

NOTE ON SOME PARTITION IDENTITIES

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1. **Introduction.** In a recent paper, Newman [4] states the formulas

$$(1.1) \quad \sum_0^{\infty} p_2(11m+10)x^n = \sum_1^{\infty} (1-x^{11n})^2,$$

$$(1.2) \quad \sum_0^{\infty} p_4(11m+20)x^n = -11 \prod_1^{\infty} (1-x^{11n})^4,$$

$$(1.3) \quad \sum_0^{\infty} p_2(17m+24)x^n = - \prod_1^{\infty} (1-x^{17n})^2,$$

$$(1.4) \quad \sum_0^{\infty} p_6(31m+240)x^n = 961 \prod_1^{\infty} (1-x^{31n})^6,$$

where

$$\prod_{n=1}^{\infty} (1-x^n)^k = \sum_{m=0}^{\infty} p_k(m)x^m.$$

We wish to point out that results of this kind can be obtained in a very elementary way, namely, by using a method employed by Ramanujan in proving the formula $p(5m+4) \equiv 0 \pmod{5}$ (see for example [2, p. 87]). We shall prove the following formulas. Let r be prime. If $r \equiv 3 \pmod{4}$, $r > 3$, then

$$(1.5) \quad \sum_{m=0}^{\infty} p_2(rm+r_0)x^m = \prod_{n=1}^{\infty} (1-x^{rn})^2,$$

where $r_0 = (r^2-1)/12$.

If $r \equiv 3 \pmod{4}$, $r \geq 3$, then

$$(1.6) \quad \sum_{m=0}^{\infty} p_6(rm+r_1)x^m = r^2 \prod_{n=1}^{\infty} (1-x^{rn})^6,$$

where $r_1 = (r^2-1)/4$.

If $r \equiv 5 \pmod{6}$, then

$$(1.7) \quad \sum_{m=0}^{\infty} p_4(rm+r_2)x^m = -r \prod_{n=1}^{\infty} (1-x^{rn})^4,$$

where $r_2 = (r^2-1)/6$.

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If $r \equiv 5 \pmod{12}$, then

$$(1.8) \quad \sum_{m=0}^{\infty} p_2(rm + r_0)x^m = - \prod_{n=1}^{\infty} (1 - x^{rn})^2,$$

where $r_0 = (r^2 - 1)/12$.

It is clear that (1.1) is contained in (1.5), (1.2) in (1.7), (1.3) in (1.8), (1.4) in (1.6); the case $r=5$ of (1.7) occurs in [3]. We also remark that (1.5), \dots , (1.8) can be put in somewhat sharper form; for example in place of (1.5) we can state

$$\sum_{m=0}^{\infty} p_2(r^2m + r_0)x^m = \prod_{n=1}^{\infty} (1 - x^n)^2 = \sum_{m=0}^{\infty} p_2(m)x^m.$$

In other words

$$p_2(r^2m + r_0) = p_2(m); \quad p_2(rm + r_0) = 0 \quad \text{for } r \nmid m.$$

Similar results hold for the other functions.

2. Proof of (1.5). By Euler's formula

$$(2.1) \quad x^s \prod_{n=1}^{\infty} (1 - x^n)^2 = \sum_{h, k=-\infty}^{\infty} (-1)^{h+k} x^{s+h(3h+1)/2+k(3k+1)/2},$$

where s is to be assigned. The exponent on the right is divisible by r provided

$$(2.2) \quad (6h+1)^2 + (6k+1)^2 + 2(12s-1) \equiv 0 \pmod{r}.$$

If we take s as the least positive integer such that $12s \equiv 1 \pmod{r}$, then by the hypothesis on r it is clear that (2.2) implies $r \mid 6h+1$, $r \mid 6k+1$. Thus with a little manipulation (2.1) yields

$$\sum_{m=0}^{\infty} p_2(rm + r - s)x^m = x^s \prod_{n=1}^{\infty} (1 - x^{rn})^2,$$

where

$$(2.3) \quad e = \frac{12s-1}{12r} + \frac{r}{12} - 1.$$

Since

$$re + r - s = \frac{12s-1}{12} + \frac{r^2}{12} - s = \frac{r^2-1}{12},$$

(1.5) follows at once.

3. **Proof of (1.6).** Using Jacobi's formula we have

$$(3.1) \quad x^s \prod_{n=1}^{\infty} (1 - x^n)^6 = \sum_{h,k=0}^{\infty} (-1)^{h+k} (2h+1)(2k+1) x^{s+h(h+1)/2+k(k+1)/2}.$$

The exponent on the right is divisible by r provided

$$(3.2) \quad (2h+1)^2 + (2k+1)^2 + 2(4s-1) \equiv 0 \pmod{r}.$$

If we choose s as the least positive integer such that $4s \equiv 1 \pmod{r}$, (3.2) implies $r \mid 2h+1, r \mid 2k+1$. Thus, very much as above, (3.1) yields

$$\sum_{m=0}^{\infty} p_6(rm + r - s) x^m = r^2 x^e \prod_{n=1}^{\infty} (1 - x^{rn})^6,$$

where

$$e = \frac{8s-1}{8r} + \frac{r}{4} - 1.$$

Since

$$re + r - s = \frac{8s-1}{8} + \frac{r^2}{4} - s = \frac{r^2-1}{4},$$

(1.6) follows at once.

4. **Proof of (1.7).** Using Euler's and Jacobi's formula we have

$$(4.1) \quad x^s \prod_{n=1}^{\infty} (1 - x^n)^4 = \frac{1}{2} \sum_{h,k=-\infty}^{\infty} (-1)^{h+k} (2k+1) x^{s+h(3h+1)/2+k(k+1)/2}.$$

The exponent on the right is divisible by r provided

$$(4.2) \quad (6h+1)^2 + 3(2k+1)^2 + 4(6s-1) \equiv 0 \pmod{r}.$$

We choose s as the least positive integer such that $6s \equiv 1 \pmod{r}$. Since -3 is a quadratic nonresidue of r , it follows from (4.2) that $r \mid 6h+1, r \mid 2k+1$. A little attention must now be paid to the sign in the right member of (4.1). We find without much trouble that (4.1) implies

$$(4.3) \quad \sum_{m=0}^{\infty} p_4(rm + r - s) x^m = -r x^e \prod_{n=1}^{\infty} (1 - x^{rn})^4,$$

where

$$e = \frac{s-1}{6r} + \frac{r}{6} - 1.$$

Since

$$re + r - s = \frac{s-1}{6} + \frac{r^2}{6} - s = \frac{r^2-1}{6},$$

it is evident that (4.3) reduces to (1.7).

5. Proof of (1.8). We return to (2.1) and (2.2). Since $r \equiv 1 \pmod{4}$ we can no longer assert that $r \mid 6h+1, r \mid 6k+1$, but only that $(6h+1)^2 + (6k+1)^2 \equiv 0 \pmod{p}$. Changing the notation slightly, consider

$$(5.1) \quad h = au - bv, \quad k = av + bu,$$

where $r = a^2 + b^2$ and $h \equiv k \equiv 1 \pmod{6}$. Since $r \equiv 5 \pmod{12}$, we may suppose that $a \equiv 1, b \equiv \pm 2 \pmod{6}$. If $b \equiv 2 \pmod{6}$, consider

$$(5.2) \quad rh' = -(a^2 - b^2)h - 2abk, \quad rk' = -2abh + (a^2 - b^2)k.$$

Then by (5.1), (5.2) reduces to $h' = -au - bv, k' = -bu + av$, so that h' and k' are integers; moreover $h'^2 + k'^2 = h^2 + k^2$. In the next place (5.2) implies

$$\begin{aligned} 5h' &\equiv 3h - 4k \equiv -1, & h' &\equiv 1 \pmod{6}, \\ 5k' &\equiv -4h + 3k \equiv -1, & k' &\equiv 1. \end{aligned}$$

On the other hand (5.2) implies

$$(5.3) \quad h' \equiv -h, \quad k' \equiv k \pmod{4}.$$

It follows that the terms in the right member of (2.1) corresponding to (h, k) and (h', k') cancel.

Next, if $b \equiv -2 \pmod{6}$, we change all signs in the right members of (5.2). The details are much as before; in particular (5.3) becomes $h' \equiv h, k' \equiv -k \pmod{4}$. Thus once again corresponding terms cancel.

Now consider a pair (h, k) with $h^2 + k^2 = m$, where m is fixed, $r \mid m, h \equiv k \equiv 1 \pmod{6}$. Suppose first $r \nmid h$. Then if $r \nmid h'$, it is clear from the above that the corresponding terms in (2.1) cancel. On the other hand, when $r \mid h$, then it follows from the above discussion that we can simultaneously consider the correspondence (5.2) together with the second correspondence ($b \equiv -2$). In other words we have in this case ($r \mid h$) a (2, 1) correspondence. Returning to (2.1) we see that

$$\sum_{m=0}^{\infty} p_2(rm + r - s) = -x^e \prod_{n=1}^{\infty} (1 - x^{rn})^2,$$

where ϵ is determined by (2.3). The proof of (1.8) is now completed in exactly the same way as in (1.5).

6. Another formula. Newman also states the formula

$$(6.1) \quad \sum_{m=0}^{\infty} p_5(5m)x^m = \prod_{n=1}^{\infty} (1-x^n)^5(1-x^{5n})^{-1},$$

which he notes had been found (but not published) by D. H. Lehmer. It may be of interest to point out that (6.1) can be obtained easily from the identity.

$$(6.2) \quad \prod_{n=1}^{\infty} \frac{(1-x^n)^5}{1-x^{5n}} = 1 - 5 \sum_{m=1}^{\infty} \left(\frac{m}{5}\right) \frac{x^m}{1-x^m}.$$

The formula (6.2) is due to Ramanujan; Bailey [1] showed recently that it is a consequence of well known formulas for the Weierstrass elliptic functions.

Since the right member of (6.2) equals

$$1 - 5 \sum_{m,r=1}^{\infty} \left(\frac{m}{5}\right) m x^{mr},$$

it follows that

$$\begin{aligned} \sum_{m=0}^{\infty} p_5(5m)x^{5m} \prod_{n=1}^{\infty} (1-x^{5n})^{-1} &= 1 - 5 \sum_{m=1}^{\infty} \left(\frac{m}{5}\right) \frac{x^{5m}}{1-x^{5m}} \\ &= \prod_{n=1}^{\infty} (1-x^{5n})^5(1-x^{25n})^{-1}. \end{aligned}$$

Replacing x^5 by x we get (6.1).

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