

A NOTE ON SOME PROPERTIES OF FINITE RINGS

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Our first result is the determination of those finite rings R which have the following property

$*(k)$: *The only ideals of R are $R, R^2, \dots, R^k = (0)$.*

Throughout this note the term "ideal" shall be used in place of the term "two-sided ideal."

THEOREM I. *Let R have property $*(k)$ and let $I[z]$ denote the ring of polynomials in the indeterminate z with integral coefficients. Then there exists a prime p and a polynomial $f(z) = pz - \sum_{i=2}^{k-1} a_i z^i$ with $0 \leq a_i < p$ such that $R \cong zI[z]/(f(z), z^k)$. Conversely, if $f(z)$ has this form, then $zI[z]/(f(z), z^k)$ has property $*(k)$.*

PROOF. Let R have $*(k)$. We assert that R has a prime power number of elements. If not, say $o(R) = ab$ with $(a, b) = 1$, then $A = \{r | ar = 0\}$ and $B = \{r | br = 0\}$ are two ideals of R such that $A \not\subseteq B$ and $B \not\subseteq A$ which contradicts $*(k)$. Thus $o(R) = p^\alpha$ for some prime p .

We assume $k > 1$ and choose $x \in R, x \notin R^2$. Then the subring (R^2, x) of R generated by R^2 and x is an ideal properly containing R^2 whence $(R^2, x) = R$. This gives $R^s = (R^2, x)^s = (R^{s+1}, x^s)$. Taking $s = k-1, k-2, \dots$, we find that R is the image of $zI[z]$ (I the ring of rational integers, z an indeterminate) by the homomorphism ϕ which sends z into x .

Now we claim that $px \in R^2$. Indeed, otherwise we should have $(R^2, px) = R$ whence there exists an integer s such that $x - spx \in R^2$ which gives $x^{k-1} = s^s px^{k-1} = \dots = s^{\alpha} p^{\alpha} x^{k-1} = 0$ whence $R^{k-1} = (0)$, a contradiction. Thus $px = a_2 x^2 + a_3 x^3 + \dots + a_{k-1} x^{k-1}$ with the a_i rational integers, so that if we put $f(z) = pz - a_2 z^2 - a_3 z^3 - \dots - a_{k-1} z^{k-1}$, the ideal $(z^k, f(z))$ is contained in the kernel of ϕ . Conversely, every element of the kernel of ϕ is congruent modulo $(z^k, f(z))$ to a polynomial of the form $b_1 z + \dots + b_{k-1} z^{k-1}$ with $0 \leq b_i < p$. If $b_1 \neq 0$, then $b_1 x$ is in R^2 which is impossible. Similarly each $b_i = 0$ for $1 \leq i \leq k-1$ so that the kernel of ϕ is $(z^k, f(z))$, i.e. $R \cong zI[z]/(z^k, f(z))$.

Conversely let J be any ideal of $zI[z]/(z^k, f(z))$ where $f(z) = pz - a_2 z^2 - \dots - a_{k-1} z^{k-1}$ with $0 \leq a_i < p$ and let \bar{z} denote the coset of z . Every element of J has the form $b_1 \bar{z} + \dots + b_{k-1} \bar{z}^{k-1}$ with the b_i

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rational integers and $0 \leq b_i < p$. Let m be the smallest index such that J contains an element of the form $b_m \bar{z}^m + \cdots + b_{k-1} \bar{z}^{k-1}$ with $b_m \neq 0$. Multiplying this element by \bar{z}^{k-m-1} , we see that $b_m \bar{z}^{k-1}$ is in J whence \bar{z}^{k-1} is in J . Multiplying by \bar{z}^{k-m-2} we see that $b_m \bar{z}^{k-2} + b_{m+1} \bar{z}^{k-1}$ is in J whence \bar{z}^{k-2} is in J . Similarly, $\bar{z}^{k-3}, \dots, \bar{z}^m$ are in J whence $J = R^n$.

COROLLARY. *If R has property $*(k)$, then there exists a prime p such that $o(R) = p^{k-1}$ and the following properties of R imply each other:*

- (1) $pR = R^2$,
- (2) *the additive group of R is cyclic,*
- (3) $R \cong pI/p^k I$.

PROOF. By Theorem I, there exists a prime p and a polynomial $f(z)$ of the form $f(z) = pz - \sum_{i=2}^{k-1} a_i z^i$ with $0 \leq a_i < p$ so that $R \cong zI[z]/(f(z), z^k)$. Now $zI[z]/(f(z), z^k)$ consists of rational integral linear combinations of the cosets $\bar{z}, \bar{z}^2, \dots, \bar{z}^{k-1}$ where the coefficients, say b_i , are constrained by $0 \leq b_i < p$. It follows that $o(R) = p^{k-1}$.

If R has property (1), then $a_2 = 0$ so that the additive order of \bar{z} is p^{k-1} whence \bar{z} generates the additive group of R so that R has property (2).

To see that (2) implies (3) note that $\bar{z}^2 = h\bar{z}$ for some integer h . It is easy to see that $h = cp$ where $(c, p) = 1$ whence there is an integer h_1 prime to p so that $(h_1 \bar{z})^2 = p(h_1 \bar{z})$. Now the map $pj \rightarrow p\bar{z}$ is a homomorphism of pI onto R with kernel $p^k I$.

THEOREM II. *Let R be a finite ring with an identity and with a non-zero radical N . Suppose further that there exists a prime p such that the only ideals of R and $R, pR, \dots, p^k R = (0)$ and that every ideal of N is also an ideal of R . Then $R \cong I/p^k I$.*

PROOF. Clearly $o(R)$ is a power of p . Thus $pR \subseteq N$ and we have $R \supseteq N \supseteq pR$ whence $N = pR$. Let J be any ideal of N ; then Theorem I implies that J has the form $p^r R$, i.e. $N^r = p^r R = J$, so that every ideal of R is a power of N . The ring N/N^2 has no ideals and hence has p elements. The mapping $x \rightarrow px$ induces a homomorphism of R/N onto N/N^2 , both considered as double modules over R . As a double module, R/N is simple; hence $R/N \cong N/N^2$ (module isomorphic) so R/N is cyclic of order p . If e is the identity of R , then $R = Ie + pR$ and by induction $R = Ie + p^s R$, so that $R = Ie$. Thus $n \rightarrow ne$ is a homomorphism of I onto R with kernel p^k .