

FINITELY GENERATED EXTENSIONS OF DIFFERENCE FIELDS

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Let \mathcal{F} , \mathcal{K} , \mathcal{L} be difference fields such that $\mathcal{F} \subseteq \mathcal{K} \subseteq \mathcal{L}$. We shall prove that if \mathcal{K} is a finitely generated extension of \mathcal{F} , $\mathcal{K} = \mathcal{F}\langle \alpha_1, \alpha_2, \dots, \alpha_n \rangle$,¹ then \mathcal{L} is also a finitely generated extension of \mathcal{F} .

We introduce a new notation for the α_i . Let β_1, \dots, β_q denote a subset of the α_i annulling no nonzero difference polynomial with coefficients in \mathcal{K} and such that each α_i annuls some nonzero difference polynomial with coefficients in $\mathcal{K}\langle \beta_1, \dots, \beta_q \rangle$. We denote the α_i not included among the β_i by $\gamma_1, \dots, \gamma_p$, $p = n - q$.

Let Λ be the reflexive prime difference ideal in $\mathcal{K}\{u_1, \dots, u_q; y_1, \dots, y_p\}$ with the generic zero $u_i = \beta_i$, $i = 1, \dots, q$; $y_j = \gamma_j$, $j = 1, \dots, p$. We denote a characteristic set of Λ by

$$(1) \quad A_{10}, \dots, A_{1k_1}; \quad A_{20}, \dots, A_{2k_2}; \dots; \quad A_{p0}, \dots, A_{pk_p},$$

where A_{i0} introduces² y_i . Let \mathcal{G} be the difference field formed by adjoining the coefficients³ of the A_{ij} to \mathcal{F} . Evidently $\mathcal{G} \subseteq \mathcal{K}$. The result stated above will follow when we show that $\mathcal{G} = \mathcal{K}$.

We shall describe what we mean by the characteristic sequences B_{ij} , $i = 1, \dots, p$; $j = 0, 1, \dots$, of Λ formed from (1). This concept has been previously defined only in special cases.

Let t_i denote the order of A_{i0} in y_i . We let $B_{10} = A_{10}$. Suppose $B_{10}, \dots, B_{1,k-1}$ have been defined. Then, if there is an A_{1j} of order $t_1 + k$ in y_1 , we let B_{1k} be that A_{1j} . Otherwise B_{1k} is defined as the remainder⁴ of the transform of $B_{1,k-1}$ with respect to the chain $B_{10}, \dots, B_{1,k-1}$. It is easy to see that, for any r , B_{1r} is of order $t_1 + r$ in y_1 and, unless it is equal to some A_{1j} , of the same degree in the $(t_1 + r)$ th transform of y_1 as is $B_{1,r-1}$ in the $(t_1 - 1 + r)$ th transform of y_1 .

Let $B_{20} = A_{20}$. Suppose $B_{20}, \dots, B_{2,k-1}$ have been defined. Then if

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¹ The brackets $\langle \rangle$ denote field adjunction of the enclosed elements and their transforms so as to form a difference field. Similarly, brackets $\{ \}$ denote ring adjunction of the enclosed elements and their transforms. Field and ring adjunctions in the usual sense are denoted by brackets $()$ and $[]$ respectively. For other terms used see [1] (where the term "basic set" corresponds to our "characteristic set") and [3].

² If $p = 0$, Λ is the ideal consisting only of 0, and no A_{ij} are defined.

³ If $p = 0$, we define \mathcal{G} to be \mathcal{F} .

⁴ Throughout this discussion we form the remainder treating the B_{ij} not as difference polynomials but as polynomials as in Chapter IV of [2]. The y_{ij} , $j \geq t_i$, are ordered lexicographically. The remaining y_{ij} and the u_{ij} precede them and are ordered among themselves in any convenient way. Of course, only a finite number of indeterminates are present and need be ordered at any step.

there is an A_{2j} of order t_2+k we let B_{2k} be that A_{2j} . Otherwise B_{2k} is defined as the remainder of the transform of $B_{2,k-1}$ with respect to the chain $B_{10}, \dots, B_{1r}; B_{20}, \dots, B_{2,k-1}$, where r is chosen as the least integer such that no transform of y_{10} occurring in $B_{20}, \dots, B_{2,k-1}$ or the transform of $B_{2,k-1}$ is of order exceeding t_1+r . Proceeding similarly we let $B_{30}=A_{30}$. When $B_{30}, \dots, B_{3,k-1}$ have been defined, we define B_{3k} as the A_{3j} of the proper order, if such exists, or as the remainder of the transform of $B_{3,k-1}$ with respect to $B_{10}, \dots, B_{1s}; B_{20}, \dots, B_{2r}$, where s and r are such that no transform of y_{20} occurring in $B_{30}, \dots, B_{3,k-1}$ or the transform of $B_{3,k-1}$ is of order exceeding t_2+r , and that no transform of y_{10} occurring in these polynomials or in B_{20}, \dots, B_{2r} is of order exceeding t_1+s .

Continuing in this way we define the $B_{ij}, i=1, \dots, p; j=0, 1, \dots$. Each B_{ij} is of order t_i+j in y_i , and it is either a polynomial of the characteristic set of Λ which is of this order in y_i and free of $y_k, k>i$, or it is of the same degree in the (t_i+j) th transform of y_i as is $B_{i,j-1}$ in the (t_i-1+j) th transform of y_i . Of course, B_{ij} is free of $y_{kl}, k>i$.

Given an integer $r \geq 0$ we let s_p denote the maximum of t_p and r . Let $r_p = s_p - t_p$. We then define s_{p-1} to be the maximum of t_{p-1}, r , and the order of the highest transform of y_{p-1} appearing in the polynomials B_{p0}, \dots, B_{pr_p} , and let $r_{p-1} = s_{p-1} - t_{p-1}$. We define s_{p-2} as the maximum of t_{p-2}, r , and the order of the highest transform of y_{p-2} occurring in $B_{p-1,0}, \dots, B_{p-1,r_{p-1}}; B_{p0}, \dots, B_{pr_p}$. Continuing in this way we define successively $s_{p-3}, s_{p-4}, \dots, s_1$ and let $r_i = s_i - t_i, i=1, \dots, p$. Then

$$(2) \quad B_{10}, \dots, B_{1r_1}; B_{20}, \dots, B_{2r_2}; \dots; B_{p0}, \dots, B_{pr_p}$$

is a chain. For s such that no $u_{ij}, j>s$, occurs in (2) we define Λ_{sr} as the prime p. i. (polynomial ideal⁵) in the indeterminates $u_{ij}, i=1, \dots, q; j=0, 1, \dots, s$, and $y_{km}, k=1, \dots, p; m=0, 1, \dots, s_k$, which consists of those polynomials of Λ which involve only these u_{ij} and y_{km} . Then (2) constitutes a characteristic set for Λ_{sr} with B_{ij} introducing y_{i,t_i+j} . The parametric indeterminates of Λ_{sr} corresponding to this choice of characteristic set are those u_{ij} occurring among its indeterminates and the y_{km} with $m < t_m$. We note that all coefficients of the B_{ij} are rational combinations of the coefficients appearing in (1) and their transforms.

Let λ be any element of \mathcal{H} . It will evidently suffice to show that λ is in \mathcal{G} . We choose a positive integer r such that λ is in the field formed by adjoining to \mathcal{F} the $\alpha_{ij}, i=1, \dots, n; j=0, \dots, r$. Let $s \geq r$ be such that, with the r just chosen, (2) is a characteristic set of

⁵ Following Chapter IV of [2] we use this term to distinguish ideals of polynomials in the usual sense from difference ideals.

a prime p. i. Λ_r . By the last remark of the preceding paragraph the coefficients of (2) are in G . Since also $G \subseteq \mathcal{K}$ it is readily seen that (2) is the characteristic set of a prime p. i. Π with coefficients in G and involving the same indeterminates as Λ_r . Similarly (2) is the characteristic set of a prime p. i. Π' with coefficients in $G(\lambda)$ and involving the same indeterminates as Λ_r .

We obtain a generic zero of Λ_r , Π , or Π' by putting $u_{ij} = \beta_{ij}$; $y_{ij} = \gamma_{ij}$ for the appropriate ranges of the subscripts. We shall denote by δ_k , where k ranges over a suitable set of integers, those β_{ij} and γ_{ij} of the generic zero which have been equated to the u_{ij} and y_{ij} of the previously described set of parametric indeterminates of Λ_r (which are also, of course, a set of parametric indeterminates for either Π or Π'). The remaining γ_{ij} of the generic zero shall henceforth be denoted by ϵ_m , where m ranges over a suitable set of integers.

The degree of $G(\delta_k, \epsilon_m)$ with respect to $G(\delta_k)$ is given by the product of the degrees⁶ of the polynomials of (2) in the indeterminates of Π which they respectively introduce. We see in the same way that this product is the degree of $G(\lambda)(\delta_k, \epsilon_m)$ with respect to $G(\lambda)(\delta_k)$. But the fields $G(\lambda)(\delta_k, \epsilon_m)$ and $G(\delta_k, \epsilon_m)$ coincide because, by the stipulations concerning r and s , λ is in $G(\delta_k, \epsilon_m)$. Hence the degrees of $G(\delta_k, \epsilon_m)$ with respect to its two subfields $G(\delta_k)$ and $G(\lambda, \delta_k)$ are equal. Since these degrees are finite it follows that these subfields must be identical. In other words, λ is in $G(\delta_k)$.

We thus see that there exist elements P and Q in $G[\delta_k]$, with P not equal to zero, such that $P\lambda = Q$. Now the δ_k annul no nonzero polynomial with coefficients in $G(\lambda)$. Hence the relation $P\lambda = Q$ must be an identity in the δ_k . By equating coefficients of a suitable power product of the δ_k on both sides of this equation we find $p\lambda = q$, p and q in G , and $p \neq 0$. Hence λ is in G . This completes the proof.

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⁶ This follows from the work on pp. 89 and 90 of [2]. The inductive argument given there shows that a generic zero of Π can be constructed by transcendental adjunctions followed by successive algebraic adjunctions of degrees equal to the degrees of the polynomials of the characteristic set in the indeterminates they introduce.