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ON PROJECTIONS OF SEPARABLE SUBSPACES OF (m) ONTO (c)

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1. There exists no bounded projection of the Banach space (m) of bounded real sequences onto the space (c) of convergent sequences [1] or onto the space (c_0) of null sequences [2]. It has been shown however by Sobczyk [2] that if B is a separable subspace of (m) properly containing (c_0) , then there exists a projection P of norm 2 of B onto (c_0) .

In the present paper we show that if B is a separable subspace of (m) containing (c), then there is a projection Q of B onto (c) with $\|Q\| \le 3$. We then prove a theorem giving a lower bound for the norms of projections onto (c) of spaces of the form (c)+(x), where (x) is the one-dimensional subspace determined by an element $x \in (c)$. Using this result, we exhibit for each n > 1 a subspace B_n determined by (c) and n-1 other elements of (m), such that the minimum of the norms of projections of B_n onto (c) is $3-2n^{-1}$. It follows that there exists a separable subspace $B \supset (c)$ such that any projection of B onto (c) has norm at least 3.

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2. The following lemma adds to Sobczyk's theorem [2, pp. 942–944] the fact that if B contains (c), then P can be chosen so that Pe=0, where $e=(1, 1, \cdots)$.

LEMMA 2.1. If B is a separable subspace of (m) containing (c), then there exists a projection P of B onto (c₀) such that ||P|| = 2 and Pe = 0.

For the proof of the lemma, we follow Sobczyk's proof² in every detail except that we can and do take the first element X_1 of the sequence X_1, X_2, \cdots to be e. It then follows that $P^N e = 0$ for each N and hence that the limit $Pe = \lim_{N} P^N e = 0$.

THEOREM 2.2. If B is a separable subspace of (m) containing (c), then there exists a projection Q of B onto (c) such that $||Q|| \le 3$.

PROOF. Let $f(y) = \lim_j y_j$ for all $y = (y_j) \in (c)$. Then $f \in (c)^*$ and ||f|| = 1, and by the Hahn-Banach theorem there exists an $F \in B^*$ such that ||F|| = 1 and F is an extension of f. Define

$$(2.1) Qx = Px + F(x)e, (x \in B)$$

where P is a projection of B onto (c_0) with ||P|| = 2 and Pe = 0, as given by Lemma 2.1. Then Q is a projection of B onto (c), and $||Q|| \le ||P|| + ||F|| = 3$.

3. In the present section we consider subspaces of the form B = (c) + (x) where $x = (x_j)$ is in (m) but not in (c).

THEOREM 3.1. Let x be an element of (m) such that $\alpha > \beta \ge -\alpha$, where $\alpha = \limsup_j x_j$ and $\beta = \liminf_j x_j$. If P is any projection of (c) + (x) onto (c) such that $Px = (y_j) \in (c_0)$, then

$$||P|| \ge \frac{3\alpha - \beta}{\alpha - \beta}.$$

PROOF. Given $0 < \epsilon < \alpha/2$, there exists a positive integer N such that $x_N > \alpha - \epsilon$ and such that $|y_j| < \epsilon$ and $\beta - \epsilon < x_j < \alpha + \epsilon$ for all $j \ge N$. Let $z = (z_j)$ be the element of (c) such that

(3.2)
$$z_{j} = \begin{cases} -x_{j} & \text{if } j < N, \\ \frac{\beta - 3\alpha}{2\alpha} x_{N} & \text{if } j = N, \\ -\frac{\alpha + \beta}{2} & \text{if } j > N. \end{cases}$$

² The reader may be helped in the countable case by the remark that in Sobczyk's proof the matrices $\{\{k_{ij}^{(N)}\}\}_n$ can be chosen so that if n < n', then $\{\{k_{ij}^{(N)}\}\}_n$ is a submatrix of $\{\{k_{ij}^{(N)}\}\}_{n'}$. Since completing this paper, the author has learned from D. W. Dean of an alternative proof of Sobczyk's theorem and of Theorem 2.2 of the present paper.

Then P(z+x) = z+Px. We observe that

$$(3.3) ||z+Px|| \ge |z_N+y_N| \ge \frac{3\alpha-\beta}{2\alpha} (\alpha-\epsilon) - \epsilon > 0,$$

and that

$$||z + x|| = \sup_{j} |z_{j} + x_{j}|$$

$$\leq \frac{\alpha - \beta}{2} + \epsilon.$$

Now $||P|| \ge ||P(z+x)||/||z+x||$, and it follows, since ϵ may be arbitrarily small, that (3.1) holds.

COROLLARY 3.2. If B is any subspace of (m) which properly contains (c) and if P is any projection of B onto (c), then $||P|| \ge 2$.

PROOF. There exists $y \neq 0$ in B such that Py = 0. Either y or -y must satisfy the hypothesis on x in Theorem 3.1, and since $B \supseteq (c) + (y)$,

(3.5)
$$||P|| \ge \frac{3\alpha - \beta}{\alpha - \beta} = 2 + \frac{\alpha + \beta}{\alpha - \beta} \ge 2.$$

THEOREM 3.3. If x is an element of (m) but not of (c), then

(3.6)
$$\min \{ ||P|| : P \text{ is a projection of } (c) + (x) \text{ onto } (c) \} = 2.$$

PROOF. It is trivial to find an element $y \in (c)$ such that $z = (z_j) = x + y$ has the property that $||z|| = \limsup_j z_j = -\lim_j \inf_j z_j$. Let P be the projection of (c) + (x) onto (c) such that Pz = 0. Then for any real number t and any $w \in (c)$,

$$(3.7) ||P(tz+w)|| = ||w|| \le ||tz+w|| + ||tz|| \le 2||tz+w||,$$

which with Corollary 3.2 implies (3.6).

4. We now use Theorem 3.1 to show that the number 3 which appears in Theorem 2.2 cannot be lessened.

Given any integer $n \ge 2$ let B_n be the subspace of (m) defined by

$$(4.1) B_n = \left\{ y = (y_j) : \lim_t y_{i+nt} \text{ exists for } i = 1, \dots, n \right\}.$$

For $i=1, \dots, n$ let $x_i=(x_{ij})$ be the element of B_n such that $x_{ij}=1$ if $j\equiv i \pmod{n}$ and $x_{ij}=0$ otherwise. Then every $y\in B_n$ can be expressed uniquely in the form

$$(4.2) y = z + \sum_{i=1}^{n} t_i x_i,$$

where $z \in (c_0)$ and t_1, \dots, t_n are real numbers.

THEOREM 4.1. For $n \ge 2$,

(4.3) min $\{||P||: P \text{ is a projection of } B_n \text{ onto } (c)\} = 3 - 2n^{-1}.$

Proof. Let P_n be the projection of B_n onto (c) defined by

$$(4.4) P_n y = (w_j) = z + \sum_{i=1}^n t_i n^{-1} e.$$

For $i_0 = 1, \dots, n$ and $t \ge 0$,

$$|w_{i_0+nt}| = |y_{i_0+nt} + \sum_{i=1}^{n} t_i (n^{-1} - x_{i,i_0+nt})|$$

$$\leq |y_{i_0+nt}| + (1 - n^{-1})|t_{i_0}| + n^{-1} \sum_{i=1; i \neq i_0}^{n} |t_i|$$

$$\leq (3 - 2n^{-1})||y||,$$

since $|t_i| \le ||y||$ $(i=1, \dots, n)$. Thus, $||P_n|| \le 3 - 2n^{-1}$.

If on the other hand P is any projection of B_n onto (c) and if $u_i = (u_{ij}) = Px_i$, then the limit $v_i = \lim_j u_{ij}$ exists. Since Pe = e, it must be true that $\sum_{i=1}^n v_i = 1$. Hence, there must exist some i_0 $(1 \le i_0 \le n)$ such that $v_{i_0} \le n^{-1}$. Letting $x = x_{i_0} - v_{i_0}e$, we see that x satisfies the hypothesis of Theorem 3.1 with $\alpha = 1 - v_{i_0}$, $\beta = -v_{i_0}$. It follows that $\|P\| \ge 3 - 2v_{i_0} \ge 3 - 2n^{-1}$, and the theorem is proved.

COROLLARY 4.2. The space $B = \bigcup_{n=1}^{\infty} B_n$ is a separable subspace of (m) such that

$$(4.6) \qquad \min \{ ||P|| : P \text{ is a projection of } B \text{ onto } (c) \} = 3.$$

PROOF. It is clear that B is a separable subspace of (m). If P is a projection of B onto (c), then $||P|| \ge ||P||B_n|| \ge 3 - 2n^{-1}$ for all n, which with Theorem 2.2 implies (4.6).

REMARK 4.3. We exhibit finally a subspace S of countably infinite dimension such that $S \cap (c) = \{0\}$, for which there does exist a projection of norm 2 of S+(c) onto (c). Let S contain all finite linear combinations of y_1, y_2, \cdots where $y_i = (y_{ij})$ is defined by

(4.7)
$$y_{ij} = \begin{cases} 1 & \text{if } j \equiv 2^i \pmod{2^i}, \\ -1 & \text{if } j \equiv (2^i - 1) \pmod{2^i}, \\ 0 & \text{otherwise.} \end{cases}$$

Let P be the projection of S+(c) onto (c) such that $Py_i=0$ for all

i. If $z = \sum_{i=1}^{n} t_i y_i$, then z has the properties of the z in the proof of Theorem 3.3, and it follows that ||P|| = 2.

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EXPANSIONS OF PARABOLIC WAVE FUNCTIONS

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1. Introduction. The main result of this paper is an expansion of parabolic wave functions in a series of spherical wave functions with coefficients expressed in terms of Pasternack's functions. The series can be inverted, giving spherical wave functions as integrals of parabolic wave functions. Special cases include an expansion due to Hochstadt [1] and the limiting case where the wave functions become potential functions. We also give a new derivation of the bilinear generating function in the continuous case for the parabolic wave functions.

We call either $\psi(\xi, \lambda)\psi(\eta, -\lambda)e^{i\mu\phi}$, or simply $\psi(\xi, \lambda)\psi(\xi, -\lambda)$, where $\psi(\xi, \lambda)$ satisfies

(1)
$$\xi^2 \frac{d^2z}{d\xi^2} + \xi \frac{dz}{d\xi} + (k^2\xi^4 + \lambda\xi^2 - \mu^2)z = 0,$$

a parabolic wave function. The solution of (1) in which we are interested is given by

$$\psi(\xi, \lambda) = \xi^{\mu} \exp(ik\xi^2/2) {}_{1}F_{1}((w + \mu + 1)/2; \mu + 1; -ik\xi^2),$$

or in Whittaker's notation

$$\psi(\xi,\lambda) = (-ik)^{-\mu/2} (-ik\xi^2)^{-1/2} M_{-w/2,\mu/2} (-ik\xi^2),$$

where λ and k are real and $w = -i\lambda/2k$. In physical applications μ is often an integer since then $\psi(\xi, \lambda)$ is regular and single valued

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