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## ABELIAN RINGS AND SPECTRA OF OPERATORS ON $l_p$

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1. **Introduction.** Let  $l_p$  denote the set of all sequences  $c = \{c_n\}$  such that  $\|c\|_p = (\sum_{n=-\infty}^{\infty} |c_n|^p)^{1/p} < \infty$ . If  $a$  and  $c$  are sequences, the convolution  $a * c$  is defined as the sequence  $\{b_n\}$  such that

$$[a * c]_n = b_n = \sum_{\nu=-\infty}^{\infty} a_{n-\nu} c_\nu \quad (n = 0, \pm 1, \pm 2, \pm 3, \dots).$$

Suppose  $A$  is a bounded and summable function on the interval  $[-\pi, \pi]$ , and denote by  $\Phi A$  the sequence  $\{a_n\}$  of Fourier coefficients of  $A$ . The "Laurent matrix"  $(a_{n-\nu})$  represents a transformation  $A \#_p$  defined for any  $c$  in  $l_p$  by  $A \#_p c = (\Phi A) * c$ . The following properties were proved for  $p=2$  by O. Toeplitz [11] and F. Riesz [8, pp. 171–175]:

- (i)  $A \#_p$  is a bounded operator on  $l_p$ ,
- (ii) if  $B(\theta) = [A(\theta)]^{-1}$  defines a bounded function  $B$ , then the inverse  $(A \#_p)^{-1}$  of  $A \#_p$  exists, and  $(A \#_p)^{-1} = B \#_p$ ,
- (iii) if  $A$  is continuous, then the spectrum  $\sigma(A \#_p)$  of  $A \#_p$  is the range<sup>1</sup> of  $A$ .

Assume henceforth that  $p > 1$ . This case was not considered by Toeplitz and Riesz; their results depend on the circumstance<sup>2</sup> that

Presented to the Society April 15, 1955 under the titles *Certain abelian rings of operators on  $l_p$*  and *On the spectra of certain operators on  $l_p$* ; received by the editors January 31, 1955 and, in revised form, July 25, 1955 and October 11, 1955.

<sup>1</sup> The range of  $A$  is the image  $A([-\pi, \pi])$ .

<sup>2</sup> The introductions to [3; 4] contain a concise account of these matters.

$\Phi$  is an isometric mapping of  $L^p([-\pi, \pi])$ ,  $p=2$ , onto some  $l_q$ , which no longer holds when  $p \neq 2$ . S. B. Stečkin [10] recently established (i) by restricting  $A$  to the set  $\mathfrak{B}$  of functions of bounded variation on  $[-\pi, \pi]$ .

Suppose  $A \in \mathfrak{B}$ . The validity of (ii)–(iii) remains to be considered. In this paper, we verify (ii) and prove that, if  $A$  is continuous, then  $\sigma(A_{\#p})$  is a connected subset of the range of  $A$ . The statement (iii) holds when  $A$  is in the set  $\mathfrak{A}$  of functions analytic on  $[-\pi, \pi]$ . The proofs hinge on the fact that the set  $\{A_{\#p}: A \in \mathfrak{B}\}$  forms an abelian ring isomorphic to  $\mathfrak{B}$ .

Let  $I$  be the identity function; we shall show that

(iv) if  $A \in \mathfrak{A}$ , then  $A_{\#p} = A(I_{\#p})$  and  $A_{\#p}$  has no eigenvalues when  $A$  is not a constant function.

Here  $A(I_{\#p})$  is to be interpreted in the functionality sense of the Dunford operational calculus. The operator  $I_{\#p}$  is represented by the matrix  $(a_{nv})$ , where  $a_{nv} = i(-1)^{n+v}/(n-v)$ ,  $a_{nn} = 0$ . From (iv) follows that  $I_{\#p}$  has a purely continuous spectrum consisting of the whole interval  $[-\pi, \pi]$ .

1.1. *Application.* Suppose  $f$  belongs to the ring of all functions  $f$  whose Laurent series  $\sum a_n \lambda^n$  converges absolutely on the circumference  $\Gamma_1 = \{\lambda: |\lambda| = 1\}$ . Take a fixed number  $p, p > 1$ . If  $f_{\star}$  denotes the transformation defined by  $f_{\star}c = a * c$  ( $c \in l_p$ ), then  $f_{\star}$  is a bounded operator on  $l_p$  and the spectrum  $\sigma(f_{\star})$  is the image  $f(\Gamma_1)$  of  $\Gamma_1$  by  $f$ . This generalizes results proved in the Hilbert space case  $p=2$  by Toeplitz [12; 13], and is easily derived from (i) and (iii) as follows. Set  $A(\theta) = f(e^{i\theta})$ ; then  $A(\theta) = \sum a_n e^{in\theta}$ , which implies  $a = \Phi A$ ,  $f_{\star}c = A_{\#p}c$ ,  $f_{\star} = A_{\#p}$ , and  $\sigma(f_{\star}) = \sigma(A_{\#p})$ . But  $A \in \mathfrak{A}$  (since  $f$  is analytic on  $\Gamma_1$ ); from (i) and (iii) we can now infer that  $f_{\star}$  is a bounded operator and  $\sigma(A_{\#p}) = A([-\pi, \pi])$ . The observation  $A([-\pi, \pi]) = f(\Gamma_1)$  accordingly yields the conclusion  $\sigma(f_{\star}) = f(\Gamma_1)$ .

2. **Preliminaries.** Denote by  $\mathfrak{B}$  the set of all functions whose domains include the closed interval  $[-\pi, \pi]$  and which are of bounded variation there. If  $A \in \mathfrak{B}$ , we define  $\Phi A$  as the sequence  $a$  such that

$$(1) \quad [\Phi A]_n = a_n = \frac{1}{2\pi} \int_{-\pi}^{\pi} e^{-in\theta} A(\theta) d\theta \quad (n = 0, \pm 1, \pm 2, \pm 3, \dots).$$

Suppose  $p$  fixed throughout, and  $p > 1$ . By Hölder's inequality  $\| [a * c]_n \| \leq \| a \|_q \| c \|_p$  for some  $q > 1$ . Therefore  $a * c$  is defined for any  $c$  in  $l_p$ , since  $a = \Phi A$  implies  $\| a \|_q < \infty$  for any  $q > 1$  [2, Theorem 37]. In consequence, the transformation  $A_{\#}$  (which was denoted  $A_{\#p}$  in the Introduction) can be defined by

$$(2) \quad A\#c = (\Phi A) * c \quad \text{for any } c \text{ in } l_p.$$

We denote by  $\mathfrak{E}$  the ring of all bounded operators on  $l_p$  (an operator on  $l_p$  is a linear mapping of  $l_p$  into itself). Stečkin [10] has proved that

(v) if  $A \in \mathfrak{B}$ , then  $A\# \in \mathfrak{E}$ .

2.1. LEMMA. Let  $S_0$  be the set of all sequences  $c$  such that  $c_n = 0$  for all  $|n|$  sufficiently large. If  $T_1$  and  $T_2$  are members of  $\mathfrak{E}$  satisfying  $T_1c = T_2c$  for all  $c$  in  $S_0$ , then  $T_1 = T_2$ .

PROOF. It is easily shown that  $S_0$  is dense in  $l_p$ . The operators  $T_1$  and  $T_2$ , being in  $\mathfrak{E}$ , are continuous on  $l_p$ ; since they coincide on  $S_0$ , the conclusion follows.

2.2. THEOREM. If  $A$  and  $B$  are in  $\mathfrak{B}$ , then  $A\#B\# = (A \cdot B)\#$ , where  $A \cdot B$  is the function  $C$  with  $C(\theta) = A(\theta) \cdot B(\theta)$ .

PROOF. We see from (v) that  $T_1 = A\#B\#$  and  $T_2 = (A \cdot B)\#$  are members of  $\mathfrak{E}$ . In view of 2.1 and  $S_0 \subset l_2$ , it will therefore suffice to show that  $(A\#B\#)x = (A \cdot B)\#x$  for all  $x$  in  $l_2$ . To that effect, we first recall that [2, (3.1.1)]

$$(3) \quad (\Phi F) * (\Phi G) = \Phi(F \cdot G) \quad \text{when } F \in L^2 \text{ and } G \in L^2.$$

If  $x \in l_2$ , it follows from the Fischer-Riesz theorem that there exists a function  $X$  in  $L^2$  with  $x = \Phi X$ . Now  $\{A, B\} \subset \mathfrak{B} \subset L^2$  and  $B \cdot X \in L^2$  (since  $B$  is bounded); keeping (2) in mind, we apply (3) twice in succession to obtain

$$(A\#B\#)x = (\Phi A) * \{(\Phi B) * (\Phi X)\} = (\Phi A) * \{\Phi(B \cdot X)\} = \Phi(A \cdot [B \cdot X]).$$

This concludes the proof, since  $(A \cdot B)\#x = \{\Phi(A \cdot B)\} * (\Phi X) = \Phi([A \cdot B] \cdot X)$  follows again from (3) and  $A \cdot B \in L^2$ .

3. The ring  $\mathfrak{B}\#$ . From (v) and 2.2 can easily be deduced that the set  $\mathfrak{B}\# = \{A\#: A \in \mathfrak{B}\}$  forms an abelian subring of  $\mathfrak{E}$ . The unit element of the ring  $\mathfrak{B}$  is the function  $I^0$  such that  $I^0(\theta) = 1$ ; note that  $I\#^0 = 1$  (the identity operator). If  $A \in \mathfrak{B}$ , then  $A^{-1}$  is the function  $B$  defined by  $B(\theta) = [A(\theta)]^{-1}$ .

3.1. REMARK. Suppose  $A \in \mathfrak{B}$ . If  $A^{-1}$  is a bounded function, it is easily seen that  $B = A^{-1} \in \mathfrak{B}$ , so that  $(A\#)^{-1} = (A^{-1})\# \in \mathfrak{B}\#$  follows from 2.2 and  $A\#B\# = I\#^0 = 1$ .

3.2. THEOREM. The linear transformation  $A \rightarrow A\#$  is an isomorphic mapping of the ring  $\mathfrak{B}$  onto the ring  $\mathfrak{B}\#$ .

PROOF. In view of 2.2, it will be enough to show that the mapping  $A \rightarrow A\#$  is one-to-one. If  $A\# = B\#$ , we can infer from (2) that  $(\Phi A) * c$

$= (\Phi B) * c$ , where  $c_0 = 1$  and  $c_n = 0$  if  $n \neq 0$ ; but  $a * c = a$ , and therefore  $\Phi A = \Phi B$ . This implies that  $A = B$  almost-everywhere, and the proof is complete.

**4. The subring of continuity.** Let  $\mathfrak{R}$  denote the set of all members of  $\mathfrak{B}$  which are continuous on  $[-\pi, \pi]$ . The sets  $\mathfrak{R}$  and  $\mathfrak{R}_\# = \{A_\# : A \in \mathfrak{R}\}$  are provided with the following respective norms;  $\|A\| = \sup \{|A(\theta)| : |\theta| \leq \pi\}$  when  $A \in \mathfrak{R}$ , and  $\|T\| = \sup \{\|Tc\|_p : \|c\|_p \leq 1\}$  when  $T \in \mathfrak{R}_\#$ . We recall that  $Z = \lim X_s$  when  $\lim \|Z - X_s\| = 0$ . If  $\sigma(X)$  denotes the spectrum of an element  $X$  of a Banach algebra [5, p. 97], it is easily checked that  $\sigma(A)$  is the range  $A$  ( $[-\pi, \pi]$ ) of  $A$ , whenever  $A \in \mathfrak{R}$ .

**4.1. THEOREM.** *The set  $\mathfrak{R}_\#$  forms an irreducible ring, in the sense that 1 and 0 are the only members  $T$  of  $\mathfrak{R}_\#$  such that  $T^2 = T$ .*

**PROOF.** Suppose  $T^2 = T \in \mathfrak{R}_\#$ . Then  $T = A_\# = (A_\#)^2$ , and a successive application of 2.2 and 3.2 yields  $A_\# = (A^2)_\#$  and  $A = A^2$ . Now  $\mathfrak{R}$  forms an irreducible ring [6, p. 417 (11)], and consequently  $A = I^0$  or  $A = 0$ ; thus, either  $T = I_\#^0 = 1$  or  $T = O_\# = 0$ .

**4.2. THEOREM.** *If  $C \in \mathfrak{R}$ , then  $\sigma(C_\#)$  is a connected subset of  $\sigma(C)$ . In case  $\lambda \notin \sigma(C)$ , then  $(\lambda I - C_\#)^{-1} = (A^{-1})_\# \in \mathfrak{R}_\#$  where  $A = \lambda I^0 - C$ .*

**PROOF.** Suppose  $\lambda \notin \sigma(C)$ ; then  $\lambda - C(\theta) = A(\theta) \neq 0$  for all  $|\theta| \leq \pi$ , whence  $(\lambda I^0 - C)^{-1} = A^{-1} \in \mathfrak{R} \subset \mathfrak{B}$ , and  $(\lambda I - C)^{-1} = (A_\#)^{-1} = (A^{-1})_\#$  now follows from 3.1. Thus  $\lambda \notin \sigma(C_\#)$  when  $\lambda \notin \sigma(C)$ , and we have proved that  $\sigma(C_\#) \subset \sigma(C)$ . Lorch [6, Theorem 2] has shown that the spectrum of any member of an irreducible ring is connected. A reference to 4.1 now completes the proof.

**4.3. LEMMA.** *Suppose  $\{F_s\}$  is a family of members of  $\mathfrak{B}$ . If  $A \in \mathfrak{B}$ ,  $A = \lim_{s \rightarrow \infty} F_s$ , and  $T = \lim_{s \rightarrow \infty} (F_s)_\#$ , then  $A_\# = T$ .*

**PROOF.** Take  $m, n = 0, \pm 1, \pm 2, \dots$ . From (1) follows<sup>3</sup> that

$$[\Phi A]_m = \lim_{s \rightarrow \infty} \frac{1}{2\pi} \int_{-\pi}^{\pi} e^{-im\theta} F_s(\theta) d\theta = \lim_{s \rightarrow \infty} [\Phi \{F_s\}]_m.$$

Thus, if  $x \in S_0$ ,

$$[(\Phi A) * x]_n = \sum_{\nu=-\infty}^{\infty} [\Phi A]_{n-\nu} x_\nu = \lim_{s \rightarrow \infty} [(\Phi \{F_s\}) * x]_n.$$

In view of (2), this can be written  $[A_\# x]_n = \lim [(F_s)_\# x]_n$ . On the other

<sup>3</sup> Note that  $A = \lim F_s$  if and only if the sequence  $F_s(\theta)$  converges uniformly to  $A(\theta)$  on  $[-\pi, \pi]$ .

hand,  $[Tx]_n = \lim [(F_s)_\#x]_n$  follows directly from our hypothesis. Combining results, we obtain

$$(4) \quad A_\#x = Tx \quad \text{for all } x \text{ in } S_0.$$

But  $A_\# \in \mathfrak{C}$  and  $T \in \mathfrak{C}$  (since the  $(F_s)_\#$  are in the complete space  $\mathfrak{C}$ ). The conclusion now follows from (4) and 2.1.

**5. The subring of analyticity.** We say that  $A$  is analytic on  $[-\pi, \pi]$  if  $A$  is holomorphic on an open rectangle  $\mathfrak{R}(A) \supset [-\pi, \pi]$ . Let  $\mathfrak{A}$  be the set of all such functions. Note that  $\mathfrak{A} \subset \mathfrak{R} \subset \mathfrak{B}$ , whence  $\{A_\#: A \in \mathfrak{A}\} \subset \mathfrak{R}_\# \subset \mathfrak{B}_\#$ ; each of these three sets is a subring of  $\mathfrak{C}$ .

Suppose  $A \in \mathfrak{A}$ . There exists therefore a sequence  $\{F_s\}$  of polynomials such that [9, p. 177 (2.2)]

- (a) *the sequence  $F_s(\lambda)$  converges uniformly to  $A(\lambda)$  on each closed subset of  $\mathfrak{R}(A)$ .*

Let  $I$  denote the function  $I(\theta) = \theta$ ; clearly  $I \in \mathfrak{R}$  and from 4.2 follows that  $\sigma(I_\#) \subset \sigma(I) = [-\pi, \pi]$ . Given any  $B$  in  $\mathfrak{A}$ , the Dunford operational calculus associates with the bounded operator  $I_\#$  a member  $B(I_\#)$  of  $\mathfrak{C}$  by means of the equation

$$(5) \quad B(I_\#) = \frac{1}{2\pi i} \int B(\lambda)(\lambda 1 - I_\#)^{-1} d\lambda, \quad \lambda \in K,$$

where  $K$  is the boundary of a rectangle  $\mathfrak{D}(A) \supset [-\pi, \pi]$  whose closure is included in  $\mathfrak{R}(A)$ . In case  $B(\lambda) = \sum_{n=0}^\infty a_n \lambda^n$  is an entire function, then [1, 2.8]

$$(6) \quad B(I_\#) = \sum_{n=0}^\infty a_n I_\#^n = \lim_{s \rightarrow \infty} \sum_{n=0}^s a_n I_\#^n.$$

From (a) follows that<sup>4</sup>

$$(7) \quad A(I_\#) = \lim F_s(I_\#) \quad (s \rightarrow \infty).$$

We shall also need the following result [1, 2.9]

$$(8) \quad A(\sigma(I_\#)) = \{A(\lambda): \lambda \in \sigma(I_\#)\} = \sigma(A(I_\#)) \quad (A \in \mathfrak{A}).$$

**5.1. THEOREM.** *If  $A \in \mathfrak{A}$ , then  $A_\# = A(I_\#)$ .*

**PROOF.** Observe that  $I^n$  is the function  $I^n(\theta) = \theta^n$ , so that the polynomial  $F_s$  can be written as a finite sum  $\sum a_n^{(s)} I^n$  of functions. From 3.2 follows  $(F_s)_\# = \sum a_n^{(s)} I_\#^n = F_s(I_\#)$  (for the last equality, use (6)), which enables us to deduce from (7) that  $A(I_\#) = \lim (F_s)_\#$ . On the

<sup>4</sup> See [7, p. 29]; (7) is an immediate consequence of (5). For a more general viewpoint involving (a) and the derivation of (7), see [5, p. 121].

other hand,  $A = \lim F_s$  is a consequence<sup>3</sup> of (a), and the conclusion is now obtained from 4.3.

**6. The Titchmarsh operator.** It is easily verified that  $I_\#$  maps every member  $c$  of  $l_p$  on some sequence  $b$  such that

$$[I_\#c]_n = b_n = \sum_{\nu=-\infty, \nu \neq n}^{\infty} \frac{i(-1)^{n+\nu}}{n-\nu} c_\nu \quad (n = 0, \pm 1, \pm 2, \pm 3, \dots).$$

By 5.1 and (6), the function  $E^\alpha$  defined by  $E^\alpha(\theta) = e^{-i\alpha\theta}$  satisfies

$$(9) \quad E_\#^\alpha = E^\alpha(I_\#) = \sum_{n=0}^{\infty} a_n I_\#^n; \quad a_n = (-i\alpha)^n/n!.$$

From 2.2 we have  $E_\#^\alpha E_\#^\lambda = (E^\alpha \cdot E^\lambda)_\# = E_\#^{\alpha+\lambda}$  for complex  $\alpha$  and  $\lambda$ ; this was first proved by Titchmarsh in a special case.<sup>5</sup> Suppose  $\alpha = 0, \pm 1, \pm 2, \pm 3, \dots$ ; it is readily verified that  $E_\#^\alpha$  maps any  $c = \{c_n\}$  of  $l_p$  on the sequence  $\{b_n\}$  such that

$$(10) \quad [E_\#^\alpha c]_n = b_n = c_{n+\alpha} \quad (n = 0, \pm 1, \pm 2, \pm 3, \dots).$$

**6.1. NOTATION.** When  $T \in \mathfrak{E}$ , then  $\lambda$  is in the point spectrum  $p(T)$  of  $T$  if  $Tc = \lambda c$  for some  $c$  in  $l_p$  with  $c \neq o$  (where  $o$  is the sequence  $x$  having  $x_n = 0$  for all  $n$ ).

**6.2. THEOREM.**  $p(I_\#) = 0$ .

**PROOF.** By way of contradiction, assume  $\theta \in p(I_\#)$ . Then  $I_\#c = \theta c$  for some  $c$  in  $l_p$  with  $c \neq o$ , whence  $I_\#^n c = \theta^n c$ . From (9) now follows  $E_\#^\alpha c = \sum_{n=0}^{\infty} a_n I_\#^n c = (\sum_{n=0}^{\infty} a_n \theta^n) c$ . Therefore  $E_\#^\alpha c = E^\alpha(\theta) c$  and  $c_m \neq 0$  for some  $m$  (since  $c \neq o$ ). Accordingly,  $c_{m+\alpha} = E^\alpha(\theta) c_m$  (by (10)), and  $|c_{m+\alpha}| = |E^\alpha(\theta)| |c_m|$ . But  $c \in l_p$  and  $|E^\alpha(\theta)| = 1$ , which implies the absurdity

$$\infty > \|c\|_p^p = \sum_{\alpha=-\infty}^{\infty} |c_{m+\alpha}|^p = \sum_{\alpha=-\infty}^{\infty} |c_m|^p = \infty.$$

**6.3. THEOREM.** *The spectrum  $\sigma(E_\#^1)$  is the whole circumference  $\Gamma_1$  of the unit circle  $|\lambda| = 1$ .*

**PROOF.** Suppose  $\lambda \in \Gamma_1$ , and write  $T_\lambda = \lambda 1 - E_\#^1$ . It will suffice to exhibit a sequence  $\{u_s\}$  such that

$$(11) \quad \{u_s\} \subset l_p \quad \text{and} \quad \lim_{s \rightarrow \infty} \|u_s\|_p^{-p} \|T_\lambda u_s\|_p^p = 0.$$

<sup>5</sup> See G. L. Krabbe, *The Titchmarsh semi-group*, Proc. Amer. Math. Soc. vol. 6 (1955) pp. 219-225.

Indeed, this ensures that  $\lambda \in \sigma(E_\#^1)$  (see [5, 2.14.3]); therefore  $\Gamma_1 \subset \sigma(E_\#^1) \subset \sigma(E^1) = \Gamma_1$  (the last inclusion follows from 4.2), which concludes the proof.

Set  $r_s = \exp(-1/s)$ , and define  $u_s$  as the sequence  $b$  such that  $b_n = (\lambda \cdot r_s)^n$  if  $n \geq 0$ , while  $b_n = 0$  when  $n < 0$ . When  $s > 0$  we thus have  $0 < r_s^p < 1$  and

$$\|u_s\|_p^p = \sum_{n=0}^{\infty} (r_s^p)^n = (1 - r_s^p)^{-1} < \infty.$$

On the other hand,  $[T_\lambda b]_n$  equals  $-1$  if  $n = -1$ , and otherwise equals  $\lambda b_n - b_{n+1} = b_n \lambda (1 - r_s)$  (see (10)). Consequently,

$$\|u_s\|_p^{-p} \cdot \|T_\lambda u_s\|_p^p = (1 - r_s^p) + |1 - r_s|^p,$$

which approaches zero when  $s \rightarrow \infty$ . Thus (11) is satisfied, and the proof is completed.

**6.4. THEOREM.**<sup>6</sup>  $\sigma(I_\#) = [-\pi, \pi]$

PROOF. Let  $\mathfrak{N} < \mathfrak{M}$  stand for  $\mathfrak{N} \subset \mathfrak{M}$ ,  $\mathfrak{N} \neq \mathfrak{M}$ . From 4.2 follows that  $\sigma(I_\#)$  is a connected subset of  $\sigma(I) = [-\pi, \pi]$ ; being a closed set,  $\sigma(I_\#)$  must be a closed interval  $\mathcal{g}$  included in  $[-\pi, \pi]$ . Suppose  $\mathcal{g} \neq [-\pi, \pi]$ . We then have  $\mathcal{g} < [-\pi, \pi]$ , whence  $\mathcal{g} < \mathcal{g}' < [-\pi, \pi]$  for some interval  $\mathcal{g}'$ ; but  $E^1$  is then a one-to-one mapping of  $\mathcal{g}'$  into  $\Gamma_1$ , so that  $E^1(\mathcal{g}) < E^1(\mathcal{g}') \subset \Gamma_1$ . Recalling that  $\mathcal{g} = \sigma(I_\#^1)$ , we have  $E^1(\mathcal{g}) = \sigma(E^1(I_\#)) = \sigma(E_\#^1)$  by (8) and 5.1, whence  $\sigma(E_\#^1) < \Gamma_1$ , which contradicts 6.3 and thus disproves our assumption  $\mathcal{g} \neq [-\pi, \pi]$ .

**7. Conclusion.** Suppose  $A \in \mathfrak{A}$ . From (8) and 5.1 it follows that  $A(\sigma(I_\#)) = \sigma(A_\#)$ ; by 6.4 we have  $A([- \pi, \pi]) = \sigma(A_\#)$ , and therefore  $\sigma(A_\#)$  is the analytic curve  $\sigma(A)$  (since  $\sigma(A)$  is the image of  $[-\pi, \pi]$  by  $A$ ). Note that

(vi) *if  $A$  is real-valued, then  $\sigma(A_\#) = [\alpha_1, \alpha_2]$ , where  $\alpha_1$  and  $\alpha_2$  are the extrema of  $A(\theta)$  when  $|\theta| \leq \pi$ .*

This is the form of the corresponding Hilbert space result of Toeplitz, as given by F. Riesz [8, p. 153].

**7.1. THEOREM.** *If  $A \in \mathfrak{A}$ , then  $p(A_\#)$  has at most one member; if  $A$  is not a constant, then  $p(A_\#)$  is empty.*

PROOF. Suppose first that  $A$  is a constant:  $A \in \mathfrak{A}_0 = \{\zeta I^0: \zeta \text{ complex}\}$ . Then  $A_\# = \zeta \mathbf{1}$  (see §3) and  $p(A_\#) = \{\zeta\}$ . Next, assume  $A \notin \mathfrak{A}_0$

<sup>6</sup> The author is much indebted to Professor C. B. Morrey, Jr., who first proved this result.

and  $\mu \in p(A\#)$ . Accordingly, the function  $C(\lambda) = \mu - A(\lambda)$  does not vanish everywhere on the rectangle  $\mathfrak{R}(A)$  of §5; but  $C$  is analytic on  $\mathfrak{R}(A)$  and has therefore the finite collection  $\{\alpha_n: n\}$  of zeros in the rectangle  $\mathfrak{D}(A)$  described in §5. The function  $B(\lambda) = [C(\lambda)]^{-1} \prod_n (\alpha_n - \lambda)$  is analytic<sup>7</sup> on  $\mathfrak{D}(A)$ , so that  $B \in \mathfrak{B}$ . In view of 2.2, we can now deduce from  $B \cdot C = B \cdot (\mu I^0 - A) = \prod_n (\alpha_n I^0 - I)$  that

$$B\#(\mu\mathbf{1} - A\#)c = \left[ \prod_n (\alpha_n\mathbf{1} - I\#) \right] c \quad \text{for all } c \text{ in } l_p.$$

But  $(\mu\mathbf{1} - A\#)c = \mathbf{o}$  for some  $c \neq \mathbf{o}$  (since  $\mu \in p(A\#)$ ). Consequently  $\mathbf{o} = \left[ \prod_n (\alpha_n\mathbf{1} - I\#) \right] c$ ; this implies  $(\alpha_n\mathbf{1} - I\#)c = \mathbf{o}$  for some  $n$ , whence the contradiction  $\alpha_n \in p(I\#)$  of 6.2.

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<sup>7</sup> Each factor  $(\alpha_n - \lambda)$  is repeated  $m_n$  times ( $m_n$  is the multiplicity of the point  $\alpha_n$ ). Note that there exists some  $\alpha_n$ , since  $\mu \in p(A\#) \subset \sigma(A(I\#)) = A(\sigma(I\#))$ , which implies  $\mu - A(\alpha_n) = 0$  for some  $\alpha_n$  in  $\sigma(I\#) \subset \mathfrak{D}(A)$ .