

C*-ALGEBRAS FROM PLANAR ALGEBRAS I: CANONICAL C*-ALGEBRAS ASSOCIATED TO A PLANAR ALGEBRA

MICHAEL HARTGLASS AND DAVID PENNEYS

ABSTRACT. From a planar algebra, we give a functorial construction to produce numerous associated C*-algebras. Our main construction is a Hilbert C*-bimodule with a canonical real subspace which produces Pimsner-Toeplitz, Cuntz-Pimsner, and generalized free semicircular C*-algebras. By compressing this system, we obtain various canonical C*-algebras, including Doplicher-Roberts algebras, Guionnet-Jones-Shlyakhtenko algebras, universal (Toeplitz-) Cuntz-Krieger algebras, and the newly introduced free graph algebras. This is the first article in a series studying canonical C*-algebras associated to a planar algebra.

1. INTRODUCTION

Since Jones' landmark article [Jon83], the modern theory of subfactors has developed deep connections to numerous branches of mathematics, including, but not limited to, representation theory, category theory, knot theory, topological quantum field theory, statistical mechanics, conformal field theory, and free probability. The purpose of this series of articles is to develop connections to C*-algebras with a view toward connections to non-commutative geometry.

A II_1 -subfactor $N \subset M$ has finite index if ${}_N M$ is a finitely generated projective N -module. In this case, the index $[M : N]$ is the trace of the corresponding idempotent in $K_0(N)^+$. Jones remarkably proved that the index has discrete and continuous ranges

$$[M : N] \in \{4 \cos^2(\pi/n) \mid n = 3, 4, 5, \dots\} \cup [4, \infty),$$

and he constructed an example with each admissible index [Jon83].

Decomposing the alternating tensor powers of ${}_N L^2(M)_M$ and ${}_M L^2(M)_N$ into irreducible bimodules yields a unitary 2-category called the standard invariant, generalizing the representation categories of quantum groups. The objects are N and M , the 1-morphisms are bimodules generated by ${}_N L^2(M)_M$ and its dual ${}_M L^2(M)_N$, and the 2-morphisms are bimodule maps. The principal graphs are the induction/restriction multigraphs corresponding to tensoring with ${}_N L^2(M)_M$ and ${}_M L^2(M)_N$.

The standard invariant has been axiomatized in three similar ways, each emphasizing slightly different structure: Ocneanu's paragroups [Ocn88, EK98], Popa's λ -lattices [Pop95], and Jones' planar algebras [Jon99]. In [Pop93, Pop95, Pop02], Popa starts with a λ -lattice $A_{\bullet, \bullet} = (A_{i,j})$ and constructs a II_1 -subfactor whose

Received by the editors June 9, 2014 and, in revised form, May 31, 2015.

2010 *Mathematics Subject Classification*. Primary 46L05, 46L37; Secondary 46L54.

standard invariant is $A_{\bullet, \bullet}$. If the standard invariant has finite depth or, more generally, is strongly amenable, the resulting subfactor is hyperfinite. Hence we have a Tannaka-Krein like duality between hyperfinite (strongly) amenable subfactors and (strongly) amenable standard invariants. For finite depth subfactors, Popa's canonical commuting squares [Pop90] are roughly equivalent to Ocneanu's flat connections and string algebras [Ocn88].

The techniques used for the above duality, particularly Ocneanu's string algebras in finite depth, are highly similar to those used by Doplicher-Roberts [DR89] in their duality for subgroups of compact groups independent of the classical Tannaka-Krein theory [JS91]. Given a closed subgroup $G \subset SU(n)$, the representation category $\text{Rep}(G)$ forms a symmetric rigid C^* -tensor category. Conversely, given a suitably nice object ρ in a symmetric rigid C^* -tensor category \mathcal{C} , they construct a C^* -algebra \mathcal{O}_ρ together with a canonical endomorphism $\hat{\rho}$ which produces a closed subgroup $G \subset SU(n)$ that encodes the category \mathcal{C} .

The algebra \mathcal{O}_ρ is a compression of the Cuntz-Krieger graph algebra $\mathcal{O}_{\bar{\Gamma}_\rho}$ of (a directed version of) the fusion graph Γ_ρ with respect to ρ [MRS92] (see Remark 2.22). In fact, Izumi used a slight variation of the Doplicher-Roberts algebras to find a link with subfactor theory in [Izu98], where he obtained inclusions of (compressions of) Cuntz-Krieger algebras with finite Watatani indices (see Remark 2.23).

In [GJS10, GJS11], starting with a subfactor planar algebra \mathcal{P}_\bullet , Guionnet, Jones, and Shlyakhtenko (GJS) gave a diagrammatic proof of Popa's celebrated reconstruction theorem, developing connections to free probability and random matrices. They showed that the resulting factors are interpolated free group factors when \mathcal{P}_\bullet is finite depth. When \mathcal{P}_\bullet is infinite depth, Hartglass showed the factors are $L(\mathbb{F}_\infty)$ [Har13].

In this article, we provide a framework to fit the above constructions together. Our construction is motivated by the work of Voiculescu and Pimsner and ideas of Jones [Jon].

First, in [Voi85], Voiculescu produced his free Gaussian functor. Starting with an n -dimensional real Hilbert space $H_{\mathbb{R}}$ and real vectors $\xi \in H_{\mathbb{R}}$, Voiculescu forms creation and annihilation operators $L(\xi), L(\xi)^*$ acting on the full Fock space $\mathcal{F}(H_{\mathbb{C}})$ of the complexified Hilbert space. The $L(\xi), L(\xi)^*$ generate the Toeplitz algebra \mathcal{T}_n which contains the compacts \mathcal{K} , and $\mathcal{T}_n/\mathcal{K}$ is isomorphic to the Cuntz algebra \mathcal{O}_n [Cun77]. The sums $L(\xi) + L(\xi)^*$ generate Voiculescu's free semicircular algebra \mathcal{S}_n .

Starting with a C^* -algebra A and a Hilbert A - A bimodule \mathcal{Y} , one can mimic the same construction, as in work of Pimsner [Pim97]. We get the Pimsner-Toeplitz algebra $\mathcal{T}(\mathcal{Y})$ of creation and annihilation operators on the Pimsner-Fock space $\mathcal{F}(\mathcal{Y})$, which contains the compacts $\mathcal{K}(\mathcal{F}(\mathcal{Y}))$. If A acts on \mathcal{Y} by compact operators, the Cuntz-Pimsner algebra is the quotient C^* -algebra $\mathcal{O}(\mathcal{Y}) = \mathcal{T}(\mathcal{Y})/\mathcal{K}(\mathcal{F}(\mathcal{Y}))$. If we have a distinguished real subspace $\mathcal{Y}_{\mathbb{R}} \subset \mathcal{Y}$ such that $\mathcal{Y}_{\mathbb{R}} \cdot A = \mathcal{Y}$, we also get a free semicircular algebra $\mathcal{S}(\mathcal{Y}_{\mathbb{R}})$ and KK -equivalences $A \hookrightarrow \mathcal{S}(\mathcal{Y}_{\mathbb{R}}) \hookrightarrow \mathcal{T}(\mathcal{Y})$ [Ger].

Starting with a (sub)factor planar algebra \mathcal{P}_\bullet (see Subsection 2.4), we define a ground C^* -algebra $\mathcal{B} = \mathcal{B}(\mathcal{P}_\bullet)$ and a \mathcal{B} - \mathcal{B} Hilbert bimodule $\mathcal{X} = \mathcal{X}(\mathcal{P}_\bullet)$ with a distinguished real subspace $\mathcal{X}_{\mathbb{R}} \subset \mathcal{X}$ satisfying $\mathcal{X}_{\mathbb{R}} \cdot \mathcal{B} = \mathcal{X}$. From our bimodule, we obtain the Pimsner-Fock space $\mathcal{F}(\mathcal{P}_\bullet)$, a Pimsner-Toeplitz algebra $\mathcal{T}(\mathcal{P}_\bullet)$ of creation and annihilation operators, a Cuntz-Pimsner quotient $\mathcal{O}(\mathcal{P}_\bullet)$, and a free semicircular algebra $\mathcal{S}(\mathcal{P}_\bullet)$, which is isomorphic to the C^* -analog of the semifinite GJS von Neumann algebra [GJS11]. Moreover, we have the following.

Theorem A. *The assignments of \mathcal{P}_\bullet to $\mathcal{X}(\mathcal{P}_\bullet)$, $\mathcal{F}(\mathcal{P}_\bullet)$, $\mathcal{T}(\mathcal{P}_\bullet)$, $\mathcal{O}(\mathcal{P}_\bullet)$, and $\mathcal{S}(\mathcal{P}_\bullet)$ are functorial.*

Theorem A is a summary of the results of Subsection 4.4.

Compressions of $\mathcal{X}(\mathcal{P}_\bullet)$ yield other canonical Hilbert bimodules associated to \mathcal{P}_\bullet and its principal graph Γ . First, we recover the Cuntz-Krieger bimodule of [Pim97, FR99]. The corresponding compressions of the Cuntz-Pimsner and Pimsner-Toeplitz algebras are exactly the Cuntz-Krieger algebra [CK80] of $\vec{\Gamma}$, a certain directed graph associated to Γ , and its universal Toeplitz extension [FR99].

We define a new canonical C*-algebra associated to an undirected graph, which is the C*-analog of the free graph von Neumann algebras appearing in [GJS11, BKS12, Har13].

Theorem B. *Given an arbitrary undirected graph Λ and a (non-degenerate) weighting μ on its vertices, we define the free graph algebra $\mathcal{S}(\Lambda, \mu)$ as a canonical sub-algebra of the Toeplitz-Cuntz-Krieger algebra $\mathcal{T}_{\vec{\Lambda}}$, provided we remember the edge generators S_e of $\mathcal{T}_{\vec{\Lambda}}$. The inclusion $C_0(V(\Lambda)) \hookrightarrow \mathcal{S}(\Lambda, \mu)$ is a KK-equivalence. In certain situations, $\mathcal{S}(\Lambda, \mu)$ passes injectively to the quotient Cuntz-Krieger algebra $\mathcal{O}_{\vec{\Lambda}}$.*

Theorem B is a summary of the results of Subsection 5.3.

When $\Lambda = \Gamma$, the principal graph of \mathcal{P}_\bullet , we let μ be the quantum dimension weighting induced from the trace on \mathcal{P}_\bullet , which satisfies the Frobenius-Perron condition for the modulus δ . Then $\mathcal{S}(\Gamma) = \mathcal{S}(\Gamma, \mu)$ arises as the compression of the semifinite GJS C*-algebra corresponding to the compression of $\mathcal{X}(\mathcal{P}_\bullet)$ realizing the Cuntz-Krieger bimodule. Moreover, $\mathcal{S}(\Gamma)$ always passes injectively to the quotient Cuntz-Krieger algebra $\mathcal{O}_{\vec{\Gamma}}$.

A further compression yields an honest Hilbert space $\mathcal{F}_0(\mathcal{P}_\bullet)$ associated to a planar algebra originally due to Jones-Shlyakhtenko-Walker [JSW10]. The corresponding compression of $\mathcal{O}(\mathcal{P}_\bullet)$ is the Doplicher-Roberts algebra \mathcal{O}_ρ , where ρ corresponds to the strand in $\text{Pro}(\mathcal{P}_\bullet)$, the rigid C*-tensor category of projections of \mathcal{P}_\bullet [MPS10, Yam12, BHP12]. Hence we recover the main result of [MRS92] on compressing $\mathcal{O}_{\vec{\Gamma}_\rho}$ to obtain \mathcal{O}_ρ . The compression of $\mathcal{T}(\mathcal{P}_\bullet)$ is a Toeplitz extension of \mathcal{O}_ρ by the compacts \mathcal{K} on $\mathcal{F}_0(\mathcal{P}_\bullet)$.

In this case, the compression of the semifinite GJS C*-algebra (and also the free graph algebra $\mathcal{S}(\Gamma)$) is the zeroth GJS C*-algebra [GJS10, JSW10, HP14]. When $\mathcal{P}_\bullet = \mathcal{NC}_\bullet$, the planar algebra of non-commuting polynomials in n self-adjoint variables (see Definition 3.14), this second compression exactly recovers Voiculescu's free Gaussian functor.

TABLE 1. C*-algebras arising from our C*-Hilbert bimodule $\mathcal{X}(\mathcal{P}_\bullet)$.

	$\mathcal{A} = \mathcal{O}$	$\mathcal{A} = \mathcal{T}$	$\mathcal{A} = \mathcal{S}$
$\mathcal{A}(\mathcal{P}_\bullet)$	Cuntz-Pimsner	Pimsner-Toeplitz	semifinite GJS algebra
$\mathcal{A}(\Gamma)$	Cuntz-Krieger $\mathcal{O}_{\vec{\Gamma}}$	Toeplitz-Cuntz-Krieger $\mathcal{T}_{\vec{\Gamma}}$	free graph algebra $\mathcal{S}(\Gamma)$
$\mathcal{A}_0(\mathcal{P}_\bullet)$	Doplicher-Roberts \mathcal{O}_ρ	Toeplitz extension by \mathcal{K}	GJS algebra
$\mathcal{A}_0(\mathcal{NC}_\bullet)$	Cuntz \mathcal{O}_n	Toeplitz \mathcal{T}_n	Free semicircular system

We immediately see some basic properties of the algebras given in Table 1. First, for $\mathcal{A} \in \{\mathcal{O}, \mathcal{T}, \mathcal{S}\}$, we have $\mathcal{A}(\mathcal{P}_\bullet) \cong \mathcal{A}(\Gamma) \otimes \mathcal{K}$, where \mathcal{K} is the compact operators on

a separable, infinite dimensional Hilbert space. This fact, together with Theorem B above, yields the following corollary.

Corollary. *The semifinite GJS algebra $\mathcal{S}(\mathcal{P}_\bullet)$ and the free graph algebra $\mathcal{S}(\Gamma)$ are subnuclear, thus exact.*

In Part II of this series [HP14], we use our functorial construction to analyze the structure of the GJS C^* -algebra $\mathcal{S}_0(\mathcal{P}_\bullet)$. In particular, we show it is simple, has unique tracial state, has stable rank 1, and has weakly unperforated K_0 -group. We will also show that $\mathcal{S}_0(\mathcal{P}_\bullet)$ is strongly Morita equivalent to $\mathcal{S}(\Gamma)$ and to $\mathcal{S}(\mathcal{P}_\bullet)$, and thus we determine its K -theory from Theorem B.

1.1. Outline. In Section 2, we give the background for our construction, including material on C^* -Hilbert bimodules, Cuntz-Krieger graph algebras, Doplicher-Roberts algebras, and planar algebras. In Section 3, we give the first construction: the GJSW-Doplicher-Roberts system based on [Jon]. There are slight problems with functoriality of this construction, and it does not allow for the efficient computation of $K_*(\mathcal{S}_0(\mathcal{P}_\bullet))$.

Our operator-valued system in Section 4 alleviates these concerns, and we compress it in Section 5 to obtain numerous canonical C^* -algebras, including (Toeplitz-) Cuntz-Krieger algebras, as well as the GJSW-Doplicher-Roberts system of Section 3. Of particular importance is Subsection 5.3, where we introduce the free graph algebra $\mathcal{S}(\Lambda, \mu)$.

2. BACKGROUND

In this section, we begin by studying canonical C^* -algebras associated to a Hilbert bimodule \mathcal{Y} . We follow [Pim97], defining the Pimsner-Toeplitz and Cuntz-Pimsner algebras. If we have a real subspace $\mathcal{Y}_\mathbb{R} \subset \mathcal{Y}$, we also get a free semicircular algebra as in [Ger]. This construction allows for the computation of K -theory via [Ger].

We then define the Cuntz-Krieger algebras [CK80] via the Cuntz-Krieger bimodule [FR99]. Next, we look at the Doplicher-Roberts algebras \mathcal{O}_ρ associated to an object ρ in a rigid C^* -tensor category \mathcal{C} [DR89].

Finally, we discuss planar algebras as the rest of this article will connect the above ideas to shaded and unshaded planar algebras.

2.1. C^* -algebras associated to a Hilbert bimodule. We now recall the construction of the Pimsner-Toeplitz and Cuntz-Pimsner algebras of a Hilbert $A - B$ bimodule \mathcal{Y} from [Pim97]. We will assume A acts by compact operators to simplify the definitions. First, we recall the notion of an $A - B$ Hilbert-bimodule for C^* -algebras A and B .

Definition 2.1. An $A - B$ Hilbert bimodule \mathcal{Y} is a Banach space with an isometric left action of A and a right action of B , together with a B -valued sesquilinear form $\langle \cdot | \cdot \rangle_B$ on \mathcal{Y} which is conjugate linear in the first variable. It satisfies:

- (1) $\|\xi\|_{\mathcal{Y}} = \|\langle \xi | \xi \rangle_B\|_B^{1/2}$ for all $\xi \in \mathcal{Y}$. In particular, $\langle \cdot | \cdot \rangle_B$ is positive definite.
- (2) $\langle \xi | \eta \rangle_B = \langle \eta | \xi \rangle_B^*$ for all $\xi, \eta \in \mathcal{Y}$.
- (3) $\langle x\xi | \eta \rangle_B = \langle \xi | x^*\eta \rangle_B$ for all $\xi, \eta \in \mathcal{Y}$ and $x \in A$.
- (4) $\langle \xi | \eta \rangle_B \cdot y = \langle \xi | \eta y \rangle_B$ for all $\xi, \eta \in \mathcal{Y}$ and $y \in B$.

We denote by $\mathcal{L}(\mathcal{Y})$ the operators T on \mathcal{Y} which are bounded and adjointable; i.e., there exists T^* such that $\langle T\xi|\eta\rangle_B = \langle \xi|T^*\eta\rangle_B$ for all $\xi, \eta \in \mathcal{Y}$.

For $\eta, \xi \in \mathcal{Y}$, we define the rank one operator $|\eta\rangle\langle \xi|$ by $|\eta\rangle\langle \xi|\zeta = \eta\langle \xi|\zeta\rangle_B$. Note that each rank one operator is adjointable, and $|\eta\rangle\langle \xi|^* = |\xi\rangle\langle \eta|$. The \mathcal{Y} -compact operators, denoted $\mathcal{K}(\mathcal{Y})$, form the C*-algebra generated by the rank one operators. Note that $\mathcal{K}(\mathcal{Y})$ is an ideal of $\mathcal{L}(\mathcal{Y})$.

We say A acts by compact operators on \mathcal{Y} if the left action of A on \mathcal{Y} is by operators in $\mathcal{K}(\mathcal{Y})$.

Definition 2.2. Let A, B, C be C*-algebras, with \mathcal{Y} an $A - B$ Hilbert bimodule and \mathcal{Z} a $B - C$ Hilbert bimodule. Let $\mathcal{Y} \odot \mathcal{Z}$ be the algebraic tensor product over C . Define a C -valued inner product on $\mathcal{Y} \odot \mathcal{Z}$ by

$$\langle \eta_1 \odot \eta_2 | \xi_1 \odot \xi_2 \rangle_C = \langle \eta_2 | \langle \eta_1 | \xi_1 \rangle_B \xi_2 \rangle_C,$$

and define $\mathcal{N} = \{\xi \in \mathcal{Y} \odot \mathcal{Z} | \langle \xi | \xi \rangle_C = 0\}$. Then $\mathcal{Y} \otimes_B \mathcal{Z}$ is defined to be the completion of $(\mathcal{Y} \odot \mathcal{Z})/\mathcal{N}$ with respect to the above C -valued inner product.

Definition 2.3. Let \mathcal{Y} be a Hilbert $A - A$ bimodule, where A acts by compact operators on \mathcal{Y} . The Pimsner-Fock space of \mathcal{Y} is given by

$$\mathcal{F}(\mathcal{Y}) = \bigoplus_{n=0}^{\infty} \bigotimes_A^n \mathcal{Y},$$

where $\bigotimes_A^0 \mathcal{Y} = A$ and the completion of the direct sum is with respect to the A -valued inner product which is the A -linear extension of

$$\langle \eta_1 \otimes \cdots \otimes \eta_m | \xi_1 \otimes \cdots \otimes \xi_n \rangle_A = \delta_{m,n} \langle \eta_n | \langle \eta_{n-1} | \cdots \langle \eta_2 | \langle \eta_1 | \xi_1 \rangle_A \xi_2 \rangle_A \cdots \xi_{n-1} \rangle_A \xi_n \rangle_A.$$

The Pimsner-Toeplitz algebra $\mathcal{T}(\mathcal{Y})$ is the C*-subalgebra of $\mathcal{L}(\mathcal{F}(\mathcal{Y}))$ generated by A and creation operators $L_+(x)$ for $x \in \mathcal{Y}$. The action of the creation operators is given by

$$L_+(x)(y_1 \otimes \cdots \otimes y_n) = x \otimes y_1 \otimes \cdots \otimes y_n.$$

The operators $L_+(x)$ are bounded and adjointable with adjoint

$$(L_+(x))^*(y_1 \otimes \cdots \otimes y_n) = \langle x | y_1 \rangle_A \cdot y_2 \otimes \cdots \otimes y_n.$$

Notice that $L_+(y)^*L_+(x) = \langle y | x \rangle_A$ so that $\|L_+(x)\|_{\mathcal{L}(\mathcal{F}(\mathcal{Y}))} = \|x\|_A$.

The Cuntz-Pimsner algebra $\mathcal{O}(\mathcal{Y})$ is given by $\mathcal{T}(\mathcal{Y})/\mathcal{K}(\mathcal{F}(\mathcal{Y}))$. (Clearly $\mathcal{K}(\mathcal{F}(\mathcal{Y})) \subset \mathcal{T}(\mathcal{Y})$.)

Definition 2.4. The algebra $\mathcal{T}(\mathcal{Y})$ has a canonical C*-dynamical system. There is a gauge action $\gamma : \mathbb{T} \rightarrow \text{Aut}(\mathcal{T}(\mathcal{Y}))$ satisfying $\gamma_z(L_+(\xi)) = zL_+(\xi)$ for all $\xi \in \mathcal{Y}$, and there is a dynamics $\sigma : \mathbb{R} \rightarrow \text{Aut}(\mathcal{T}(\mathcal{Y}))$ which is lifted from γ via the map $t \mapsto e^{it}$.

Note that we have the (unbounded) number operator given by $N\xi = n\xi$ for $\xi \in \bigotimes_A^n \mathcal{Y}$. Hence the map $\exp(itN)$ given by $\xi \mapsto e^{itn}\xi$ extends uniquely to a unitary on $\mathcal{F}(\mathcal{Y})$. For $x \in \mathcal{T}(\mathcal{Y})$, we have $\sigma_t(x) = \exp(itN)x \exp(-itN)$ for $t \in \mathbb{R}$.

Recall that a state φ is a KMS_β state for $(\mathcal{T}(\mathcal{Y}), \sigma)$ for $\beta > 0$ if for all $x, y \in \mathcal{T}(\mathcal{Y})$ with y entire we have $\varphi(x\sigma_{i\beta}(y)) = \varphi(yx)$ [Ped79, BEK86]. The element $y \in \mathcal{T}(\mathcal{Y})$ is entire if $t \mapsto \sigma_t(y)$ extends to an entire function.

Definition 2.5. Define the conditional expectation $E : \mathcal{T}(\mathcal{Y}) \rightarrow \mathcal{T}(\mathcal{Y})^{\mathbb{T}}$ by

$$E(x) = \int_{\mathbb{T}} \gamma_z(x) dz$$

where we use the normalized Lebesgue measure on \mathbb{T} . The image of E is called the core of $\mathcal{T}(\mathcal{Y})$.

Since every $A - A$ bilinear isomorphism of \mathcal{Y} induces an automorphism of $\mathcal{O}(\mathcal{Y})$, we get a gauge action on $\mathcal{O}(\mathcal{Y})$ as well. Again, we have a conditional expectation $E : \mathcal{O}(\mathcal{Y}) \rightarrow \mathcal{O}(\mathcal{Y})^{\mathbb{T}}$, and the image is called the core of $\mathcal{O}(\mathcal{Y})$.

Remark 2.6. It is of great interest to study KMS states on the Pimsner-Toeplitz and Cuntz-Pimsner algebras (e.g., see [LN04]). Recall that if φ is a KMS state on A , then $\mathfrak{N}_\varphi = \{x \in A \mid \varphi(x^*x) = 0\}$ is a closed 2-sided ideal in A . Since φ is a state, \mathfrak{N}_φ is a closed left ideal, as $\varphi(x^*x) = 0$ implies $\varphi(xy^*yx) \leq \|y^*y\|\varphi(x^*x) = 0$. Using the KMS-condition and the Cauchy-Schwarz inequality, for y^* entire in $\mathcal{T}(\mathcal{Y})$,

$$\begin{aligned} \varphi(y^*x^*xy) &= \varphi(x^*xy\sigma_{i\beta}(y^*)) \leq \|x^*x\|_2 \|y\sigma_{i\beta}(y^*)\|_2 \\ &\leq \|x\|_\infty \varphi(x^*x)^{1/2} \|y\sigma_{i\beta}(y^*)\|_2 = 0. \end{aligned}$$

Hence by continuity, we see that \mathfrak{N}_φ is a right ideal. In many cases, $\mathfrak{N}_\varphi = \mathcal{K}(\mathcal{Y})$, and we will see such examples in Subsections 3.2 and 4.3.

Definition 2.7. Let $\mathcal{Y}_{\mathbb{R}}$ be a closed real subspace of \mathcal{Y} such that $\mathcal{Y}_{\mathbb{R}} \cdot A = \mathcal{Y}$. The semicircular algebra $\mathcal{S}(\mathcal{Y}_{\mathbb{R}})$ of $\mathcal{Y}_{\mathbb{R}}$ is the C^* -subalgebra of $\mathcal{L}(\mathcal{F}(\mathcal{Y}))$ generated by A together with the operators $\{L_+(\eta) + L_+(\eta)^* \mid \eta \in \mathcal{Y}_{\mathbb{R}}\}$.

We have the following theorem from [Ger].

Theorem 2.8. *Suppose A is a C^* -algebra and \mathcal{Y} is an $A - A$ Hilbert bimodule with inner product $\langle \cdot | \cdot \rangle_A$. Let $\mathcal{Y}_{\mathbb{R}}$ be a closed real subspace of \mathcal{Y} such that $\mathcal{Y}_{\mathbb{R}} \cdot A = \mathcal{Y}$. The canonical inclusions $i : A \rightarrow \mathcal{S}(\mathcal{Y}_{\mathbb{R}})$ and $j : \mathcal{S}(\mathcal{Y}_{\mathbb{R}}) \rightarrow \mathcal{T}(\mathcal{Y})$ are KK-equivalences.*

Remark 2.9. The proof that $j \circ i : A \rightarrow \mathcal{T}(\mathcal{Y})$ is a KK-equivalence is originally in [Pim97].

Example 2.10 (Voiculescu’s free Gaussian functor [Voi85]). Let $H_{\mathbb{R}}$ be a real Hilbert space, and let H be its complexification. Consider H as a $\mathbb{C} - \mathbb{C}$ Hilbert bimodule in the obvious way. In this case, we get Voiculescu’s free Gaussian functor.

The full Fock space is

$$\mathcal{F}(H) = \bigoplus_{n \geq 0} H^{\otimes n},$$

where $H^{\otimes 0}$ denotes a one dimensional Hilbert space spanned by the vector Ω . The left creation and annihilation operators $L_+(\xi), L_+(\xi)^*$ for $\xi \in H$ are the usual left creation and annihilation operators on full Fock space.

We may also define right creation and annihilation operators, which commute with the left creation and annihilation operators up to the compacts. For all $\eta, \xi \in H$, we have the relations $[L_+(\eta), R_+(\xi)] = 0$ and $[R_+(\eta)^*, L_+(\xi)] = \langle \xi, \eta \rangle p_\Omega$ and their adjoints.

When $\dim(H_{\mathbb{R}}) = n < \infty$, the Pimsner-Toeplitz and Cuntz-Pimsner algebras are the Toeplitz algebra \mathcal{T}_n and the Cuntz algebra \mathcal{O}_n respectively. On the full Fock space $\mathcal{F}(H)$,

- (1) $L_+(\xi)^*L_+(\xi) = \|\xi\|^2$ for all $\xi \in H_{\mathbb{R}}$, and
- (2) if $\{\xi_1, \dots, \xi_n\}$ is an orthonormal basis for $H_{\mathbb{R}}$, then $\sum_{i=1}^n L_+(\xi_i)L_+(\xi_i)^* = 1 - p_\Omega$.

The representation of \mathcal{T}_n on $\mathcal{F}(H)$ is irreducible, so \mathcal{T}_n contains the compact operators \mathcal{K} on $\mathcal{F}(H)$. Moreover, $\mathcal{T}_n/\mathcal{K} \cong \mathcal{O}_n$. If we set $S_i = L_+(\xi_i)$ for $i = 1, \dots, n$ for an orthonormal basis $\{\xi_1, \dots, \xi_n\}$ of $H_{\mathbb{R}}$, the S_i 's satisfy the Cuntz algebra relations

$$\sum_{i=1}^n S_i S_i^* = 1 = S_j^* S_j \text{ for all } j = 1, \dots, n.$$

It is well known that \mathcal{O}_n is simple and purely infinite, and $K_0(\mathcal{O}_n) = \mathbb{Z}/(n - 1)\mathbb{Z}$ and $K_1(\mathcal{O}_n) = (0)$ [Cun81b].

The point of starting with a real Hilbert space is that we get Voiculescu's free semicircular C*-algebra \mathcal{S}_n as the C*-algebra generated by the elements $L_+(\xi) + L_+(\xi)^*$ for $\xi \in H_{\mathbb{R}}$. The von Neumann completion of \mathcal{S}_n is isomorphic to $L(\mathbb{F}_n)$.

In this case, the \mathbb{R} -action satisfies $\sigma_t(S_j) = e^{it} S_j$ for all $j = 1, \dots, n$, and the core of \mathcal{O}_n is the AF algebra $\bigotimes_{\infty} M_n(\mathbb{C})$, which has a unique tracial state. By [OP78], there is a unique KMS state on \mathcal{O}_n for $2 \leq n < \infty$, where the only admissible β -value is $\ln(n)$. The $\text{KMS}_{\ln(n)}$ -state φ is given by

$$\varphi(S_{i_k} \cdots S_{i_1} S_{j_1}^* \cdots S_{j_\ell}^*) = e^{-\ln(n)k} \delta_{k,\ell} \delta_{i_1, j_1} \cdots \delta_{i_k, j_k}.$$

As \mathfrak{N}_φ must be an ideal of \mathcal{O}_n , we must have $\mathfrak{N}_\varphi = (0)$, i.e., φ is faithful.

Moreover, there is a unique $\text{KMS}_{\ln(n)}$ -state on \mathcal{T}_n which factors through φ , so taking the GNS representation of \mathcal{T}_n implements the canonical surjection $\mathcal{T}_n \rightarrow \mathcal{O}_n$. (However, note that for each $\beta > \ln(n)$, there is a KMS_β -state on \mathcal{T}_n by [aHLRS13] which does not factor through \mathcal{O}_n .)

The K -theory of \mathcal{O}_n was computed in [Cun81b], and the K -theory of \mathcal{S}_n was computed in [Voi93]. Note that by [Ger], we have KK-equivalences $i : \mathbb{C} \rightarrow \mathcal{S}_n$ and $j : \mathcal{S}_n \rightarrow \mathcal{T}_n$.

2.2. Cuntz-Krieger graph algebras and Toeplitz extensions. We define the Toeplitz-Cuntz-Krieger and Cuntz-Krieger [CK80] algebras associated to a directed graph Λ as the Pimsner-Toeplitz and Cuntz-Pimsner algebras associated to the Cuntz-Krieger bimodule [FR99] respectively. This spatial approach is parallel to Voiculescu's free Gaussian functor [Voi85] and to our operator valued system in Section 4.

Notation 2.11. A directed graph $\Lambda = (V(\Lambda), E(\Lambda), s, t)$ consists of a countable vertex set $V(\Lambda)$, a countable edge set $E(\Lambda)$, and source and target maps $s, t : E(\Lambda) \rightarrow V(\Lambda)$.

We use the characters Λ, Γ for graphs, and we will specify whether they are directed. Usually the character E is used in the graph algebra literature, but we reserve the character E for our conditional expectations.

Definition 2.12. Given a directed graph $\Lambda = (V(\Lambda), E(\Lambda), s, t)$, let $\mathcal{C}_\Lambda = C_0(V(\Lambda))$. We define the Cuntz-Krieger bimodule $Y(\Lambda)$ (called $X(\Lambda)$ in [FR99, Example 1.2]; see also [Pim97, p. 193]) as

$$Y(\Lambda) = \left\{ f : E(\Lambda) \rightarrow \mathbb{C} \mid V(\Lambda) \ni \alpha \mapsto \sum_{t(\epsilon)=\alpha} |f(\epsilon)|^2 \text{ is in } \mathcal{C}_\Lambda \right\}$$

together with the following $\mathcal{C}_\Lambda - \mathcal{C}_\Lambda$ Hilbert bimodule structure.

For $\epsilon \in E(\Lambda)$, let χ_ϵ be the indicator function at $\epsilon \in E(\Lambda)$, i.e., $\chi_\epsilon(\epsilon') = \delta_{\epsilon=\epsilon'}$. Then $Y(\Lambda)$ is the completion of the space of finitely supported functions on $E(\Lambda)$

under the \mathcal{C}_Λ -valued inner product

$$\langle \chi_{\epsilon'} | \chi_\epsilon \rangle_{\mathcal{C}_\Lambda} = \delta_{\epsilon=\epsilon'} p_{t(\epsilon)}$$

where p_α is the indicator function at $\alpha \in V(\Lambda)$. Note $Y(\Lambda)$ is naturally a $\mathcal{C}_\Lambda - \mathcal{C}_\Lambda$ Hilbert bimodule under the actions $p_\alpha \chi_\epsilon = \delta_{\alpha=s(\epsilon)} \chi_\epsilon$ and $\chi_\epsilon p_\alpha = \delta_{t(\epsilon)=\alpha} \chi_\epsilon$.

The Toeplitz-Cuntz-Krieger algebra \mathcal{T}_Λ is the Pimsner-Toeplitz algebra $\mathcal{T}(Y(\Lambda))$. The Cuntz-Krieger algebra \mathcal{O}_Λ is the Cuntz-Pimsner algebra $\mathcal{O}(Y(\Lambda))$.

Remark 2.13. If $\Lambda = (V(\Lambda), E(\Lambda), s, t)$ is a locally finite directed graph with no sinks, then these algebras can also be defined as universal C^* -algebras via generators and relations as follows.

- (1) The Toeplitz-Cuntz-Krieger algebra \mathcal{T}_Λ is the universal C^* -algebra generated by partial isometries S_ϵ for $\epsilon \in E(\Lambda)$ and projections p_α for $\alpha \in V(\Lambda)$ satisfying the relations

$$S_{\epsilon'}^* S_\epsilon = \delta_{\epsilon,\epsilon'} p_{t(\epsilon)} \text{ and } \sum_{s(\epsilon)=\alpha} S_\epsilon S_\epsilon^* \leq p_\alpha.$$

- (2) If we replace the ' \leq ' with '=' in the second relation above, then this universal C^* -algebra is the Cuntz-Krieger algebra \mathcal{O}_Λ .

Given a countable directed graph Λ , there are two matrices associated to it.

Definition 2.14. The edge matrix of Λ is the $\{0, 1\}$ -matrix A indexed by the edges of Λ such that $A(\epsilon, \epsilon') = \delta_{t(\epsilon)=s(\epsilon')}$.

The vertex matrix of Λ (also known as the adjacency matrix of Λ) is the $\mathbb{Z}_{\geq 0}$ -matrix B indexed by the vertices of Λ such that $B(\alpha, \beta)$ is the number of edges from α to β .

Facts 2.15. Suppose Λ is locally finite, strongly connected, and the edge matrix is not a permutation matrix.

- (1) The K -theory of \mathcal{O}_Λ is given by $K_0(\mathcal{O}_\Lambda) = \text{coker}(1 - A^T) \cong \text{coker}(1 - B^T)$ and $K_1(\mathcal{O}_\Lambda) = \ker(1 - A^T) \cong \ker(1 - B^T)$ where $1 - A^T$ acts on $\mathbb{Z}^{E(\Lambda)}$ and $1 - B^T$ acts on $\mathbb{Z}^{V(\Lambda)}$ [Cun81a, PR96], [RS04, Theorem 3.2].
- (2) \mathcal{O}_Λ is separable, nuclear, simple, purely infinite [CK80, KPRR97, KPR98], and in the bootstrap class [KP99, Proposition 2.6] (the crossed product $\mathcal{O}_\Lambda \rtimes_\alpha \mathbb{T}$ is AF), so it satisfies the UCT [RS87]. Hence by [Phi00, KP00], the stable isomorphism class of \mathcal{O}_Λ is determined by the K -theory of \mathcal{O}_Λ , and the isomorphism class is determined by the K -theory and the class of the unit.

Remarks 2.16.

- (1) The examples in this article are inspired by (sub)factor planar algebras \mathcal{P}_\bullet . Hence each directed graph $\vec{\Lambda}$ in this article is obtained from an undirected graph Λ by a simple procedure (see Definition 2.20). In the (sub)factor planar algebra case, this undirected graph is the principal graph Γ of \mathcal{P}_\bullet .

When our directed graphs come from principal graphs, they are pointed (there is a base vertex \star), locally finite, and strongly connected (any vertex may be reached from \star along directed edges). Moreover, since we assume $\delta > 1$, Γ is not the graph with one vertex and one loop nor the Coxeter-Dynkin diagram A_2 , so the edge and vertex matrices will always be irreducible and not permutation matrices. Hence we are in the fortunate situation of Facts 2.15.

- (2) The Cuntz-Krieger algebras can also be realized as a quotient of the Toeplitz extensions acting on a Fock space of finite paths due to [EFW81, Eva82]. In general, this will not yield the universal Toeplitz-Cuntz-Krieger algebra as the defect p_Ω must always be the same for each vertex, since their Fock space has only one vacuum vector Ω .
- (3) Many authors have studied KMS states on Cuntz-Krieger algebras. If Λ is finite and the edge matrix is irreducible, by [EFW84], \mathcal{O}_Λ has a unique KMS state, and the only admissible β -value is $\ln(\lambda)$, where λ is the Frobenius-Perron eigenvalue of Λ . See [aHLRS13] for the KMS states on \mathcal{T}_Λ in this case. For infinite locally finite graphs, see also [Tho14].

2.3. Doplicher-Roberts algebras. In [DR89], Doplicher-Roberts obtain a duality theory for compact subgroups G extending the Tannaka-Krein theory [JS91]. In [DR89, Section 4], they associate a C*-algebra \mathcal{O}_ρ to an object ρ in a rigid C*-tensor category \mathcal{C} . The category may be recovered from the algebra with some additional structure in special situations.

These algebras are similar to the Cuntz-Krieger algebras and Ocneanu’s path/string algebras [Ocn88]. Indeed, in [MRS92], it was shown that \mathcal{O}_ρ is a corner of the Cuntz-Krieger algebra associated to an auxiliary bipartite graph associated to the fusion graph of ρ . One can also view paths on this auxiliary bipartite graph as paths on a certain directed graph (see Definition 2.20 below). Using the string algebra approach in [Izu98], Izumi found inclusions of generalized Doplicher-Roberts algebras with finite Watatani indices.

Because we want undirected fusion graphs to define our free graph algebras in Subsection 5.3, we work with symmetrically self-dual objects. Note that although the C*-algebra construction of [DR89] does not require symmetrically self-dual objects, the main theorems therein require ‘special objects’ in symmetric rigid C*-tensor categories. Theorem 3.4 of [DR89], which ensured the existence of enough ‘special objects’, constructed objects of the form $X \oplus \overline{X}$, which are always symmetrically self-dual.

Notation 2.17. Let \mathcal{C} be a strict rigid C*-tensor category, where we denote the objects of \mathcal{C} by ρ, σ, τ . We denote the tensor product of σ and τ by $\sigma\tau$. We denote the finite dimensional Hilbert space of maps from σ to τ by $\text{Hom}(\sigma, \tau)$.

Fix a simple symmetrically self-dual object $\rho \in \mathcal{C}$. Let Γ_ρ be the fusion graph with respect to ρ ; i.e., the vertices are the isomorphism classes of irreducible objects in \mathcal{C} , and given simples σ, τ , there are exactly $\dim(\text{Hom}(\sigma\rho, \tau))$ edges from σ to τ . Since our distinguished object ρ is symmetrically self-dual, our fusion graph is undirected.

Definition 2.18. The Doplicher-Roberts algebra \mathcal{O}_ρ is the C*-algebra defined as follows. First, there is a canonical inclusion $\text{Hom}(\rho^m, \rho^n) \hookrightarrow \text{Hom}(\rho^{m+1}, \rho^{n+1})$ given by $x \mapsto x \otimes 1_\rho$. For $k \in \mathbb{Z}$, let ${}^o\mathcal{O}_\rho^k = \varinjlim \text{Hom}(\rho^n, \rho^{k+n})$, and let ${}^o\mathcal{O}_\rho = \bigoplus_{k \in \mathbb{Z}} {}^o\mathcal{O}_\rho^k$. The multiplication on ${}^o\mathcal{O}_\rho$ is induced from the composition in \mathcal{C} . If $y \in \text{Hom}(\rho^m, \rho^{n+m})$ and $x \in \text{Hom}(\rho^{n+m}, \rho^{k+n+m})$, then $xy \in \text{Hom}(\rho^m, \rho^{k+n+m})$, and $xy \otimes 1_\rho = (x \otimes 1_\rho)(y \otimes 1_\rho)$. We let \mathcal{O}_ρ be the universal enveloping algebra of ${}^o\mathcal{O}_\rho$, which exists by [DR89, MRS92].

Remark 2.19. The Doplicher-Roberts algebra \mathcal{O}_ρ comes equipped with a canonical \mathbb{T} -action γ and a canonical endomorphism $\widehat{\rho}$ implemented by ρ . They define $\widehat{\rho}$ on

$\bigoplus_{k \in \mathbb{Z}} {}^o\mathcal{O}_\rho^k$ as the map induced by

$$\text{Hom}(\rho^m, \rho^{n+m}) \ni x \mapsto 1_\rho \otimes x \in \text{Hom}(\rho^{m+1}, \rho^{n+m+1}),$$

and $\widehat{\rho}$ extends to an endomorphism of \mathcal{O}_ρ . We get a faithful representation of the full subcategory $\mathbb{T}_\rho \subset \mathbb{C}$ whose objects are ρ^n for $n \in \mathbb{Z}_{\geq 0}$ in $\text{End}(\mathcal{O}_\rho)$, the C^* -tensor category of endomorphisms of \mathcal{O}_ρ . Moreover, the assignment (\mathbb{T}_ρ, ρ) to $(\mathcal{O}_\rho, \gamma, \widehat{\rho})$ is functorial.

We give a diagrammatic construction of the Doplicher-Roberts algebra \mathcal{O}_ρ via Subsection 3.2 using the factor planar algebra \mathcal{P}_\bullet of the rigid C^* -tensor subcategory $\langle \rho \rangle \subset \mathbb{C}$ and the choice of object ρ . See also Remark 2.29 below.

Definition 2.20. Suppose Λ is an undirected graph. The directed graph $\vec{\Lambda} = (V(\vec{\Lambda}), E(\vec{\Lambda}), s, t)$ is the graph with the following properties:

- (1) $V(\Lambda) = V(\vec{\Lambda})$.
- (2) For each $\epsilon \in E(\Lambda)$ which is a loop, i.e., ϵ only connects to one vertex α , we have a single edge $\epsilon \in E(\vec{\Lambda})$ such that $s(\epsilon) = t(\epsilon) = \alpha$.
- (3) For each $\epsilon \in E(\Lambda)$ which is not a loop, i.e., ϵ connects to two distinct vertices α, β , we have two edges $\epsilon', \epsilon'' \in E(\vec{\Lambda})$ such that $s(\epsilon') = t(\epsilon'') = \alpha$ and $t(\epsilon') = s(\epsilon'') = \beta$.

There is an involution op on $E(\vec{\Lambda})$ given by $\epsilon^{\text{op}} = \epsilon$ if ϵ is a loop, and $(\epsilon')^{\text{op}} = \epsilon''$ if ϵ is not a loop.

Remark 2.21. As long as Λ is not the graph with one vertex and one loop or the A_2 Coxeter-Dynkin diagram, $\vec{\Lambda}$ is strongly connected, and the vertex and edge matrices of $\vec{\Lambda}$ are not permutation matrices. Moreover, $\vec{\Lambda}$ is locally finite if Λ is.

Remark 2.22. By [MRS92], \mathcal{O}_ρ is isomorphic to a full corner of the Cuntz-Krieger algebra $\mathcal{O}_{\vec{\Gamma}_\rho}$, where $\vec{\Gamma}_\rho$ is obtained from Γ_ρ as in Definition 2.20. They showed how to realize $\mathcal{O}_{\vec{\Gamma}_\rho}$ as a path/string algebra acting on a Hilbert space of infinite paths. In this infinite path representation, \mathcal{O}_ρ is isomorphic to the cutdown $P_\star \mathcal{O}_{\vec{\Gamma}_\rho} P_\star$ where P_\star is the projection onto the paths that start at the distinguished vertex \star , which corresponds to the trivial object $1 \in \mathcal{C}$. In particular, Facts 2.15 all hold for \mathcal{O}_ρ . (See also [KPRR97] on Cuntz-Krieger algebras of pointed graphs.)

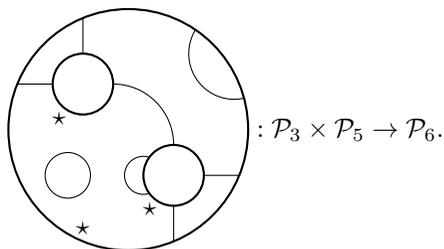
We note that the method of [MRS92] using a bipartite graph is equivalent to looking at infinite paths on our directed graph $\vec{\Gamma}_\rho$ obtained from Γ_ρ as in Definition 2.20. This approach is analogous to the finite path representation due to [Eva82, EFW84].

Remark 2.23. In [Izu98, Section 2.5], Izumi studies the Doplicher-Roberts algebras $\mathcal{O}_{\rho\bar{\rho}}$ and $\mathcal{O}_{\bar{\rho}\rho}$, where ρ is the standard sector of a finite index, finite depth type III subfactor. In the type II_1 language, ρ corresponds to the standard bimodule ${}_N L^2(M)_M$, which is a 1-morphism in a 2-category. Thus $\rho\bar{\rho}$ and $\bar{\rho}\rho$ are generating bimodules of the principal even half and the dual even half of the standard invariant respectively, and the Doplicher-Roberts construction can be performed in these tensor categories. In this case, there are two canonical endomorphisms $\widehat{\rho}$ and $\widehat{\bar{\rho}}$ which switch back and forth between the two algebras $\mathcal{O}_{\rho\bar{\rho}}$ and $\mathcal{O}_{\bar{\rho}\rho}$.

Izumi notes in [Izu98, Proposition 3.1] that $\mathcal{O}_{\rho\bar{\rho}}$ is stably isomorphic to $\mathcal{O}_{\Lambda\Lambda^T}$ where Λ is the (bipartite!) adjacency matrix of Γ . This follows from Remark 2.22 above, since $\Lambda\Lambda^T$ is the adjacency matrix of the fusion graph with respect to $\rho\bar{\rho}$.

2.4. **Planar algebras.** Planar algebras have proven to be a useful tool for analyzing subfactors and tensor categories. We refer the reader to [BHP12] for a more rigorous treatment. Below, we give a rapid introduction recalling the most important details.

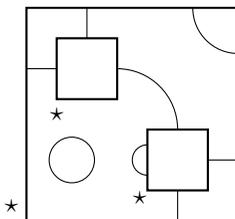
Definition 2.24. A planar algebra is a sequence of vector spaces $\mathcal{P}_\bullet = (\mathcal{P}_n)_{n \geq 0}$ together with an action of the planar operad; i.e., every planar tangle with k_1, \dots, k_r points on the input disks and k_0 points on the output disk corresponds to a multilinear map $\mathcal{P}_{k_1} \times \dots \times \mathcal{P}_{k_r} \rightarrow \mathcal{P}_0$. For example,



We require:

- **isotopy invariance:** isotopic tangles produce the same multilinear maps,
- **identity:** the identity tangle (which only has radial strings) acts as the identity transformation, and
- **naturality:** the gluing of tangles corresponds to composition of multilinear maps. When we glue tangles, we match up the points along the boundary disks making sure the distinguished intervals marked by \star align.

Notation 2.25. We will always draw our planar tangles in rectangular form in what follows.



When we draw a planar tangle, we will often suppress the external rectangle, which is assumed to be large. If we omit a \star , it is always assumed to be in the lower left corner. Finally, we draw one string labelled k rather than k parallel strings.

Definition 2.26. \mathcal{P}_\bullet is called a factor planar algebra if \mathcal{P}_\bullet is

- **evaluable:** $\dim(\mathcal{P}_n) < \infty$ for all $n \geq 0$ and $\mathcal{P}_0 \cong \mathbb{C}$ via the map that sends the empty diagram to $1 \in \mathbb{C}$. By naturality, there is a scalar δ such that any labelled diagram containing a closed loop is equal to δ times the same diagram without the closed loop.
- **involutive:** for each $n \geq 0$, there is a map $*$: $\mathcal{P}_n \rightarrow \mathcal{P}_n$ with $* \circ * = \text{id}$ which is compatible with the reflection of tangles; i.e., if T is a planar tangle with r input disks, then $T(x_1, \dots, x_r)^* = T^*(x_1^*, \dots, x_r^*)$ where T^* is the reflection of T (by applying an orientation reversing diffeomorphism).

We will see that the GJS algebra $\mathcal{S}_0(\mathcal{P}_\bullet)$ arises as the analog of Voiculescu’s free semicircular algebra in Subsection 3.3.

3.1. The Fock space and Toeplitz algebra.

Definition 3.1. Let $\mathcal{F}_0(\mathcal{P}_\bullet)$, the full Fock space of \mathcal{P}_\bullet , be the Hilbert space of $\text{Gr}_0 = \bigoplus_{k=0}^\infty \mathcal{P}_k$ with the usual inner product, i.e., the completion of Gr_0 under the inner product given by the extension of

$$\langle x, y \rangle = \begin{cases} 0 & \text{if } m \neq n, \\ \boxed{x} \text{---}^n \text{---} \boxed{y^*} & \text{if } m = n, \end{cases}$$

for $x \in \mathcal{P}_n$ and $y \in \mathcal{P}_m$.

For $x \in \mathcal{P}_n$ and $0 \leq \ell, \tau$ with $\ell + \tau = n$, we define the creation-annihilation operator $L_\tau(x)$ by its action on a $y \in \mathcal{P}_m$:

$$L_\tau(x)y = \left(\begin{array}{c} \ell \quad | \quad \tau \\ \boxed{x} \end{array} \right) \boxed{y} = \begin{cases} 0 & \text{if } m < \tau, \\ \begin{array}{c} \ell \quad \tau \quad | \quad m - \tau \\ \boxed{x} \quad \boxed{y} \end{array} & \text{if } \tau \leq m. \end{cases}$$

We use the dot on the top of the n -box x to denote $x \in \mathcal{P}_n$ as the operator $L_\tau(x)$ to distinguish it from the vector $x \in \mathcal{F}_0(\mathcal{P}_\bullet)$. Note that the omitted \star is still on the bottom left corner. The operator $L_\tau(x)$ is bounded by [JSW10].

We define the \mathcal{P}_\bullet -Toeplitz algebra $\mathcal{T}_0(\mathcal{P}_\bullet)$ as the unital C*-algebra acting on $\mathcal{F}_0(\mathcal{P}_\bullet)$ generated by

$$\{L_\tau(x) | x \in \mathcal{P}_n, n \geq 0, \text{ and } 0 \leq \tau \leq n\}.$$

It is straightforward to show that the product $L_{\tau_1}(x) \cdot L_{\tau_2}(y) \in \mathcal{T}_0(\mathcal{P}_\bullet)$ for $x \in \mathcal{P}_n$ and $y \in \mathcal{P}_m$ is given by

$$L_{\tau_1}(x) \cdot L_{\tau_2}(y) = \left(\begin{array}{c} \ell_1 \quad | \quad \tau_1 \\ \boxed{x} \end{array} \right) \cdot \left(\begin{array}{c} \ell_2 \quad | \quad \tau_2 \\ \boxed{y} \end{array} \right) = \begin{cases} \begin{array}{c} \ell_1 \quad \ell_2 - \tau_1 \quad | \quad \tau_2 \\ \boxed{x} \quad \boxed{y} \end{array} & \text{if } \tau_1 \leq \ell_2, \\ \begin{array}{c} \ell_1 \quad | \quad \tau_1 - \ell_2 \quad \tau_2 \\ \boxed{y} \quad \boxed{x} \end{array} & \text{if } \ell_2 < \tau_1, \end{cases}$$

and the adjoint of $L_\tau(x)$ is $L_\ell(x^*)$:

$$\left(\begin{array}{c} \ell \quad | \quad \tau \\ \boxed{x} \end{array} \right)^* = \begin{array}{c} \tau \quad | \quad \ell \\ \boxed{x^*} \end{array}.$$

Define the Toeplitz core of \mathcal{P}_\bullet , $\text{core}(\mathcal{T}_0(\mathcal{P}_\bullet))$, as the subalgebra of $\mathcal{T}_0(\mathcal{P}_\bullet)$ generated by $\{L_n(x) | x \in \mathcal{P}_{2n}, n \geq 0\}$.

Remark 3.2. The core of $\mathcal{T}_0(\mathcal{P}_\bullet)$ with the multiplication defined above is not the usual core of the standard invariant defined by Popa in [Pop94], i.e., the inductive

limit algebra $\varinjlim \mathcal{P}_{2n}$ under the right inclusion. See Remark 3.13 for the appearance of the usual core, and see Example 5.34 for the AF structure of the core of $\mathcal{T}_0(\mathcal{P}_\bullet)$.

The Toeplitz algebra $\mathcal{T}_0(\mathcal{P}_\bullet)$ appears briefly in [Jon], but without a distinguished name. Jones observes that $\mathcal{T}_0(\mathcal{P}_\bullet)$ contains the compact operators \mathcal{K} as follows from the next two lemmas.

Lemma 3.3 ([Jon]). *The action of $\mathcal{T}_0(\mathcal{P}_\bullet)$ on $\mathcal{F}_0(\mathcal{P}_\bullet)$ is irreducible.*

Proof. Let $x \in \mathcal{P}_\tau \setminus \{0\}$ and $y \in \mathcal{P}_\ell$. Then the operator

$$\frac{1}{\|x\|_2^2} L_\tau(y \wedge x) = \frac{1}{\|x\|_2^2} \begin{array}{c} \ell \quad | \quad \tau \\ \hline \boxed{y} \quad \boxed{x} \end{array}$$

maps $x \in \mathcal{F}_0(\mathcal{P}_\bullet)$ to $y \in \mathcal{F}_0(\mathcal{P}_\bullet)$. The rest is straightforward. □

Lemma 3.4 ([Jon]). *The Toeplitz algebra $\mathcal{T}_0(\mathcal{P}_\bullet)$ contains a finite rank operator and thus all the compact operators \mathcal{K} by Lemma 3.3.*

Proof. Using the notation of [HP14], let $\bigcup_n = \begin{array}{c} \boxed{\bigcup_n} \end{array}$. Note that the projection onto $\bigoplus_{k \geq n} \mathcal{P}_k$ is given by

$$L_n(\bigcup_n) = \begin{array}{c} n \quad | \quad n \\ \hline \boxed{\bigcup_n} \end{array}$$

Hence $1 - L_n(\bigcup_n)$ is the finite rank projection onto $\bigoplus_{k \leq n} \mathcal{P}_k$. □

3.2. The \mathbb{T} -action, the KMS state, and the Cuntz algebra. We now give an action of \mathbb{T} on $\mathcal{T}_0(\mathcal{P}_\bullet)$, and we construct a $\text{KMS}_{\ln(\delta)}$ -state φ .

Definition 3.5 ([Jon]). Consider the number operator $N : \text{Gr}_0 \rightarrow \mathcal{F}_0(\mathcal{P}_\bullet)$ by

$$\begin{array}{c} |n \\ \hline \boxed{x} \end{array} \mapsto n \begin{array}{c} |n \\ \hline \boxed{x} \end{array}.$$

Note that N is an unbounded, closable operator which preserves the grading of $\mathcal{F}_0(\mathcal{P}_\bullet)$. There is a unique bounded operator $\exp(itN)$ given by the extension of

$$\begin{array}{c} |n \\ \hline \boxed{x} \end{array} \mapsto e^{itn} \begin{array}{c} |n \\ \hline \boxed{x} \end{array}.$$

Define an \mathbb{R} -action $\sigma : \mathbb{R} \rightarrow \text{Aut}(\mathcal{T}_0(\mathcal{P}_\bullet))$ by $\sigma_t = \text{Ad}(\exp(itN))$:

$$\sigma_t \left(\begin{array}{c} \ell \quad | \quad \tau \\ \hline \boxed{x} \end{array} \right) = e^{it(\ell-\tau)} \begin{array}{c} \ell \quad | \quad \tau \\ \hline \boxed{x} \end{array}.$$

Note that the \mathbb{R} -action induces a \mathbb{T} -action γ via the map $t \mapsto e^{it}$.

Definition 3.6 ([Jon, She13]). For each $x \in \mathcal{T}_0(\mathcal{P}_\bullet)$, the map $t \mapsto \sigma_t(x)$ is norm continuous, so we define the conditional expectation $E : \mathcal{T}_0(\mathcal{P}_\bullet) \rightarrow \text{core}(\mathcal{T}_0(\mathcal{P}_\bullet))$ by

$$E(x) = \int_{\mathbb{T}} \gamma_z(x) dz,$$

where we use the normalized Lebesgue measure on \mathbb{T} . We define a normalized (non-faithful) trace τ on $\text{core}(\mathcal{T}_0(\mathcal{P}_\bullet))$ by the extension of

$$\tau \left(\begin{array}{c} n \quad | \quad n \\ \hline \boxed{x} \end{array} \right) = \delta^{-n} \begin{array}{c} n \\ \hline \boxed{x} \end{array}.$$

Finally, define the state $\varphi : \mathcal{T}_0(\mathcal{P}_\bullet) \rightarrow \mathbb{C}$ by $\varphi = \tau \circ E$.

Lemma 3.7 ([Jon,She13]). *φ is a $\text{KMS}_{\ln(\delta)}$ state.*

Proof. For $x \in \mathcal{P}_{\ell_1+r_1}$ and $y \in \mathcal{P}_{\ell_2+r_2}$, where without loss of generality $r_1 \leq \ell_2$,

$$\begin{aligned} \varphi \left(\begin{array}{c} \ell_1 \quad | \quad r_1 \\ \hline \boxed{x} \end{array} \cdot \begin{array}{c} \ell_2 \quad | \quad r_2 \\ \hline \boxed{y} \end{array} \right) &= \delta_{\ell_1-r_1=r_2-\ell_2} \delta^{-\ell_1} \begin{array}{c} \ell_1 \quad \ell_2-r_1 \quad | \quad \ell_1 \\ \hline \boxed{x} \quad \boxed{y} \end{array} \\ &= \delta_{\ell_1-r_1=r_2-\ell_2} \delta^{-\ell_1} \begin{array}{c} r_1 \quad \ell_2-r_1 \quad | \quad r_1 \\ \hline \boxed{y} \quad \boxed{x} \end{array} \\ &= \delta^{\ell_1-r_1} \varphi \left(\begin{array}{c} \ell_2 \quad | \quad r_2 \\ \hline \boxed{y} \end{array} \cdot \begin{array}{c} \ell_1 \quad | \quad r_1 \\ \hline \boxed{x} \end{array} \right). \quad \square \end{aligned}$$

Recall from Remark 2.6 that \mathfrak{N}_φ is a closed 2-sided ideal of $\mathcal{T}_0(\mathcal{P}_\bullet)$. Also note that the finite rank operators from the proof of Lemma 3.4 are in the kernel of the KMS state φ , since we are using the normalized trace, and \bigcup_n is the image of 1_0 inside \mathcal{P}_{2n} under the right inclusion. Thus $\mathcal{K} \subset \mathfrak{N}_\varphi$. We now prove the other inclusion so that we may deduce $\mathcal{K} = \mathfrak{N}_\varphi$.

Lemma 3.8. *Suppose $x \in \text{core}(\mathcal{T}_0(\mathcal{P}_\bullet))$ is a finite sum of elements of the form $L_n(x_n)$ where $x_n \in \mathcal{P}_{2n}$. If $x \geq 0$ and $\varphi(x) = 0$, then x has finite rank.*

Proof. Suppose

$$x = \sum_{n=0}^N \begin{array}{c} n \quad | \quad n \\ \hline \boxed{x_n} \end{array},$$

$x \geq 0$, and $\varphi(x) = 0$. Consider the element \tilde{x} given by

$$\tilde{x} = \sum_{n=0}^N \begin{array}{c} n \quad | \quad n \\ \hline \begin{array}{c} N-n \\ \hline \boxed{x_n} \end{array} \end{array}.$$

Note that for all $m \geq N$ and all $y \in \mathcal{P}_M$, we have

$$(1) \quad \tilde{x}y = \sum_{n=0}^N \left[\begin{array}{c} \begin{array}{|c|c|} \hline \begin{array}{c} n \quad N-n \\ \hline \begin{array}{|c|c|} \hline x_n \quad y \\ \hline \end{array} \\ \hline \end{array} \\ \hline \end{array} \right] = xy.$$

Hence if $\xi \in H$ and p is the projection onto $\bigoplus_{n \geq N} \mathcal{P}_n$, we have

$$\langle \tilde{x}\xi, \xi \rangle = \langle \tilde{x}p\xi, p\xi \rangle = \langle xp\xi, p\xi \rangle \geq 0,$$

so $\tilde{x} \geq 0$. With a slight abuse of notation, we may identify \tilde{x} with $L_N(\tilde{x})$ for $\tilde{x} \in \mathcal{P}_{2N}$. Now even though φ is not faithful on $\text{core}(\mathcal{T}_0(\mathcal{P}_\bullet))$, $\varphi|_{\mathcal{P}_{2N}}$ is faithful when we identify each $y \in \mathcal{P}_{2N}$ with $L_N(y) \in \text{core}(\mathcal{T}_0(\mathcal{P}_\bullet))$. Thus

$$0 = \varphi(x) = \varphi(\tilde{x}) = \varphi|_{\mathcal{P}_{2N}}(\tilde{x}),$$

and thus $\tilde{x} = 0$. Thus x is finite rank since it agrees with \tilde{x} on \mathcal{P}_m for $m \geq N$. \square

Lemma 3.9. *Suppose $x \in \mathcal{T}_0(\mathcal{P}_\bullet)^+$. Then $x \in \mathcal{K}$ if and only if $E(x) \in \mathcal{K}$.*

Proof. Suppose $x \in \mathcal{K}$. Then $E(x)$ is a limit of Riemann sums of elements of \mathcal{K} , so $E(x) \in \mathcal{K}$. Now suppose $E(x) \in \mathcal{K}$. Since \mathcal{K} is hereditary, if $E(x) \in \mathcal{K}$, then so is

$$E_s(x) = \int_0^s \sigma_t(x) dt,$$

and thus so is the difference quotient

$$x = \lim_{h \rightarrow 0} \frac{E_{0+h}(x) - E_0(x)}{h} = \lim_{h \rightarrow 0} \frac{1}{h} \int_0^h \sigma_t(x) dt. \quad \square$$

Corollary 3.10. *Suppose $x \in \mathcal{T}_0(\mathcal{P}_\bullet)$ is a finite sum of elements of the form $L_{\tau_j}(x_j)$ where $x_j \in \mathcal{P}_{\tau_j+\ell_j}$. If $x \geq 0$ and $\varphi(x) = 0$, then $x \in \mathcal{K}$.*

Proof. Note that $E(x) \in \text{core}(\mathcal{T}_0(\mathcal{P}_\bullet))$ is a finite sum of elements of the form $L_n(x_n)$ where $x_n \in \mathcal{P}_{2n}$, $E(x) \geq 0$, and $\varphi(E(x)) = \varphi(x) = 0$. By Lemma 3.8, $E(x)$ has finite rank, so by Lemma 3.9, $x \in \mathcal{K}$. \square

Theorem 3.11. $\mathcal{K} = \mathfrak{N}_\varphi$. Hence $\mathcal{T}_0(\mathcal{P}_\bullet)/\mathcal{K}$ is isomorphic to the C^* -algebra generated by $\mathcal{T}_0(\mathcal{P}_\bullet)$ in the GNS representation of the $\text{KMS}_{\ln(\delta)}$ -state φ .

Proof. We will show that $\text{core}(\mathcal{T}_0(\mathcal{P}_\bullet))^+ \cap \mathfrak{N}_\varphi \subset \mathcal{K}$. Then, by Lemma 3.9, $\mathcal{T}_0(\mathcal{P}_\bullet)^+ \cap \mathfrak{N}_\varphi \subset \mathcal{K}$, i.e., $\mathfrak{N}_\varphi \subseteq \mathcal{K}$.

First, we note that $E(\mathfrak{N}_\varphi) = \text{core}(\mathcal{T}_0(\mathcal{P}_\bullet)) \cap \mathfrak{N}_\varphi$ since $\varphi = \varphi \circ E$. We prove the following claim:

Claim. If $p \in \text{core}(\mathcal{T}_0(\mathcal{P}_\bullet)) \cap \mathfrak{N}_\varphi$ is a projection, then $p \in \mathcal{K}$.

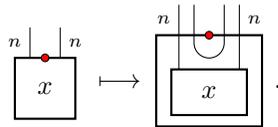
Proof of Claim. Suppose $p \in \text{core}(\mathcal{T}_0(\mathcal{P}_\bullet))$ is a projection with $\varphi(p) = 0$. Since $\text{core}(\mathcal{T}_0(\mathcal{P}_\bullet))$ is AF, there is an $n > 0$ and a projection q in the finite dimensional C^* -algebra generated by the \mathcal{P}_{2k} with $k \leq n$ such that $\|p - q\| < 1$. Hence p is homotopic in $\text{core}(\mathcal{T}_0(\mathcal{P}_\bullet))$ to q , and thus there is a unitary $u \in \text{core}(\mathcal{T}_0(\mathcal{P}_\bullet))$ such that $p = uqu^*$ [RLL00, Section 2.2]. Now $\varphi(q) = \varphi(u^*pu) = 0$ since \mathfrak{N}_φ is an ideal, so $q \in \mathcal{K}$ by Corollary 3.10. We conclude $p \in \mathcal{K}$. \square

Now we know the ideal $E(\mathfrak{N}_\varphi) = \text{core}(\mathcal{T}_0(\mathcal{P}_\bullet)) \cap \mathfrak{N}_\varphi$ is a hereditary subalgebra of the AF algebra $\text{core}(\mathcal{T}_0(\mathcal{P}_\bullet))$, and thus has real rank zero. By the Claim, every projection in $E(\mathfrak{N}_\varphi)$ is in \mathcal{K} , so $E(\mathfrak{N}_\varphi) \subseteq \mathcal{K}$. Using Lemma 3.9, we see that every positive element in \mathfrak{N}_φ is also in \mathcal{K} . Hence $\mathfrak{N}_\varphi \subseteq \mathcal{K}$. \square

Definition 3.12. Define the \mathcal{P}_\bullet -Cuntz algebra $\mathcal{O}_0(\mathcal{P}_\bullet) = \mathcal{T}_0(\mathcal{P}_\bullet)/\mathcal{K} = \mathcal{T}_0(\mathcal{P}_\bullet)/\mathfrak{N}_\varphi$. Define the (Cuntz) core of \mathcal{P}_\bullet as the fixed points of $\mathcal{O}_0(\mathcal{P}_\bullet)$ under the \mathbb{T} -action. Note that for each $z \in \mathbb{T}$, the action of γ_z descends to $\mathcal{O}_0(\mathcal{P}_\bullet) = \mathcal{T}_0(\mathcal{P}_\bullet)/\mathcal{K}$ since γ_z preserves \mathcal{K} as it is unitarily implemented.

Remarks 3.13.

- (1) The core of $\mathcal{O}_0(\mathcal{P}_\bullet)$ is the usual core which appears in [Pop94], i.e., $\text{core}(\mathcal{O}_0(\mathcal{P}_\bullet)) = \varinjlim \mathcal{P}_{2k}$ under the right inclusion $\mathcal{P}_{2k} \hookrightarrow \mathcal{P}_{2k+2}$ given by



Hence the AF structure of the core of $\mathcal{O}_0(\mathcal{P}_\bullet)$ is the usual one encoded by the principal graph Γ .

- (2) The algebra $\mathcal{O}_0(\mathcal{P}_\bullet)$ is isomorphic to the Doplicher-Roberts algebra \mathcal{O}_ρ [DR89] where ρ is the strand in the rigid C*-tensor category $\text{Pro}(\mathcal{P}_\bullet)$ [BHP12]. Thus $\mathcal{O}_0(\mathcal{P}_\bullet)$ is isomorphic to a full corner of the Cuntz-Krieger algebra \mathcal{O}_Γ (see Remark 2.22).

In Remark 5.15, we provide another proof that $\mathcal{O}_0(\mathcal{P}_\bullet)$ is a compression of \mathcal{O}_Γ . Our approach has the added benefit that it realizes $\mathcal{T}_0(\mathcal{P}_\bullet)$ and $\mathcal{S}_0(\mathcal{P}_\bullet)$ as reduced compressions of the universal Toeplitz-Cuntz-Krieger algebra \mathcal{T}_Γ and the newly introduced free graph algebra $\mathcal{S}(\Gamma)$ respectively. (See Subsection 3.3 for the definition of $\mathcal{S}_0(\mathcal{P}_\bullet)$ and Subsection 5.3 for the definition of the free graph algebra $\mathcal{S}(\Gamma)$.)

- (3) When the strand in \mathcal{P}_\bullet is $\rho\bar{\rho}$ where $\rho = {}_N L^2(M)_M$ is the standard bimodule for a finite index II_1 -subfactor $N \subset M$, $\mathcal{O}_0(\mathcal{P}_\bullet)$ is isomorphic to $\mathcal{O}_{\rho\bar{\rho}}$ from [Izu98, Section 2.5].
- (4) Jones [Jon] and Shelly [She13] originally defined the Cuntz algebra $\mathcal{O}_0(\mathcal{P}_\bullet)$ as the image of $\mathcal{T}_0(\mathcal{P}_\bullet)$ in the GNS representation of the KMS state φ . In fact, by [She13, Propositions 3.1.3 and 3.3.2], for finite depth \mathcal{P}_\bullet , φ is the unique KMS state for $\mathcal{O}_0(\mathcal{P}_\bullet)$, and the only admissible β -value is $\ln(\delta)$. (This is not the case for infinite depth. For example, there is a 1-parameter family of traces on the AF core of $\mathcal{O}_0(\mathcal{TL}_\bullet)$.)

3.3. The generalized semicircular algebra of \mathcal{P}_\bullet . We now see that the algebras arising from Voiculescu’s free Gaussian functor arise from the factor planar algebra \mathcal{NC}_\bullet of non-commuting polynomials. This motivates the definition of a generalized semicircular algebra, which is also known as the (zeroth) Guionnet-Jones-Shlyakhtenko C*-algebra.

We first recall the construction of the factor planar algebra \mathcal{NC}_\bullet .

Definition 3.14. Consider the algebra $\mathbb{C}\langle X_1, \dots, X_n \rangle$ of non-commuting polynomials in self-adjoint variables. The factor planar algebra \mathcal{NC}_\bullet is uniquely characterized by the following properties:

- \mathcal{NC}_n is the \mathbb{C} -span of the monomials of degree n , and $(X_{i_1} \cdots X_{i_n})^* = X_{i_n} \cdots X_{i_1}$.
- \mathcal{NC}_\bullet is generated by the 1-boxes $\boxed{X_i}$, which satisfy $\boxed{X_i} - \boxed{X_j} = \delta_{i,j}$.
- The strand is equal to $\sum_{i=1}^n X_i^2$. In diagrams, $\left| \begin{array}{c} \boxed{X_i} \\ \boxed{X_j} \end{array} \right| = \sum_{i=1}^n \boxed{X_i} \boxed{X_j}$.

It is not hard to show that the modulus of \mathcal{NC}_\bullet is $\delta = n$, and the principal graph Γ is the n -bouquet, i.e., one vertex with n self-loops.

In more detail, the planar operad acts on \mathcal{NC}_\bullet by contracting indices. For a vector of indices $\vec{i} = (i_1, \dots, i_n)$, let $X_{\vec{i}} = X_{i_1} \cdots X_{i_n}$. For example, if $\vec{i} = (i_1, i_2, i_3)$ and $\vec{j} = (j_1, j_2, j_3, j_4, j_5)$, then

$$(X_{\vec{i}}, X_{\vec{j}}) = n \cdot \delta_{i_3, j_3} \cdot \delta_{j_1, j_2} \cdot X_{i_1} X_{i_2} \left(\sum_{k=1}^n X_k^2 \right) X_{j_4} X_{j_5}.$$

Fact 3.15. When $\delta = n$, $\mathcal{T}_0(\mathcal{NC}_\bullet)$ is isomorphic to \mathcal{T}_n and $\mathcal{O}_0(\mathcal{NC}_\bullet)$ is isomorphic to \mathcal{O}_n (see Example 2.10).

Voiculescu’s free semicircular algebra \mathcal{S}_n naturally arises in this situation. The generators X_i are in \mathcal{P}_1 and thus can be viewed as creation or annihilation operators depending upon the dot placement:

$$\boxed{X_i} \text{ and } \boxed{X_i}.$$

Hence summing over all placements of the dot above gives the free semicircular generators:

$$L_+(X_i) + L_+(X_i)^* = \boxed{X_i} + \boxed{X_i}.$$

In the general (sub)factor planar algebra setting, it has proven instrumental to look at the algebra generated by summing over all placements of the dot.

Definition 3.16. The \mathcal{P}_\bullet -semicircular algebra $\mathcal{S}_0(\mathcal{P}_\bullet)$ is the C^* -algebra generated by

$$\left\{ \sum_{\ell+\tau=n} \boxed{x} \mid x \in \mathcal{P}_n \text{ and } n \geq 0 \right\}.$$

Remarks 3.17.

- (1) $\mathcal{S}_0(\mathcal{NC}_\bullet)$ is isomorphic to Voiculescu’s free semicircular algebra \mathcal{S}_n .
- (2) In [HP14], we will see that $\mathcal{S}_0(\mathcal{P}_\bullet)$ is isomorphic to the (zeroth) Guionnet-Jones-Shlyakhtenko C*-algebra [GJS10, JSW10]. Thus $\mathcal{S}_0(\mathcal{P}_\bullet)$ completes to an interpolated free group factor [GJS11, Har13], just as \mathcal{S}_n completes to $L(\mathbb{F}_n)$ [Voi85].
- (3) Since $\mathcal{S}_0(\mathcal{P}_\bullet) \cap \mathcal{K} = (0)$, the inclusion $\mathcal{S}_0(\mathcal{P}_\bullet) \hookrightarrow \mathcal{T}_0(\mathcal{P}_\bullet)$ descends to an injection $\mathcal{S}_0(\mathcal{P}_\bullet) \hookrightarrow \mathcal{O}_0(\mathcal{P}_\bullet)$.
- (4) Germain’s Theorem 2.8 does not apply to give us the K -theory of $\mathcal{T}_0(\mathcal{P}_\bullet)$ or $\mathcal{S}_0(\mathcal{P}_\bullet)$. The Fock space $\mathcal{F}_0(\mathcal{P}_\bullet)$ is not generated by \mathcal{P}_1 , so $\mathcal{T}_0(\mathcal{P}_\bullet)$ is not generated by elements of degree 1.
- (5) This construction is not functorial. Consider the canonical planar algebra inclusion $\Phi : \mathcal{TL}_\bullet \hookrightarrow \mathcal{NC}_\bullet$. While Φ induces an inclusion of Hilbert spaces $\mathcal{F}_0(\mathcal{TL}_\bullet) \hookrightarrow \mathcal{F}_0(\mathcal{NC}_\bullet)$, Φ does not induce a map of Toeplitz algebras. Consider the element

$$x_{\mathcal{P}_\bullet} = \begin{array}{|c|} \hline | \\ \hline \text{---} \text{---} \text{---} \\ \hline | \\ \hline \end{array} - 2 \begin{array}{|c|} \hline | \\ \hline \text{---} \text{---} \text{---} \\ \hline | \\ \hline \end{array} \in \mathcal{T}_0(\mathcal{P}_\bullet).$$

Note that $x_{\mathcal{TL}_\bullet} = 0$ in $\mathcal{T}_0(\mathcal{TL}_\bullet)$ since $\mathcal{TL}_1 = (0)$, but $x_{\mathcal{NC}_\bullet} \neq 0$ in $\mathcal{T}_0(\mathcal{NC}_\bullet)$, as it is the projection onto \mathcal{NC}_1 .

However, we will see in Theorem 5.32 that the assignments \mathcal{P}_\bullet to $\mathcal{O}_0(\mathcal{P}_\bullet)$ and $\mathcal{S}_0(\mathcal{P}_\bullet)$ are functorial.

4. THE OPERATOR-VALUED SYSTEM

The Fock space analogy of the previous section, originally due to Jones, brought together work of Doplicher-Roberts [DR89], Guionnet-Jones-Shlyakhtenko-Walker [GJS10, JSW10, Jon], Izumi [Izu98], Shelly [She13], and Voiculescu [Voi85]. However, we saw some problems with functoriality.

In this section, we construct a canonical C*-Hilbert bimodule $\mathcal{X} = \mathcal{X}(\mathcal{P}_\bullet)$ associated to a (sub)factor planar algebra. We will see in Subsection 5.5 that the Cuntz and free semicircular algebras of \mathcal{P}_\bullet of the previous subsection are full hereditary subalgebras of the Cuntz-Pimsner and \mathcal{B} -valued free semicircular algebras associated to \mathcal{X} . In general, the \mathcal{P}_\bullet -Toeplitz algebra may be a reduced compression of the Pimsner-Toeplitz algebra of \mathcal{X} .

This C*-Hilbert bimodule construction is functorial. Additionally, it allows for the efficient computation of the K -theory of the free semicircular algebra $\mathcal{S}(\mathcal{P}_\bullet)$ via Theorem 2.8 from [Ger]. We postpone the proof to Corollary 5.30, but the interested reader can perform the calculation at the end of Subsection 4.2.

For this section, \mathcal{P}_\bullet is a fixed factor planar algebra.

4.1. Operator-valued Fock space.

Definition 4.1. For $n \geq 0$, let $\mathcal{B}_n = \mathcal{B}_n(\mathcal{P}_\bullet)$ be the external direct sum $\mathcal{B}_n = \bigoplus_{l,r=0}^n \mathcal{P}_{l,r}$, where $\mathcal{P}_{l,r} = \mathcal{P}_{l+r}$, and we picture an element of $b \in \mathcal{P}_{l,r}$ as $\begin{array}{|c|} \hline \text{---} \text{---} \text{---} \\ \hline | \\ \hline \end{array}$.

We define the following multiplication and (non-normalized) trace Tr on \mathcal{B}_n by

$$\begin{array}{|c|} \hline \text{---} \text{---} \text{---} \\ \hline | \\ \hline \end{array} \cdot \begin{array}{|c|} \hline \text{---} \text{---} \text{---} \\ \hline | \\ \hline \end{array} = \delta_{r,l'} \begin{array}{|c|} \hline \text{---} \text{---} \text{---} \\ \hline | \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \text{---} \text{---} \\ \hline | \\ \hline \end{array} \quad \text{and} \quad \text{Tr}(b) = \delta_{l,r} \begin{array}{|c|} \hline \text{---} \text{---} \text{---} \\ \hline | \\ \hline \end{array}$$

We equip \mathcal{B}_n with involution \dagger defined as follows. If $b \in \mathcal{P}_{l,r}$, then $b^\dagger = \overset{r}{\square} \overset{l}{b^*} \in \mathcal{P}_{r,l}$, where the $*$ is the standard involution on \mathcal{P}_\bullet . Notice that \mathcal{B}_n is a finite dimensional C^* -algebra under \dagger , so \mathcal{B}_n has a unique C^* -norm.

Definition 4.2. Let $\Gamma(n)$ be the truncation of Γ to depth n , and let $V(\Gamma(n))$ be its vertices.

Remark 4.3. Note that

$$\mathcal{B}_n \cong \bigoplus_{\alpha \in V(\Gamma_n)} M_{n(\alpha)}(\mathbb{C}),$$

where $n(\alpha)$ represents the multiplicity of p_α in \mathcal{B}_n , and p_α is a representative of the vertex $\alpha \in V(\Gamma(n))$.

Observe that \mathcal{B}_n is canonically a non-unital, hereditary subalgebra of \mathcal{B}_{n+1} . Furthermore, the uniqueness of the C^* -norm on each \mathcal{B}_n implies that there is a unique C^* -norm on $\bigcup_n \mathcal{B}_n$.

Definition 4.4. Define the C^* -algebra $\mathcal{B} = \mathcal{B}(\mathcal{P}_\bullet) = \overline{\bigcup_{n \geq 0} \mathcal{B}_n}^{\|\cdot\|}$.

Remark 4.5. From the preceding discussion, as a C^* -algebra, $\mathcal{B} \cong \bigoplus_{\alpha \in V(\Gamma)} \mathcal{K}(\ell^2(\mathbb{N}))$.

In what follows, we construct $\mathcal{B} - \mathcal{B}$ Hilbert bimodules.

Definition 4.6. Consider the external direct sum $X_n = \bigoplus_{l,r=1}^\infty \mathcal{P}_{l,n,r}$, where di-

agrammatically, an element $x \in \mathcal{P}_{l,n,r}$ can be seen as $x = \overset{l}{\square} \overset{n}{x} \overset{r}{\square}$. We use the convention that $X = X_1$. We have an involution \dagger on X_n defined as follows. If $x \in \mathcal{P}_{l,n,r}$, then $x^\dagger = \overset{r}{\square} \overset{n}{x^*} \overset{l}{\square} \in \mathcal{P}_{r,n,l}$. Moreover, X_n has a \mathcal{B} -valued inner product given by the sesquilinear extension of

$$\langle x|y \rangle_{\mathcal{B}} = \delta_{l,l'} \overset{r}{\square} \overset{n}{x^*} \overset{l}{\square} \overset{r'}{y}$$

for $x \in \mathcal{P}_{l,n,r}$ and $y \in \mathcal{P}_{l',n,r'}$. However, note that so far, the \mathcal{B} -valued inner product only takes values in $\bigcup_{n \geq 0} \mathcal{B}_n$.

Define a norm on X_n by $\|x\|_{X_n}^2 = \|\langle x|x \rangle_{\mathcal{B}}\|_{\mathcal{B}}$.

Before we continue, we need to show that the involution \dagger is continuous on X_n . The following two propositions accomplish just that.

Proposition 4.7. Consider the capping map $R_k : \bigcup_{n \geq 0} \mathcal{B}_n \rightarrow \bigcup_{n \geq 0} \mathcal{B}_n$ which is given by linear extension of

$$R_k \left(\overset{l}{\square} \overset{r}{b} \overset{r'}{\square} \right) = \begin{cases} \overset{l-k}{\square} \overset{k}{\square} \overset{r-k}{\square} & \text{if } l, r \geq k, \\ 0 & \text{otherwise.} \end{cases}$$

Then $\|R_k(b)\|_{\mathcal{B}} \leq \delta^k \|b\|_{\mathcal{B}}$, so R_k extends continuously to \mathcal{B} .

Proof. Let $b \in \mathcal{B}_n$ for some n . We may assume that $b \in \bigoplus_{l,r \geq k} \mathcal{P}_{l,r}$. Let

$$\iota_{n,k} : \mathcal{B}_n \rightarrow \left(\sum_{j=k}^{n+k} 1_j \right) \mathcal{B} \left(\sum_{j=k}^{n+k} 1_j \right) \text{ by } a \mapsto \begin{array}{c} \overline{} \\ \boxed{a} \\ \underline{} \end{array} \text{ by } a \mapsto \begin{array}{c} \overline{} \\ \boxed{a} \\ \underline{} \end{array}.$$

Note that $\iota_{n,k}$ is a unital inclusion. Let

$$E_{n,k} : \left(\sum_{j=k}^{n+k} 1_j \right) \mathcal{B} \left(\sum_{j=k}^{n+k} 1_j \right) \rightarrow \iota_{n,k}(\mathcal{B}_n)$$

be the Tr-preserving conditional expectation. Then $E_{n,k}$ has norm 1. It is a straightforward diagrammatic argument to see that for all b as above, $R_k(b) = \delta^k E_{n,k}(b)$. This gives the desired bound. \square

Proposition 4.8. For $x \in X_n$, $\|x\|_{X_n} \leq \delta^{n/2} \|x^\dagger\|_{X_n}$.

Remark 4.9. Note that this proposition also implies that $\|x\|_{X_n} \geq \delta^{-n/2} \|x^\dagger\|_{X_n}$.

Proof. Let $x \in X_n$, and write x as a finite sum:

$$x = \sum_{l,r} \begin{array}{c} | \\ \boxed{x_{l,r}} \\ \underline{} \end{array} \begin{array}{c} \\ \phantom{\underline{}} \end{array}.$$

Let $\tilde{x}_{l,r}$ be the following element of \mathcal{B} :

$$\tilde{x}_{l,r} = \begin{array}{c} | \\ \boxed{x_{l,r}} \\ \underline{} \end{array} \begin{array}{c} \\ \phantom{\underline{}} \end{array},$$

and let $\tilde{x} = \sum_{l,r} \tilde{x}_{l,r}$. By drawing the right diagrams, $\|x\|_{X_n} = \|\tilde{x}^\dagger \cdot \tilde{x}\|_{\mathcal{B}}^{1/2}$, and

$$\|x^\dagger\|_{X_n} = \|R_n(\tilde{x} \cdot \tilde{x}^\dagger)\|_{\mathcal{B}}^{1/2} \leq \delta^{n/2} \|\tilde{x} \cdot \tilde{x}^\dagger\|_{\mathcal{B}}^{1/2} = \delta^{n/2} \cdot \|\tilde{x}^\dagger \cdot \tilde{x}\|_{\mathcal{B}}^{1/2} = \delta^{n/2} \|x\|_{X_n}.$$

In the string of inequalities, we used that \mathcal{B} is a C*-algebra under the involution \dagger . \square

Definition 4.10. Let $\mathcal{X}_n = \mathcal{X}_n(\mathcal{P}_\bullet)$ be the completion of X_n with respect to $\|\cdot\|_{X_n}$, where again we use the convention that $\mathcal{X} = \mathcal{X}_1$. We also use the convention that $\mathcal{X}_0 = \mathcal{B}$. By Proposition 4.8, the involution \dagger extends continuously to each \mathcal{X}_n .

For each m , there are natural left and right actions of \mathcal{B}_m on \mathcal{X}_n , namely, the extensions of the actions on X_n given by

$$\begin{array}{c} | \\ \boxed{b} \\ \underline{} \end{array} \begin{array}{c} \\ \phantom{\underline{}} \end{array} \cdot \begin{array}{c} | \\ \boxed{x} \\ \underline{} \end{array} \begin{array}{c} \\ \phantom{\underline{}} \end{array} = \delta_{r,l'} \cdot \begin{array}{c} | \\ \boxed{b} \\ \underline{} \end{array} \begin{array}{c} \\ \phantom{\underline{}} \end{array} \begin{array}{c} | \\ \boxed{x} \\ \underline{} \end{array} \begin{array}{c} \\ \phantom{\underline{}} \end{array}$$

and

$$\begin{array}{c} | \\ \boxed{x} \\ \underline{} \end{array} \begin{array}{c} \\ \phantom{\underline{}} \end{array} \cdot \begin{array}{c} | \\ \boxed{b} \\ \underline{} \end{array} \begin{array}{c} \\ \phantom{\underline{}} \end{array} = \delta_{r',l} \cdot \begin{array}{c} | \\ \boxed{x} \\ \underline{} \end{array} \begin{array}{c} \\ \phantom{\underline{}} \end{array} \begin{array}{c} | \\ \boxed{b} \\ \underline{} \end{array} \begin{array}{c} \\ \phantom{\underline{}} \end{array}.$$

Moreover, the operator norm of the left action of $b \in \mathcal{B}_m$ on \mathcal{X}_n is equal to $\|b\|_{\mathcal{B}}$ since \mathcal{B}_m has a unique C*-norm, so we get an isometric embedding of \mathcal{B} into $\mathcal{L}(\mathcal{X}_n)$.

Proposition 4.11. $\mathcal{X}_n \cong \bigotimes_{\mathcal{B}}^n \mathcal{X}$.

We claim that

$$p_\alpha = \sum_{\beta \sim \alpha} \sum_{i=1}^{n_\beta} |g(\beta)_i\rangle\langle g(\beta)_i|.$$

Indeed, if $x \in p_\gamma \mathcal{X}$ and $\gamma \neq \alpha$, then both sides of the equation send x to zero. Otherwise, we may assume $x \in p_\alpha \mathcal{X}$ is of the form

$$x = \begin{array}{c} | \\ \boxed{g(\beta)_i} \\ \hline \end{array} \begin{array}{c} \boxed{b} \\ \hline \end{array}$$

for $\beta \sim \alpha$ and $b \in \mathcal{B}$. Clearly, $p_\alpha \cdot x = x$. As for $y = \sum_{i=1}^{n_\beta} |g_i\rangle\langle g_i|$, the orthogonality relations give

$$y \cdot x = g(\beta)_i \langle g(\beta)_i | g(\beta)_i \rangle_{\mathcal{B}} \cdot b = (g(\beta)_i p_\beta) b = g(\beta)_i \cdot b = x,$$

so we have the desired equality. □

4.2. The Pimsner-Toeplitz and free semicircular algebras. On $\mathcal{F}(\mathcal{P}_\bullet)$, we have creation and annihilation operators as follows.

Definition 4.15. Let $x \in \mathcal{P}_{l,1,r} \subset \mathcal{X}$. We define the x creation operator $L_+(x)$ on $\mathcal{F}(\mathcal{P}_\bullet)$ by the linear extension of its action on $y \in \mathcal{P}_{l',n,r'}$:

$$L_+(x)y = \left(\begin{array}{c} | \\ \boxed{x} \\ \hline \end{array} \begin{array}{c} \boxed{y} \\ \hline \end{array} \right) = \delta_{r,l'} \begin{array}{c} | \\ \boxed{x} \\ \hline \end{array} \begin{array}{c} \boxed{y} \\ \hline \end{array}.$$

We define the x annihilation operator $L_-(x)$ on $\mathcal{F}(\mathcal{P}_\bullet)$ by the extension of

$$L_-(x)y = \left(\begin{array}{c} | \\ \boxed{x} \\ \hline \end{array} \begin{array}{c} \boxed{y} \\ \hline \end{array} \right) = \delta_{r,l'} \begin{array}{c} | \\ \boxed{x} \\ \hline \end{array} \begin{array}{c} \boxed{y} \\ \hline \end{array}.$$

Note that $(L_+(x))^* = L_-(x^\dagger)$.

The following proposition shows that these operators generate a Pimsner-Toeplitz algebra.

Proposition 4.16. *The operators $L_+(x)$ and $L_-(x)$ acting on $\mathcal{F}(\mathcal{P}_\bullet)$ are bounded. Furthermore, together with \mathcal{B} , the C*-algebra they generate is isomorphic to the Pimsner-Toeplitz algebra $\mathcal{T}(\mathcal{X})$.*

Proof. Consider the map $U : \bigoplus_{n \geq 0} \bigotimes_{\mathcal{B}}^n \mathcal{X} \rightarrow \mathcal{F}(\mathcal{P}_\bullet)$ defined by $U(y_1 \otimes \cdots \otimes y_n) = U_n(y_1 \otimes \cdots \otimes y_n)$ with U_n as in the proof of Proposition 4.11. The operator U is unitary, and it is easy to verify that U intertwines the action of the two definitions of $L_+(x)$ from Definitions 2.3 and 4.15. □

Definition 4.17. We refer to the C*-algebra generated by \mathcal{B} and $\{L_+(x) | x \in \mathcal{X}\}$ as $\mathcal{T}(\mathcal{P}_\bullet)$.

Remark 4.18. One can think of $\mathcal{T}(\mathcal{P}_\bullet)$ as being generated by operators of the form

$$L_\tau(x) = \begin{array}{c} \ell \quad | \quad \tau \\ \hline \boxed{x} \\ \hline l \quad | \quad r \end{array} \text{ where}$$

$$\begin{array}{c} \ell \quad | \quad \tau \\ \hline \boxed{x} \\ \hline l \quad | \quad r \end{array} \left(\begin{array}{c} k \quad | \\ \hline \boxed{\xi} \\ \hline \end{array} \right) = \begin{cases} 0 & \text{if } k < \tau, \\ \begin{array}{c} \ell \quad | \quad \tau \quad | \quad k - \tau \\ \hline \boxed{x} \quad \curvearrowright \quad \boxed{\xi} \\ \hline l \quad | \quad r \end{array} & \text{if } \tau \geq k. \end{cases}$$

Indeed, if c_k is as in Proposition 4.11, then setting $b = \begin{array}{c} l + \ell \\ \hline \boxed{x} \\ \hline r + \tau \end{array} \in \mathcal{B}$, we have

$$L_\tau(x) = L_+(c_\ell^\dagger) \cdots L_+(c_{l+\ell-2}^\dagger) L_+(c_{l+\ell-1}^\dagger)(b) L_-(c_{r+\tau-1}) L_-(c_{r+\tau-2}) \cdots L_-(c_\tau).$$

Definition 4.19. Let $\mathcal{X}_\mathbb{R}$ be the closed real subspace $\{x \in \mathcal{X} \mid x = x^\dagger\} \subset \mathcal{X}$. The \mathcal{B} -valued semicircular algebra $\mathcal{S}(\mathcal{P}_\bullet)$ is the C^* -subalgebra of $\mathcal{T}(\mathcal{P}_\bullet)$ spanned by the (self-adjoint) elements $L_+(\xi) + L_-(\xi)$ for $\xi \in \mathcal{X}_\mathbb{R}$.

Note that $\mathcal{S}(\mathcal{P}_\bullet)$ is isomorphic to the algebra generated by $\{L_+(x) + L_+(x)^* \mid x \in \mathcal{X}_\mathbb{R}\}$ and \mathcal{B} as operators on $\bigoplus_{n \geq 0} \bigotimes_{\mathcal{B}}^n \mathcal{X}$. We may also view generators of $\mathcal{S}(\mathcal{P}_\bullet)$, just as in the presentation of $\mathcal{S}_0(\mathcal{P}_\bullet)$ in Subsection 3.3, where we sum over all placements of the dot on the top.

Proposition 4.20. $\mathcal{S}(\mathcal{P}_\bullet)$ is generated by \mathcal{B} and

$$\left\{ \sum_{\ell + \tau = n} \begin{array}{c} \ell \quad | \quad \tau \\ \hline \boxed{x} \\ \hline l \quad | \quad r \end{array} \mid n \geq 0 \text{ and } x \in \mathcal{P}_{l,n,r} \right\}.$$

Proof. Let \mathcal{A} be the C^* -algebra generated by the sums above. It is clear that $\mathcal{S}(\mathcal{P}_\bullet) \subset \mathcal{A}$. To show the other inclusion, will prove by induction on n that each sum is in $\mathcal{S}(\mathcal{P}_\bullet)$.

If $n = 1$, we see that

$$\begin{array}{c} | \\ \hline \boxed{x} \\ \hline \end{array} + \begin{array}{c} | \\ \hline \boxed{x} \\ \hline \end{array} = \frac{1}{2} [L_+(x + x^\dagger) + L_-(x + x^\dagger) + i(L_+(-i(x - x^\dagger)) + L_-(-i(x - x^\dagger)))].$$

We now assume that the result holds for up to $n - 1$ marked points at the top of the box. We need only show that

$$\sum_{m+k=n} \begin{array}{c} \ell \quad | \quad \tau \\ \hline \boxed{x} \\ \hline \end{array} \in \mathcal{S}(\mathcal{P}_\bullet)$$

when x is of the form

$$x = \begin{array}{c} | \\ \hline \boxed{x_1} \\ \hline \end{array} \begin{array}{c} | \quad n-1 \\ \hline \boxed{y} \\ \hline \end{array} .$$

We see that

$$\begin{aligned} & \left(\begin{array}{c} | \\ \text{---} \boxed{x_1} \text{---} \\ | \end{array} + \begin{array}{c} | \\ \text{---} \boxed{x_1} \text{---} \\ | \end{array} \right) \cdot \sum_{\ell + \tau = n-1} \begin{array}{c} \ell \quad | \quad \tau \\ \text{---} \boxed{y} \text{---} \\ | \end{array} \\ &= \sum_{\ell + \tau = n-2} \begin{array}{c} \ell \quad | \quad \tau \\ \text{---} \boxed{x \quad y} \text{---} \\ | \end{array} + \sum_{\ell + \tau = n} \begin{array}{c} \ell \quad | \quad \tau \\ \text{---} \boxed{x} \text{---} \\ | \end{array}. \end{aligned}$$

By the inductive hypothesis, the left hand side and the first summation on the right hand side are in $\mathcal{S}(\mathcal{P}_\bullet)$. Therefore, all terms are in $\mathcal{S}(\mathcal{P}_\bullet)$. \square

It is important to note that this proposition proves the following:

Proposition 4.21. $\mathcal{S}(\mathcal{P}_\bullet)$ is generated by \mathcal{B} and $\{L_+(x) + L_-(x) | x \in \mathcal{X}\}$.

Remark 4.22. Proposition 4.20 shows that we may regard $\mathcal{S}(\mathcal{P}_\bullet)$ as generated by operators $x \in \mathcal{P}_{l,n,r}$. The action of x on $y \in \mathcal{F}(\mathcal{P}_\bullet)$ is given by

$$x \cdot \left(\begin{array}{c} m \\ \text{---} \boxed{\xi} \text{---} \\ | \end{array} \right) = \sum_{k=0}^{\min\{n,m\}} \begin{array}{c} \quad \quad \quad k \\ \text{---} \boxed{x} \text{---} \boxed{y} \text{---} \\ | \end{array}.$$

This diagram also shows how to multiply x and y when they are viewed in the algebra $\mathcal{S}(\mathcal{P}_\bullet)$. This is exactly the product that appears in [JSW10]. We will show $\mathcal{S}(\mathcal{P}_\bullet)$ is isomorphic to the semifinite GJS algebra in [HP14, Lemma 3.3].

4.3. The Cuntz-Pimsner algebra. As $\mathcal{T}(\mathcal{P}_\bullet)$ is isomorphic to the Pimsner-Toeplitz algebra acting on $\mathcal{F}(\mathcal{P}_\bullet)$, it contains $\mathcal{K}(\mathcal{P}_\bullet) = \mathcal{K}(\mathcal{F}(\mathcal{P}_\bullet))$. This allows us to define our Cuntz-Pimsner algebra over \mathcal{X} .

Definition 4.23. The Cuntz-Pimsner algebra $\mathcal{O}(\mathcal{P}_\bullet)$ is the C*-algebra $\mathcal{T}(\mathcal{P}_\bullet)/\mathcal{K}(\mathcal{P}_\bullet)$.

Definition 4.24. From Definition 2.4, there is a canonical \mathbb{R} -action $\sigma : \mathbb{R} \rightarrow \text{Aut}(\mathcal{T}(\mathcal{P}_\bullet))$ given by the extension of

$$\sigma_t \left(\begin{array}{c} \ell \quad | \quad \tau \\ \text{---} \boxed{x} \text{---} \\ | \end{array} \right) = e^{i(\ell-\tau)t} \begin{array}{c} \ell \quad | \quad \tau \\ \text{---} \boxed{x} \text{---} \\ | \end{array},$$

which again induces a \mathbb{T} -action. We define the core of $\mathcal{T}(\mathcal{P}_\bullet)$ as the fixed points under the \mathbb{T} -action, i.e., $\mathcal{T}(\mathcal{P}_\bullet)^\mathbb{T}$. Note that just as in Subsection 3.1, σ_t is norm continuous in t , so we define the conditional expectation $E : \mathcal{T}(\mathcal{P}_\bullet) \rightarrow \text{core}(\mathcal{T}(\mathcal{P}_\bullet))$ by

$$E(x) = \int_{\mathbb{T}} \gamma_z(x) dz$$

where the measure is normalized Lebesgue measure.

We have a \mathcal{B} -valued expectation ϕ on $\text{core}(\mathcal{T}(\mathcal{P}_\bullet))$ given by

$$\phi(L_n(x)) = \phi \left(\begin{array}{c} n \quad | \quad n \\ \text{---} \boxed{x} \text{---} \\ | \end{array} \right) = \delta^{-n} \cdot \begin{array}{c} \quad \quad \quad n \\ \text{---} \boxed{x} \text{---} \\ | \end{array}.$$

Note that ϕ extends continuously to $\text{core}(\mathcal{T}(\mathcal{P}_\bullet))$ and is faithful on elements of the form $\phi(L_n(x))$ as above. We therefore obtain a \mathcal{B} -valued conditional expectation $\psi = \phi \circ E$ defined on $\mathcal{T}(\mathcal{P}_\bullet)$.

The map ψ induces the weight $\Psi = \text{Tr} \circ \psi$ on $\mathcal{T}(\mathcal{P}_\bullet)^+$ which takes values in $[0, \infty]$. The techniques of Subsection 3.2 can be used to show that Ψ is KMS with inverse temperature $\ln(\delta)$, and the ideal generated by $\{x \in \mathcal{T}(\mathcal{P}_\bullet)^+ \mid \Psi(x) = 0\}$ is $\mathcal{K}(\mathcal{P}_\bullet)$. Therefore, Ψ drops to a faithful KMS weight on $\mathcal{O}(\mathcal{P}_\bullet)$.

4.4. Functoriality of the construction. In this subsection, we will show that if \mathcal{P}_\bullet and \mathcal{Q}_\bullet are factor planar algebras and $i : \mathcal{Q}_\bullet \rightarrow \mathcal{P}_\bullet$ is a planar algebra homomorphism, then i induces a map between the corresponding Pimsner-Toeplitz, Cuntz-Pimsner, and free semicircular algebras. Note that any such i must be injective due to the fact that the inner product tangle is positive definite, and $\mathcal{P}_0 \cong \mathbb{C} \cong \mathcal{Q}_0$.

To begin, recall that

$$\mathcal{B}_n(\mathcal{P}_\bullet) = \bigoplus_{l,r=1}^n \mathcal{P}_{l,r} \text{ and } \mathcal{B}(\mathcal{P}_\bullet) = \varinjlim \mathcal{B}_n(\mathcal{P}_\bullet),$$

$$\mathcal{B}_n(\mathcal{Q}_\bullet) = \bigoplus_{l,r=1}^n \mathcal{Q}_{l,r} \text{ and } \mathcal{B}(\mathcal{Q}_\bullet) = \varinjlim \mathcal{B}_n(\mathcal{Q}_\bullet),$$

with C^* -algebra structure as described in Subsection 4.1. Note that i induces a C^* -algebra inclusion $\mathcal{B}_n(\mathcal{Q}_\bullet) \hookrightarrow \mathcal{B}_n(\mathcal{P}_\bullet)$ and hence an inclusion $i_{\mathcal{B}} : \mathcal{B}(\mathcal{Q}_\bullet) \hookrightarrow \mathcal{B}(\mathcal{P}_\bullet)$. Recall that

$$\mathcal{X}(\mathcal{P}_\bullet) = \overline{\bigoplus_{l,r=1}^{\infty} \mathcal{P}_{l,1,r}}^{\|\cdot\|} \quad \text{and} \quad \mathcal{X}(\mathcal{Q}_\bullet) = \overline{\bigoplus_{l,r=1}^{\infty} \mathcal{Q}_{l,1,r}}^{\|\cdot\|}$$

with $\mathcal{B}(\mathcal{P}_\bullet) - \mathcal{B}(\mathcal{P}_\bullet)$ (respectively $\mathcal{B}(\mathcal{Q}_\bullet) - \mathcal{B}(\mathcal{Q}_\bullet)$) bimodule structure as described in Subsection 4.1. Furthermore we see that the map i induces a bimodule map from $i_{\mathcal{X}} : \mathcal{X}(\mathcal{Q}_\bullet) \rightarrow \mathcal{X}(\mathcal{P}_\bullet)$ which satisfies $i_{\mathcal{X}}(b_1 \cdot \xi \cdot b_2) \mapsto i_{\mathcal{B}}(b_1) \cdot i_{\mathcal{X}}(\xi) \cdot i_{\mathcal{B}}(b_2)$.

We are now ready for the main theorem of this subsection.

Theorem 4.25. *The assignment \mathcal{P}_\bullet to $\mathcal{T}(\mathcal{P}_\bullet)$, $\mathcal{S}(\mathcal{P}_\bullet)$, and $\mathcal{O}(\mathcal{P}_\bullet)$ is functorial in the following sense. If $i : \mathcal{Q}_\bullet \rightarrow \mathcal{P}_\bullet$ is an inclusion of factor planar algebras, then i induces an injective C^* -algebra homomorphism $i_{\mathcal{A}} : \mathcal{A}(\mathcal{Q}_\bullet) \rightarrow \mathcal{A}(\mathcal{P}_\bullet)$ with $\mathcal{A} \in \{\mathcal{T}, \mathcal{O}, \mathcal{S}\}$. The map $i_{\mathcal{T}}$ satisfies and is uniquely determined by*

- $i_{\mathcal{T}}(b) = i_{\mathcal{B}}(b)$ for $b \in \mathcal{B}(\mathcal{Q}_\bullet)$ and
- $i_{\mathcal{T}}(L_+(\xi)) = L_+(i_{\mathcal{X}}(\xi))$.

Proof. We consider the C^* -subalgebra of $\mathcal{T}(\mathcal{P}_\bullet)$ which is generated by $i_{\mathcal{B}}(\mathcal{B}(\mathcal{Q}_\bullet))$ and the elements $L_+(\xi)$ for $\xi \in i_{\mathcal{X}}(\mathcal{X}(\mathcal{Q}_\bullet))$. We note that the following relations hold:

- (1) $a_1 L_+(i_{\mathcal{X}}(\xi_1)) + a_2 L_+(i_{\mathcal{X}}(\xi_2)) = L_+(a_1 i_{\mathcal{X}}(\xi_1) + a_2 i_{\mathcal{X}}(\xi_2))$ for $a_i \in \mathbb{C}$ and $\xi_i \in \mathcal{X}(\mathcal{Q}_\bullet)$.
- (2) $i_{\mathcal{B}}(a) \cdot L_+(i_{\mathcal{X}}(\xi)) \cdot i_{\mathcal{B}}(b) = L_+(i_{\mathcal{B}}(a) \cdot \xi \cdot i_{\mathcal{B}}(b))$ for $\xi \in \mathcal{X}(\mathcal{Q}_\bullet)$ and $a, b \in \mathcal{B}(\mathcal{Q}_\bullet)$.
- (3) $L_+(i_{\mathcal{X}}(\eta))^* L_+(i_{\mathcal{X}}(\xi)) = \langle i_{\mathcal{X}}(\eta) \mid i_{\mathcal{X}}(\xi) \rangle_{\mathcal{B}(\mathcal{P}_\bullet)} = i_{\mathcal{B}}(\langle \eta \mid \xi \rangle_{\mathcal{B}(\mathcal{Q}_\bullet)})$.

The last equality holds since the $\mathcal{B}(\mathcal{P}_\bullet)$ and $\mathcal{B}(\mathcal{Q}_\bullet)$ valued inner products are induced from the planar operad. Since $i_{\mathcal{B}} : \mathcal{B}(\mathcal{Q}_\bullet) \rightarrow \mathcal{B}(\mathcal{P}_\bullet)$ is an injection, the universality

of Pimsner-Toeplitz algebras [Pim97] implies that i induces a C*-algebra homomorphism $i_{\mathcal{T}} : \mathcal{T}(\mathcal{Q}_{\bullet}) \rightarrow \mathcal{T}(\mathcal{P}_{\bullet})$. The map $i_{\mathcal{T}}$ satisfies and is uniquely determined by the equations claimed above.

We now show that $i_{\mathcal{T}}$ is injective. Let $\mathcal{X}_{\mathcal{Q}_{\bullet}}$ be the closure of the subspace of $\mathcal{F}(\mathcal{P}_{\bullet})$ spanned by boxes in $i(\mathcal{Q}_{\bullet})$, and note that $\mathcal{X}_{\mathcal{Q}_{\bullet}}$ is naturally an $i_{\mathcal{B}}(\mathcal{B}(\mathcal{Q}_{\bullet})) - i_{\mathcal{B}}(\mathcal{B}(\mathcal{Q}_{\bullet}))$ Hilbert bimodule. We define a map $U : \mathcal{F}(\mathcal{Q}_{\bullet}) \rightarrow \mathcal{X}_{\mathcal{Q}_{\bullet}}$ which is initially defined on diagrams and is given by linear extension of the formula $U(\xi) = i(\xi)$ for $\xi \in \mathcal{Q}_{l,n,r}$. We see that $U(b_1 \cdot \xi \cdot b_2) = i_{\mathcal{B}}(b_1) \cdot U(\xi) \cdot i_{\mathcal{B}}(b_2)$ and $\langle U(\eta) | U(\xi) \rangle_{\mathcal{B}(\mathcal{P}_{\bullet})} = i_{\mathcal{B}}(\langle \eta | \xi \rangle_{\mathcal{B}(\mathcal{Q}_{\bullet})})$, and by construction, U has dense range. Therefore, U extends to be a unitary satisfying $UL_+(\xi)U^* = L_+(i_{\mathcal{X}}(\xi))$. This shows that U intertwines the action of $\mathcal{T}(\mathcal{Q}_{\bullet})$ on $\mathcal{F}(\mathcal{P}_{\bullet})$ and the action of $i_{\mathcal{T}}(\mathcal{T}(\mathcal{Q}_{\bullet}))$ on $\mathcal{X}_{\mathcal{Q}_{\bullet}}$. Therefore $i_{\mathcal{T}}$ is injective.

The map $i_{\mathcal{T}}$ sends $\mathcal{S}(\mathcal{Q}_{\bullet})$ into $\mathcal{S}(\mathcal{P}_{\bullet})$, so the assignment \mathcal{P}_{\bullet} to $\mathcal{S}(\mathcal{P}_{\bullet})$ is functorial as well. Finally, note that if $x \in \mathcal{K}(\mathcal{Q}_{\bullet})$, then $i_{\mathcal{T}}(x) \in \mathcal{K}(\mathcal{P}_{\bullet})$. This shows that $i_{\mathcal{T}}$ induces a map $\mathcal{O}(\mathcal{Q}_{\bullet}) \rightarrow \mathcal{O}(\mathcal{P}_{\bullet})$. Theorem 5.14 and Lemma 5.29 below show that $\mathcal{O}(\mathcal{Q}_{\bullet})$ is simple, implying that the map is injective. \square

Example 4.26. A common example of an inclusion of planar algebras is the inclusion of the Temperley-Lieb planar subalgebra $\mathcal{TL}_{\bullet} \hookrightarrow \mathcal{P}_{\bullet}$.

Example 4.27. Another common example arises from equivariantization, i.e., when \mathcal{Q}_{\bullet} is the fixed points of \mathcal{P}_{\bullet} under the action of some finite group G of planar algebra automorphisms. In this case, one can show that each $g \in G$ induces an automorphism of $\mathcal{A}(\mathcal{P}_{\bullet})$, and $\mathcal{A}(\mathcal{P}_{\bullet})^G \cong \mathcal{A}(\mathcal{Q}_{\bullet})$ for $\mathcal{A} \in \{\mathcal{T}, \mathcal{O}, \mathcal{S}\}$.

Remark 4.28. Theorem 5.32 below will show that the assignments \mathcal{P}_{\bullet} to $\mathcal{O}_0(\mathcal{P}_{\bullet})$ and $\mathcal{S}_0(\mathcal{P}_{\bullet})$ are functorial.

5. COMPRESSIONS OF THE OPERATOR-VALUED SYSTEM

In this section, we investigate a compression of \mathcal{B} which induces a compression of \mathcal{X} , leading to subsequent compressions of $\mathcal{F}(\mathcal{P}_{\bullet})$, $\mathcal{T}(\mathcal{P}_{\bullet})$, $\mathcal{O}(\mathcal{P}_{\bullet})$, and $\mathcal{S}(\mathcal{P}_{\bullet})$. While the compressing projections from Γ are not in general canonical, the resulting compressions are isomorphic to canonical objects associated to an oriented graph $\vec{\Gamma}$ obtained from Γ .

First, we get a spatial isomorphism from the compression of \mathcal{X} to the Cuntz-Krieger bimodule of $\vec{\Gamma}$ from [FR99, Example 1.2]. This spatial isomorphism implements isomorphisms between

- the compression of $\mathcal{F}(\mathcal{P}_{\bullet})$ and the Fock space of the Cuntz-Krieger bimodule [FR99, Example 1.4],
- the compression of $\mathcal{T}(\mathcal{P}_{\bullet})$ and the universal Toeplitz-Cuntz-Krieger algebra $\mathcal{T}_{\vec{\Gamma}}$ [FR99],
- the compression of $\mathcal{O}(\mathcal{P}_{\bullet})$ and the Cuntz-Krieger algebra $\mathcal{O}_{\vec{\Gamma}}$ [CK80], and
- the compression of $\mathcal{S}(\mathcal{P}_{\bullet})$ and the free graph algebra $\mathcal{S}(\Gamma)$.

The free graph algebra $\mathcal{S}(\Gamma)$ is analogous to the free graph von Neumann algebras associated to arbitrary unoriented weighted graphs appearing in [GJS11, BKS12, Har13]. In particular, in Subsection 5.3, we discuss the free semicircular graph algebra $\mathcal{S}(\Lambda, \mu)$ associated to an arbitrary unoriented weighted graph (Λ, μ) (which is not necessarily a principal graph) and how it sits inside the (Toeplitz-)Cuntz-Krieger algebra.

In Subsection 5.5, we discuss a further compression by p_* which recovers the GJSW-Doplicher-Roberts system of Section 3. Finally, in Subsection 5.6, we briefly sketch the case of a shaded subfactor planar algebra.

5.1. Compressing \mathcal{B} and \mathcal{X} . For each $\alpha \in V(\Gamma)$, choose a representative $p_\alpha \in \mathcal{P}_{2 \text{depth}(\alpha)} \subset 1_{\text{depth}(\alpha)}\mathcal{B}1_{\text{depth}(\alpha)}$. Set $P_n = \sum_{\alpha \in V(\Gamma(n))} p_\alpha$, and notice that $P_n\mathcal{B}P_n$ is naturally a subspace of $P_{n+1}\mathcal{B}P_{n+1}$. In fact, $P_n\mathcal{B}P_n \cong C(V(\Gamma(n)))$, and the inclusion $P_n\mathcal{B}P_n \hookrightarrow P_{n+1}\mathcal{B}P_{n+1}$ is the natural inclusion $C(V(\Gamma(n))) \hookrightarrow C(V(\Gamma(n+1)))$. Let

$$\mathcal{C} = \mathcal{C}(\mathcal{P}_\bullet) = \varinjlim P_n\mathcal{B}P_n \cong C_0(V(\Gamma)),$$

which is our compression of \mathcal{B} .

Note that \mathcal{C} induces a compression of \mathcal{X} as follows. Let

$$\mathcal{Y} = \mathcal{Y}(\mathcal{P}_\bullet) = \overline{\mathcal{C}\mathcal{X}\mathcal{C}}^{\|\cdot\|_{\mathcal{X}}} = \varinjlim P_n\mathcal{X}P_n.$$

Moreover, \mathcal{Y} carries a \mathcal{B} -valued inner product, which is actually just a \mathcal{C} -valued inner product. Hence \mathcal{Y} is a Hilbert $\mathcal{C} - \mathcal{C}$ bimodule.

Remark 5.1. If Γ is simply laced and acyclic, then there is a unique choice for each p_α , and \mathcal{Y} is canonical.

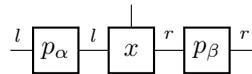
If Γ is not simply laced or not acyclic, it is clear from the above definition that if we chose different representatives p'_α for the vertices α , the resulting projections P'_n would be equivalent in \mathcal{B} to the P_n . Such a partial isometry implementing the equivalence is unique up to a choice of phase for each α , since minimal projections in a matrix algebra are equivalent by a unique partial isometry up to a phase.

We now identify \mathcal{Y} with the Cuntz-Krieger bimodule $Y(\vec{\Gamma})$ from Definition 2.12.

Definition 5.2. For the principal graph Γ of \mathcal{P}_\bullet , let $\vec{\Gamma}$ be as in Definition 2.20. Let

$$E(\alpha \rightarrow \beta) = \left\{ \epsilon \in E(\vec{\Gamma}) \mid s(\epsilon) = \alpha \text{ and } t(\epsilon) = \beta \right\}.$$

We note that \mathcal{Y} is spanned by elements of the form $p_\alpha x p_\beta$ for $x \in \mathcal{X}$. Diagrammatically, these elements look like



where there is only one strand on top. We note that $p_\alpha \mathcal{Y} p_\beta$ is finite dimensional, and $\dim(p_\alpha \mathcal{Y} p_\beta) = |E(\alpha \rightarrow \beta)|$. This observation will help us define our edge elements.

Definition 5.3 (Edge elements). For each edge $\epsilon \in E(\vec{\Gamma})$, we define an edge element g_ϵ .

(1) Choose an orthonormal basis $\{g_\epsilon \mid \epsilon \in E(\alpha \rightarrow \alpha)\}$ of $p_\alpha \mathcal{Y} p_\alpha$ under the inner product

$$\langle x \mid y \rangle = \text{Diagram with two boxes } x^\dagger \text{ and } y \text{ connected by a horizontal line. A curved line above } x^\dagger \text{ and } y \text{ connects them, and a curved line below connects them, forming a loop structure.}$$

where each g_ϵ is self-adjoint.

(2) Suppose $\alpha \neq \beta$. Choose an orthonormal basis $\{g_\epsilon \mid \epsilon \in E(\alpha \rightarrow \beta)\}$ of $p_\alpha \mathcal{Y} p_\beta$. We note that $\{g_\epsilon^\dagger \mid \epsilon \in E(\alpha \rightarrow \beta)\}$ gives an orthonormal basis of $p_\beta \mathcal{Y} p_\alpha$. Thus, for $\epsilon \in E(\beta \rightarrow \alpha)$, we define $g_\epsilon = g_{\epsilon^\dagger}^\dagger$. Therefore, if ϵ is not a loop, then $g_\epsilon \in p_{s(\epsilon)} \mathcal{Y} p_{t(\epsilon)}$ and $g_\epsilon^\dagger = g_{\epsilon \circ p}$.

Remark 5.4. If $\epsilon \in E(\alpha \rightarrow \beta)$, we visualize the edge element as

$$g_\epsilon = \begin{array}{c} | \\ \boxed{p_\alpha} \text{---} \boxed{g_\epsilon} \text{---} \boxed{p_\beta} \\ | \end{array}$$

with the source projection on the left and the target projection on the right.

We now identify \mathcal{Y} with $Y(\vec{\Gamma})$ from Definition 2.12. We need the following lemma.

Lemma 5.5.

- (1) If $\epsilon, \epsilon' \in E(\vec{\Gamma})$, then $\langle g_{\epsilon'} | g_\epsilon \rangle_{\mathcal{C}} = \frac{\delta_{\epsilon, \epsilon'}}{\text{Tr}(p_{t(\epsilon)})} \cdot p_{t(\epsilon)}$.
- (2) $p_\alpha g_\epsilon = \delta_{s(\epsilon)=\alpha} g_\epsilon$ and $g_\epsilon p_\alpha = \delta_{t(\epsilon)=\alpha} g_\epsilon$.

Proof.

- (1) First, we note that the \mathcal{C} -valued inner product must be zero unless $s(\epsilon') = s(\epsilon)$. Let $\alpha = t(\epsilon') = s((\epsilon')^{\text{op}})$ and $\beta = t(\epsilon)$. Then we have

$$\langle g_{\epsilon'} | g_\epsilon \rangle_{\mathcal{C}} = \begin{array}{c} \text{---} \boxed{g_{\epsilon'}^\dagger} \text{---} \boxed{g_\epsilon} \text{---} \\ | \end{array} \in p_\alpha \mathcal{C} p_\beta = \begin{cases} (0) & \text{if } \alpha \neq \beta, \\ \text{span}\{p_\alpha\} & \text{if } \alpha = \beta. \end{cases}$$

Assuming $\alpha = \beta$, by the orthonormality of the edge elements in $p_{s(\epsilon)} \mathcal{Y} p_\alpha$, we observe that

$$\begin{array}{c} \text{---} \boxed{g_{\epsilon'}^\dagger} \text{---} \boxed{g_\epsilon} \text{---} \\ | \end{array} = \delta_{\epsilon, \epsilon'}$$

and the result follows.

- (2) This is immediate from the definition of g_ϵ . □

Proposition 5.6. *There is a spatial isomorphism $\mathcal{Y} \cong Y(\vec{\Gamma})$ of Hilbert $\mathcal{C} - \mathcal{C}$ bimodules.*

Proof. We construct a unitary $U : Y(\vec{\Gamma}) \rightarrow \mathcal{Y}$. We initially define U on finitely supported functions by the formula $U(\delta_\epsilon) = \sqrt{\text{Tr}(p_{t(\epsilon)})} \cdot g_\epsilon$. Lemma 5.5 shows that U preserves the \mathcal{C} -valued inner products, the $\mathcal{C} - \mathcal{C}$ bimodule structure, and has dense range. Therefore, U can be extended to $Y(\vec{\Gamma})$, giving the isomorphism. □

5.2. Induced isomorphisms.

Definition 5.7. Define $\mathcal{F}(\Gamma) = \overline{\mathcal{CF}(\mathcal{P}_\bullet)}^{\|\cdot\|_{\mathcal{F}(\mathcal{P}_\bullet)}}$ and $\mathcal{Y}_m = \overline{\mathcal{CX}_m \mathcal{C}}^{\|\cdot\|_{\mathcal{X}_m}}$. Using the convention that $\mathcal{Y} = \mathcal{Y}_1$, we have $\mathcal{F}(\Gamma) = \bigoplus_{m=0}^\infty \mathcal{Y}_m$.

We now show that $\mathcal{F}(\Gamma)$ (as a $\mathcal{C} - \mathcal{C}$ bimodule) retains the Fock space structure of $\mathcal{F}(\mathcal{P}_\bullet)$.

Proposition 5.8. $\mathcal{Y}_n \cong \bigotimes_{\mathcal{C}}^n \mathcal{Y} (\cong \bigotimes_{\mathcal{C}}^n Y(\vec{\Gamma}))$, so $\mathcal{F}(\Gamma) \cong \mathcal{F}(Y(\vec{\Gamma}))$.

Proof. We show that $\mathcal{Y}_2 \cong \mathcal{Y} \otimes_{\mathcal{C}} \mathcal{Y}$, and the proof of the general statement follows by induction. Let $x \in p_\alpha \mathcal{Y}_2 p_\beta$. By Proposition 4.11 and the discussion thereafter, we see that

$$x = \begin{array}{c} | \quad | \\ \boxed{y} \text{---} \boxed{z} \\ | \end{array}$$

for some (non-unique) $y, z \in \mathcal{X}$. There is some projection $P \in \mathcal{P}_{2n}$ such that

$$x = \begin{array}{c} | \quad | \\ \boxed{y} \text{---} \boxed{P} \text{---} \boxed{z} \\ | \end{array}$$

Now P is a sum of projections $P_\gamma \in \mathcal{P}_{2n}$ where the γ 's are vertices connected to both α and β . Thus each P_γ is a sum of minimal projections equivalent to p_γ in \mathcal{B} . For each γ , choose partial isometries $v_1^\gamma, \dots, v_{N(\gamma)}^\gamma$ such that

$$(v_j^\gamma)^* v_j^\gamma = p_\gamma \text{ and } \sum_{j=1}^{N(\gamma)} v_j^\gamma (v_j^\gamma)^* \left(= \sum_{j=1}^{N(\gamma)} v_j^\gamma p_\gamma (v_j^\gamma)^* \right) = P_\gamma.$$

Inserting the latter expression for each P_γ gives the result. □

We now define our compressed Toeplitz algebra.

Definition 5.9. We define the Toeplitz algebra of Γ by $\mathcal{T}(\Gamma) = \overline{\mathcal{CT}(\mathcal{P}_\bullet)\mathcal{C}}^{\|\cdot\|}$. Notice that these are operators on $\mathcal{F}(\Gamma)$.

The following proposition, whose proof directly follows from Propositions 5.6 and 5.8, shows that the definition of $\mathcal{T}(\Gamma)$ makes sense.

Proposition 5.10. $\mathcal{T}(\Gamma)$ is generated as a C^* -algebra by \mathcal{C} and $\{L_+(y) | y \in \mathcal{Y}\}$.

This proposition, together with Proposition 5.6, shows that $\mathcal{T}(\Gamma) \cong \mathcal{T}(Y(\vec{\Gamma}))$. Since the edge elements generate \mathcal{Y} as a Banach space, the following proposition immediately follows from Proposition 5.10.

Proposition 5.11. $\mathcal{T}(\Gamma)$ is generated as a C^* -algebra by \mathcal{C} and $\{L_+(g_\epsilon) | \epsilon \in E(\vec{\Gamma})\}$.

We will now explore the Toeplitz-structure of $\mathcal{T}(\Gamma)$.

Definition 5.12. For $\epsilon \in E(\vec{\Gamma})$, set $S_\epsilon = \sqrt{\text{Tr}(p_{t(\epsilon)})} L_+(g_\epsilon)$.

Proposition 5.13.

- (1) If $\epsilon, \epsilon' \in E(\vec{\Gamma})$, then $S_{\epsilon'}^* S_\epsilon = \delta_{\epsilon, \epsilon'} p_{t(\epsilon)}$.
- (2) $\sum_{s(\epsilon)=\alpha} S_\epsilon S_\epsilon^* = p_\alpha - |p_\alpha\rangle\langle p_\alpha|$.

Proof. Using the identity $L_+(y)^* L_+(x) = \langle y|x\rangle_{\mathcal{C}}$, (1) follows from (1) of Lemma 5.5. For part (2), we first note that if $\xi \in \mathcal{C}$, then applying either side of the equation to ξ produces zero. We may now assume that

$$\xi = \begin{array}{c} | \\ \boxed{g_{\epsilon'}} \\ | \end{array} \begin{array}{c} | \\ \boxed{\eta} \\ | \end{array}.$$

If ϵ' is not any edge represented in the sum, then $p_\alpha g_{\epsilon'} = 0$ and part (1) implies that the sum annihilates $g_{\epsilon'}$ as well. If ϵ' is an edge represented in the sum, then by parts (1) and (2) and the proof of Lemma 5.5,

$$\left(\sum_{s(\epsilon)=\alpha} S_\epsilon S_\epsilon^* \right) \cdot \xi = S_{\epsilon'} S_{\epsilon'}^* \xi = \begin{array}{c} \text{---} \boxed{g_{\epsilon'}} \text{---} \boxed{p_{t(\epsilon')}} \text{---} \boxed{\eta} \text{---} \\ \text{---} \end{array} = \xi.$$

We also note that $p_\alpha \xi = \xi$ and $p_\alpha \langle p_\alpha | \xi \rangle = 0$. This completes the proof. □

Note that $\mathcal{T}(\Gamma)$ is isomorphic to the universal Toeplitz-Cuntz-Krieger C*-algebra $\mathcal{T}_{\vec{\Gamma}}$ [FR99]. Let $\mathcal{K}(\Gamma) = \mathcal{K}(\mathcal{F}(\Gamma))$ be the C*-algebra generated by the “rank one” operators $|\xi\rangle\langle\eta|$. As in Section 4.3, we see that $\mathcal{T}(\Gamma)$ contains $\mathcal{K}(\Gamma)$ as an ideal. We define $\mathcal{O}(\Gamma) = \mathcal{T}(\Gamma)/\mathcal{K}(\Gamma)$. We have the following theorem.

Theorem 5.14. $\mathcal{O}(\Gamma)$ is isomorphic to the Cuntz-Krieger graph C*-algebra $\mathcal{O}_{\vec{\Gamma}}$.

Proof. We note that $\mathcal{O}(\Gamma)$ is generated by the homomorphic images of \mathcal{C} and the elements S_ϵ for $\epsilon \in E(\vec{\Gamma})$, and we will abuse notation by calling the images \mathcal{C} and S_ϵ respectively. No non-zero element of \mathcal{C} lies in $\mathcal{K}(\Gamma)$, so \mathcal{C} is faithfully represented inside $\mathcal{O}(\Gamma)$. Also note that by Proposition 5.13,

$$S_\epsilon^* S_\epsilon = p_{t(\epsilon)} \quad \text{and} \quad \sum_{s(\epsilon)=\alpha} S_\epsilon S_\epsilon^* = p_\alpha$$

in $\mathcal{O}(\Gamma)$. By [CK80, Theorem 2.13] and [PR96, Theorem 2.1.8], we have $\mathcal{O}(\Gamma) \cong \mathcal{O}_{\vec{\Gamma}}$. □

Remark 5.15. We will observe in Theorem 5.32 below that $\mathcal{O}_0(\mathcal{P}_\bullet)$ is naturally isomorphic to $p_\star \mathcal{O}(\Gamma) p_\star$. As a result, we may now infer many properties of $\mathcal{O}_0(\mathcal{P}_\bullet)$ since it is stably isomorphic to $\mathcal{O}_{\vec{\Gamma}}$. Hence Facts 2.15 all hold for $\mathcal{O}_0(\mathcal{P}_\bullet)$, so in particular, $\mathcal{O}_0(\mathcal{P}_\bullet)$ is simple.

5.3. The free graph algebra of an unoriented graph. This subsection defines the third canonical algebra associated to the compressed Fock space. We show that this algebra appears as a subalgebra of Cuntz-Krieger graph algebras of certain directed graphs provided certain natural weighting conditions are satisfied. To begin, we provide some useful notation.

Notation 5.16. Let \mathcal{A} be a C*-algebra equipped with a lower semicontinuous tracial weight tr (which may or may not be finite). We write

$$\mathcal{A} = \underset{\mu_1}{\overset{p_1}{\mathcal{A}}_1} \oplus \cdots \oplus \underset{\mu_n}{\overset{p_n}{\mathcal{A}}_n} \oplus \mathcal{D}$$

if p_k is a projection in \mathcal{A} which serves as the identity of the C*-algebra \mathcal{A}_k and $\text{tr}(p_k) = \mu_k$. The algebra \mathcal{D} may be unital or not.

We start with a connected undirected graph Λ with weighting $\mu : V(\Lambda) \rightarrow \mathbb{R}_{>0}$. Let $\vec{\Lambda}$ be as in Definition 2.20. Set $\mathcal{C}_\Lambda = C_0(V(\Lambda)) = C_0(V(\vec{\Lambda}))$. We form the $\mathcal{C}_\Lambda - \mathcal{C}_\Lambda$ Hilbert bimodule $Y(\vec{\Lambda})$ and its Fock space $\mathcal{F}(Y(\vec{\Lambda}))$. For notational convenience, we will rewrite tensors of the form $\delta_{\epsilon_1} \otimes \cdots \otimes \delta_{\epsilon_n}$ as $\epsilon_1 \otimes \cdots \otimes \epsilon_n$. This means that we can think of $Y(\vec{\Lambda})$ being generated by elements ϵ for $\epsilon \in E(\vec{\Lambda})$ satisfying the relations $p_\alpha \epsilon = \delta_{s(\epsilon),\alpha} \epsilon$, $\epsilon p_\alpha = \delta_{t(\epsilon),\alpha} \epsilon$, and $\langle \epsilon' | \epsilon \rangle_{\mathcal{C}_\Lambda} = \delta_{\epsilon,\epsilon'} p_{t(\epsilon')}$.

Definition 5.17. Suppose $\epsilon \in E(\Lambda)$.

- (1) If ϵ is a loop, then we can think of $\epsilon \in E(\vec{\Lambda})$, and we define $T_\epsilon = L_+(\epsilon) + L_+(\epsilon)^*$.
- (2) If ϵ is not a loop, let ϵ' and ϵ'' be the two edges associated to ϵ in Definition 2.20. Set $a = \sqrt[4]{\frac{\mu(s(\epsilon'))}{\mu(t(\epsilon'))}}$. We define the self-adjoint element T_ϵ as follows:

$$T_\epsilon = aL_+(\epsilon') + a^{-1}L_+(\epsilon'')^* + a^{-1}L_+(\epsilon'') + aL_+(\epsilon')^*.$$

Definition 5.18. The free graph algebra $\mathcal{S}(\Lambda, \mu)$ is the C*-subalgebra of $\mathcal{T}_{\vec{\Lambda}}$ generated by \mathcal{C}_Λ together with $\{T_\epsilon | \epsilon \in E(\Lambda)\}$.

We now determine the structure of the free graph algebra.

Theorem 5.19. *Define a map $E : \mathcal{S}(\Lambda, \mu) \rightarrow \mathcal{C}_\Lambda$ by $E(x) = \sum_{\alpha \in V(\Lambda)} \langle p_\alpha | xp_\alpha \rangle_{\mathcal{C}_\Lambda}$. Notice that this sum always converges in norm.*

For a fixed $\epsilon \in E(\Lambda)$, let \mathcal{S}_ϵ denote the C^ -subalgebra of $\mathcal{S}(\Lambda, \mu)$ generated by \mathcal{C}_Λ and T_ϵ .*

- (1) *E is faithful on each \mathcal{S}_ϵ .*
- (2) *The \mathcal{S}_ϵ are free with amalgamation over \mathcal{C}_Λ , implying E is faithful on $\mathcal{S}(\Lambda, \mu)$, and*

$$\mathcal{S}(\Lambda, \mu) = \underset{\mathcal{C}_\Lambda}{*} (\mathcal{S}_\epsilon, E)_{\epsilon \in E(\Lambda)}$$

where the free product is reduced.

Proof.

- (1) Assume first that ϵ is a loop at α . Let Λ_ϵ be the subgraph of Λ consisting of the vertex α and the loop ϵ . We need only show that the mapping $E_\epsilon : \mathcal{S}(\Lambda_\epsilon, \mu_\epsilon) \rightarrow C^*(p_\alpha) \cong \mathbb{C}$ given by $E_\epsilon(x) = \langle p_\alpha | xp_\alpha \rangle_{p_\alpha \mathcal{C}_\Lambda p_\alpha}$ is faithful. This immediately follows from Voiculescu’s free Gaussian functor construction since $\mathcal{S}(\Lambda_\epsilon) = C^*(p_\alpha, L_+(\epsilon) + L_-(\epsilon))$.

Now assume that ϵ has two distinct edges α and β as endpoints, and let $s(\epsilon) = \alpha$ and $t(\epsilon) = \beta$. Let Λ_ϵ be the subgraph of Λ whose vertices are α and β and edge ϵ . Let $\mu_\epsilon = \mu|_{V(\Lambda_\epsilon)}$.

To show that E is faithful on \mathcal{S}_ϵ , it is enough to show that the mapping $E_\epsilon : \mathcal{S}(\Lambda_\epsilon, \mu_\epsilon) \rightarrow C^*(p_\alpha, p_\beta)$ is given by

$$E_\epsilon(x) = \langle p_\alpha | xp_\alpha \rangle + \langle p_\beta | xp_\beta \rangle$$

is faithful. We define bounded (non-adjointable) operators $R_{\epsilon'}$ and $R_{\epsilon''}$ on $\mathcal{F}(Y(\vec{\Lambda}_\epsilon))$, where ϵ', ϵ'' are as in Definition 2.20. The operators $R_{\epsilon'}$ and $R_{\epsilon''}$ are given on tensors by the formulas

$$R_{\epsilon'}(\epsilon_1 \otimes \cdots \otimes \epsilon_n) = a^{-1} \epsilon_1 \otimes \cdots \otimes \epsilon_n \otimes \epsilon' + a \epsilon_1 \otimes \cdots \otimes \epsilon_{n-1} \langle \epsilon_n^{\text{op}} | \epsilon' \rangle,$$

$$R_{\epsilon''}(\epsilon_1 \otimes \cdots \otimes \epsilon_n) = a \epsilon_1 \otimes \cdots \otimes \epsilon_n \otimes \epsilon'' + a^{-1} \epsilon_1 \otimes \cdots \otimes \epsilon_{n-1} \langle \epsilon_n^{\text{op}} | \epsilon'' \rangle.$$

A standard induction argument shows that $p_\alpha + p_\beta$ is cyclic for the algebra \mathcal{R} generated by $R_{\epsilon'}$, $R_{\epsilon''}$, and $\mathcal{C}_{\Lambda_\epsilon}$ acting on the right, and it is a straightforward argument to show that $\mathcal{S}(\Lambda_\epsilon, \mu_\epsilon)$ and \mathcal{R} commute. Therefore, if there is an $x \in \mathcal{S}(\Lambda_\epsilon, \mu_\epsilon)$ so that $xp_\alpha = 0$ and $xp_\beta = 0$, then $x(p_\alpha + p_\beta) = 0$ and since x commutes with \mathcal{R} , x must be 0. Therefore if $y \geq 0$, $y \in \mathcal{S}(\Lambda_\epsilon, \mu_\epsilon)$, and $E(y) = 0$, then

$$0 = \langle p_\alpha | yp_\alpha \rangle_{\mathcal{C}_{\Lambda_\epsilon}} + \langle p_\beta | yp_\beta \rangle_{\mathcal{C}_{\Lambda_\epsilon}} = \langle y^{1/2} p_\alpha | y^{1/2} p_\alpha \rangle_{\mathcal{C}_{\Lambda_\epsilon}} + \langle y^{1/2} p_\beta | y^{1/2} p_\beta \rangle_{\mathcal{C}_{\Lambda_\epsilon}},$$

implying $y = 0$. This implies E is faithful on \mathcal{S}_ϵ .

- (2) Note that the map E is clearly well-defined on all of $\mathcal{T}(Y(\vec{\Lambda}))$, and the expectationless elements in $\mathcal{T}(Y(\vec{\Lambda}))$ are densely spanned by terms of the form

$$\sum_{i=1}^n a_i L_+(\epsilon_1) \cdots L_+(\epsilon_{\ell(i)}) L_+(\epsilon'_1)^* \cdots L_+(\epsilon'_{r(i)})^*$$

for $\ell(i)$ and $r(i)$ not both zero. Therefore, the expectationless elements in $\mathcal{S}(\Lambda, \mu)$ are densely spanned by certain sums of this form as well. Suppose that

x_1, \dots, x_n are terms of this form, $x_i \in \mathcal{S}_{\epsilon_i}$ with $\epsilon_i \neq \epsilon_{i+1}$, and $E(x_i) = 0$. The identity

$$L_+(\epsilon_i^t)^* L_+(\epsilon_{i+1}^t) = 0 \text{ for } s, t \in \{', ''\}$$

shows that no elements of \mathcal{C} appear as a summand of the expression for $x_1 \cdots x_n$. This gives the desired result. \square

Let τ_μ be the tracial weight on \mathcal{C}_Λ which is defined by $\tau_\mu(p_\alpha) = \mu(\alpha)$, and define $\text{Tr}_\mu = \tau_\mu \circ E$. We can now give the explicit structure of the algebras \mathcal{S}_ϵ .

Theorem 5.20. *For each algebra \mathcal{S}_ϵ , Tr_μ is a lower-semicontinuous tracial weight on \mathcal{S}_ϵ which is finite if and only if $\sum_{v \in V(\Lambda)} \mu(v)$ is finite. Furthermore:*

(1) *If ϵ is a loop at α , then*

$$\mathcal{S}_\epsilon \cong C_{\mu(\alpha)}^{p_\alpha}[0, 1] \oplus C_0(V(\Lambda) \setminus \{\alpha\}),$$

where if $f \in p_\alpha \mathcal{S}_\epsilon p_\alpha$, $\text{Tr}_\mu(f) = \mu(\alpha) \int_0^1 f(\lambda) d\lambda$ with $d\lambda$ Lebesgue measure.

(2) *If ϵ has α and β as endpoints with $\alpha \neq \beta$ and $\mu(\alpha) > \mu(\beta)$, then*

$$\mathcal{S}_\epsilon \cong (M_2(\mathbb{C}) \otimes C_{2\mu(\beta)}^{p_\beta+q_\alpha}) \oplus_{\mu(\alpha)-\mu(\beta)}^{r_\alpha} \mathbb{C} \oplus C_0(V(\Lambda) \setminus \{\alpha, \beta\}).$$

Here, $p_\alpha = q_\alpha + r_\alpha$. On $(p_\alpha + q_\beta) \mathcal{S}_\epsilon (p_\alpha + q_\beta)$, we have $\text{Tr}_\mu = \mu(\beta) \text{Tr}_{M_2(\mathbb{C})} \otimes \int(\cdot) d\lambda$ with $d\lambda$ Lebesgue measure.

(3) *Set \mathcal{D} to be the algebra*

$$\mathcal{D} = \{f : [0, 1] \rightarrow M_2(\mathbb{C}) \mid f \text{ is continuous and } f(0) \text{ is diagonal}\}.$$

If ϵ has α and β as endpoints with $\alpha \neq \beta$ and $\mu(\alpha) = \mu(\beta)$, then

$$\mathcal{S}_\epsilon \cong_{2\mu(\alpha)}^{p_\alpha+p_\beta} \mathcal{D} \oplus C_0(V(\Lambda) \setminus \{\alpha, \beta\}),$$

where p_α and p_β are the canonical matrix units e_{11} and e_{22} respectively. The trace on \mathcal{D} is given by $\mu(\alpha) \text{Tr}_{M_2(\mathbb{C})} \otimes \int(\cdot) d\lambda$ with $d\lambda$ Lebesgue measure.

Proof. For (1), note that \mathcal{S}_ϵ is commutative and $p_\alpha \mathcal{S}_\epsilon p_\alpha$ is generated by p_α and $L_+(\epsilon) + L_+(\epsilon)^*$. Standard arguments show that $L_+(\epsilon) + L_+(\epsilon)^*$ has Voiculescu's semicircular distribution on $[-2, 2]$ in $p_\alpha \mathcal{S}_\epsilon p_\alpha$ with respect to Tr .

For (2) and (3), we set $\alpha = s(\epsilon')$ and $a = \sqrt[4]{\frac{\mu(\alpha)}{\mu(\beta)}}$ and assume that $a \geq 1$. We note that $(p_\alpha + p_\beta) \mathcal{S}_\epsilon (p_\alpha + p_\beta)$ is generated as a C^* -algebra by

$$T_{\epsilon'} = aL_+(\epsilon') + a^{-1}L_+(\epsilon'')^* \quad \text{and} \quad T_{\epsilon''} = a^{-1}L_+(\epsilon'') + aL_+(\epsilon').$$

Note that $T_{\epsilon'}^* = T_{\epsilon''}$, $T_{\epsilon'}^* T_{\epsilon'} \in p_\beta \mathcal{S}_\epsilon p_\beta$, and $T_{\epsilon'}^* T_{\epsilon''} \in p_\alpha \mathcal{S}_\epsilon p_\alpha$. We will compute the laws of $T_{\epsilon'}^* T_{\epsilon'}$ in $p_\beta \mathcal{S}_\epsilon p_\beta$, and $T_{\epsilon'}^* T_{\epsilon''}$ in $p_\alpha \mathcal{S}_\epsilon p_\alpha$ with respect to Tr_μ .

We let $P_n(\epsilon')$ be the coefficient of p_β in $(T_{\epsilon'}^* T_{\epsilon'})^n$ and $P_n(\epsilon'')$ the coefficient of p_α in $(T_{\epsilon'}^* T_{\epsilon''})^n$. We say that $P_0(\epsilon') = 1 = P_0(\epsilon'')$. We claim that for $n \geq 1$,

$$P_n(\epsilon') = a^2 \sum_{k=0}^{n-1} P_k(\epsilon'') P_{n-k-1}(\epsilon') \quad \text{and} \quad P_n(\epsilon'') = a^{-2} \sum_{k=0}^{n-1} P_k(\epsilon') P_{n-k-1}(\epsilon'').$$

Indeed, the p_β term in $(T_{\epsilon'}^* T_{\epsilon'})^n$ comes from terms of the form

$$a^2 L_+(\epsilon')^* (T_{\epsilon''}^* T_{\epsilon''})^k L_+(\epsilon') (T_{\epsilon'}^* T_{\epsilon'})^{n-k-1},$$

where in each term, we take the term

$$a^2 L_+(\epsilon')^* (P_k(\epsilon'') p_\alpha) L_+(\epsilon') P_{n-k-1} p_\beta = a^2 P_k(\epsilon'') P_{n-k-1}(\epsilon') p_\beta$$

to avoid double-counting. This establishes the first identity, and the second identity is established from similar arguments.

We now write the moment generating functions

$$M_{\epsilon'}(z) = \sum_{n=0}^{\infty} P_n(\epsilon') z^n \quad \text{and} \quad M_{\epsilon''}(z) = \sum_{n=0}^{\infty} P_n(\epsilon'') z^n$$

for $T_{\epsilon'}^* T_{\epsilon'}$ and $T_{\epsilon''}^* T_{\epsilon''}$ respectively. From the above recursion relations, we have the following system of equations:

$$M_{\epsilon'}(z) = a^2 z M_{\epsilon'}(z) M_{\epsilon''}(z) + 1 \quad \text{and} \quad M_{\epsilon''}(z) = a^{-2} z M_{\epsilon'}(z) M_{\epsilon''}(z) + 1.$$

Solving this gives

$$M_{\epsilon'}(z) = \frac{a^2 - (a^4 - 1)z + \sqrt{(z(a^4 - 1) - a^2)^2 - 4a^2 z}}{2z} \quad \text{and}$$

$$M_{\epsilon''}(z) = \frac{a^{-2} - (a^{-4} - 1)z + \sqrt{(z(a^{-4} - 1) - a^{-2})^2 - 4a^{-2} z}}{2z}.$$

The laws with respect to Tr_μ can now be recovered from the Cauchy transforms $G_f(z) = \mu(t(f))z^{-1}M_f(z^{-1})$ for $f \in \{\epsilon', \epsilon''\}$. The Cauchy transforms are

$$G_{\epsilon'}(z) = \mu(\beta) \frac{a^2 z - (a^4 - 1) + \sqrt{((a^4 - 1) - a^2 z)^2 - 4a^2 z}}{2z} \quad \text{and}$$

$$G_{\epsilon''}(z) = \mu(\alpha) \frac{a^{-2} z - (a^{-4} - 1) + \sqrt{((a^{-4} - 1) - a^{-2} z)^2 - 4a^{-2} z}}{2z}$$

where the branch on the square root is chosen so that

$$\lim_{\Im(z) \rightarrow +\infty} G_{\epsilon'}(z) = 0 = \lim_{\Im(z) \rightarrow +\infty} G_{\epsilon''}(z).$$

The polynomials in the square root both have roots at $a^2 + a^{-2} \pm 2$. Therefore they are scalar multiples of each other, and we see that the second polynomial differs from the first by a factor of a^{-8} .

To get the law, we compute $\lim_{y \rightarrow 0^+} -\frac{1}{\pi} \Im(G(x + iy))$ in the sense of distributions. Using $a^4 \geq 1$ and $a^{-4} \leq 1$, this says that the laws $d\mu_{\epsilon'}$ and $d\mu_{\epsilon''}$ of $T_{\epsilon'}^* T_{\epsilon'}$ and $T_{\epsilon''}^* T_{\epsilon''}$ respectively are

$$d\mu_{\epsilon'} = \mu(\beta) \frac{\sqrt{4a^2 x - (a^4 - 1 - a^2 x)^2}}{2\pi x} \mathbf{1}_{[a^2 + a^{-2} - 2, a^2 + a^{-2} + 2]} dx \quad \text{and}$$

$$d\mu_{\epsilon''} = \mu(\alpha) (1 - a^{-4}) \delta_0$$

$$+ \mu(\alpha) a^{-4} \frac{\sqrt{4a^2 x - (a^4 - 1 - a^2 x)^2}}{2\pi x} \mathbf{1}_{[a^2 + a^{-2} - 2, a^2 + a^{-2} + 2]} dx.$$

Since $a^{-4} = \frac{\mu(\beta)}{\mu(\alpha)}$, this implies that $\text{Tr}_\mu((T_{\epsilon'}^* T_{\epsilon'})^n) = \text{Tr}_\mu((T_{\epsilon''}^* T_{\epsilon''})^n)$ for all $n \geq 0$. Therefore Tr_μ is tracial on \mathcal{S}_ϵ .

We see from this analysis that both distributions are free-Poisson. If $a > 1$, then $\mu(\alpha) > \mu(\beta)$, and $a^{-2} + a^2 > 2$, implying that $T_{\epsilon'}^* T_{\epsilon'}$ is invertible in $p_\beta \mathcal{S}_\epsilon p_\beta$ and hence the polar part of $T_{\epsilon'}$ lies in \mathcal{S}_ϵ . Since the law of $T_{\epsilon'}^* T_{\epsilon'}$ in $p_\beta \mathcal{S}_\epsilon p_\beta$ is absolutely continuous with respect to Lebesgue measure, we have established the isomorphism in (2).

To establish the isomorphism in (3), we have $a = 1$ and hence $\mu(\alpha) = \mu(\beta)$. Note that the laws of $T_{\epsilon'}^* T_{\epsilon'}$ and $T_{\epsilon''}^* T_{\epsilon''}$ are both absolutely continuous with respect to Lebesgue measure and are supported on $[0, 4]$. This gives the isomorphism in (3). \square

Since E preserves Tr_μ on each \mathcal{S}_ϵ by definition, we see that Tr_μ is a faithful semifinite trace on $\mathcal{S}(\Lambda, \mu)$. We obtain a Hilbert space representation of $\mathcal{S}(\Lambda, \mu)$ by the induced representation on $\mathcal{H} = \mathcal{F}(Y(\vec{\Lambda})) \otimes_{\mathcal{C}_\Lambda} \ell^2(V(\Gamma), \mu)$. The action of $\mathcal{S}(\Lambda, \mu)$ on \mathcal{H} is simply the GNS representation of $\mathcal{S}(\Lambda, \mu)$ with respect to Tr_μ . (This argument is similar to [HP14, Lemma 3.3].) The von Neumann algebra generated by $\mathcal{S}(\Lambda, \mu)$ in this representation is $\mathcal{M}(\Lambda, \mu)$ from [Har13]. We have the following useful lemma about the structure of $\mathcal{M}(\Lambda, \mu)$.

Lemma 5.21 ([Har13]). *Write $\alpha \sim \beta$ if α and β are the endpoints of at least one edge in Λ , and $n(\alpha, \beta)$ the number of edges having α and β as endpoints. Set $a_\mu(\alpha) = \sum_{\beta \sim \alpha} n(\alpha, \beta) \mu(\beta)$ and*

$$A(\Lambda, \mu) = \{\alpha \in V(\Lambda) \mid \mu(\alpha) > a_\mu(\alpha)\}.$$

Then

$$\mathcal{M}(\Lambda, \mu) \cong \mathcal{N} \oplus \bigoplus_{\alpha \in A(\Lambda, \mu)} \overset{r_\alpha}{\mathbb{C}}_{\mu(\alpha) - a_\mu(\alpha)}.$$

The algebra \mathcal{N} is $L(\mathbb{F}_t)$ for some t if $\sum_{\alpha \notin A(\Lambda, \mu)} \mu(\alpha) + \sum_{\alpha \in A(\Lambda, \mu)} a_\mu(\alpha)$ is finite or $L(\mathbb{F}_s) \otimes B(H)$ otherwise. The projection r_α is a subprojection of p_α .

The above lemma was proven in [Har13] for finite weighted graphs. The standard embedding arguments used in Section 4 of that paper get the infinite case as well. We also have the following lemma, which is surely well known, but we will provide a proof.

Lemma 5.22. *Suppose $x \in \mathcal{K}(\mathcal{F}(Y(\vec{\Lambda})))$ and let π be the induced representation of $\mathcal{L}(\mathcal{F}(Y(\vec{\Lambda})))$ on $\mathcal{H} = \mathcal{F}(Y(\vec{\Lambda})) \otimes_{\mathcal{C}_\Lambda} \ell^2(V(\Gamma), \mu)$. Then $\pi(x) \in \mathcal{K}(\mathcal{H})$.*

Proof. We need only show that $\pi(|\epsilon_1 \otimes \dots \otimes \epsilon_n\rangle \langle \epsilon'_1 \otimes \dots \otimes \epsilon'_m|) \in \mathcal{K}(\mathcal{H})$. We note that on $\mathcal{F}(Y(\vec{\Lambda}))$, the range of $|\epsilon_1 \otimes \dots \otimes \epsilon_n\rangle \langle \epsilon'_1 \otimes \dots \otimes \epsilon'_m|$ is contained in

$$(\epsilon_1 \otimes \dots \otimes \epsilon_n) \mathcal{C}_\Lambda = \text{span}\{(\epsilon_1 \otimes \dots \otimes \epsilon_n) p_t(\epsilon_n)\}.$$

It follows from this that $\pi(|\epsilon_1 \otimes \dots \otimes \epsilon_n\rangle \langle \epsilon'_1 \otimes \dots \otimes \epsilon'_m|)$ is rank at most 1 on \mathcal{H} and we are finished. \square

We now show when $\mathcal{S}(\Lambda, \mu)$ non-trivially intersects $\mathcal{K}(\mathcal{F}(Y(\vec{\Lambda})))$.

Theorem 5.23. *If $A(\Lambda, \mu)$ is empty, then $\mathcal{S}(\Lambda, \mu) \cap \mathcal{K}(\mathcal{F}(Y(\vec{\Lambda}))) = \{0\}$. If Λ is locally finite and $A(\Lambda, \mu)$ is non-empty, then $\mathcal{S}(\Lambda, \mu)$ non-trivially intersects $\mathcal{K}(\mathcal{F}(Y(\vec{\Lambda})))$.*

Proof. If $A(\Lambda, \mu)$ is empty, Lemma 5.21 implies that $\mathcal{S}(\Lambda, \mu)$ completes to either a II_1 factor or a II_∞ factor on \mathcal{H} . Neither of these contains $\mathcal{K}(\mathcal{H})$, so it follows that $\mathcal{S}(\Lambda, \mu) \cap \mathcal{K}(\mathcal{F}(Y(\vec{\Lambda}))) = \{0\}$ from Lemma 5.22.

If $\alpha \in A(\Lambda, \mu)$ and Λ is locally finite, let $\epsilon_1, \dots, \epsilon_n$ be the edges satisfying $s(\epsilon_i) = \alpha$. By Theorem 5.20 and its proof, the support projections p_i of $T_{\epsilon_i}^* T_{\epsilon_i}$ are in $\mathcal{S}(\Lambda, \mu)$. Since $a(\alpha) = \sum_{i=1}^n \text{Tr}_\mu(p_i) < \mu(\alpha)$, Voiculescu's R -transform can be used to show that $\sum_{i=1}^n p_i$ has an atom of size $\mu(\alpha) - a(\alpha)$ at 0 and the rest of the law

is supported inside an interval missing the origin. From Lemma 5.21, we see that the projection corresponding to the atom at zero is r_α and is hence $r_\alpha \in \mathcal{S}(\Gamma, \mu)$. The projection r_α is rank 1 on \mathcal{H} so it is compact on $\mathcal{F}(Y(\vec{\Lambda}))$. \square

From this theorem, we get the following corollary:

Corollary 5.24. *If $A(\Lambda, \mu)$ is empty, then the canonical surjection $\mathcal{T}(Y(\Lambda)) \rightarrow \mathcal{O}_{\vec{\Lambda}}$ is injective on $\mathcal{S}(\Lambda, \mu)$. Hence we can view $\mathcal{S}(\Lambda, \mu)$ as a subalgebra of $\mathcal{O}_{\vec{\Lambda}}$.*

It would be nice to determine more about the general structure of the algebras $\mathcal{S}(\Lambda, \mu)$ for different weightings μ . In particular, it would be nice to know which weightings guarantee that $\mathcal{S}(\Lambda, \mu)$ is simple with unique trace and, more ambitiously, when $\mathcal{S}(\Lambda, \mu)$ is isomorphic to $\mathcal{S}(\Lambda', \mu')$. Using Theorem 2.8, we can very quickly compute the K -theory of $\mathcal{S}(\Lambda, \mu)$.

Theorem 5.25. *The inclusion $\mathcal{C}_\Lambda \hookrightarrow \mathcal{S}(\Lambda, \mu)$ is a KK -equivalence for any Λ . Consequently,*

$$K_0(\mathcal{S}(\Lambda, \mu)) = \mathbb{Z} \{[p_\alpha] \mid \alpha \in V(\Lambda)\} \quad \text{and} \quad K_1(\mathcal{S}(\Lambda, \mu)) = (0).$$

Proof. We note that on $\mathcal{T}(Y(\vec{\Lambda}))$, $\mathcal{S}(\vec{\Lambda})$ is generated by elements $L_+(x) + L_-(x)$ for x in the closure of the real subspace

$$\text{span}_{\mathbb{R}} \left\{ a\epsilon' + a^{-1}\epsilon'' \mid \epsilon \in E(\Lambda) \text{ and } a = \sqrt[4]{\frac{\mu(s(\epsilon'))}{\mu(t(\epsilon'))}} \right\}$$

where we assume $\epsilon' = \epsilon = \epsilon''$ if ϵ is a loop. The result now follows by Theorem 2.8. \square

5.4. Compressing $\mathcal{S}(\mathcal{P}_\bullet)$ to the free graph algebra $\mathcal{S}(\Gamma)$. Let

$$\mathcal{Y}_{\mathbb{R}} = \{y \in \mathcal{Y} \mid y = y^\dagger\},$$

and note that $\mathcal{Y}_{\mathbb{R}} = \overline{\bigcup_n P_n \mathcal{X}_{\mathbb{R}} P_n}^{\|\cdot\|}$.

Definition 5.26. We define $\mathcal{S}(\Gamma)$ to be the C^* -subalgebra of $\mathcal{T}(\Gamma)$ generated by \mathcal{C} and $\{L_+(y) + L_-(y) \mid y \in \mathcal{Y}_{\mathbb{R}}\}$.

The following proposition has the same proof as Propositions 4.20 and 5.8.

Proposition 5.27.

- (1) $\mathcal{S}(\Gamma)$ is generated by \mathcal{C} and elements $\sum_{\ell + \tau = n} \begin{array}{c} \ell \quad \tau \\ | \quad | \\ \boxed{y} \\ | \quad | \end{array}$ for $y \in \mathcal{Y}_n$.
- (2) $\mathcal{S}(\Gamma)$ is generated by \mathcal{C} and $\{L_+(y) + L_-(y) \mid y \in \mathcal{Y}\}$.
- (3) $\mathcal{S}(\Gamma) = \overline{\mathcal{C}\mathcal{S}(\mathcal{P}_\bullet)\mathcal{C}}^{\|\cdot\|}$.

With the aid of this proposition, we can picture $\mathcal{S}(\Gamma)$ as being generated by elements $y \in \mathcal{Y}_n$ such that if $x \in \mathcal{Y}_m$ and $y \in \mathcal{Y}_n$, then

$$x \cdot y = \sum_{k=0}^{\min\{n,m\}} \begin{array}{c} | \quad | \\ \boxed{x} \quad \boxed{y} \\ | \quad | \end{array} \quad \text{with } k \text{ arcs connecting } x \text{ and } y.$$

Note that

$$L_+(g_{\epsilon'}) + L_-(g_{\epsilon'}) = \frac{S_{\epsilon'}}{\sqrt{\mu(t(\epsilon'))}} + \frac{S_{\epsilon'}^*}{\sqrt{\mu(s(\epsilon'))}}$$

with μ the quantum dimension weighting on Γ induced from \mathcal{P}_\bullet , which satisfies the Frobenius-Perron condition. From Subsection 5.3 we see that $\mathcal{S}(\Gamma) = \mathcal{S}(\Gamma, \mu)$, so the name $\mathcal{S}(\Gamma)$ makes sense. As the set $A(\Gamma, \mu)$ is empty for a weighting which satisfies the Frobenius-Perron condition, we immediately deduce that $\mathcal{S}(\Gamma)$ can be seen as a subalgebra of $\mathcal{O}(\Gamma)$. This shows the following:

Corollary 5.28. $\mathcal{S}(\Gamma)$ is subnuclear and thus exact.

We could have also deduced exactness of $\mathcal{S}(\Gamma)$ by [Dyk04]. From the previous section, we deduce that

$$K_0(\mathcal{S}(\Gamma)) = \mathbb{Z}\{[p_\alpha] \mid \alpha \in V(\Gamma)\} \quad \text{and} \quad K_1(\mathcal{S}(\Gamma)) = (0).$$

In [HP14, Subsection 5.1] we prove that $\mathcal{S}(\Gamma)$ is simple. For now, we end with the following lemma, which ties together the structure of \mathcal{B} , $\mathcal{T}(\mathcal{P}_\bullet)$, $\mathcal{S}(\mathcal{P}_\bullet)$ and $\mathcal{O}(\mathcal{P}_\bullet)$ with \mathcal{C} , $\mathcal{T}(\Gamma)$, $\mathcal{S}(\Gamma)$, and $\mathcal{O}(\Gamma)$.

Lemma 5.29. Let \mathcal{K} be the algebra of compact operators on a separable infinite-dimensional Hilbert space.

- (1) $\mathcal{B} \cong \mathcal{C} \otimes \mathcal{K}$, and
- (2) for $\mathcal{A} \in \{\mathcal{T}, \mathcal{O}, \mathcal{S}\}$, we have $\mathcal{A}(\mathcal{P}_\bullet) \cong \mathcal{A}(\Gamma) \otimes \mathcal{K}$.

Proof. (1) is immediate since $\mathcal{C} \cong \bigoplus_{v \in V(\Gamma)} \mathbb{C}$ and $\mathcal{B} \cong \bigoplus_{v \in V(\Gamma)} \mathcal{K}$ with \mathcal{C} canonically a hereditary subalgebra of \mathcal{B} . To prove (2), just use the matrix units from (1), since $\mathcal{A}(\Gamma) \cong \overline{\mathcal{C}\mathcal{A}(\mathcal{P}_\bullet)\mathcal{C}}^{\|\cdot\|}$. □

These stable isomorphisms immediately imply the following result.

Corollary 5.30. The canonical inclusion $i : \mathcal{B} \hookrightarrow \mathcal{S}(\mathcal{P}_\bullet)$ is a KK -equivalence. As a consequence,

$$K_0(\mathcal{S}(\mathcal{P}_\bullet)) = \mathbb{Z}\{[p_\alpha] \mid \alpha \in V(\Gamma)\} \quad \text{and} \quad K_1(\mathcal{S}(\mathcal{P}_\bullet)) = (0).$$

The same holds for the canonical inclusion $\mathcal{S}(\mathcal{P}_\bullet) \hookrightarrow \mathcal{T}(\mathcal{P}_\bullet)$.

5.5. A further canonical compression. A planar algebra inclusion $\mathcal{Q}_\bullet \hookrightarrow \mathcal{P}_\bullet$ does not induce inclusions of (Toeplitz-)Cuntz-Krieger or free graph algebras. More precisely, we do not get a map $\mathcal{Y}(\mathcal{Q}_\bullet)$ to $\mathcal{Y}(\mathcal{P}_\bullet)$ since $\text{depth}(\mathcal{Q}_\bullet) \geq \text{depth}(\mathcal{P}_\bullet)$ (e.g., see [BP14, Corollary 3.12]). In particular, we see this problem when $\delta \geq 2$, $\mathcal{Q}_\bullet = \mathcal{TL}_\bullet$, and \mathcal{P}_\bullet is finite depth.

However, we do have functoriality of the assignment \mathcal{P}_\bullet to $\mathcal{S}_0(\mathcal{P}_\bullet)$ and $\mathcal{O}_0(\mathcal{P}_\bullet)$. We realize this by a further compression by p_\star . To this end, we will need to represent our compression faithfully on a Hilbert space.

Definition 5.31. Using the identification of Remark 4.22, we can define a conditional expectation $E_\infty : \mathcal{S}(\mathcal{P}_\bullet) \rightarrow \mathcal{B}$ given by the extension of $E_\infty(x) = \delta_{n,0}x$ for $x \in \mathcal{P}_{l,n,r} \subset \mathcal{S}(\mathcal{P}_\bullet)$. Note that E_∞ is continuous since if Q_0 is the orthogonal projection from $\mathcal{F}(\mathcal{P}_\bullet)$ onto \mathcal{B} , we have $E_\infty(x) = Q_0xQ_0$ as an element in \mathcal{B} . Furthermore, using the isomorphisms $\mathcal{B} \cong \mathcal{C}_\Gamma \otimes \mathcal{K}$ and $\mathcal{S}(\mathcal{P}_\bullet) \cong \mathcal{S}(\Gamma) \otimes \mathcal{K}$ from Lemma 5.29, $E_\infty = E \otimes \text{id}_\mathcal{K}$ for the E given in Theorem 5.19. Thus E_∞ is faithful.

The map $\text{Tr} \circ E_\infty$ on $\mathcal{S}(\mathcal{P}_\bullet)$ becomes a faithful lower-semicontinuous tracial weight on $\mathcal{S}(\mathcal{P}_\bullet)$. Diagrammatically, we have

$$\text{Tr} \circ E_\infty(x) = \delta_{n,0} \cdot \delta_{l,r} \cdot \left(\text{Diagram of a box with } x \text{ inside, with } l \text{ on the left and } r \text{ on the right, and a loop below it} \right).$$

Theorem 5.32. *The assignment \mathcal{P}_\bullet to $\mathcal{S}_0(\mathcal{P}_\bullet)$ and $\mathcal{O}_0(\mathcal{P}_\bullet)$ is functorial in the sense that an inclusion $\mathcal{Q}_\bullet \hookrightarrow \mathcal{P}_\bullet$ of factor planar algebras induces a canonical inclusion $\mathcal{A}(\mathcal{Q}_\bullet) \hookrightarrow \mathcal{A}(\mathcal{P}_\bullet)$ for $\mathcal{A} \in \{\mathcal{O}, \mathcal{S}\}$.*

Proof. We note that the map $i_{\mathcal{A}}$ in Theorem 4.25 induces a canonical inclusion $p_*\mathcal{A}(\mathcal{Q}_\bullet)p_* \rightarrow p_*\mathcal{A}(\mathcal{P}_\bullet)p_*$ for $\mathcal{A} \in \{\mathcal{O}, \mathcal{S}\}$. If we show that $p_*\mathcal{A}(\mathcal{P}_\bullet)p_*$ is naturally isomorphic to $\mathcal{A}_0(\mathcal{P})$, we will be finished.

To this end, we note that $p_*\mathcal{F}(\mathcal{P}_\bullet)p_*$ is the Hilbert space $\mathcal{F}_0(\mathcal{P}_\bullet)$. Let $\rho(p_*)$ denote the right action of p_* on $\mathcal{F}(\mathcal{P}_\bullet)$. We define a C^* -algebra homomorphism $\phi : p_*\mathcal{L}(\mathcal{F}(\mathcal{P}_\bullet))p_* \rightarrow \mathcal{B}(\mathcal{F}_0(\mathcal{P}_\bullet))$ by $\phi(x) = x\rho(p_*)$. We observe that $\phi(p_*\mathcal{T}(\mathcal{P}_\bullet)p_*) = \mathcal{T}_0(\mathcal{P}_\bullet)$ and $\phi(p_*\mathcal{S}(\mathcal{P}_\bullet)p_*) = \mathcal{S}_0(\mathcal{P}_\bullet)$. Diagrammatically, ϕ sends x as an operator on $p_*\mathcal{F}(\mathcal{P}_\bullet)$ to the operator x on $\mathcal{F}_0(\mathcal{P}_\bullet)$.

The C^* -algebra $p_*\mathcal{S}(\mathcal{P}_\bullet)p_* = p_*\mathcal{S}(\Gamma)p_*$ carries a faithful trace $\text{tr} = \text{Tr} \circ E_\infty(p_* \cdot p_*)$ as in Definition 5.31. We see that the representation of $p_*\mathcal{S}(\mathcal{P}_\bullet)p_*$ on $\mathcal{F}_0(\mathcal{P}_\bullet)$ is simply the GNS representation with respect to tr . Therefore, ϕ is faithful on $p_*\mathcal{S}(\mathcal{P}_\bullet)p_*$.

We note that if $x \in p_*\mathcal{K}(\mathcal{P}_\bullet)$, then $\phi(x) \in \mathcal{K}(\mathcal{F}_0(\mathcal{P}_\bullet))$. Therefore, ϕ induces a surjection from $p_*\mathcal{O}(\mathcal{P}_\bullet)p_*$ onto $\mathcal{O}_0(\mathcal{P}_\bullet)$. The algebra $p_*\mathcal{O}(\mathcal{P}_\bullet)p_*$ is simple from Theorem 5.14 and the simplicity of $\mathcal{O}_{\overline{\Gamma}}$. Therefore the surjection is an isomorphism. \square

Remark 5.33. The proof of Theorem 5.32 shows that $\mathcal{T}_0(\mathcal{P}_\bullet)$ is a reduced compression of $\mathcal{T}(\mathcal{P}_\bullet)$. Note that the map ϕ need not be injective on $\mathcal{T}(\mathcal{P}_\bullet)$. Indeed, if we consider

$$y_{\mathcal{P}_\bullet} = \begin{array}{c} | \\ | \\ \text{---} \\ | \\ | \\ \text{---} \\ | \\ | \\ | \end{array} - \begin{array}{c} 2 \\ | \\ | \\ \text{---} \\ | \\ | \\ \text{---} \\ | \\ | \\ | \end{array} \in \mathcal{T}(\mathcal{P}_\bullet)$$

similar to Remarks 3.17, then $y_{\mathcal{T}\mathcal{L}_\bullet} \neq 0$, but $\phi(y_{\mathcal{T}\mathcal{L}_\bullet}) = 0$. This explains why the assignment \mathcal{P}_\bullet with $\mathcal{T}_0(\mathcal{P}_\bullet)$ is not functorial.

Example 5.34. Recall the AF structure of Cuntz core of $\mathcal{O}_0(\mathcal{P}_\bullet)$ from (1) of Remarks 3.13.

Now consider $p_*\mathcal{T}(\mathcal{P}_\bullet)p_* = p_*\mathcal{T}(\Gamma)p_*$, which acts faithfully on $p_*\mathcal{F}(\Gamma)$. We show that the core of this algebra (the fixed points under the \mathbb{T} -action) has an AF structure related to that of the core of $\mathcal{O}_0(\mathcal{P}_\bullet)$. We see that the core of $p_*\mathcal{T}(\mathcal{P}_\bullet)p_*$ is generated by the subalgebras C_n spanned by elements of the form

$$\begin{array}{c} k \\ | \\ | \\ \text{---} \\ | \\ | \\ \text{---} \\ | \\ | \\ | \end{array} x \begin{array}{c} | \\ | \\ \text{---} \\ | \\ | \\ \text{---} \\ | \\ | \\ | \end{array} k$$

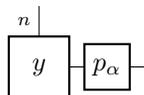
for $k \leq n$. The inclusion $C_n \hookrightarrow C_{n+1}$ is the identity. Notice that for each minimal projection $p \in \mathcal{P}_{2n}$, we can write

$$\begin{array}{c} n \\ | \\ | \\ \text{---} \\ | \\ | \\ \text{---} \\ | \\ | \\ | \end{array} p \begin{array}{c} | \\ | \\ \text{---} \\ | \\ | \\ \text{---} \\ | \\ | \\ | \end{array} n = \begin{array}{c} n \\ | \\ | \\ \text{---} \\ | \\ | \\ \text{---} \\ | \\ | \\ | \end{array} \begin{array}{c} | \\ | \\ \text{---} \\ | \\ | \\ \text{---} \\ | \\ | \\ | \end{array} p \begin{array}{c} | \\ | \\ \text{---} \\ | \\ | \\ \text{---} \\ | \\ | \\ | \end{array} n + \underbrace{\left(\begin{array}{c} n \\ | \\ | \\ \text{---} \\ | \\ | \\ \text{---} \\ | \\ | \\ | \end{array} p \begin{array}{c} | \\ | \\ \text{---} \\ | \\ | \\ \text{---} \\ | \\ | \\ | \end{array} n - \begin{array}{c} n \\ | \\ | \\ \text{---} \\ | \\ | \\ \text{---} \\ | \\ | \\ | \end{array} p \begin{array}{c} | \\ | \\ \text{---} \\ | \\ | \\ \text{---} \\ | \\ | \\ | \end{array} n \right)}_{r_p}$$

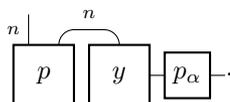
We already understand how the first projection on the right hand side decomposes in C_{n+1} from its decomposition in the Cuntz core. Hence we must analyze the remainder term on the right hand side.

Claim. r_p is a projection of rank exactly one.

Proof of Claim. The only elements not killed by r_p are those of degree exactly n with no strings on the left side. Consider a vector $y \in p_*\mathcal{F}(\Gamma)$ of the form



for some $\alpha \in V(\Gamma)$. Now we see that $r_p y$ is given by



Since p, p_α are both minimal in \mathcal{P}_\bullet , they are equivalent if $r_p y \neq 0$, and there is only a one-dimensional space of morphisms between both simples. \square

From the above analysis, we see that the Bratteli diagram of $(C_n)_{n \geq 0}$ is obtained by taking the Bratteli diagram for the Cuntz core of $\mathcal{O}_0(\mathcal{P}_\bullet)$, i.e., $(\mathcal{P}_{2n})_{n \geq 0}$ under the right inclusion, and adjoining an A_∞ tail to each vertex. We draw this diagram by first drawing the Bratteli diagram for the core of $\mathcal{O}_0(\mathcal{P}_\bullet)$, drawing a dotted line to the left and attaching the A_∞ tails to the left of the dotted line. We give an example in Figure 1.

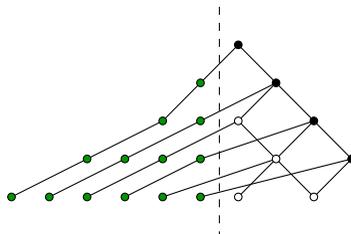


FIGURE 1. Bratteli diagram for the core of $p_*\mathcal{T}(\mathcal{TL}_\bullet)p_*$ for the A_4 factor planar algebra.

We can now describe the core of $\mathcal{T}_0(\mathcal{P}_\bullet)$ by looking at the reduction of C by the right action of p_* on $p_*\mathcal{F}(\Gamma)$. We take the Bratteli diagram for the core of $p_*\mathcal{T}(\mathcal{P}_\bullet)p_*$, and we eliminate all A_∞ tails to the left of the dotted line which do not emanate from a vertex corresponding to a projection equivalent to the empty diagram, i.e., a vertex underneath the trivial vertex \star . One sees this by repeating the above argument with $\alpha = \star$, so our vector y lies in $p_*\mathcal{F}(\Gamma)p_*$. Thus p must be equivalent to the empty diagram to find a y with $r_p y \neq 0$. We give an example in Figure 2.

5.6. The shaded case. In this subsection, we briefly sketch what happens if \mathcal{P}_\bullet is shaded. Let \mathcal{P}_\bullet be a subfactor planar algebra with principal graph Γ_+ and dual principal graph Γ_- . We form two algebras \mathcal{B}_+ and \mathcal{B}_- which are generated by

$$\bigoplus_{l,n,r=0}^{\infty} \mathcal{P}_{l,n,r}^\pm = \bigoplus_{l,n,r=0}^{\infty} \mathcal{P}_{l+r+n,\pm}$$

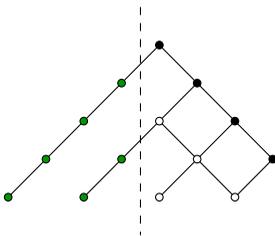


FIGURE 2. Bratteli diagram for the core of $\mathcal{T}_0(\mathcal{TL}_\bullet)$ for the A_4 factor planar algebra.

respectively. Here, the boxes are drawn exactly as in Section 4 with the marked region on the bottom of the box. One can then form \mathcal{B}_\pm bimodules \mathcal{X}_\pm , the Fock spaces $\mathcal{F}(\mathcal{P}_\pm)$, the Toeplitz algebras $\mathcal{T}(\mathcal{P}_\pm)$, the free semicircular algebras $\mathcal{T}(\mathcal{P}_\pm)$ and the Cuntz-Pimsner algebras $\mathcal{O}(\mathcal{P}_\pm)$.

Compressing $\mathcal{T}(\mathcal{P}_\pm)$, $\mathcal{S}(\mathcal{P}_\pm)$, and $\mathcal{O}(\mathcal{P}_\pm)$ with the same techniques as the beginning of this section produces algebras isomorphic to $\mathcal{T}(Y(\vec{\Gamma}_\pm))$, $\mathcal{S}(\Gamma_\pm, \mu_\pm)$, and $\mathcal{O}_{\vec{\Gamma}_\pm}$ respectively.

In general, the \pm -algebras are not necessarily isomorphic. If Γ_+ and Γ_- have a different number of vertices, then the K_0 -groups are not isomorphic.

We encourage the reader to see [HP14], in particular Subsection 3.3, Remark 4.18, and Subsection 6.3, for more information on the shaded case.

ACKNOWLEDGEMENTS

The authors would like to thank Ken Dykema, George Elliott, Vaughan Jones, Marc Rieffel, Dima Shlyakhtenko, and Dan Voiculescu for many helpful conversations. The authors would also like to thank Vaughan Jones again for generously allowing them to develop his ideas from [Jon]. The second-named author was partially supported by the Natural Sciences and Engineering Research Council of Canada. Both authors were supported by DOD-DARPA grant HR0011-12-1-0009.

REFERENCES

- [aHLRS13] Astrid an Huef, Marcelo Laca, Iain Raeburn, and Aidan Sims, *KMS states on the C^* -algebras of finite graphs*, J. Math. Anal. Appl. **405** (2013), no. 2, 388–399, DOI 10.1016/j.jmaa.2013.03.055. MR3061018
- [BEK86] Ola Bratteli, George A. Elliott, and Akitaka Kishimoto, *The temperature state space of a C^* -dynamical system. II*, Ann. of Math. (2) **123** (1986), no. 2, 205–263, DOI 10.2307/1971271. MR835762 (87j:46123)
- [BHP12] Arnaud Brothier, Michael Hartglass, and David Penneys, *Rigid C^* -tensor categories of bimodules over interpolated free group factors*, J. Math. Phys. **53** (2012), no. 12, 123525, 43, DOI 10.1063/1.4769178. MR3405915
- [BKS12] Madhushree Basu, Vijay Kodiyalam, and V. S. Sunder, *From graphs to free products*, Proc. Indian Acad. Sci. Math. Sci. **122** (2012), no. 4, 547–560, DOI 10.1007/s12044-012-0094-3. MR3016810
- [BMPS12] Stephen Bigelow, Emily Peters, Scott Morrison, and Noah Snyder, *Constructing the extended Haagerup planar algebra*, Acta Math. **209** (2012), no. 1, 29–82, DOI 10.1007/s11511-012-0081-7. MR2979509
- [BP14] Stephen Bigelow and David Penneys, *Principal graph stability and the jellyfish algorithm*, Math. Ann. **358** (2014), no. 1-2, 1–24, DOI 10.1007/s00208-013-0941-2. MR3157990

- [CK80] Joachim Cuntz and Wolfgang Krieger, *A class of C*-algebras and topological Markov chains*, *Invent. Math.* **56** (1980), no. 3, 251–268, DOI 10.1007/BF01390048. MR561974 (82f:46073a)
- [Cun77] Joachim Cuntz, *Simple C*-algebras generated by isometries*, *Comm. Math. Phys.* **57** (1977), no. 2, 173–185. MR0467330 (57 #7189)
- [Cun81a] J. Cuntz, *A class of C*-algebras and topological Markov chains. II. Reducible chains and the Ext-functor for C*-algebras*, *Invent. Math.* **63** (1981), no. 1, 25–40, DOI 10.1007/BF01389192. MR608527 (82f:46073b)
- [Cun81b] Joachim Cuntz, *K-theory for certain C*-algebras*, *Ann. of Math. (2)* **113** (1981), no. 1, 181–197, DOI 10.2307/1971137. MR604046 (84c:46058)
- [DR89] Sergio Doplicher and John E. Roberts, *A new duality theory for compact groups*, *Invent. Math.* **98** (1989), no. 1, 157–218, DOI 10.1007/BF01388849. MR1010160 (90k:22005)
- [Dyk04] Kenneth J. Dykema, *Exactness of reduced amalgamated free product C*-algebras*, *Forum Math.* **16** (2004), no. 2, 161–180, DOI 10.1515/form.2004.008. MR2039095 (2004m:46142)
- [EFW81] Masatoshi Enomoto, Masatoshi Fujii, and Yasuo Watatani, *Tensor algebra on the sub-Fock space associated with \mathcal{O}_A* , *Math. Japon.* **26** (1981), no. 2, 171–177. MR620461 (82g:46098)
- [EFW84] Masatoshi Enomoto, Masatoshi Fujii, and Yasuo Watatani, *KMS states for gauge action on \mathcal{O}_A* , *Math. Japon.* **29** (1984), no. 4, 607–619. MR759450 (86b:46110)
- [EK98] David E. Evans and Yasuyuki Kawahigashi, *Quantum symmetries on operator algebras*, Oxford Mathematical Monographs, The Clarendon Press, Oxford University Press, New York, 1998. Oxford Science Publications. MR1642584 (99m:46148)
- [Eva82] David E. Evans, *Gauge actions on \mathcal{O}_A* , *J. Operator Theory* **7** (1982), no. 1, 79–100. MR650194 (83j:46077)
- [FR99] Neal J. Fowler and Iain Raeburn, *The Toeplitz algebra of a Hilbert bimodule*, *Indiana Univ. Math. J.* **48** (1999), no. 1, 155–181, DOI 10.1512/iumj.1999.48.1639. MR1722197 (2001b:46093)
- [Ger] Emmanuel Germain, *KK-theory of C*-algebras related to Pimsner algebras*, available at <http://www.math.jussieu.fr/~germain>.
- [GJS10] A. Guionnet, V. F. R. Jones, and D. Shlyakhtenko, *Random matrices, free probability, planar algebras and subfactors*, *Quanta of maths*, Clay Math. Proc., vol. 11, Amer. Math. Soc., Providence, RI, 2010, pp. 201–239. MR2732052 (2012g:46094)
- [GJS11] A. Guionnet, V. Jones, and D. Shlyakhtenko, *A semi-finite algebra associated to a subfactor planar algebra*, *J. Funct. Anal.* **261** (2011), no. 5, 1345–1360, DOI 10.1016/j.jfa.2011.05.004. MR2807103 (2012j:46091)
- [Har13] Michael Hartglass, *Free product von Neumann algebras associated to graphs, and Guionnet, Jones, Shlyakhtenko subfactors in infinite depth*, *J. Funct. Anal.* **265** (2013), no. 12, 3305–3324, DOI 10.1016/j.jfa.2013.09.011. MR3110503
- [HP14] Michael Hartglass and David Penneys, *C*-algebras from planar algebras II: The Guionnet-Jones-Shlyakhtenko C*-algebras*, *J. Funct. Anal.* **267** (2014), no. 10, 3859–3893, DOI 10.1016/j.jfa.2014.08.024. MR3266249
- [Izu98] Masaki Izumi, *Subalgebras of infinite C*-algebras with finite Watatani indices. II. Cuntz-Krieger algebras*, *Duke Math. J.* **91** (1998), no. 3, 409–461, DOI 10.1215/S0012-7094-98-09118-9. MR1604162 (99h:46110)
- [Jon] Vaughan F. R. Jones, *Several algebras defined by a planar algebra*, pre-preprint.
- [Jon83] V. F. R. Jones, *Index for subfactors*, *Invent. Math.* **72** (1983), no. 1, 1–25, DOI 10.1007/BF01389127. MR696688 (84d:46097)
- [Jon99] V. F. R. Jones, *Planar algebras I*, 1999, [arXiv:math/9909027](https://arxiv.org/abs/math/9909027).
- [Jon12] Vaughan F. R. Jones, *Quadratic tangles in planar algebras*, *Duke Math. J.* **161** (2012), no. 12, 2257–2295, DOI 10.1215/00127094-1723608. MR2972458
- [JS91] André Joyal and Ross Street, *An introduction to Tannaka duality and quantum groups*, *Category theory (Como, 1990)*, *Lecture Notes in Math.*, vol. 1488, Springer, Berlin, 1991, pp. 413–492, DOI 10.1007/BFb0084235. MR1173027 (93f:18015)
- [JSW10] Vaughan Jones, Dimitri Shlyakhtenko, and Kevin Walker, *An orthogonal approach to the subfactor of a planar algebra*, *Pacific J. Math.* **246** (2010), no. 1, 187–197, DOI 10.2140/pjm.2010.246.187. MR2645882 (2011i:46075)

- [KP99] Alex Kumjian and David Pask, *C*-algebras of directed graphs and group actions*, Ergodic Theory Dynam. Systems **19** (1999), no. 6, 1503–1519, DOI 10.1017/S0143385799151940. MR1738948 (2000m:46125)
- [KP00] Eberhard Kirchberg and N. Christopher Phillips, *Embedding of exact C*-algebras in the Cuntz algebra \mathcal{O}_2* , J. Reine Angew. Math. **525** (2000), 17–53, DOI 10.1515/crll.2000.065. MR1780426 (2001d:46086a)
- [KPR98] Alex Kumjian, David Pask, and Iain Raeburn, *Cuntz-Krieger algebras of directed graphs*, Pacific J. Math. **184** (1998), no. 1, 161–174, DOI 10.2140/pjm.1998.184.161. MR1626528 (99i:46049)
- [KPRR97] Alex Kumjian, David Pask, Iain Raeburn, and Jean Renault, *Graphs, groupoids, and Cuntz-Krieger algebras*, J. Funct. Anal. **144** (1997), no. 2, 505–541, DOI 10.1006/jfan.1996.3001. MR1432596 (98g:46083)
- [LN04] Marcelo Laca and Sergey Neshveyev, *KMS states of quasi-free dynamics on Pimsner algebras*, J. Funct. Anal. **211** (2004), no. 2, 457–482, DOI 10.1016/j.jfa.2003.08.008. MR2056837 (2005m:46104)
- [MPS10] Scott Morrison, Emily Peters, and Noah Snyder, *Skein theory for the D_{2n} planar algebras*, J. Pure Appl. Algebra **214** (2010), no. 2, 117–139, DOI 10.1016/j.jpaa.2009.04.010. MR2559686 (2011c:46131)
- [MRS92] M. H. Mann, Iain Raeburn, and C. E. Sutherland, *Representations of finite groups and Cuntz-Krieger algebras*, Bull. Austral. Math. Soc. **46** (1992), no. 2, 225–243, DOI 10.1017/S0004972700011862. MR1183780 (93k:46046)
- [Ocn88] Adrian Ocneanu, *Quantized groups, string algebras and Galois theory for algebras*, Operator algebras and applications, Vol. 2, London Math. Soc. Lecture Note Ser., vol. 136, Cambridge Univ. Press, Cambridge, 1988, pp. 119–172. MR996454 (91k:46068)
- [OP78] Dorte Olesen and Gert Kjaergård Pedersen, *Some C*-dynamical systems with a single KMS state*, Math. Scand. **42** (1978), no. 1, 111–118. MR500150 (80a:46041)
- [Ped79] Gert K. Pedersen, *C*-algebras and their automorphism groups*, London Mathematical Society Monographs, vol. 14, Academic Press, Inc. [Harcourt Brace Jovanovich, Publishers], London-New York, 1979. MR548006 (81e:46037)
- [Phi00] N. Christopher Phillips, *A classification theorem for nuclear purely infinite simple C*-algebras*, Doc. Math. **5** (2000), 49–114 (electronic). MR1745197 (2001d:46086b)
- [Pim97] Michael V. Pimsner, *A class of C*-algebras generalizing both Cuntz-Krieger algebras and crossed products by \mathbf{Z}* , Free probability theory (Waterloo, ON, 1995), Fields Inst. Commun., vol. 12, Amer. Math. Soc., Providence, RI, 1997, pp. 189–212. MR1426840 (97k:46069)
- [Pop90] S. Popa, *Classification of subfactors: the reduction to commuting squares*, Invent. Math. **101** (1990), no. 1, 19–43, DOI 10.1007/BF01231494. MR1055708 (91h:46109)
- [Pop93] Sorin Popa, *Markov traces on universal Jones algebras and subfactors of finite index*, Invent. Math. **111** (1993), no. 2, 375–405, DOI 10.1007/BF01231293. MR1198815 (94c:46128)
- [Pop94] Sorin Popa, *Classification of amenable subfactors of type II*, Acta Math. **172** (1994), no. 2, 163–255, DOI 10.1007/BF02392646. MR1278111 (95f:46105)
- [Pop95] Sorin Popa, *An axiomatization of the lattice of higher relative commutants of a subfactor*, Invent. Math. **120** (1995), no. 3, 427–445, DOI 10.1007/BF01241137. MR1334479 (96g:46051)
- [Pop02] Sorin Popa, *Universal construction of subfactors*, J. Reine Angew. Math. **543** (2002), 39–81, DOI 10.1515/crll.2002.017. MR1887878 (2002k:46163)
- [PR96] David Pask and Iain Raeburn, *On the K-theory of Cuntz-Krieger algebras*, Publ. Res. Inst. Math. Sci. **32** (1996), no. 3, 415–443, DOI 10.2977/prims/1195162850. MR1409796 (97m:46111)
- [RLL00] M. Rørdam, F. Larsen, and N. Laustsen, *An introduction to K-theory for C*-algebras*, London Mathematical Society Student Texts, vol. 49, Cambridge University Press, Cambridge, 2000. MR1783408 (2001g:46001)
- [RS87] Jonathan Rosenberg and Claude Schochet, *The Künneth theorem and the universal coefficient theorem for Kasparov's generalized K-functor*, Duke Math. J. **55** (1987), no. 2, 431–474, DOI 10.1215/S0012-7094-87-05524-4. MR894590 (88i:46091)

- [RS04] Iain Raeburn and Wojciech Szymański, *Cuntz-Krieger algebras of infinite graphs and matrices*, Trans. Amer. Math. Soc. **356** (2004), no. 1, 39–59 (electronic), DOI 10.1090/S0002-9947-03-03341-5. MR2020023 (2004i:46087)
- [She13] Claire Shelly, *Type III subfactors and planar algebras*, ProQuest LLC, Ann Arbor, MI, 2012. Thesis (Ph.D.)—Cardiff University (United Kingdom). MR3271834
- [Tho14] Klaus Thomsen, *KMS weights on groupoid and graph C*-algebras*, J. Funct. Anal. **266** (2014), no. 5, 2959–2988, DOI 10.1016/j.jfa.2013.10.008. MR3158715
- [Voi85] Dan Voiculescu, *Symmetries of some reduced free product C*-algebras*, Operator algebras and their connections with topology and ergodic theory (Buşteni, 1983), Lecture Notes in Math., vol. 1132, Springer, Berlin, 1985, pp. 556–588, DOI 10.1007/BFb0074909. MR799593 (87d:46075)
- [Voi93] Dan Voiculescu, *The K-groups of the C*-algebra of a semicircular family*, K-Theory **7** (1993), no. 1, 5–7, DOI 10.1007/BF00962789. MR1220422 (94f:46093)
- [Yam12] Shigeru Yamagami, *Representations of multicategories of planar diagrams and tensor categories*, 2012, [arXiv:1207.1923](https://arxiv.org/abs/1207.1923).

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, RIVERSIDE, RIVERSIDE, CALIFORNIA 92521

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, LOS ANGELES, LOS ANGELES, CALIFORNIA 90045-1555

Current address: Department of Mathematics, The Ohio State University, 100 Math Tower, 231 West 18th Avenue, Columbus, Ohio 43210-1174

E-mail address: penneys.2@osu.edu