangle$  is now problematic since the cardinality of an interval  $(\alpha^*, \alpha^{**})$  (using the above notation) may now be above  $\kappa$  and we have only  $\kappa$ -many Cohen reals to play with. Let us proceed as follows in order to overcome this.

Let us rearrange the Cohen reals as  $\langle r_{n,\alpha} : n < \omega, \alpha < \kappa \rangle$  and  $\langle r_\eta : \eta \in [\kappa]^{<\omega} \rangle$ . We define by induction on levels a tree  $T \subseteq [\lambda]^{<\omega}$ , its projection  $\pi(T) \subseteq [\kappa]^{<\omega}$ , and for each  $n < \omega$  and  $\alpha \in \text{Lev}_n(T)$ , a real  $s_\alpha$ . The union of the levels of  $T$  will be  $\lambda$ , so  $\langle s_\alpha : \alpha < \lambda \rangle$  will be defined.

For  $n = 0$ , let  $\text{Lev}_0(T) = \langle \rangle = \text{Lev}_0(\pi(T))$ .

For  $n = 1$ , let  $\text{Lev}_1(T) = C, \text{Lev}_1(\pi(T)) = \{0\}$ , i.e.  $\pi(\langle \alpha \rangle) = \langle 0 \rangle$  for every  $\alpha \in C$ . For  $\alpha \in C$  we define a real  $s_\alpha$  by

$$\forall m < \omega, s_\alpha(m) = r_{1, f_\alpha(m)}(0).$$

Suppose now that  $n > 1$  and  $T \upharpoonright n$  and  $\pi(T) \upharpoonright n$  are defined. We define  $\text{Lev}_n(T)$ ,  $\text{Lev}_n(\pi(T))$  and reals  $s_\alpha$  for  $\alpha \in \text{Lev}_n(T)$ . Let  $\eta \in T \upharpoonright (n-1)$ ,  $\alpha^*, \alpha^{**} \in \text{Suc}_T(\eta)$  and  $\alpha^{**} = \min(\text{Suc}_T(\eta) \setminus (\alpha^* + 1))$ . We then define  $\text{Suc}_T(\eta \frown \langle \alpha^{**} \rangle)$  if it is not yet defined.<sup>4</sup>

*Case A.*  $|\alpha^{**} \setminus \alpha^*| \leq \kappa$ .

Fix some enumeration  $\langle \alpha_\iota : \iota < \rho \leq \kappa \rangle$  of  $\alpha^{**} \setminus \alpha^*$ . Let

- $\text{Suc}_T(\eta \frown \langle \alpha^{**} \rangle) = \alpha^{**} \setminus \alpha^*$ ,
- $\text{Suc}_T(\eta \frown \langle \alpha^{**} \rangle \frown \langle \alpha \rangle) = \langle \rangle$  for  $\alpha \in \alpha^{**} \setminus \alpha^*$ ,

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<sup>4</sup>Then  $\text{Lev}_n(T)$  will be the union of such  $\text{Suc}_T(\eta \frown \langle \alpha^{**} \rangle)$ 's.

- $Suc_{\pi(T)}(\pi(\eta \frown \langle \alpha^{**} \rangle)) = \rho = |\alpha^{**} \setminus \alpha^*|$ ,
- $Suc_{\pi(T)}(\pi(\eta \frown \langle \alpha^{**} \rangle) \frown \langle i \rangle) = \langle i \rangle$  for  $i < \rho$ .

Now we define  $s_\alpha$  for  $\alpha \in \alpha^{**} \setminus \alpha^*$ . Let  $i$  be such that  $\alpha = \alpha_i$ . Let  $k = \min\{m < \omega : r_{\pi(\eta \frown \langle \alpha^{**} \rangle) \frown \langle i \rangle}(m) = 1\}$ , Finally let

$$\forall m < \omega, s_\alpha(m) = r_{n, f_\alpha(k+m)}(0).$$

*Case B.*  $|\alpha^{**} \setminus \alpha^*| > \kappa$  and  $cf(\alpha^{**}) < \kappa$ .

Let  $\rho = cf \alpha^{**}$  and let  $\langle \alpha_\nu^{**} : \nu < \rho \rangle$  be a normal sequence cofinal in  $\alpha^{**}$  with  $\alpha_0^{**} > \alpha^*$ . Let

- $Suc_T(\eta \frown \langle \alpha^{**} \rangle) = \{\alpha_\nu^{**} : \nu < \rho\}$ ,
- $Suc_{\pi(T)}(\pi(\eta \frown \langle \alpha^{**} \rangle)) = \rho$ .

Now we define  $s_{\alpha_\nu^{**}}$  for  $\nu < \rho$ . Let  $k = \min\{m < \omega : r_{\pi(\eta \frown \langle \alpha^{**} \rangle) \frown \langle \nu \rangle}(m) = 1\}$  and let

$$\forall m < \omega, s_{\alpha_\nu^{**}}(m) = r_{n, f_{\alpha_\nu^{**}}(k+m)}(0).$$

*Case C.*  $cf(\alpha^{**}) > \kappa$ .

Let  $\rho$  and  $\langle \alpha_\nu^{**} : \nu < \rho \rangle$  be as in Case B. Let

- $Suc_T(\eta \frown \langle \alpha^{**} \rangle) = \{\alpha_\nu^{**} : \nu < \rho\}$ ,
- $Suc_{\pi(T)}(\pi(\eta \frown \langle \alpha^{**} \rangle)) = \langle 0 \rangle$ .

We define  $s_{\alpha_\nu^{**}}$  for  $\nu < \rho$ . Let  $k = \min\{m < \omega : r_{\pi(\eta \frown \langle \alpha^{**} \rangle) \frown \langle 0 \rangle}(m) = 1\}$  and let

$$\forall m < \omega, s_{\alpha_\nu^{**}}(m) = r_{n, f_{\alpha_\nu^{**}}(k+m)}(0).$$

By the definition,  $T$  is a well-founded tree and  $\bigcup_{n < \omega} Lev_n(T) = \lambda$ . The following lemma completes our proof.

**Lemma 2.4.**  $\langle s_\alpha : \alpha < \lambda \rangle$  is a sequence of  $\lambda$ -many Cohen reals over  $V$ .

*Proof.* First note that  $\langle \langle r_{n,\alpha} : n < \omega, \alpha < \kappa \rangle, \langle r_\eta : \eta \in [\kappa]^{<\omega} \rangle \rangle$  is  $\mathbb{C}(\omega \times \kappa) \times \mathbb{C}([\kappa]^{<\omega})$ -generic over  $V_1$ . By the c.c.c. of  $\mathbb{C}(\lambda)$  it suffices to show that for any countable set  $I \subseteq \lambda$ ,  $I \in V$ , the sequence  $\langle s_\alpha : \alpha \in I \rangle$  is  $\mathbb{C}(I)$ -generic over  $V$ . Thus it suffices to prove the following:

- (\*) For every  $(p, q) \in \mathbb{C}(\omega \times \kappa) \times \mathbb{C}([\kappa]^{<\omega})$  and every open dense subset  $D \in V$  of  $\mathbb{C}(I)$ , there is  $(\bar{p}, \bar{q}) \leq (p, q)$  such that  $(\bar{p}, \bar{q}) \Vdash \langle \check{s}_\alpha : \alpha \in I \rangle$  extends some element of  $D$ .

Let  $(p, q)$  and  $D$  be as above. For simplicity suppose that  $p = q = \emptyset$ . For each  $n < \omega$  let  $I_n = I \cap Lev_n(T)$ . Then  $I_0 = \emptyset$  and  $I_1 = I \cap C$  is finite. For simplicity let  $I_1 = \{\alpha_1^*, \alpha_2^*\}$ , where  $\alpha_1^* < \alpha_2^*$ . Pick  $n^* < \omega$  such that for all  $n \geq n^*$ ,  $f_{\alpha_1^*}(n) < f_{\alpha_2^*}(n)$ . Let  $p_0 \in \mathbb{C}(\omega \times \kappa)$  be such that

$$\text{dom}(p_0) = \{(1, \beta, 0) : \exists n < n^* (\beta = f_{\alpha_1^*}(n) \text{ or } \beta = f_{\alpha_2^*}(n))\}.$$

Then for  $n < n^*$  and  $j \in \{1, 2\}$ ,

$$(p_0, \emptyset) \Vdash \check{s}_{\alpha_j^*}(n) = \mathcal{L}_{1, f_{\alpha_j^*}(n)}(0) = p_0(1, f_{\alpha_j^*}(n), 0).$$

Thus  $(p_0, \emptyset)$  decides  $s_{\alpha_1^*} \upharpoonright n^*$  and  $s_{\alpha_2^*} \upharpoonright n^*$ . Let  $b \in D$  be such that  $\langle b(\alpha_1^*), b(\alpha_2^*) \rangle$  extends  $\langle s_{\alpha_1^*} \upharpoonright n^*, s_{\alpha_2^*} \upharpoonright n^* \rangle$ . Let

$$p_1 = p_0 \cup \bigcup_{j \in \{1,2\}} \{ \langle 1, f_{\alpha_j^*}(n), 0, b(\alpha_j^*, n) \rangle : n \geq n^*, (\alpha_j^*, n) \in \text{dom}(b) \}.$$

Then  $p_1 \in \mathbb{C}(\omega \times \kappa)$  is well defined, and letting  $q_1 = \emptyset$ , we have

$$(p_1, q_1) \Vdash \langle \underline{s}_{\alpha_1^*}, \underline{s}_{\alpha_2^*} \rangle \text{ extends } \langle b(\alpha_1^*), b(\alpha_2^*) \rangle.$$

For each  $n < \omega$  let  $J_n$  be the set of all components of  $b$  which are in  $I_n$ , i.e.  $J_n = \{ \alpha \in I_n : \exists n, (\alpha, n) \in \text{dom}(b) \}$ . We note that  $J_0 = \emptyset$  and  $J_1 = I_1 = \{ \alpha_1^*, \alpha_2^* \}$ . Also note that for all but finitely many  $n < \omega$ ,  $J_n = \emptyset$ . Thus let us suppose  $t < \omega$  is such that for all  $n > t$ ,  $J_n = \emptyset$ . Let us consider  $J_2$ . For each  $\alpha \in J_2$  there are three cases to be considered:<sup>5</sup>

*Case 1.* There are  $\alpha^* < \alpha^{**}$  in  $\text{Lev}_1(T) = C$ ,  $\alpha^{**} = \min(C \setminus (\alpha^* + 1))$  such that  $|\alpha^{**} \setminus \alpha^*| \leq \kappa$  and  $\alpha \in \text{Suc}_T(\langle \alpha^{**} \rangle) = \alpha^{**} \setminus \alpha^*$ . Let  $i_\alpha$  be the index of  $\alpha$  in the enumeration of  $\alpha^{**} \setminus \alpha^*$  considered in Case A above, and let  $k_\alpha = \min\{m < \omega : r_{\pi(\langle \alpha^{**} \rangle) \frown \langle i_\alpha \rangle}(m) = 1\}$ . Then

$$\forall m < \omega, s_\alpha(m) = r_{2, f_\alpha(k_\alpha + m)}(0).$$

*Case 2.* There are  $\alpha^* < \alpha^{**}$  as above such that  $|\alpha^{**} \setminus \alpha^*| > \kappa$  and  $\rho = cf \alpha^{**} < \kappa$ . Let  $\langle \alpha_\nu^{**} : \nu < \rho \rangle$  be as in Case B. Then  $\alpha = \alpha_{\nu_\alpha}^{**}$  for some  $\nu_\alpha < \rho$  and if  $k_\alpha = \min\{m < \omega : r_{\pi(\langle \alpha^{**} \rangle) \frown \langle \nu_\alpha \rangle}(m) = 1\}$ . Then

$$\forall m < \omega, s_\alpha(m) = r_{2, f_\alpha(k_\alpha + m)}(0).$$

*Case 3.* There are  $\alpha^* < \alpha^{**}$  as above such that  $\rho = cf \alpha^{**} > \kappa$ . Let  $\langle \alpha_\nu^{**} : \nu < \rho \rangle$  be as in Case C. Then  $\alpha = \alpha_{\nu_\alpha}^{**}$  for some  $\nu_\alpha < \rho$ , and if  $k_\alpha = \min\{m < \omega : r_{\pi(\langle \alpha^{**} \rangle) \frown \langle 0 \rangle}(m) = 1\}$ , then

$$\forall m < \omega, s_\alpha(m) = r_{2, f_\alpha(k_\alpha + m)}(0).$$

Let  $m^* < \omega$  be such that for all  $n \geq m^*$  and  $\alpha < \alpha'$  in  $J_1 \cup J_2$ ,  $f_\alpha(n) < f_{\alpha'}(n)$ . Let

$$\begin{aligned} q_2 = \{ \langle \eta, n, 0 \rangle : n < m^*, \exists \alpha \in J_2 (\eta = \pi(\langle \alpha^{**} \rangle) \frown \langle i_\alpha \rangle \text{ or} \\ \eta = \pi(\langle \alpha^{**} \rangle) \frown \langle \nu_\alpha \rangle \text{ or} \\ \eta = \pi(\langle \alpha^{**} \rangle) \frown \langle 0 \rangle) \} \\ \cup \{ \langle \eta, m^*, 1 \rangle : \exists \alpha \in J_2 (\eta = \pi(\langle \alpha^{**} \rangle) \frown \langle i_\alpha \rangle \text{ or} \\ \eta = \pi(\langle \alpha^{**} \rangle) \frown \langle \nu_\alpha \rangle \text{ or} \\ \eta = \pi(\langle \alpha^{**} \rangle) \frown \langle 0 \rangle) \}. \end{aligned}$$

Then  $q_2 \in \mathbb{C}([\kappa]^{<\omega})$  is well defined, and for each  $\alpha \in J_2$ ,  $(\phi, q_2) \Vdash k_\alpha = m^*$ . Let

$$p_2 = p_1 \cup \{ \langle 2, f_\alpha(m^* + m), 0, b(\alpha, m) \rangle : \alpha \in J_2, (\alpha, m) \in \text{dom}(b) \}.$$

Then  $p_2 \in \mathbb{C}(\omega \times \kappa)$  is well defined,  $(p_2, q_2) \leq (p_1, q_1)$ , and for  $\alpha \in J_2$  and  $m < \omega$  with  $(\alpha, m) \in \text{dom}(b)$ ,

$$(p_2, q_2) \Vdash \underline{s}_\alpha(m) = \underline{r}_{2, f_\alpha(k_\alpha + m)}(0) = p_2(2, f_\alpha(k_\alpha + m), 0) = b(\alpha, m) = b(\alpha)(m).$$

<sup>5</sup>Note that all the action in Cases 1-3 below is happening in the generic extension; in particular, we did not yet determine the value of  $k_\alpha$ .

Thus  $(p_2, q_2) \Vdash \text{“}\underline{s}_\alpha \text{ extend } b(\alpha)\text{”}$ , and hence

$$(p_2, q_2) \Vdash \text{“}\langle \underline{s}_\alpha : \alpha \in J_1 \cup J_2 \rangle \text{ extends } \langle b(\alpha) : \alpha \in J_1 \cup J_2 \rangle\text{”}.$$

By induction suppose that we have defined  $(p_1, q_1) \geq (p_2, q_2) \geq \dots \geq (p_j, q_j)$  for  $j < t$ , where for  $1 \leq i \leq j$ ,

$$(p_i, q_i) \Vdash \text{“}\langle \underline{s}_\alpha : \alpha \in J_1 \cup \dots \cup J_i \rangle \text{ extends } \langle b(\alpha) : \alpha \in J_1 \cup \dots \cup J_i \rangle\text{”}.$$

We define  $(p_{j+1}, q_{j+1}) \leq (p_j, q_j)$  such that for each  $\alpha \in J_{j+1}$ ,

$$(p_{j+1}, q_{j+1}) \Vdash \text{“}\underline{s}_\alpha \text{ extends } b(\alpha)\text{”}.$$

Let  $\alpha \in J_{j+1}$ . Then we can find  $\eta \in T \upharpoonright j$  and  $\alpha^* < \alpha^{**}$  such that  $\alpha^*, \alpha^{**} \in \text{Suc}_T(\eta)$ ,  $\alpha^{**} = \min(\text{Suc}_T(\eta) \setminus (\alpha^* + 1))$  and  $\alpha \in \text{Suc}_T(\eta \frown \langle \alpha^{**} \rangle)$ . As before there are three cases to be considered:<sup>6</sup>

*Case 1.*  $|\alpha^{**} \setminus \alpha^*| \leq \kappa$ . Then let  $i_\alpha$  be the index of  $\alpha$  in the enumeration of  $\alpha^{**} \setminus \alpha^*$  considered in Case A and let  $k_\alpha = \min\{m < \omega : r_{\pi(\eta \frown \langle \alpha^{**} \rangle) \frown \langle i_\alpha \rangle}(m) = 1\}$ . Then

$$\forall m < \omega, s_\alpha(m) = r_{j+1, f_\alpha(k_\alpha + m)}(0).$$

*Case 2.*  $|\alpha^{**} \setminus \alpha^*| > \kappa$  and  $\rho = cf \alpha^{**} < \kappa$ . Let  $\langle \alpha_\nu^{**} : \nu < \rho \rangle$  be as in Case B and let  $\nu_\alpha < \rho$  be such that  $\alpha = \alpha_{\nu_\alpha}^{**}$ . Let  $k_\alpha = \min\{m < \omega : r_{\pi(\eta \frown \langle \alpha^{**} \rangle) \frown \langle \nu_\alpha \rangle}(m) = 1\}$ . Then

$$\forall m < \omega, s_\alpha(m) = r_{j+1, f_\alpha(k_\alpha + m)}(0).$$

*Case 3.*  $\rho = cf \alpha^{**} > \kappa$ . Let  $\langle \alpha_\nu^{**} : \nu < \rho \rangle$  be as in Case C. Let  $\nu_\alpha < \rho$  be such that  $\alpha = \alpha_{\nu_\alpha}^{**}$ , and let  $k_\alpha = \min\{m < \omega : r_{\pi(\eta \frown \langle \alpha^{**} \rangle) \frown \langle 0 \rangle}(m) = 1\}$ . Then

$$\forall m < \omega, s_\alpha(m) = r_{j+1, f_\alpha(k_\alpha + m)}(0).$$

Let  $m^* < \omega$  be such that for all  $n \geq m^*$  and  $\alpha < \alpha'$  in  $J_1 \cup \dots \cup J_{j+1}$ ,  $f_\alpha(n) < f_{\alpha'}(n)$ . Let

$$\begin{aligned} q_{j+1} = q_j \cup \{ & \langle \bar{\eta}, n, 0 \rangle : n < m^*, \exists \alpha \in J_{j+1} \text{ (for some unique } \eta \in T \upharpoonright j, \\ & \alpha^{**} \in \text{Suc}_T(\eta), \text{ we have } \alpha \in \text{Suc}_T(\eta \frown \langle \alpha^{**} \rangle) \\ & \text{and } (\bar{\eta} = \pi(\eta \frown \langle \alpha^{**} \rangle) \frown \langle i_\alpha \rangle \\ & \text{or } \bar{\eta} = \pi(\eta \frown \langle \alpha^{**} \rangle) \frown \langle \nu_\alpha \rangle \\ & \text{or } \bar{\eta} = (\pi(\eta \frown \langle \alpha^{**} \rangle) \frown \langle 0 \rangle)) \} \\ \cup \{ & \langle \bar{\eta}, m^*, 1 \rangle : \exists \alpha \in J_{j+1} \text{ (for some unique } \eta \in T \upharpoonright j, \\ & \alpha^{**} \in \text{Suc}_T(\eta), \text{ we have } \alpha \in \text{Suc}_T(\eta \frown \langle \alpha^{**} \rangle) \\ & \text{and } (\bar{\eta} = \pi(\eta \frown \langle \alpha^{**} \rangle) \frown \langle i_\alpha \rangle \\ & \text{or } \bar{\eta} = \pi(\eta \frown \langle \alpha^{**} \rangle) \frown \langle \nu_\alpha \rangle \\ & \text{or } \bar{\eta} = (\pi(\eta \frown \langle \alpha^{**} \rangle) \frown \langle 0 \rangle)) \}. \end{aligned}$$

It is easily seen that  $q_{j+1} \in \mathbb{C}([\kappa]^{<\omega})$ , and for each  $\alpha \in J_{j+1}$ ,  $(\phi, q_{j+1}) \Vdash k_\alpha = m^*$ . Let

$$p_{j+1} = p_j \cup \{ \langle j+1, f_\alpha(m^* + m), 0, b(\alpha, m) \rangle : \alpha \in J_{j+1}, (\alpha, m) \in \text{dom}(b) \}.$$

<sup>6</sup>Again note that all the action in Cases 1-3 below is happening in the generic extension.

Then  $p_{j+1} \in \mathbb{C}(\omega \times \kappa)$  is well defined and  $(p_{j+1}, q_{j+1}) \leq (p_j, q_j)$ , and for  $\alpha \in J_{j+1}$  we have

$$\begin{aligned} (p_{j+1}, q_{j+1}) \Vdash \underline{s}_\alpha(m) &= \underline{r}_{j+1, f_\alpha(k_\alpha+m)}(0) = p_{j+1}(j+1, f_\alpha(k_\alpha+m), 0) \\ &= b(\alpha, m) = b(\alpha)(m). \end{aligned}$$

Thus  $(p_{j+1}, q_{j+1}) \Vdash \text{“}\underline{s}_\alpha \text{ extends } b(\alpha)\text{”}$ . Finally let  $(\bar{p}, \bar{q}) = (p_t, q_t)$ . Then for each component  $\alpha$  of  $b$ ,

$$(\bar{p}, \bar{q}) \Vdash \text{“}\underline{s}_\alpha \text{ extends } b(\alpha)\text{”}.$$

Hence

$$(\bar{p}, \bar{q}) \Vdash \text{“}\langle \underline{s}_\alpha : \alpha \in I \rangle \text{ extends } b\text{”}.$$

(\*) follows and we are done. □

Theorem 2.1 follows. □

We now give several applications of the above theorem.

**Theorem 2.5.** *Suppose that  $V$  satisfies  $GCH$ ,  $\kappa = \bigcup_{n < \omega} \kappa_n$  and  $\bigcup_{n < \omega} o(\kappa_n) = \kappa$  (where  $o(\kappa_n)$  is the Mitchell order of  $\kappa_n$ ). Then there exists a cardinal preserving generic extension  $V_1$  of  $V$  satisfying  $GCH$  and having the same reals as  $V$  does, so that adding  $\kappa$ -many Cohen reals over  $V_1$  produces  $\kappa^+$ -many Cohen reals over  $V$ .*

*Proof.* Rearranging the sequence  $\langle \kappa_n : n < \omega \rangle$  we may assume that  $o(\kappa_{n+1}) > \kappa_n$  for each  $n < \omega$ . Let  $0 < n < \omega$ . By [Mag1], there exists a forcing notion  $\mathbb{P}_n$  such that:

- Each condition in  $\mathbb{P}_n$  is of the form  $(g, G)$ , where  $g$  is an increasing function from a finite subset of  $\kappa_n^+$  into  $\kappa_{n+1}$  and  $G$  is a function from  $\kappa_n^+ \setminus \text{dom}(g)$  into  $\mathcal{P}(\kappa_{n+1})$  such that for each  $\alpha \in \text{dom}(G)$ ,  $G(\alpha)$  belongs to a suitable normal measure.<sup>7</sup> We may also assume that conditions have no parts below or at  $\kappa_n$ , and sets of measure one are like this as well.
- Forcing with  $\mathbb{P}_n$  preserves cardinals and the  $GCH$ , and adds no new subsets to  $\kappa_n$ .
- If  $G_n$  is  $\mathbb{P}_n$ -generic over  $V$ , then in  $V[G_n]$  there is a normal function  $g_n^* : \kappa_n^+ \rightarrow \kappa_{n+1}$  such that  $\text{ran}(g_n^*)$  is a club subset of  $\kappa_{n+1}$  consisting of measurable cardinals of  $V$  such that  $V[G_n] = V[g_n^*]$ .

Let  $\mathbb{P}^* = \prod_{n < \omega} \mathbb{P}_n$ , and let

$$\mathbb{P} = \{ \langle \langle g_n, G_n \rangle : n < \omega \rangle \in \mathbb{P}^* : g_n = \emptyset, \text{ for all but finitely many } n \}.$$

Then  $\mathbb{P}$  satisfies the  $\kappa^+ - c.c.$ ,<sup>8</sup> and using simple modification of arguments from [Mag1], [Mag2] we can show that forcing with  $\mathbb{P}$  preserves cardinals and the  $GCH$ . Let  $G$  be  $\mathbb{P}$ -generic over  $V$ , and let  $g_n^* : \kappa_n^+ \rightarrow \kappa_{n+1}$  be the generic function added by the part of the forcing corresponding to  $\mathbb{P}_n$ , for  $0 < n < \omega$ . Let  $X = \bigcup_{0 < n < \omega} ((\text{ran}(g_n^*) \setminus \kappa_n^+) \cup \{\kappa_{n+1}\})$  and let  $g^* : \kappa \rightarrow \kappa$  be an enumeration of  $X$  in increasing order. Then  $X = \text{ran}(g^*)$  is club in  $\kappa$  and consists entirely of measurable cardinals of  $V$ . Also,  $V[G] = V[g^*]$ .

<sup>7</sup>In fact, if  $\alpha > \max(\text{dom}(g))$ , then  $G(\alpha)$  belongs to a normal measure on  $\kappa_{n+1}$ , and if  $\alpha < \max(\text{dom}(g))$ , then  $G(\alpha)$  belongs to a normal measure on  $g(\beta)$  where  $\beta = \min(\text{dom}(g) \setminus \alpha)$ .

<sup>8</sup>This is because any two conditions  $\langle \langle g_n, G_n \rangle : n < \omega \rangle$  and  $\langle \langle g_n, H_n \rangle : n < \omega \rangle$  in  $\mathbb{P}$  are compatible.

Working in  $V[G]$ , let  $\mathbb{Q}$  be the usual forcing notion for adding a club subset of  $\kappa^+$  which avoids points of countable  $V$ -cofinality. Thus  $\mathbb{Q} = \{p : p \text{ is a closed bounded subset of } \kappa^+ \text{ and avoids points of countable } V\text{-cofinality}\}$ , ordered by end extension. Let  $H$  be  $\mathbb{Q}$ -generic over  $V[G]$  and  $C = \bigcup\{p : p \in H\}$ .

**Lemma 2.6.** (a)  $(\mathbb{Q}, \leq)$  satisfies the  $\kappa^{++}$ -c.c.,

(b)  $(\mathbb{Q}, \leq)$  is  $< \kappa^+$ -distributive,

(c)  $C$  is a club subset of  $\kappa^+$  which avoids points of countable  $V$ -cofinality.

(a) and (c) of the above lemma are trivial. For use later we prove a more general version of (b).

**Lemma 2.7.** Let  $V \subseteq W$ , let  $\nu$  be regular in  $W$  and suppose that:

(a)  $W$  is a  $\nu$ -c.c. extension of  $V$ .

(b) For every  $\lambda < \nu$  which is regular in  $W$ , there is  $\tau < \nu$  so that  $cf^W(\tau) = \lambda$  and  $\tau$  has a club subset in  $W$  which avoids points of countable  $V$ -cofinality.

In  $W$  let  $\mathbb{Q} = \{p \subseteq \nu : p \text{ is closed and bounded in } \nu \text{ and avoids points of countable } V\text{-cofinality}\}$ . Then in  $W$ ,  $\mathbb{Q}$  is  $< \nu$ -distributive.

*Proof.* This lemma first appeared in [G-N-S]. We prove it for completeness. Suppose that  $W = V[G]$ , where  $G$  is  $\mathbb{P}$ -generic over  $V$  for a  $\nu$ -c.c. forcing notion  $\mathbb{P}$ . Let  $\lambda < \nu$  be regular,  $q \in \mathbb{Q}$ ,  $f \in W^{\mathbb{Q}}$  and

$$q \Vdash f : \lambda \longrightarrow ON.$$

We find an extension of  $q$  which decides  $f$ . By (b) we can find  $\tau < \nu$  and  $g : \lambda \longrightarrow \tau$  such that  $cf^W(\tau) = \lambda$ ,  $g$  is normal and  $C = \text{ran}(g)$  is a club of  $\tau$  which avoids points of countable  $V$ -cofinality.

In  $W$ , let  $\theta > \nu$  be large enough regular. Working in  $V$ , let  $\overline{H} \prec V_\theta$  and  $R : \tau \longrightarrow ON$  be such that

- $\text{Card}(\overline{H}) < \nu$ ,
- $\overline{H}$  has  $\lambda, \tau, \nu, \mathbb{P}$  and  $\mathbb{P}$ -names for  $p, \mathbb{Q}, f, g$  and  $C$  as elements,
- $\text{ran}(R)$  is cofinal in  $\sup(\overline{H} \cap \nu)$ ,
- $R \upharpoonright \beta \in \overline{H}$  for each  $\beta < \tau$ .

Let  $H = \overline{H}[G]$ . Then  $\sup(H \cap \nu) = \sup(\overline{H} \cap \nu)$ , since  $\mathbb{P}$  is  $\nu$ -c.c.,  $H \prec V_\theta^W$ , and if  $\gamma = \sup(H \cap \nu)$ , then  $cf^W(\gamma) = cf^W(\tau) = \lambda$ . For  $\alpha < \lambda$  let  $\gamma_\alpha = R(g(\alpha))$ . Then

- $\langle \gamma_\alpha : \alpha < \lambda \rangle \in W$  is a normal sequence cofinal in  $\gamma$ ,
- $\langle \gamma_\alpha : \alpha < \beta \rangle \in H$  for each  $\beta < \lambda$ , since  $R \upharpoonright g(\beta) \in \overline{H}$ ,
- $cf^V(\gamma_\alpha) = cf^V(g(\alpha)) \neq \omega$  for each  $\alpha < \lambda$ , since  $R$  is normal and  $g(\alpha) \in C$ .

Let  $D = \{\gamma_\alpha : \alpha < \lambda\}$ . We define by induction a sequence  $\langle q_\eta : \eta < \lambda \rangle$  of conditions in  $\mathbb{Q}$  such that for each  $\eta < \lambda$ ,

- $q_0 = q$ ,
- $q_\eta \in H$ ,
- $q_{\eta+1} \leq q_\eta$ ,
- $q_{\eta+1}$  decides  $f(\eta)$ ,
- $D \cap (\max(q_\eta), \max(q_{\eta+1})) \neq \emptyset$ ,
- $q_\eta = \bigcup_{\rho < \eta} q_\rho \cup \{\delta_\eta\}$ , where  $\delta_\eta = \sup_{\rho < \eta}(\max(q_\rho))$ , if  $\eta$  is a limit ordinal.

We may further suppose that

- $q_\eta$ 's are chosen in a uniform way (say via a well-ordering which is built in to  $\overline{H}$ ).



We can define such a sequence using the fact that  $H$  contains all initial segments of  $D$  and that  $\delta_\eta \in D$  for every limit ordinal  $\eta < \lambda$  (and hence  $cf^V(\delta_\eta) \neq \omega$ ).

Finally let  $q_\lambda = \bigcup_{\eta < \lambda} q_\eta \cup \{\delta_\lambda\}$ , where  $\delta_\lambda = \sup_{\eta < \lambda}(\max(q_\eta))$ . Then  $\delta_\lambda \in D \cup \{\gamma\}$ , hence  $cf^V(\delta_\lambda) \neq \omega$ . It follows that  $q_\lambda \in \mathbb{Q}$  is well defined. Trivially  $q_\lambda \leq q$  and  $q_\lambda$  decides  $\dot{f}$ . The lemma follows.  $\square$

Let  $V_1 = V[G * H]$ . The following is obvious:

**Lemma 2.8.** (a)  $V$  and  $V_1$  have the same cardinals and reals,  
 (b)  $V_1 \models GCH$ .

It follows from Theorem 2.1 that adding  $\kappa$ -many Cohen reals over  $V_1$  adds  $\kappa^+$ -many Cohen reals over  $V$ . This concludes the proof of Theorem 2.5.  $\square$

Let us show that some large cardinals are needed for the previous result.

**Theorem 2.9.** *Assume that  $V_1 \supseteq V$  and  $V_1$  and  $V$  have the same cardinals and reals. Suppose that for some uncountable cardinal  $\kappa$  of  $V_1$ , adding  $\kappa$ -many Cohen reals to  $V_1$  produces  $\kappa^+$ -many Cohen reals to  $V$ . Then in  $V_1$  there is an inner model with a measurable cardinal.*

*Proof.* Suppose on the contrary that in  $V_1$  there is no inner model with a measurable cardinal. Thus by the Dodd-Jensen covering lemma (see [D-J1], [D-J2])  $(\mathcal{K}(V_1), V_1)$  satisfies the covering lemma, where  $\mathcal{K}(V_1)$  is the Dodd-Jensen core model as computed in  $V_1$ .

*Claim 2.10.*  $\mathcal{K}(V) = \mathcal{K}(V_1)$ .

*Proof.* The claim is well known and follows from the fact that  $V$  and  $V_1$  have the same cardinals. We present a proof for completeness.<sup>9</sup> Suppose not. Clearly  $\mathcal{K}(V) \subseteq \mathcal{K}(V_1)$ , so let  $A \subseteq \alpha, A \in \mathcal{K}(V_1), A \notin \mathcal{K}(V)$ . Then there is a mouse of  $\mathcal{K}(V_1)$  to which  $A$  belongs, hence there is such a mouse of  $\mathcal{K}(V_1)$ -power  $\alpha$ . It then follows that for every limit cardinal  $\lambda > \alpha$  of  $V_1$  there is a mouse with critical point  $\lambda$  to which  $A$  belongs, and the filter is generated by end segments of

$$\{\chi : \chi < \lambda, \chi \text{ a cardinal in } V_1\}.$$

As  $V$  and  $V_1$  have the same cardinals, this mouse is in  $V$ , and hence in  $\mathcal{K}(V)$ .  $\square$

Let us denote this common core model by  $\mathcal{K}$ . Then  $\mathcal{K} \subseteq V$ , and hence  $(V, V_1)$  satisfies the covering lemma. It follows that  $([\kappa^+]^{\leq \omega_1})^V$  is unbounded in  $([\kappa^+]^{\leq \omega})^{V_1}$ , and since  $\omega_1^V = \omega_1^{V_1}$ , we can easily show that  $([\kappa^+]^{\leq \omega})^V$  is unbounded in  $([\kappa^+]^{\leq \omega})^{V_1}$ . Since  $V_1$  and  $V$  have the same reals,  $([\kappa^+]^{\leq \omega})^V = ([\kappa^+]^{\leq \omega})^{V_1}$ , and we get a contradiction.  $\square$

If we relax our assumptions, and allow some cardinals to collapse, then no large cardinal assumptions are needed.

**Theorem 2.11.** (a) *Suppose  $V$  is a model of GCH. Then there is a generic extension  $V_1$  of  $V$  satisfying GCH so that the only cardinal of  $V$  which is collapsed in  $V_1$  is  $\aleph_1$  and such that adding  $\aleph_\omega$ -many Cohen reals to  $V_1$  produces  $\aleph_{\omega+1}$ -many of them over  $V$ .*

(b) *Suppose  $V$  satisfies GCH. Then there is a generic extension  $V_1$  of  $V$  satisfying GCH and having the same reals as  $V$  does, so that the only cardinals of  $V$*

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<sup>9</sup>Our proof is the same as in the proof of [Sh2, Theorem VII. 4.2(1)].

which are collapsed in  $V_1$  are  $\aleph_2$  and  $\aleph_3$  and such that adding  $\aleph_\omega$ -many Cohen reals to  $V_1$  produces  $\aleph_{\omega+1}$ -many of them over  $V$ .

*Proof.* (a) Working in  $V$ , let  $\mathbb{P} = Col(\aleph_0, \aleph_1)$  and let  $G$  be  $\mathbb{P}$ -generic over  $V$ . Also let  $S = \{\alpha < \omega_2 : cf^V(\alpha) = \omega_1\}$ . Then  $S$  remains stationary in  $V[G]$ . Working in  $V[G]$ , let  $\mathbb{Q}$  be the standard forcing notion for adding a club subset of  $S$  with countable conditions, and let  $H$  be  $\mathbb{Q}$ -generic over  $V[G]$ . Let  $C = \bigcup H$ . Then  $C$  is a club subset of  $\omega_1^{V[G]} = \omega_2^V$  such that  $C \subseteq S$ , and in particular  $C$  avoids points of countable  $V$ -cofinality. Working in  $V[G * H]$ , let

$$\mathbb{R} = \langle \langle \mathbb{P}_\nu : \aleph_2 \leq \nu \leq \aleph_{\omega+2}, \nu \text{ regular} \rangle, \langle \mathbb{Q}_\nu : \aleph_2 \leq \nu \leq \aleph_{\omega+1}, \nu \text{ regular} \rangle \rangle$$

be the Easton support iteration by letting  $\mathbb{Q}_\nu$  name the poset  $\{p \subset \nu : p \text{ closed and bounded in } \nu \text{ and avoids points of countable } V\text{-cofinality}\}$  as defined in  $V[G * H]^{\mathbb{P}_\nu}$ . Let

$$K = \langle \langle G_\nu : \aleph_2 \leq \nu \leq \aleph_{\omega+2}, \nu \text{ regular} \rangle, \langle H_\nu : \aleph_2 \leq \nu \leq \aleph_{\omega+1}, \nu \text{ regular} \rangle \rangle$$

be  $\mathbb{R}$ -generic over  $V[G * H]$  (i.e.  $G_\nu$  is  $\mathbb{P}_\nu$ -generic over  $V[G * H]$  and  $H_\nu$  is  $\mathbb{Q}_\nu = \mathbb{Q}_\nu[G_\nu]$ -generic over  $V[G * H * G_\nu]$ ). Then

**Lemma 2.12.** (a)  $\mathbb{P}_\nu$  adds a club disjoint from  $\{\alpha < \lambda : cf^V(\alpha) = \omega\}$  for each regular  $\lambda \in (\aleph_1, \nu)$ .

(b) (By Lemma 2.7)  $V[G * H * G_\nu] \models \text{“}\mathbb{Q}_\nu \text{ is } \nu\text{-distributive”}$ .

(c)  $V[G * H]$  and  $V[G * H * K]$  have the same cardinals and reals, and satisfy *GCH*.

(d) In  $V[G * H * K]$  there is a club subset  $C$  of  $\aleph_{\omega+1}$  which avoids points of countable  $V$ -cofinality.

Let  $V_1 = V[G * H * K]$ . By the above results,  $V_1$  satisfies *GCH* and the only cardinal of  $V$  which is collapsed in  $V_1$  is  $\aleph_1$ . The proof of the fact that adding  $\aleph_\omega$ -many Cohen reals over  $V_1$  produces  $\aleph_{\omega+1}$ -many of them over  $V$  follows from Theorem 2.1.

(b) Working in  $V$ , let  $\mathbb{P}$  be the following version of Namba forcing:

$$\mathbb{P} = \{T \subseteq \omega_2^{<\omega} : T \text{ is a tree, and for every } s \in T,$$

$$\text{the set } \{t \in T : t \supset s\} \text{ has size } \aleph_2\}$$

ordered by inclusion. Let  $G$  be  $\mathbb{P}$ -generic over  $V$ . It is well known that forcing with  $\mathbb{P}$  adds no new reals, preserves cardinals  $\geq \aleph_4$  and that  $|\aleph_2^V|^{V[G]} = |\aleph_3^V|^{V[G]} = \aleph_1^{V[G]} = \aleph_1^V$  (see [Sh1]). Let  $S = \{\alpha < \omega_3 : cf^V(\alpha) = \omega_2\}$ .

**Lemma 2.13.**  $S$  remains stationary in  $V[G]$ .

*Proof.* See [Ve-W, Lemma 3]. □

Now the rest of the proof is exactly as in (a).

The theorem follows. □

By the same line but using stronger initial assumptions, adding  $\kappa$ -many Cohen reals may produce  $\lambda$ -many of them for  $\lambda$  much larger than  $\kappa^+$ .

**Theorem 2.14.** *Suppose that  $\kappa$  is a strong cardinal,  $\lambda \geq \kappa$  is regular and *GCH* holds. Then there exists a cardinal preserving generic extension  $V_1$  of  $V$  having the same reals as  $V$  does, so that adding  $\kappa$ -many Cohen reals over  $V_1$  produces  $\lambda$ -many of them over  $V$ .*

*Proof.* Working in  $V$ , build for each  $\delta$  a measure sequence  $\vec{u}_\delta$  from a  $j$  witnessing “ $\kappa$  is  $\delta$ -strong” out to the first weak repeat point. Find  $\vec{u}$  such that  $\vec{u} = \vec{u}_\delta$  for unboundedly many  $\delta$ . Let  $\mathbb{R}_{\vec{u}}$  be the corresponding Radin forcing notion and let  $G$  be  $\mathbb{R}_{\vec{u}}$ -generic over  $V$ . Then

**Lemma 2.15.** (a) *Forcing with  $\mathbb{R}_{\vec{u}}$  preserves cardinals and the GCH and adds no new reals.*

(b) *In  $V[G]$ , there is a club  $C_\kappa \subseteq \kappa$  consisting of inaccessible cardinals of  $V$  and  $V[G] = V[C_\kappa]$ .*

(c)  *$\kappa$  remains strong in  $V[G]$ .*

*Proof.* See [Git2] and [Cu]. □

Working in  $V[G]$ , let

$$E = \langle \langle U_\alpha : \alpha < \lambda \rangle, \langle \pi_{\alpha\beta} : \alpha \leq_E \beta \rangle \rangle$$

be a nice system satisfying conditions (0)-(9) in [Git2, page 37]. Also let

$$\mathbb{R} = \langle \langle \mathbb{P}_\nu : \kappa^+ \leq \nu \leq \lambda^+, \nu \text{ regular} \rangle, \langle \mathbb{Q}_\nu : \kappa^+ \leq \nu \leq \lambda, \nu \text{ regular} \rangle \rangle$$

be the Easton support iteration by letting  $\mathbb{Q}_\nu$  name the poset  $\{p \subseteq \nu : p \text{ is closed and bounded in } \nu \text{ and avoids points of countable } V\text{-cofinality}\}$  as defined in  $V[G]^{\mathbb{P}_\nu}$ . Let

$$K = \langle \langle G_\nu : \kappa^+ \leq \nu \leq \lambda^+, \nu \text{ regular} \rangle, \langle H_\nu : \kappa^+ \leq \nu \leq \lambda, \nu \text{ regular} \rangle \rangle$$

be  $\mathbb{R}$ -generic over  $V[G]$ . Then

**Lemma 2.16.** (a)  $\mathbb{P}_\nu$  *adds a club disjoint from  $\{\alpha < \delta : cf^V(\alpha) = \omega\}$  for each regular  $\delta \in (\kappa, \nu)$ .*

(b) *(By Lemma 2.7)  $V[G * G_\nu] \models “\mathbb{Q}_\nu = \mathbb{Q}_\nu[G_\nu] \text{ is } \nu\text{-distributive}”$ .*

(c)  *$V[G]$  and  $V[G * K]$  have the same cardinals, and satisfy GCH.*

(d)  *$\mathbb{R}$  is  $\leq \kappa$ -distributive, hence forcing with  $\mathbb{R}$  adds no new  $\kappa$ -sequences.*

(e) *In  $V[G * K]$ , for each regular cardinal  $\kappa \leq \nu \leq \lambda$  there is a club  $C_\nu \subseteq \nu$  such that  $C_\nu$  avoids points of countable  $V$ -cofinality.*

By Lemma 2.16(d),  $E$  remains a nice system in  $V[G * K]$ , except that the condition (0) is replaced by  $(\lambda, \leq_E)$  is  $\kappa^+$ -directed closed. Hence working in  $V[G * K]$ , by results of [Git-Mag1], [Git-Mag2] and [Mer], we can find a forcing notion  $S$  such that if  $L$  is  $S$ -generic over  $V[G * K]$ , then

- $V[G * K]$  and  $V[G * K * L]$  have the same cardinals and reals.
- In  $V[G * K * L]$ ,  $2^\kappa = \lambda$ ,  $cf(\kappa) = \aleph_0$  and there is an increasing sequence  $\langle \kappa_n : n < \omega \rangle$  of regular cardinals cofinal in  $\kappa$  and an increasing (mod finite) sequence  $\langle f_\alpha : \alpha < \lambda \rangle$  in  $\prod_{n < \omega} (\kappa_{n+1} \setminus \kappa_n)$ .

Let  $V_1 = V[G * K * L]$ . Then  $V_1$  and  $V$  have the same cardinals and reals. The fact that adding  $\kappa$ -many Cohen reals over  $V_1$  produces  $\lambda$ -many Cohen reals over  $V$  follows from Theorem 2.1. □

If we allow many cardinals between  $V$  and  $V_1$  to collapse, then using [Git-Mag1, Sec. 2] one can obtain the following:

**Theorem 2.17.** *Suppose that there is a strong cardinal and GCH holds. Let  $\alpha < \omega_1$ . Then there is a model  $V_1 \supset V$  having the same reals as  $V$  and satisfying GCH below  $\aleph_\omega^{V_1}$  such that adding  $\aleph_\omega^{V_1}$ -many Cohen reals to  $V_1$  produces  $\aleph_{\alpha+1}^{V_1}$ -many of them over  $V$ .*

*Proof.* Proceed as in Theorem 2.14 to produce the model  $V[G * K]$ . Then working in  $V[G * K]$ , we can find a forcing notion  $S$  such that if  $L$  is  $S$ -generic over  $V[G * K]$ , then

- $V[G * K]$  and  $V[G * K * L]$  have the same reals.
- In  $V[G * K * L]$ , cardinals  $\geq \kappa$  are preserved,  $\kappa = \aleph_\omega$ , GCH holds below  $\aleph_\omega$ ,  $2^\kappa = \aleph_{\alpha+1}$  and there is an increasing (mod finite) sequence  $\langle f_\beta : \beta < \aleph_{\alpha+1} \rangle$  in  $\prod_{n < \omega} (\aleph_{n+1} \setminus \aleph_n)$ .

Let  $V_1 = V[G * K * L]$ . Then  $V_1$  and  $V$  have the same reals. The fact that adding  $\aleph_\omega^{V_1}$ -many Cohen reals over  $V_1$  produces  $\aleph_{\alpha+1}^{V_1}$ -many Cohen reals over  $V$  follows from Theorem 2.1.  $\square$

### 3. MODELS WITH THE SAME COFINALITY FUNCTION BUT DIFFERENT REALS

This section is completely devoted to the proof of the following theorem.

**Theorem 3.1.** *Suppose that  $V$  satisfies GCH. Then there is a cofinality preserving generic extension  $V_1$  of  $V$  satisfying GCH so that adding a Cohen real over  $V_1$  produces  $\aleph_1$ -many Cohen reals over  $V$ .*

The basic idea of the proof will be to split  $\omega_1$  into  $\omega$  sets such that none of them will contain an infinite set of  $V$ . Then something like in section 2 will be used for producing Cohen reals. It turned out however that just not containing an infinite set of  $V$  is not enough. We will use a stronger property. As a result the forcing turns out to be more complicated. We are now going to define the forcing sufficient for proving the theorem. Fix a nonprincipal ultrafilter  $U$  over  $\omega$ .

**Definition 3.2.** Let  $(\mathbb{P}_U, \leq, \leq^*)$  be the Prikry (or in this context Mathias) forcing with  $U$ , i.e.

- $\mathbb{P}_U = \{ \langle s, A \rangle \in [\omega]^{<\omega} \times U : \max(s) < \min(A) \}$ ,
- $\langle t, B \rangle \leq \langle s, A \rangle \iff t$  end extends  $s$  and  $(t \setminus s) \cup B \subseteq A$ ,
- $\langle t, B \rangle \leq^* \langle s, A \rangle \iff t = s$  and  $B \subseteq A$ .

We call  $\leq^*$  a direct or  $*$ -extension. The following are the basic facts on this forcing that will be used further.

**Lemma 3.3.** (a) *The generic object of  $\mathbb{P}_U$  is generated by a real.*

(b)  $(\mathbb{P}_U, \leq)$  *satisfies the c.c.c.*

(c) *If  $\langle s, A \rangle \in \mathbb{P}_U$  and  $b \subseteq \omega \setminus (\max(s) + 1)$  is finite, then there is a  $*$ -extension of  $\langle s, A \rangle$ , forcing the generic real to be disjoint to  $b$ .*

*Proof.* (a) If  $G$  is  $\mathbb{P}_U$ -generic over  $V$ , then let  $r = \bigcup \{ s : \exists A, \langle s, A \rangle \in G \}$ .  $r$  is a real and  $G = \{ \langle s, A \rangle \in \mathbb{P}_U : r \text{ end extends } s \text{ and } r \setminus s \subseteq A \}$ .

(b) Trivial using the fact that for  $\langle s, A \rangle, \langle t, B \rangle \in \mathbb{P}_U$ , if  $s = t$ , then  $\langle s, A \rangle$  and  $\langle t, B \rangle$  are compatible.

(c) Consider  $\langle s, A \setminus (\max(b) + 1) \rangle$ .  $\square$

We now define our main forcing notion.

**Definition 3.4.**  $p \in \mathbb{P}$  iff  $p = \langle p_0, \underline{p}_1 \rangle$  where

(1)  $p_0 \in \mathbb{P}_U$ ,  
 (2)  $\underline{p}_1$  is a  $\mathbb{P}_U$ -name such that for some  $\alpha < \omega_1$ ,  $p_0 \Vdash \underline{p}_1 : \alpha \rightarrow \omega$  and such that the following hold

(2a) For every  $\beta < \alpha$ ,  $\underline{p}_1(\beta) \subseteq \mathbb{P}_U \times \omega$  is a  $\mathbb{P}_U$ -name for a natural number such that

- $\underline{p}_1(\beta)$  is partial function from  $\mathbb{P}_U$  into  $\omega$ ,
- for some fixed  $l < \omega$ ,  $\text{dom } \underline{p}_1(\beta) \subseteq \{\langle s, \omega \setminus \max(s) + 1 \rangle : s \in [\omega]^l\}$ ,
- for all  $\beta_1 \neq \beta_2 < \alpha$ ,  $\text{range}(\underline{p}_1(\beta_1)) \cap \text{range}(\underline{p}_1(\beta_2))$  is finite.<sup>10</sup>

(2b) for every  $I \subseteq \alpha$ ,  $I \in V$ ,  $p'_0 \leq p_0$  and finite  $J \subseteq \omega$  there is a finite set  $a \subseteq \alpha$  such that for every finite set  $b \subseteq I \setminus a$  there is  $p''_0 \leq^* p'_0$  such that  $p''_0 \Vdash (\forall \beta \in b, \forall k \in J, \underline{p}_1(\beta) \neq k) \& (\forall \beta_1 \neq \beta_2 \in b, \underline{p}_1(\beta_1) \neq \underline{p}_1(\beta_2))$ .

*Notation 3.5.* (1) Call  $\alpha$  the length of  $p$  (or  $\underline{p}_1$ ) and denote it by  $\text{lh}(p)$  (or  $\text{lh}(\underline{p}_1)$ ).

(2) For  $n < \omega$  let  $\underline{I}_{p,n}$  be a  $\mathbb{P}_U$ -name such that  $p_0 \Vdash \underline{I}_{p,n} = \{\beta < \alpha : \underline{p}_1(\beta) = n\}$ . Then we can identify  $\underline{p}_1$  with  $\langle \underline{I}_{p,n} : n < \omega \rangle$ .

*Remark 3.6.* (2a) will guarantee that for  $\beta < \alpha$ ,  $p_0 \Vdash \underline{p}_1(\beta) \in \omega$ . The last condition in (2a) is a technical fact that will be used in several parts of the argument. The condition (2b) appears technical but will be crucial for producing numerous Cohen reals.

**Definition 3.7.** For  $p = \langle p_0, \underline{p}_1 \rangle, q = \langle q_0, \underline{q}_1 \rangle \in \mathbb{P}$ , define

(1)  $p \leq q$  iff

- $p_0 \leq_{\mathbb{P}_U} q_0$ ,
- $\text{lh}(q) \leq \text{lh}(p)$ ,
- $p_0 \Vdash \forall n < \omega, \underline{I}_{q,n} = \underline{I}_{p,n} \cap \text{lh}(q)$ .

(2)  $p \leq^* q$  iff

- $p_0 \leq_{\mathbb{P}_U}^* q_0$ ,
- $p \leq q$ .

We call  $\leq^*$  a direct or \*-extension.

*Remark 3.8.* In the definition of  $p \leq q$ , we can replace the last condition by  $p_0 \Vdash \underline{q}_1 = \underline{p}_1 \upharpoonright \text{lh}(q)$ .

**Lemma 3.9.** Let  $\langle p_0, \underline{p}_1 \rangle \Vdash \text{“}\alpha \text{ is an ordinal”}$ . Then there are  $\mathbb{P}_U$ -names  $\underline{\beta}$  and  $\underline{q}_1$  such that  $\langle p_0, \underline{q}_1 \rangle \leq^* \langle p_0, \underline{p}_1 \rangle$  and  $\langle p_0, \underline{q}_1 \rangle \Vdash \underline{\alpha} = \underline{\beta}$ .

*Proof.* Suppose for simplicity that  $\langle p_0, \underline{p}_1 \rangle = \langle \langle \langle \rangle, \omega \rangle, \phi \rangle$ . Let  $\theta$  be large enough regular and let  $\langle N_n : n < \omega \rangle$  be an increasing sequence of countable elementary submodels of  $H_\theta$  such that  $\mathbb{P}, \underline{\alpha} \in N_0$  and  $N_n \in N_{n+1}$  for each  $n < \omega$ . Let  $N = \bigcup_{n < \omega} N_n$ ,  $\delta_n = N_n \cap \omega_1$  for  $n < \omega$  and  $\delta = \bigcup_{n < \omega} \delta_n = N \cap \omega_1$ . Let  $\langle J_n : n < \omega \rangle \in N_0$  be a sequence of infinite subsets of  $\omega \setminus \{0\}$  such that  $\bigcup_{n < \omega} J_n = \omega \setminus \{0\}$ ,  $J_n \subseteq J_{n+1}$ , and  $J_{n+1} \setminus J_n$  is infinite for each  $n < \omega$ . Also let  $\langle \alpha_i : 0 < i < \omega \rangle$  be an enumeration of  $\delta$  such that for every  $n < \omega$ ,  $\{\alpha_i : i \in J_n\} \in N_{n+1}$  is an enumeration of  $\delta_n$  and  $\{\alpha_i : i \in J_{n+1}\} \cap \delta_n = \{\alpha_i : i \in J_n\}$ .

<sup>10</sup>Thus if  $G$  and  $r$  are as in the proof of Lemma 3.3 with  $p_0 \in G$ , then  $p_0 \Vdash \text{“}\underline{p}_1(\beta) \text{ is the } l\text{-th element of } r\text{”}$ .

We define by induction on the length of  $s$ , a sequence  $\langle p^s : s \in [\omega]^{<\omega} \rangle$  of conditions such that

- $p^s = \langle p_0^s, \underline{p}_1^s \rangle = \langle \langle s, A_s \rangle, \underline{p}_1^s \rangle$ ,
- $p^s \in N_{s(\text{lh}(s)-1)+1}$ ,
- $\text{lh}(p^s) = \delta_{s(\text{lh}(s)-1)+1}$ ,
- if  $t$  does not contradict  $p_0^s$  (i.e. if the  $t$  end extends  $s$  and  $t \setminus s \subseteq A_s$ ), then  $p^t \leq p^s$ .

For  $s = \langle \rangle$ , let  $p^{\langle \rangle} = \langle \langle \langle \rangle, \omega \rangle, \phi \rangle$ . Suppose that  $\langle \rangle \neq s \in [\omega]^{<\omega}$  and  $p^{s \upharpoonright \text{lh}(s)-1}$  is defined. We define  $p^s$ . First we define  $t^{s \upharpoonright \text{lh}(s)-1} \leq^* p^{s \upharpoonright \text{lh}(s)-1}$  as follows: If there is no  $*$ -extension of  $p^{s \upharpoonright \text{lh}(s)-1}$  deciding  $\underline{\alpha}$ , then let  $t^{s \upharpoonright \text{lh}(s)-1} = p^{s \upharpoonright \text{lh}(s)-1}$ . Otherwise let  $t^{s \upharpoonright \text{lh}(s)-1} \in N_{s(\text{lh}(s)-2)+1}$  be such an extension. Note that  $\text{lh}(t^{s \upharpoonright \text{lh}(s)-1}) \leq \delta_{s(\text{lh}(s)-2)+1}$ .

Let  $t^{s \upharpoonright \text{lh}(s)-1} = \langle t_0, \underline{t}_1 \rangle$ ,  $t_0 = \langle s \upharpoonright \text{lh}(s) - 1, A \rangle$ . Let  $C \subseteq \omega$  be an infinite set almost disjoint to  $\langle \text{range}(\underline{t}_1(\beta)) : \beta < \text{lh}(\underline{t}_1) \rangle$ . Split  $C$  into  $\omega$  infinite disjoint sets  $C_i$ ,  $i < \omega$ . Let  $\langle c_{ij} : j < \omega \rangle$  be an increasing enumeration of  $C_i$ ,  $i < \omega$ . We may suppose that all of these is done in  $N_{s(\text{lh}(s)-1)+1}$ . Let  $p^s = \langle p_0^s, \underline{p}_1^s \rangle$ , where

- $p_0^s = \langle s, A \setminus (\max(s) + 1) \rangle$ ,
- for  $\beta < \text{lh}(\underline{t}_1)$ ,  $\underline{p}_1^s(\beta) = \underline{t}_1(\beta)$ ,
- for  $i \in J_{s(\text{lh}(s)-1)}$  such that  $\alpha_i \in \delta_{s(\text{lh}(s)-1)} \setminus \text{lh}(\underline{t}_1)$ ,

$$\underline{p}_1^s(\alpha_i) = \{ \langle \langle s \frown \langle r_1, \dots, r_i \rangle, \omega \setminus (r_i + 1) \rangle, c_{ir_i} \rangle : r_1 > \max(s), \langle r_1, \dots, r_i \rangle \in [\omega]^i \}.$$

Trivially  $p^s \in N_{s(\text{lh}(s)-1)+1}$ ,  $\text{lh}(p^s) = \delta_{s(\text{lh}(s)-1)}$ , and if  $s(\text{lh}(s) - 1) \in A$ , then  $p^s \leq t^{s \upharpoonright \text{lh}(s)-1}$ .

*Claim 3.10.*  $p^s \in \mathbb{P}$ .

*Proof.* We check the conditions in Definition 3.4.

(1) i.e.  $p_0^s \in \mathbb{P}_U$  is trivial.

(2) It is clear that  $p_0^s \parallel - \underline{p}_1^s : \delta_{s(\text{lh}(s)-1)} \longrightarrow \omega$  and that (2a) holds. Let us prove (2b). Thus suppose that  $I \subseteq \delta_{s(\text{lh}(s)-1)}$ ,  $I \in V$ ,  $p \leq p_0^s$  and  $J \subseteq \omega$  is finite. First we apply (2b) to  $\langle p, \underline{t}_1 \rangle$ ,  $I \cap \text{lh}(\underline{t}_1)$ ,  $p$  and  $J$  to find a finite set  $a' \subseteq \text{lh}(\underline{t}_1)$  such that

- (\*) For every finite set  $b \subseteq I \cap \text{lh}(\underline{t}_1) \setminus a'$  there is  $p' \leq^* p$  such that  $p' \parallel - (\forall \beta \in b, \forall k \in J, \underline{t}_1(\beta) \neq k) \ \& \ (\forall \beta_1 \neq \beta_2 \in b, \underline{t}_1(\beta_1) \neq \underline{t}_1(\beta_2))$ .

Let  $p = \langle s \frown \langle r_1, \dots, r_m \rangle, B \rangle$ . Suppose that  $\delta_{s(\text{lh}(s)-1)} \setminus \text{lh}(\underline{t}_1) = \{ \alpha_{J_1}, \dots, \alpha_{J_i}, \dots \}$ , where  $J_1 < J_2 < \dots$  are in  $J_{s(\text{lh}(s)-1)}$ . Let

$$a = a' \cup \{ \alpha_{J_1}, \dots, \alpha_{J_m} \}.$$

We show that  $a$  is as required. Thus suppose that  $b \subseteq I \setminus a$  is finite. Apply (\*) to  $b \cap \text{lh}(\underline{t}_1)$  to find  $p' = \langle s \frown \langle r_1, \dots, r_m \rangle, B' \rangle \leq^* p$  such that

$$p' \parallel - (\forall \beta \in b \cap \text{lh}(\underline{t}_1), \forall k \in J, \underline{t}_1(\beta) \neq k) \\ \& (\forall \beta_1 \neq \beta_2 \in b \cap \text{lh}(\underline{t}_1), \underline{t}_1(\beta_1) \neq \underline{t}_1(\beta_2)).$$

Also note that

$$p' \parallel - \forall \beta \in b \cap \text{lh}(\underline{t}_1), \underline{p}_1^s(\beta) = \underline{t}_1(\beta).$$

Pick  $k < \omega$  such that

$$\forall \beta \in b \cap \text{lh}(\underline{t}_1), \forall \alpha_i \in b \setminus \text{lh}(\underline{t}_1), \text{range}(\underline{p}_1^s(\beta_1)) \cap (\text{range}(\underline{p}_1^s(\alpha_i)) \setminus k) = \emptyset.$$

Let  $q = \langle s \smallfrown \langle r_1, \dots, r_m \rangle, B \rangle = \langle s \smallfrown \langle r_1, \dots, r_m \rangle, B' \setminus (\max(J) + k + 1) \rangle$ . Then  $q \leq^* p' \leq^* p$ . We show that  $q$  is as required. We need to show that

- (1)  $q \Vdash \forall \beta \in b \setminus \text{lh}(\underline{t}_1), \forall k \in J, p_1^s(\beta) \neq k$ ,
- (2)  $q \Vdash \forall \beta_1 \neq \beta_2 \in b \setminus \text{lh}(\underline{t}_1), \underline{p}_1^s(\beta_1) \neq \underline{p}_1^s(\beta_2)$ ,
- (3)  $q \Vdash \forall \beta_1 \in b \cap \text{lh}(\underline{t}_1), \forall \beta_2 \in \tilde{b} \setminus \text{lh}(\underline{t}_1), \underline{p}_1^s(\beta_1) \neq \underline{p}_1^s(\beta_2)$ .

Now (1) follows from the fact that  $q \Vdash \underline{p}_1^s(\alpha_i) \geq (i - m)$ -th element of  $B > \max(J)$ . (2) follows from the fact that for  $i \neq j < \omega$ ,  $C_i \cap C_j = \emptyset$ , and  $\text{range}(\underline{p}_1^s(\alpha_i)) \subseteq C_i$ . (3) follows from the choice of  $k$ . The claim follows.  $\square$

This completes our definition of the sequence  $\langle p^s : s \in [\omega]^{<\omega} \rangle$ . Let

$$q_1 = \{ \langle p_0^s, \langle \beta, \underline{p}_1^s(\beta) \rangle \rangle : s \in [\omega]^{<\omega}, \beta < \text{lh}(p^s) \}.$$

Then  $q_1$  is a  $\mathbb{P}_U$ -name, and for  $s \in [\omega]^{<\omega}$ ,  $p_0^s \Vdash \underline{p}_1^s = q_1 \upharpoonright \text{lh}(\underline{p}_1^s)$ .

*Claim 3.11.*  $\langle \langle \rangle, \omega \rangle, q_1 \in \mathbb{P}$ .

*Proof.* We check conditions in Definition 3.4.

- (1) i.e.  $\langle \langle \rangle, \omega \rangle \in \mathbb{P}_U$  is trivial.
- (2) It is clear from our definition that

$$\langle \langle \rangle, \omega \rangle \Vdash \text{“} q_1 \text{ is a well-defined function into } \omega \text{”}.$$

Let us show that  $\text{lh}(q_1) = \delta$ . By the construction it is trivial that  $\text{lh}(q_1) \leq \delta$ . We show that  $\text{lh}(q_1) \geq \delta$ . It suffices to prove the following:

(\*) For every  $\tau < \delta$  and  $p \in \mathbb{P}_U$  there is  $q \leq p$  such that  $q \Vdash \text{“} q_1(\tau) \text{ is defined”}$ .

Fix  $\tau < \delta$  and  $p = \langle s, A \rangle \in \mathbb{P}_U$  as in (\*). Let  $t$  be an end extension of  $s$  such that  $t \setminus s \subseteq A$  and  $\delta_t(\text{lh}(t) - 1) > \tau$ . Then  $p_0^t$  and  $p$  are compatible and  $p_0^t \Vdash \text{“} q_1(\tau) = \underline{p}_1^t(\tau) \text{ is defined”}$ . Let  $q \leq p_0^t, p$ . Then  $q \Vdash \text{“} q_1(\tau) \text{ is defined”}$  and (\*) follows. Thus  $\text{lh}(q_1) = \delta$ .

(2a) is trivial. Let us prove (2b). Thus suppose that  $I \subseteq \delta$ ,  $I \in V$ ,  $p \leq \langle \langle \rangle, \omega \rangle$  and  $J \subseteq \omega$  is finite. Let  $p = \langle s, A \rangle$ .

First we consider the case where  $s = \langle \rangle$ . Let  $a = \emptyset$ . We show that  $a$  is as required. Thus let  $b \subseteq I$  be finite. Let  $n \in A$  be such that  $n > \max(J) + 1$  and  $b \subseteq \delta_n$ . Let  $t = s \smallfrown \langle n \rangle$ . Note that

$$\forall \beta_1 \neq \beta_2 \in b, \text{range}(\underline{p}_1^t(\beta_1)) \cap \text{range}(\underline{p}_1^t(\beta_2)) = \emptyset.$$

Let  $q = \langle \langle \rangle, B \rangle = \langle \langle \rangle, A \setminus (\max(J) + 1) \rangle$ . Then  $q \leq^* p$  and  $q$  is compatible with  $p_0^t$ . We show that  $q$  is as required. We need to show that

- (1)  $q \Vdash \forall \beta \in b, \forall k \in J, q_1(\beta) \neq k$ ,
- (2)  $q \Vdash \forall \beta_1 \neq \beta_2 \in b, \underline{q}_1(\beta_1) \neq \underline{q}_1(\beta_2)$ .

For (1), if it fails, then we can find  $\langle r, D \rangle \leq q, p_0^t$ ,  $\beta \in b$  and  $k \in J$  such that  $\langle r, D \rangle \leq^* p_0^t$  and  $\langle r, D \rangle \Vdash q_1(\beta) = k$ . But  $\langle r, D \rangle \Vdash \underline{q}_1(\beta) = \underline{p}_1^t(\beta) = \underline{p}_1^t(\beta)$ , and hence  $\langle r, D \rangle \Vdash \underline{p}_1^t(\beta) = k$ . This is impossible since  $\min(D) \geq \min(B) > \max(J)$ . For (2), if it fails, then we can find  $\langle r, D \rangle \leq q, p_0^t$  and  $\beta_1 \neq \beta_2 \in b$  such that  $\langle r, D \rangle \leq^* p_0^t$  and  $\langle r, D \rangle \Vdash \underline{q}_1(\beta_1) = \underline{q}_1(\beta_2)$ . As above it follows that

$\langle r, D \rangle \Vdash p_1^t(\beta_1) = p_1^t(\beta_2)$ . This is impossible since for  $\beta_1 \neq \beta_2 \in b$ ,  $\text{range}(p_1^t(\beta_1)) \cap \text{range}(p_1^t(\beta_2)) = \emptyset$ . Hence  $q$  is as required and we are done.

Now consider the case  $s \neq \langle \rangle$ . First we apply (2b) to  $t^s$ ,  $I \cap \text{lh}(t^s)$ ,  $p$  and  $J$  to find a finite set  $a' \subseteq \text{lh}(t^s)$  such that

(\*\*) For every finite set  $b \subseteq I \cap \text{lh}(t^s) \setminus a'$  there is  $p' \leq^* p$  such that  $p' \Vdash (\forall \beta \in b, \forall k \in J, p_1^s(\beta) \neq k) \& (\forall \beta_1 \neq \beta_2 \in b, p_1^s(\beta_1) \neq p_1^s(\beta_2))$ .

Let  $t^s = \langle t_0, \underline{t}_1 \rangle$ ,  $\delta_{s(\text{lh}(s)-1)+1} \setminus \delta_{s(\text{lh}(s)-1)} = \{\alpha_{J_1}, \alpha_{J_2}, \dots\}$ , where  $J_1 < J_2 < \dots$  are in  $J_{s(\text{lh}(s)-1)+1}$ . Define

$$a = a' \cup \{\alpha_1, \alpha_2, \dots, \alpha_{J_{\text{lh}(s)+1}}\}.$$

We show that  $a$  is as required. First apply (\*\*) to  $b \cap \text{lh}(t^s)$  to find  $p' = \langle s, A' \rangle \leq^* p$  such that

$p' \Vdash (\forall \beta \in b \cap \text{lh}(t^s), \forall k \in J, \underline{t}_1(\beta) \neq k) \& (\forall \beta_1 \neq \beta_2 \in b \cap \text{lh}(t^s), \underline{t}_1(\beta_1) \neq \underline{t}_1(\beta_2))$ .

Pick  $n \in A'$  such that  $n > \max(J) + 1$  and  $b \subseteq \delta_n$ , and let  $r = s \frown \langle n \rangle$ . Then

$$\forall \beta_1 \neq \beta_2 \in b \setminus \text{lh}(t^s), \text{range}(p_1^r(\beta_1)) \cap \text{range}(p_1^r(\beta_2)) = \emptyset.$$

Pick  $k < \omega$  such that  $k > n$  and

$$\forall \beta_1 \in b \cap \text{lh}(t^s), \forall \beta_2 \in b \setminus \text{lh}(t^s), \text{range}(p_1^r(\beta_1)) \cap (\text{range}(p_1^r(\beta_2)) \setminus k) = \emptyset.$$

Let  $q = \langle s, B \rangle = \langle s, A' \setminus (\max(J) + k + 1) \cup \{n\} \rangle$ . Then  $q \leq^* p' \leq^* p$  and  $q$  is compatible with  $p_0^r$  (since  $n \in B$ ). We show that  $q$  is as required. We need to prove the following:

- (1)  $q \Vdash \forall \beta \in b, \forall k \in J, q_1(\beta) \neq k$ ,
- (2)  $q \Vdash \forall \beta_1 \neq \beta_2 \in b \setminus \widehat{\text{lh}}(t^s), q_1(\beta_1) \neq q_1(\beta_2)$ ,
- (3)  $q \Vdash \forall \beta_1 \in b \cap \text{lh}(t^s), \forall \beta_2 \in b \setminus \widehat{\text{lh}}(t^s), \widetilde{q}_1(\beta_1) \neq q_1(\beta_2)$ .

The proofs of (1) and (2) are as in the case  $s = \langle \rangle$ . Let us prove (3). Suppose that (3) fails. Thus we can find  $\langle u, D \rangle \leq q, p_0^r, \beta_1 \in b \cap \text{lh}(t^s)$  and  $\beta_2 \in b \setminus \text{lh}(t^s)$  such that  $\langle u, D \rangle \leq^* p_0^r$  and  $\langle u, D \rangle \Vdash q_1(\beta_1) = q_1(\beta_2)$ . But for  $\beta \in b$ ,  $\langle u, D \rangle \Vdash q_1(\beta) = \widetilde{p}_1^u(\beta) = p_1^r(\beta)$ , and hence  $\langle u, \widehat{D} \rangle \Vdash \widetilde{p}_1^u(\beta_1) = p_1^r(\beta_2)$ . Now note that  $\beta_2 = \alpha_i$  for some  $i > \widehat{\text{lh}}(s) + 1$ ,  $\min(D) \geq n$  and  $\min(D \setminus \{\widehat{n}\}) > k$ . Hence by the construction of  $p^r$ ,

$$\langle u, D \rangle \Vdash \text{“} p_1^r(\beta_2) \geq (i - \text{lh}(s))\text{-th element of } D > k\text{”}.$$

By our choice of  $k$ ,  $\text{range}(p_1^r(\beta_1)) \cap (\text{range}(p_1^r(\beta_2)) \setminus k) = \emptyset$  and we get a contradiction. (3) follows. Thus  $\widehat{q}$  is as required, and the claim follows.  $\square$

Let

$$\beta = \{\langle p_0^s, \delta \rangle : s \in [\omega]^{<\omega}, \exists \gamma (\delta < \gamma, p^s \Vdash \alpha = \gamma)\}.$$

Then  $\beta$  is a  $\mathbb{P}_U$ -name of an ordinal.

*Claim 3.12.*  $\langle \langle \langle \rangle, \omega \rangle, q_1 \rangle \Vdash \alpha = \beta$ .

*Proof.* Suppose not. There are two cases to be considered.



*Case 1.* There are  $\langle r_0, \underline{r}_1 \rangle \leq \langle \langle \langle \rangle, \omega \rangle, q_1 \rangle$  and  $\delta$  such that  $\langle r_0, \underline{r}_1 \rangle \Vdash \text{“}\delta \in \underline{\alpha} \text{ and } \delta \notin \underline{\beta}\text{”}$ . We may suppose that for some ordinal  $\alpha$ ,  $\langle r_0, \underline{r}_1 \rangle \Vdash \underline{\alpha} = \alpha$ . Then  $\delta < \alpha$ . Let  $\underline{r}_0 = \langle s, A \rangle$ . Consider  $p^s = \langle p_0^s, p_1^s \rangle$ . Then  $p_0^s$  is compatible with  $r_0$  and there is a  $*$ -extension of  $p^s$  deciding  $\underline{\alpha}$ . Let  $t \in N_{s(\text{lh}(s)-1)+1}$  be the  $*$ -extension of  $p^s$  deciding  $\underline{\alpha}$  chosen in the proof of Lemma 3.9. Let  $t = \langle t_0, \underline{t}_1 \rangle, t_0 = \langle s, B \rangle$ , and let  $\gamma$  be such that  $\langle t_0, \underline{t}_1 \rangle \Vdash \underline{\alpha} = \gamma$ . Let  $n \in A \cap B$ . Then

- $p_0^{s \frown \langle n \rangle}, t_0$  and  $p_0^s$  are compatible and  $\langle s \frown \langle n \rangle, A \cap B \cap A_{s \frown \langle n \rangle} \rangle$  extends them,
- $p^{s \frown \langle n \rangle} \leq t$ .

Thus  $p^{s \frown \langle n \rangle} \Vdash \underline{\alpha} = \gamma$ . Let  $u = \langle s \frown \langle n \rangle, A \cap B \cap A_{s \frown \langle n \rangle} \setminus (n+1) \rangle$ .

Then  $u \leq p_0^{s \frown \langle n \rangle}$  and  $u \Vdash \text{“}\underline{r}_1 \text{ extends } p_1^{s \frown \langle n \rangle} \text{ which extends } \underline{t}_1\text{”}$ . Thus  $\langle u, \underline{r}_1 \rangle \leq t, \langle r_0, \underline{r}_1 \rangle, p^{s \frown \langle n \rangle}$ . It follows that  $\alpha = \gamma$ . Now  $\delta < \gamma$  and  $p^{s \frown \langle n \rangle} \Vdash \underline{\alpha} = \gamma$ . Hence  $\langle p_0^{s \frown \langle n \rangle}, \delta \rangle \in \underline{\beta}$  and  $p^{s \frown \langle n \rangle} \Vdash \delta \in \underline{\beta}$ . This is impossible since  $\langle r_0, \underline{r}_1 \rangle \Vdash \delta \notin \underline{\beta}$ .

*Case 2.* There are  $\langle r_0, \underline{r}_1 \rangle \leq \langle \langle \langle \rangle, \omega \rangle, q_1 \rangle$  and  $\delta$  such that  $\langle r_0, \underline{r}_1 \rangle \Vdash \text{“}\delta \in \underline{\beta} \text{ and } \delta \notin \underline{\alpha}\text{”}$ . We may further suppose that for some ordinal  $\alpha$ ,  $\langle r_0, \underline{r}_1 \rangle \Vdash \underline{\alpha} = \alpha$ . Thus  $\delta \geq \alpha$ . Let  $r = \langle s, A \rangle$ . Then as above  $p_0^s$  is compatible with  $r$  and there is a  $*$ -extension of  $p^s$  deciding  $\underline{\alpha}$ . Choose  $t$  as in Case 1,  $t = \langle t_0, \underline{t}_1 \rangle, t_0 = \langle s, B \rangle$  and let  $\gamma$  be such that  $\langle t_0, \underline{t}_1 \rangle \Vdash \underline{\alpha} = \gamma$ . Let  $n \in A \cap B$ . Then as in Case 1,  $\alpha = \gamma$  and  $p^{s \frown \langle n \rangle} \Vdash \underline{\alpha} = \gamma$ . On the other hand, since  $\langle r_0, \underline{r}_1 \rangle \Vdash \delta \in \underline{\beta}$ , we can find  $\bar{s}$  such that  $\bar{s}$  does not contradict  $p_0^{s \frown \langle n \rangle}, \langle p_0^{\bar{s}}, p_1^{\bar{s}} \rangle \Vdash \underline{\alpha} = \bar{\gamma}$ , for some  $\bar{\gamma} > \delta$  and  $\langle p_0^{\bar{s}}, \delta \rangle \in \underline{\beta}$ . Now  $\bar{\gamma} = \gamma = \alpha > \delta$ , which is in contradiction with  $\delta \geq \alpha$ . The claim follows.  $\square$

This completes the proof of Lemma 3.9.  $\square$

**Lemma 3.13.** *Let  $\langle p_0, p_1 \rangle \Vdash f : \omega \rightarrow ON$ . Then there are  $\mathbb{P}_U$ -names  $\underline{g}$  and  $\underline{q}_1$  such that  $\langle p_0, \underline{q}_1 \rangle \leq^* \langle p_0, p_1 \rangle$  and  $\langle p_0, \underline{q}_1 \rangle \Vdash \underline{f} = \underline{g}$ .*

*Proof.* For simplicity suppose that  $\langle p_0, p_1 \rangle = \langle \langle \langle \rangle, \omega \rangle, \emptyset \rangle$ . Let  $\theta$  be large enough regular and let  $\langle N_n : n < \omega \rangle$  be an increasing sequence of countable elementary submodels of  $H_\theta$  such that  $\mathbb{P}, f \in N_0$  and  $N_n \in N_{n+1}$  for every  $n < \omega$ . Let  $N = \bigcup_{n < \omega} N_n$ ,  $\delta_n = N_n \cap \omega_1$  for  $n < \omega$  and  $\delta = \bigcup_{n < \omega} \delta_n = N \cap \omega_1$ . Let  $\langle J_n : n < \omega \rangle \in N_0$  and  $\langle \alpha_i : 0 < i < \omega \rangle$  be as in Lemma 3.9.

We define by induction a sequence  $\langle p^s : s \in [\omega]^{<\omega} \rangle$  of conditions and a sequence  $\langle \underline{\beta}_s : s \in [\omega]^{<\omega} \rangle$  of  $\mathbb{P}_U$ -names for ordinals such that

- $p^s = \langle p_0^s, p_1^s \rangle = \langle \langle s, \omega \setminus (\max(s) + 1) \rangle, p_1^s \rangle$ ,
- $p^s \in N_{s(\text{lh}(s)-1)+1}$ ,
- $\text{lh}(p^s) \geq \delta_{s(\text{lh}(s)-1)}$ ,
- $p^s \Vdash \text{“}f(\text{lh}(s) - 1) = \underline{\beta}_s\text{”}$ ,
- if  $t$  end extends  $s$ , then  $p^t \leq p^s$ .

For  $s = \langle \rangle$ , let  $p^{\langle \rangle} = \langle \langle \langle \rangle, \omega \rangle, \emptyset \rangle$ . Now suppose that  $s \neq \langle \rangle$  and  $p^{s \upharpoonright \text{lh}(s)-1}$  is defined. We define  $p^s$ . Let  $C_{s \upharpoonright \text{lh}(s)-1}$  be an infinite subset of  $\omega$  almost disjoint to  $\langle \text{range}(p_1^{s \upharpoonright \text{lh}(s)-1}(\beta)) : \beta < \text{lh}(p^{s \upharpoonright \text{lh}(s)-1}) \rangle$ . Split  $C_{s \upharpoonright \text{lh}(s)-1}$  into  $\omega$  infinite disjoint sets  $\langle C_{s \upharpoonright \text{lh}(s)-1, t} : t \in [\omega]^{<\omega}$  and the  $t$  end extends  $s \upharpoonright \text{lh}(s) - 1 \rangle$ . Again split  $C_{s \upharpoonright \text{lh}(s)-1, s}$  into  $\omega$  infinite disjoint sets  $\langle C_i : i < \omega \rangle$ . Let  $\langle c_{ij} : j < \omega \rangle$  be an increasing enumeration of  $C_i, i < \omega$ . We may suppose that all of these are done in  $N_{s(\text{lh}(s)-1)+1}$ . Let  $q^s = \langle q_0^s, q_1^s \rangle$ , where

- $q_0^s = \langle s, \omega \setminus (\max(s) + 1) \rangle$ ,
- for  $\beta < \text{lh}(p^{s \upharpoonright \text{lh}(s)-1}), q_1^s(\beta) = p_1^{s \upharpoonright \text{lh}(s)-1}(\beta)$ ,

- for  $i \in J_{s(\text{lh}(s)-1)}$  such that  $\alpha_i \in \delta_{s(\text{lh}(s)-1)} \setminus \text{lh}(p^{s \upharpoonright \text{lh}(s)-1})$ ,  

$$\underset{\sim}{q}_1^s(\alpha_i) = \{ \langle \langle s \frown \langle r_1, \dots, r_i \rangle, \omega \setminus (r_i + 1) \rangle, c_{ir_i} \rangle : r_1 > \max(s), \langle r_1, \dots, r_i \rangle \in [\omega]^i \}.$$

Then  $q^s \in N_{s(\text{lh}(s)-1)+1}$  and as in the proof of claim 3.10,  $q^s \in \mathbb{P}$ . By Lemma 3.9, applied inside  $N_{s(\text{lh}(s)-1)+1}$ , we can find  $\mathbb{P}_U$ -names  $\beta_s$  and  $\underset{\sim}{p}_1^s$  such that  $\langle q_0^s, \underset{\sim}{p}_1^s \rangle \leq \langle q_0^s, \underset{\sim}{q}_1^s \rangle$  and  $\langle q_0^s, \underset{\sim}{p}_1^s \rangle \Vdash f(\text{lh}(s) - 1) = \beta_s$ . Let  $p^s = \langle p_0^s, \underset{\sim}{p}_1^s \rangle = \langle q_0^s, \underset{\sim}{p}_1^s \rangle$ . Then  $p^s \leq p^{s \upharpoonright \text{lh}(s)-1}$  and  $p^s \Vdash \check{f} \upharpoonright \text{lh}(s) = \{ \langle i, \underset{\sim}{\beta}_{s \upharpoonright i+1} \rangle : i < \text{lh}(s) \}$ .

This completes our definition of the sequences  $\langle p^s : s \in [\omega]^{<\omega} \rangle$  and  $\langle \underset{\sim}{\beta}_s : s \in [\omega]^{<\omega} \rangle$ . Let

$$\begin{aligned} \underset{\sim}{q}_1 &= \{ \langle p_0^s, \langle \beta, \underset{\sim}{p}_1^s(\beta) \rangle \rangle : s \in [\omega]^{<\omega}, \beta < \text{lh}(p^s) \}, \\ \underset{\sim}{g} &= \{ \langle p_0^s, \langle i, \underset{\sim}{\beta}_{s \upharpoonright i+1} \rangle \rangle : s \in [\omega]^{<\omega}, i < \text{lh}(s) \}. \end{aligned}$$

Then  $\underset{\sim}{q}_1$  and  $\underset{\sim}{g}$  are  $\mathbb{P}_U$ -names.

*Claim 3.14.*  $\langle \langle \langle \rangle, \omega \rangle, \underset{\sim}{q}_1 \rangle \in \mathbb{P}$ .

*Proof.* We check conditions in Definition 3.4.

- (1) i.e.  $\langle \langle \rangle, \omega \rangle \in \mathbb{P}_U$  is trivial.
- (2) It is clear by our construction that

$$\langle \langle \rangle, \omega \rangle \Vdash \text{“} \underset{\sim}{q}_1 \text{ is a well-defined function”}$$

and as in the proof of Claim 3.11, we can show that  $\text{lh}(\underset{\sim}{q}_1) = \delta$ . (2a) is trivial. Let us prove (2b). Thus suppose that  $I \subseteq \delta$ ,  $I \in V$ ,  $p \leq \langle \langle \rangle, \omega \rangle$  and  $J \subseteq \omega$  is finite. Let  $p = \langle s, A \rangle$ . If  $s = \langle \rangle$ , then as in the proof of Claim 3.11, we can show that  $a = \emptyset$  is a required. Thus suppose that  $s \neq \langle \rangle$ . First we apply (2b) to  $p^s$ ,  $I \cap \text{lh}(p^s)$ ,  $p$  and  $J$  to find  $a' \subseteq \text{lh}(p^s)$  such that

- (\*) For every finite  $b \subseteq I \cap \text{lh}(p^s) \setminus a'$  there is  $p' \leq^* p$  such that  $p' \Vdash (\forall \beta \in b, \forall k \in J, \underset{\sim}{p}_1^s(\beta) \neq k) \& (\forall \beta_1 \neq \beta_2 \in b, \underset{\sim}{p}_1^s(\beta_1) \neq \underset{\sim}{p}_1^s(\beta_2))$ .

Let  $\delta_{s(\text{lh}(s)-1)+1} \setminus \delta_{s(\text{lh}(s)-1)} = \{ \alpha_{J_1}, \dots, \alpha_{J_i}, \dots \}$  where  $J_1 < J_2 < \dots$  are in  $J_{s(\text{lh}(s)-1)+1}$ . Let

$$a = a' \cup \{ \alpha_1, \alpha_2, \dots, \alpha_{J_{\text{lh}(s)}} \}.$$

We show that  $a$  is as required. Let  $b \subseteq I \setminus a$  be finite. First we apply (\*) to  $b \cap \text{lh}(p^s)$  to find  $p' = \langle s, A' \rangle \leq^* p$  such that

$$p' \Vdash (\forall \beta \in b \cap \text{lh}(p^s), \forall k \in J, \underset{\sim}{p}_1^s(\beta) \neq k) \& (\forall \beta_1 \neq \beta_2 \in b \cap \text{lh}(p^s), \underset{\sim}{p}_1^s(\beta_1) \neq \underset{\sim}{p}_1^s(\beta_2)).$$

Also note that for  $\beta \in b \cap \text{lh}(p^s)$ ,  $p' \Vdash \underset{\sim}{q}_1(\beta) = \underset{\sim}{p}_1^s(\beta)$ . Pick  $m$  such that  $\max(s) + \max(J) + 1 < m < \omega$ , and if  $t$  end extends  $s$  and  $m < \max(t)$ , then  $C_{s,t}$  is disjoint to  $J$  and to  $\text{range}(\underset{\sim}{p}_1^s(\beta))$  for  $\beta \in b \cap \text{lh}(p^s)$ . Then pick  $n > m, n \in A'$  such that  $b \subseteq \delta_n$ , and let  $t = s \frown \langle n \rangle$ . Then

- $\forall \beta_1 \neq \beta_2 \in b \setminus \text{lh}(p^s)$ ,  $\text{range}(p_1^t(\beta_1)) \cap \text{range}(p_1^t(\beta_2)) = \emptyset$ ,
- $\forall \beta_1 \in b \cap \text{lh}(p^s), \forall \beta_2 \in b \setminus \text{lh}(p^s)$ ,  $\text{range}(p_1^t(\beta_1)) \cap \text{range}(p_1^t(\beta_2)) = \emptyset$ ,
- $\forall \beta \in b \setminus \text{lh}(p^s)$ ,  $\text{range}(p_1^t(\beta)) \cap J = \emptyset$ .

Let  $q = \langle s, B \rangle = \langle s, A' \setminus (n + 1) \rangle$ . Then  $q \leq^* p' \leq^* p$  and using the above facts we can show that

$$q \Vdash (\forall \beta \in b, \forall k \in J, \underset{\sim}{q}_1(\beta) = \underset{\sim}{p}_1^t(\beta) \neq k) \& (\forall \beta_1 \neq \beta_2 \in b, \underset{\sim}{q}_1(\beta_1) = \underset{\sim}{p}_1^t(\beta_1) \neq \underset{\sim}{p}_1^t(\beta_2) = \underset{\sim}{q}_1(\beta_2)).$$

Thus  $q$  is as required and the claim follows. □

*Claim 3.15.*  $\langle \langle \langle \cdot \rangle, \omega \rangle, \underset{\sim}{q}_1 \rangle \Vdash \underset{\sim}{f} = \underset{\sim}{g}$ .

*Proof.* Suppose not. Then we can find  $\langle r_0, \underset{\sim}{r}_1 \rangle \leq \langle \langle \langle \cdot \rangle, \omega \rangle, \underset{\sim}{q}_1 \rangle$  and  $i < \omega$  such that  $\langle r_0, \underset{\sim}{r}_1 \rangle \Vdash \underset{\sim}{f}(i) \neq \underset{\sim}{g}(i)$ . Let  $r_0 = \langle s, A \rangle$ . Then  $r_0$  is compatible with  $p_0^s$  and  $r_0 \Vdash \underset{\sim}{r}_1$  extends  $p_1^{s \restriction i}$ . Hence  $\langle r_0, \underset{\sim}{r}_1 \rangle \leq \langle p_0^s, p_1^s \rangle = p^s$ . Now  $p^s \Vdash \underset{\sim}{g}(i) = \underset{\sim}{\beta}_{s \restriction i+1} = \underset{\sim}{f}(i)$ , and we get a contradiction. The claim follows. □

This completes the proof of Lemma 3.13. □

The following is now immediate.

**Lemma 3.16.** *The forcing  $(\mathbb{P}, \leq)$  preserves cofinalities.*

*Proof.* By Lemma 3.13,  $\mathbb{P}$  preserves cofinalities  $\leq \omega_1$ . On the other hand, by a  $\Delta$ -system argument,  $\mathbb{P}$  satisfies the  $\omega_2$ -c.c. and hence it preserves cofinalities  $\geq \omega_2$ . □

**Lemma 3.17.** *Let  $G$  be  $(\mathbb{P}, \leq)$ -generic over  $V$ . Then  $V[G] \models GCH$ .*

*Proof.* By Lemma 3.13,  $V[G] \models CH$ . Now let  $\kappa \geq \omega_1$ . Then

$$(2^\kappa)^{V[G]} \leq ((|\mathbb{P}^{\omega_1}|)^\kappa)^V \leq (2^\kappa)^V = \kappa^+.$$

The result follows. □

Now we return to the proof of Theorem 3.1. Suppose that  $G$  is  $(\mathbb{P}, \leq)$ -generic over  $V$ , and let  $V_1 = V[G]$ . Then  $V_1$  is a cofinality and  $GCH$  preserving generic extension of  $V$ . We show that adding a Cohen real over  $V_1$  produces  $\aleph_1$ -many Cohen reals over  $V$ . Thus force to add a Cohen real over  $V_1$ . Split it into  $\omega$  Cohen reals over  $V_1$ . Denote them by  $\langle r_{n,m} : n, m < \omega \rangle$ . Also let  $\langle f_i : i < \omega_1 \rangle \in V$  be a sequence of almost disjoint functions from  $\omega$  into  $\omega$ . First we define a sequence  $\langle s_{n,i} : i < \omega_1 \rangle$  of reals by

$$\forall k < \omega, s_{n,i}(k) = r_{n, f_i(k)}(0).$$

Let  $\langle I_n : n < \omega \rangle$  be the partition of  $\omega_1$  produced by  $G$ . For  $\alpha < \omega_1$  let

- $n(\alpha) =$  that  $n < \omega$  such that  $\alpha \in I_n$ ,
- $i(\alpha) =$  that  $i < \omega_1$  such that  $\alpha$  is the  $i$ -th element of  $I_{n(\alpha)}$ .

We define a sequence  $\langle t_\alpha : \alpha < \omega_1 \rangle$  of reals by  $t_\alpha = s_{n(\alpha), i(\alpha)}$ . The following lemma completes the proof of Theorem 3.1.

**Lemma 3.18.**  *$\langle t_\alpha : \alpha < \omega_1 \rangle$  is a sequence of  $\aleph_1$ -many Cohen reals over  $V$ .*

*Proof.* First note that  $\langle r_{n,m} : n, m < \omega \rangle$  is  $\mathbb{C}(\omega \times \omega)$ -generic over  $V_1$ . By the c.c.c. of  $\mathbb{C}(\omega_1)$  it suffices to show that for every countable  $I \subseteq \omega_1$ ,  $I \in V$ ,  $\langle t_\alpha : \alpha \in I \rangle$  is  $\mathbb{C}(I)$ -generic over  $V$ . Thus it suffices to prove the following:

- (\*) For every  $\langle \langle p_0, p_1 \rangle, q \rangle \in \mathbb{P} * \mathbb{C}(\omega \times \omega)$  and every open dense subset  $D \in V$  of  $\mathbb{C}(I)$ , there is  $\langle \langle q_0, q_1 \rangle, r \rangle \leq \langle \langle p_0, p_1 \rangle, q \rangle$  such that  $\langle \langle q_0, q_1 \rangle, r \rangle \Vdash \langle \underset{\sim}{t}_\nu : \nu \in I \rangle$  extends some element of  $D$ .

Let  $\langle\langle p_0, p_1 \rangle, q\rangle$  and  $D$  be as above. Let  $\alpha = \sup(I)$ . We may suppose that  $\text{lh}(p_1) \geq \alpha$ . Let  $J = \{n : \exists m, k, \langle n, m, k \rangle \in \text{dom}(q)\}$ . We apply (2b) to  $\langle p_0, p_1 \rangle, I, p_0$  and  $J$  to find a finite set  $a \subseteq I$  such that:

$$(**) \quad \begin{aligned} &\text{For every finite } b \subseteq I \setminus a \text{ there is } p'_0 \leq^* p_0 \text{ such} \\ &\text{that } p'_0 \Vdash (\forall \beta \in b, \forall k \in J, p_1(\beta) \neq k) \& (\forall \beta_1 \neq \beta_2 \in \\ &b, p_1(\beta_1) \neq p_1(\beta_2)). \end{aligned}$$

Let

$$S = \{\langle \nu, k, j \rangle : \nu \in a, k < \omega, j < 2, \langle n(\nu), f_{i(\nu)}(k), 0, j \rangle \in q\}.$$

Then  $S \in \mathbb{C}(\omega_1)$ . Pick  $k_0 < \omega$  such that for all  $\nu_1 \neq \nu_2 \in a$ , and  $k \geq k_0$ ,  $f_{i(\nu_1)}(k) \neq f_{i(\nu_2)}(k)$ . Let

$$S^* = S \cup \{\langle \nu, k, 0 \rangle : \nu \in a, k < \kappa_0, \langle \nu, k, 1 \rangle \notin S\}.$$

The reason for defining  $S^*$  is to avoid possible collisions. Then  $S^* \in \mathbb{C}(\omega_1)$ . Pick  $S^{**} \in D$  such that  $S^{**} \leq S^*$ . Let  $b = \{\nu : \exists k, j, \langle \nu, k, j \rangle \in S^{**}\} \setminus q$ . By (\*\*) there is  $p'_0 \leq^* p_0$  such that

$$p'_0 \Vdash (\forall \nu \in b, \forall k \in J, p_1(\nu) \neq k) \& (\forall \nu_1 \neq \nu_2 \in b, p_1(\nu_1) \neq p_1(\nu_2)).$$

Let  $p''_0 \leq p'_0$  be such that  $\langle p''_0, p_1 \rangle$  decides all the colors of elements of  $a \cup b$ . Let

$$q^* = q \cup \{\langle n(\nu), f_{i(\nu)}(k), 0, S^{**}(\nu, k) \rangle : \langle \nu, k \rangle \in \text{dom}(S^{**})\}.$$

Then  $q^*$  is well defined and  $q^* \in \mathbb{C}(\omega \times \omega)$ . Now  $q^* \leq q$ ,  $\langle\langle p''_0, p_1 \rangle, q^*\rangle \leq \langle\langle p_0, p_1 \rangle, q\rangle$ , and for  $\langle \nu, k \rangle \in \text{dom}(S^{**})$ ,

$$\langle\langle p''_0, p_1 \rangle, q^*\rangle \Vdash S^{**}(\nu, k) = q^*(n(\nu), f_{i(\nu)}(k), 0) = \mathcal{r}_{n(\nu), f_{i(\nu)}(k)}(0) = \mathcal{t}_\nu(k).$$

It follows that

$$\langle\langle p''_0, p_1 \rangle, q^*\rangle \Vdash \langle \mathcal{t}_\nu : \nu \in I \rangle \text{ extends } S^{**}.$$

(\*) and hence Lemma 3.18 follow. □

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