

# ON THE RADIAL LIMITS OF ANALYTIC FUNCTIONS

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1. Examples have been given [5, p. 185] of functions  $f(z)$ , analytic in the unit-circle  $K: |z| < 1$ , and not identically constant, for which the radial limit  $f(e^{i\theta}) = \lim_{r \rightarrow 1} f(re^{i\theta})$  is zero for all  $e^{i\theta}$  on  $|z| = 1$  except for a set of linear measure zero. In view of the Riesz-Nevanlinna theorem [6, p. 197], such functions cannot be bounded, or even of bounded characteristic, in  $|z| < 1$ . Functions of this sort appear again whenever we have an analytic function  $f(z)$  whose radial limits coincide almost everywhere with the radial limits of a bounded analytic function  $g(z)$ , for the difference  $F(z) = f(z) - g(z)$  has a radial limit zero almost everywhere on  $|z| = 1$ . The Riesz-Nevanlinna theorem shows that, if  $f(z)$  is bounded, or of bounded characteristic, and if the radial limit values of  $f(z)$  coincide almost everywhere on an arc of  $|z| = 1$  with the radial limit values of  $g(z)$ , then  $F(z)$  must be identically zero in  $|z| < 1$ . The object of this note is to discuss certain aspects of the behavior of nonconstant analytic functions whose radial limits vanish almost everywhere on an arc  $A$  ( $\theta_1 < \theta < \theta_2$ ) of  $|z| = 1$ . One result of such a study (which the author plans as a sequel to this note) will be to give some idea of the way in which a function  $f(z)$ , whose radial limits coincide almost everywhere with the radial limits of a function  $g(z)$  of bounded characteristic, can differ from  $g(z)$ .

We shall say that a nonconstant function  $f(z)$ , analytic in  $|z| < 1$ , is of class (LP) on an arc  $A$  of  $|z| = 1$ , if  $\lim_{r \rightarrow 1} f(re^{i\theta}) = f(e^{i\theta}) = 0$  for almost all  $e^{i\theta}$  belonging to the arc  $A$ . If the arc  $A$  is the whole circumference  $|z| = 1$ , we shall say simply that the function  $f(z)$  is of class (LP).

One property of functions which are of class (LP) on an arc  $A$  is immediate: the cluster set of  $f(z)$  at each point  $e^{i\theta_0}$  of  $A$  (i.e., the set of all values  $\alpha$  with the property that there exists a sequence  $\{z_n\}$ ,  $|z_n| < 1$ ,  $\lim_{n \rightarrow \infty} z_n = e^{i\theta_0}$ , such that  $\lim_{n \rightarrow \infty} f(z_n) = \alpha$ ) is the whole plane. For, if there is a point  $e^{i\theta_0}$  on  $A$  and a complex number  $\alpha$  which does not belong to the cluster set of  $f(z)$  at  $e^{i\theta_0}$ , then there is a circular neighborhood  $V(e^{i\theta_0})$  of  $e^{i\theta_0}$  such that, in  $V(e^{i\theta_0}) \cap K$ , the function  $g(z) = [f(z) - \alpha]^{-1}$  is analytic and bounded. Since the function  $g(z)$  has the constant limit  $-1/\alpha$  along almost all normal segments drawn to that arc of  $|z| = 1$  which bounds  $V \cap K$ , it follows from a simple corollary of the Riesz-Nevanlinna theorem that  $g(z)$ , and hence  $f(z)$ ,

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must be identically constant in  $V \cap K$  and, a fortiori, in  $|z| < 1$ . This property, i.e., that the cluster set is the whole plane, sometimes called the Weierstrass property, suggests that we investigate the values  $\zeta$  which  $f(z)$  admits as *asymptotic values*, i.e., the values to which  $f(z)$  tends as  $z$  approaches a point  $P$  of  $|z| = 1$  along a curve terminating at  $P$ . We shall show (Theorem 1) that every complex value  $\zeta$  (including  $\infty$ ) is an asymptotic value of a function  $f(z)$  of class (LP) provided that the  $\zeta$ -points, i.e., the points  $z_k$  for which  $f(z_k) = \zeta$ , satisfy the condition

$$(1) \quad \sum_{k=1}^{\infty} (1 - |z_k|) < \infty.$$

In Theorem 2 we show that a function of class (LP) on an arc  $A$  admits every complex number  $\zeta$  as an asymptotic value in every neighborhood of every point  $e^{i\theta}$  of  $A$  if the  $\zeta$ -points in some neighborhood  $V(e^{i\theta}) \cap K$  of  $e^{i\theta}$  satisfy (1). Theorem 2 then contains Theorem 1, but the proof of Theorem 1 is considerably simpler, and we give a separate proof.

**LEMMA 1.** *Let  $f(z)$  be analytic and different from 0 in  $|z| < 1$ , and let the modulus  $|f(re^{i\theta})|$  have radial limit 1 for almost all  $e^{i\theta}$  on  $|z| = 1$ . Then unless  $f(z)$  is identically constant in  $|z| < 1$ , there exists a Jordan arc  $\mathcal{L}$ , lying in  $|z| < 1$  and terminating at a point  $e^{i\theta_0}$  of  $|z| = 1$ , such that, as  $z \rightarrow e^{i\theta_0}$  along  $\mathcal{L}$ , either  $f(z) \rightarrow 0$  or  $f(z) \rightarrow \infty$ . If there exists no path along which  $f(z) \rightarrow 0$ , then  $|f(z)| > 1$  in  $|z| < 1$ .*

Lemma 1 is equivalent to Theorems 5 and 6 of [3], and its proof is omitted here. For brevity, we shall say that a function which is analytic in  $|z| < 1$  and whose modulus  $|f(re^{i\theta})|$  has radial limit 1 for almost all  $e^{i\theta}$  on  $|z| = 1$  will be called of *class (U)* in  $|z| < 1$ .

**THEOREM 1.** *If  $f(z)$  is analytic in  $|z| < 1$  and of class (LP), then every complex number (including  $\infty$ ) which satisfies (1) is an asymptotic value of  $f(z)$ .*

Assume that a finite  $\zeta$  satisfying (1) is not an asymptotic value of  $f(z)$ ; clearly, we need not consider the case that  $\zeta = 0$ . Since  $f(z)$  is of class (LP), the function  $\phi(z) = \zeta^{-1}[\zeta - f(z)]$  has radial limit 1 almost everywhere and is then of class (U) in  $|z| < 1$ . Because the  $\zeta$ -points of  $f(z)$  satisfy (1), we may write  $\phi(z) = B_{\zeta}(z)F(z)$ , where  $B_{\zeta}(z)$  is a Blaschke product extended over the zeros of  $\phi(z)$ . It is well known [7, p. 94] that the radial limits of a Blaschke product exist and have modulus 1 almost everywhere on  $|z| = 1$ . From this it follows that  $F(z)$  is of class (U) without zeros in  $|z| < 1$ . It is then a conse-

quence of Lemma 1 that, unless  $F(z)$  is identically constant,  $F(z)$  must admit either 0 or  $\infty$  as an asymptotic value. We remark first that  $F(z)$  cannot be constant; for if  $\phi(z)$  reduces to a Blaschke product whose radial limit is 1 almost everywhere, the Riesz-Nevanlinna theorem shows that  $\phi(z)$ , and consequently  $f(z)$ , is constant. We assert next that 0 must be an asymptotic value of  $F(z)$ ; otherwise  $|F(z)| > 1$  in  $|z| < 1$ , so that  $\phi(z)$  could be expressed as the quotient of two bounded functions in  $|z| < 1$ , i.e.,  $\phi(z)$  would be of bounded characteristic in  $|z| < 1$ . Again, by the Riesz-Nevanlinna theorem,  $\phi(z)$  would be constant in  $|z| < 1$ . Since zero must now be an asymptotic value of  $F(z)$ , and since  $B_{\zeta}(z)$  is bounded,  $\phi(z)$  must admit zero as an asymptotic value, so that  $\zeta$  is an asymptotic value of  $f(z)$ .

To show that  $f(z)$  admits  $\infty$  as an asymptotic value,<sup>1</sup> we remark that the function  $g(z) = e^{f(z)}$  is of class (U) without zeros in  $|z| < 1$ . Applying Lemma 1 to  $g(z)$ , we see that, since  $g(z)$  is not constant,  $g(z)$  admits either 0 or  $\infty$  as an asymptotic value, so that there exists at least one path  $\mathcal{L}$  terminating at some point  $e^{i\theta_0}$  of  $|z| = 1$  along which  $f(z) \rightarrow \infty$ . Thus Theorem 1 is proved.

We remark that Theorem 1 is related to a recent result of Cartwright and Collingwood [2, p. 112], the added hypothesis that  $f(z)$  be of class (LP) in  $|z| < 1$  allowing us to obtain a stronger conclusion to part of Theorem 9 of their paper.

2. In order to determine how frequently a function of class (LP) admits as an asymptotic value a complex number  $\zeta$  satisfying (1), it will be necessary to use a form of the Schwarz reflection principle developed recently in [4]. We summarize this principle as a lemma.

**LEMMA 2.** *Let  $f(z)$  be meromorphic in  $|z| < 1$  and let  $A$  be the arc  $0 \leq \theta_1 < \theta < \theta_2 < 2\pi$ . Let there exist an  $\epsilon > 0$  such that  $f(z)$  has no zeros or poles in the region  $0 < 1 - |z| < \epsilon$ ,  $\theta_1 < \arg z < \theta_2$ , and let the modulus  $|f(re^{i\theta})|$  have radial limit 1 for almost all  $e^{i\theta}$  on  $A$ . Then a necessary and sufficient condition that  $f(z)$  may be continued analytically across the arc  $A$  by means of the reflection principle  $f(\bar{z}) = 1/\bar{f}(1/z)$  is that  $f(z)$  admit neither 0 nor  $\infty$  as an asymptotic value on  $A$ .*

We proceed now to the principal result of this paper.

**THEOREM 2.** *Let  $f(z)$  be analytic in  $|z| < 1$  and of class (LP) on an arc  $\alpha < \theta < \beta$  of  $|z| = 1$ . Let  $A$  be an arbitrary sub-arc of  $(\alpha, \beta)$  and  $\zeta$  an arbitrary complex number (including  $\infty$ ). If there is a neighborhood*

<sup>1</sup> The method of proof of the previous paragraph shows also that  $\infty$  is an asymptotic value of  $F(z)$ , but the presence of the factor  $B_{\zeta}(z)$  precludes an immediate inference that  $\infty$  is an asymptotic value of  $\phi(z)$ , and, consequently, of  $f(z)$ .

$V(e^{i\theta_A}) \cap K$  of the midpoint  $e^{i\theta_A}$  of  $A$  in which the  $\zeta$ -points of  $f(z)$  satisfy (1), then there exists a point  $e^{i\theta_0}$  on that part of  $A$  which bounds  $V \cap K$ , and a Jordan arc  $\mathcal{L}$  of  $|z| < 1$  terminating at  $e^{i\theta_0}$  such that  $f(z) \rightarrow \zeta$  as  $z \rightarrow e^{i\theta_0}$  along  $\mathcal{L}$ .

Let us suppose that there exists an arc  $A$  ( $\theta_1 < \theta < \theta_2$ ), with midpoint  $e^{i\theta_A}$  and contained in  $(\alpha, \beta)$ , and a complex number  $\zeta$  satisfying (1) in some neighborhood  $V(e^{i\theta_A}) \cap K$  which  $f(z)$  does not admit as an asymptotic value on that subarc  $B$  of  $A$  which bounds  $V(e^{i\theta_A}) \cap K$ . The case  $\zeta = 0$  being trivial, we may suppose that  $\zeta$  is not 0, and, for the moment, not  $\infty$ . Since  $f(z)$  is of class (LP) on  $A$ , the function  $\phi(z) = \zeta^{-1}[\zeta - f(z)]$  is analytic in  $|z| < 1$  and has radial limit 1 for almost all  $e^{i\theta}$  on  $A$ . Since the  $\zeta$ -points of  $f(z)$  satisfy (1) in  $V(e^{i\theta_A}) \cap K$ , we may write, as before,  $\phi(z) = B_{\zeta}(z)F(z)$ , where  $B_{\zeta}(z)$  is a Blaschke product extended over the zeros of  $\phi(z)$  in  $V(e^{i\theta_A}) \cap K$ , and where  $F(z)$  is analytic without zeros in  $V(e^{i\theta_A}) \cap K$ . The function  $F(z)$  must possess radial limit values of modulus 1 for almost all  $e^{i\theta}$  on  $B$ . It cannot happen that  $F(z)$  is the quotient of two bounded functions  $V(e^{i\theta_A}) \cap K$ ; for otherwise the Riesz-Nevanlinna theorem would imply that  $\phi(z)$ , and consequently  $f(z)$ , is identically constant. Furthermore, it is clear that no point of  $B$  can be a regular point of  $F(z)$ . It follows from Lemma 2 that the set of singularities of  $F(z)$  on  $B$  (namely, all points of  $B$ ) is the closure of the set of points  $e^{i\theta}$  on  $B$  which are the terminal points of Jordan arcs along which either  $F(z) \rightarrow 0$  or  $F(z) \rightarrow \infty$ . A simple modification of a result of Carathéodory [1, pp. 266–267] and the author [3, p. 251] shows that, unless  $|F(z)| > 1$  in  $V(e^{i\theta_A}) \cap K$ ,  $F(z)$  must admit zero as an asymptotic value on  $B$ . Now if  $|F(z)| > 1$  in  $V(e^{i\theta_A}) \cap K$ , then  $\phi(z)$  is the quotient of two bounded functions in that region and, according to the corollary of the Riesz-Nevanlinna theorem, must be identically constant. Since  $F(z)$  must admit 0 as an asymptotic value on  $B$ , the boundedness of  $B_{\zeta}(z)$  implies that 0 is an asymptotic value of  $\phi(z)$  on  $B$ , so that  $\zeta$  is an asymptotic value of  $f(z)$  on  $B$ . This contradiction proves Theorem 2 for the case that  $|\zeta| < \infty$ . For the case that  $\zeta = \infty$ , we apply Lemma 2 directly to the function  $g(z) = e^{f(z)}$ , thus completing the proof of Theorem 2.

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## MULTIPLICATIVE GROUPS OF ANALYTIC FUNCTIONS

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Let  $D$  be a *proper* subdomain of the Riemann sphere, and let  $M(D)$  be the multiplicative group of all regular single-valued analytic functions on  $D$  which have no zeros in  $D$ . It is known [1] that the algebraic structure of the ring  $R(D)$  of all regular single-valued analytic functions on  $D$  determines (and is determined by) the conformal type of  $D$ . In this paper we ask the question: what information about  $D$  does the algebraic structure of  $M(D)$  give, and, conversely, which properties of  $D$  determine the algebraic structure of  $M(D)$ ? The answer is, briefly, that  $M(D_1)$  and  $M(D_2)$  are isomorphic if and only if  $D_1$  and  $D_2$  have the same connectivity.

Here the connectivity of  $D$  is  $k$  if the complement of  $D$  has  $k$  components, and is  $\infty$  if the complement of  $D$  has infinitely many (countable or power of the continuum) components. The structure of  $M(D)$  is described in more detail in the theorem below.

If we associate with each  $f \in M(D)$  the function  $g = f/|f|$  we obtain a subgroup (isomorphic to  $M(D)$ ) of the multiplicative group  $C(D)$  of all continuous functions from  $D$  into the unit circumference. Such functions have been studied in great detail by Eilenberg [2]. It is worth noting that our theorem is valid if we replace  $M(D)$  by  $C(D)$ , and that the proof is essentially the same; but it seems more interesting to stay within the smaller group.

Before stating the theorem, it is convenient to define two subgroups of  $M(D)$ .

- (1) Fix a point  $z_0 \in D$  and let  $G(D)$  be the set of all  $f \in M(D)$  such that  $f(z_0) = 1$ . Then  $M(D)$  is the direct product of  $G(D)$  and the multiplicative group of the nonzero complex numbers, and  $G(D)$