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UNIVERSITY OF WISCONSIN

A GENERALIZATION OF THE CARTAN-BRAUER-HUA THEOREM

CHARLES J. STUTH

Let K be a division ring. Then K' will denote the multiplicative group of K . If S is a subset of K , then S^* will denote the division ring generated by S , and $C(S)$ will denote the centralizer of S in K . If G is a subgroup or a subdivision ring contained in K , then $Z(G)$ is the center of G . If x and y are elements of K' , then $(x, y) = xyx^{-1}y^{-1}$ and $x^y = yxy^{-1}$. If b is an element of a group A , then $\text{Cl}(A, b)$ will denote the group which is generated by the conjugate class of b in A .

A set is central in K if each of its elements is in $Z(K)$. A group G is n -subnormal in a group H if there are groups G_1, \dots, G_{n-1} such that $G = G_n \triangleleft G_{n-1} \triangleleft \dots \triangleleft G_1 \triangleleft G_0 = H$. A group G is subnormal in a group H if G is an n -subnormal subgroup of H for some n . A group G is invariant under a group H if $g^h \in G$ for all $g \in G$, all $h \in H$. A non-central subgroup G is of type I if G is invariant under a noncentral subnormal subgroup of K' . K has the property P_n if for every subdivision ring H of K such that H' is invariant under a noncentral n -subnormal subgroup of K' it follows that H is central or $H = K$.

The Cartan-Brauer-Hua Theorem [2] states that a division ring has property P_0 . Herstein and Scott [1] generalized this to P_1 . Schenkman and Scott [5] extended the Cartan-Brauer-Hua Theorem by showing that a division ring has property P_n for all n if each of its subdivision rings which is invariant under a subnormal subgroup is normal in some subnormal subgroup of the division ring.

Theorem 1 of this paper shows that a division ring has property P_n for all n . Then results are developed from this concerning the subnormal subgroups of K' and more generally for the subgroups of type I in K' .

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LEMMA 0. Let $x \in K, y \in K, (y, x) \neq 1$ commute with both x and y . Let $(y, 1+x) = y_1, y_i = (y, y_{i-1})$. If some $y_n = 1$, then x is algebraic over $Z(K)$.

PROOF. This is Lemma 1 of [1] and follows immediately from Lemmas 1 and 2 of [4].

THEOREM 0. Suppose every division ring has property P_{n-1} . Then if H is a subfield of K invariant under $G_n \triangleleft \cdots \triangleleft G_1 \triangleleft G_0 = K'$, where G_n is a noncentral subgroup of K' , then $H \subset Z(K)$.

PROOF. This is Theorem 2 of [1].

LEMMA 1. Let G_0, \cdots, G_m be groups such that $G_j \triangleleft G_{j-1}$ for $j=1, \cdots, m$ with $h \in G_m$. Furthermore let $G(0, 0) = G_0$ and $G(i, 0) = \text{Cl}(G(i-1, 0), h)$ for $i=1, \cdots, m$. Then $G(m, 0)$ is m -subnormal in G_m .

PROOF. For any group A with $x \in A$, $\text{Cl}(A, x)$ is the smallest subgroup containing x which is normal in A . So if B is a normal subgroup of A with $x \in B$, then $\text{Cl}(A, x) \triangleleft B$.

Let $G(i, i) = G_i$ for $i=1, \cdots, m$. Assume inductively for all $i < k$ that $G(i-1, 0) \triangleleft \cdots \triangleleft G(i-1, i-1)$, where $G(i-1, j-1) = \text{Cl}(G(i-2, j-1), h)$ for $j=1, \cdots, i-1$. Let $G(k, j) = \text{Cl}(G(k-1, j), h)$ for $j=0, \cdots, k-1$. By the remark at the beginning of this proof $G(k, j) \triangleleft G(k-1, j-1)$ for $j=1, \cdots, k-1$. By hypothesis $G(k, k) \triangleleft G(k-1, k-1)$. Then, again by the remark above, $G(k, j-1) \triangleleft G(k, j)$ for $j=1, \cdots, k$.

LEMMA 2. Let G be a group with $h \in G$. Let $M_0 = G, M_j = \text{Cl}(M_{j-1}, h)$ for $j=1, \cdots, m$. Then M_j is invariant under H for $j=0, \cdots, m$ where H is the centralizer of h in G .

PROOF. It is seen that $M_m \triangleleft \cdots \triangleleft M_0$. Assume inductively that M_{i-1} is invariant under H for some $i-1 < m$. Let $y \in H, g \in M_{i-1}$. Then $h^y \in M_i$ and $g^y \in M_{i-1}$ so that $(h^y)^y = g^y h (g^{-1})^y \in M_i$. Thus, $x^y \in M_i$ for all $x \in M_i$. Hence M_i is invariant under H .

Until Theorem 1 suppose that every division ring has property P_{n-1} , and that K is a division ring with a proper subdivision ring H which is not central in K and is invariant under $G_n \triangleleft \cdots \triangleleft G_1 \triangleleft G_0 = K'$, where G_n is a noncentral subgroup of K' .

LEMMA 3. Let G be a noncentral n -subnormal subgroup of K' . For each noncentral $k \in K$ there exists $g \in G$ such that (g, k) is not central in K .

PROOF. Suppose there is some noncentral $k \in K$ such that (g, k) is central for each $g \in G$. Then $k^g = (g, k)k$ so that $C(k^g) = C(k)$ for each

$g \in G$. Thus k and its G -conjugates commute, and they generate a noncentral subfield F which is invariant under G , contrary to Theorem 0.

LEMMA 4. *Let M be a noncentral subgroup of K' which is invariant under G_n . Then $M \cap G_n$ is not central in K .*

PROOF. Assume inductively that there exists noncentral $h \in M \cap G_{i-1}$ for some $i-1 < n$. By Lemma 3 there exists $g \in G_n$ such that (h, g) is not central. Since $(h, g) \in M \cap G_i$, then $M \cap G_i$ is not central.

LEMMA 5. *Let h be a noncentral element in $H \cap G_n$. Then there exists $g \in G_n \setminus H$ such that (g, h) is not central.*

PROOF. If $G_n \subset H$, then by P_{n-1} , $K = (G_n)^* \subset H$, contrary to supposition on H . Therefore $G_n \not\subset H$, and there exists $x \in G_n \setminus H$.

Suppose (g, h) is central for all $g \in G_n \setminus H$. Let $w \in H \cap G_n$. Then $wx \in G_n \setminus H$. $(wx, h) = w(x, h)hw^{-1}h^{-1} = (x, h)(w, h)$. Since (wx, h) and (x, h) are central, then (w, h) is central. Therefore (g, h) is central for all $g \in G_n$, contrary to Lemma 3.

LEMMA 6.

- (i) $C(a) \subset H$ for all $a \in (H \cap G_n) \setminus Z(K)$;
- (ii) $C(H) = Z(K) = Z(H)$.

PROOF. Since H is invariant under G_n , then $Z(H)$ is a field invariant under G_n . By Theorem 0, $Z(H) \subset Z(K)$. If (i) is true, then $C(H) \subset H$, so that $Z(K) \subset C(H) = Z(H) \subset Z(K)$. Hence it suffices to prove (i).

By Lemma 4 there exists $h \in H \cap G_n$ which is not central. Suppose $C(h) \not\subset H$. Then there exists $y \in C(h) \setminus H$. Let $M_0 = K'$ and $M_i = \text{Cl}(M_{i-1}, h)$ for $i = 1, \dots, n$. M_n is noncentral and n -subnormal in K' . By Lemma 1, $M_n \subset G_n$. By Lemma 2, M_n is invariant under $C(h)'$.

Let $g \in M_n$. Then $h^g \in H$ and $g^y \in M_n$. Hence $g^y h (g^{-1})^y = y g h g^{-1} y^{-1} = c$ is in H . Let $z = 1 - y$. Since $z \in C(h) \setminus H$, then $z g h g^{-1} z^{-1} = d$ is in H . Therefore $y h^g = c y$ and $z h^g = d z$. Adding, it follows that $h^g - d = (c - d)y$. If $c - d$ is not zero, then $y \in H$, a contradiction. Hence $d - c = 0 = h^g - d$, so that $h^g = c$. Therefore $y \in C(h^g)$. If w is an element of $C(h) \cap H$, then both y and $y - w$ are in $C(h) \setminus H \subset C(h^g)$, so that $w \in C(h^g)$. Hence $C(h) \subset C(h^g)$ for all $g \in M_n$.

If $b \in C(h)$ and $t = x^{-1} \in M_n$, then $b \in C(h^t)$, and thus $b^t \in C(h)$. Therefore $C(h)$ is invariant under M_n . Then $Z(C(h))$ is invariant under M_n and is a noncentral field in K because $h \in Z(C(h))$, contrary to Theorem 0. Hence $C(h) \subset H$.

REMARK. We now let $Z = Z(H) = Z(K)$ until Theorem 2.

LEMMA 7. *Let g be an element of the normalizer of H in K , $g \notin H$. Then $H \cap H^k = C(g) \cap H$, where $k = 1 + g$.*

PROOF. If $x \in C(g) \cap H$, then $x = x^k$, so $x \in H \cap H^k$. Hence $C(g) \cap H \subset H \cap H^k$.

Let $h \in H \cap H^k$. There exists $j \in H$ such that $h = j^k$. By hypothesis there exists $m \in H$ such that $h = m^g$. Then $hk = kj$ and $hg = gm$. Subtracting, it follows that $h - j = g(j - m)$. If $j - m$ is not zero, then $g \in H$, a contradiction. So $j - m = 0 = h - j$ and $h = m$. Then $h = h^g$ so that $h \in C(g)$. Therefore $H \cap H^k \subset C(g) \cap H$.

COROLLARY. *Under the hypothesis of Lemma 7, $G_n \cap H \cap H^k \subset Z$.*

PROOF. By Lemma 7, $G_n \cap H \cap H^k = G_n \cap H \cap C(g)$. If $h \in (G_n \cap H \cap C(g)) \setminus Z$, then $g \in C(h) \subset H$ by Lemma 6, a contradiction.

LEMMA 8. *There is no element in $(H \cap G_n) \setminus Z$ which is algebraic over Z .*

PROOF. Suppose $h \in (H \cap G_n) \setminus Z$ is algebraic over Z . By Lemma 5 there exists $g \in G_n \setminus H$. Let $Z(h)$ be the field generated by adjoining h to Z . Now h and h^g have the same minimal equation so there is an isomorphism between $Z(h)$ and $Z(h^g)$ (induced by $h \leftrightarrow h^g$) leaving Z elementwise invariant. By Corollary 2, p. 162 of [3], there exists $x \in H$ such that x induces the same inner automorphism as g does. Thus, $h^g = h^x$ from which $x^{-1}g \in C(h)$. By Lemma 6, $C(h) \subset H$ so that $x^{-1}g \in H$. Therefore, $g \in H$, a contradiction.

LEMMA 9. *There exists $g \in G_n \setminus H$ and $b \in (H \cap G_n) \setminus Z$ such that $b^{1+g} \in (H^{1+g} \cap G_n) \setminus Z$ and $b^x \in (H^x \cap G_n) \setminus Z$, where $x = (1 + g)^{-1}$.*

PROOF. By Lemma 4 there exists $h_1 \in (H \cap G_n) \setminus Z$. By Lemma 5 there exists $g \in G_n \setminus H$ such that $(g, h_1) \in (H \cap G_n) \setminus Z$. Let $g_1 = (h_1)^{1+g}$, $g_i = (g, g_{i-1})$, $h_i = (g, h_{i-1})$ for $i = 2, \dots, n$. By induction $h_i \in H \cap G_n$ for $i = 1, \dots, n$.

Now $g_1 = (h_1)^{1+g} \in H^{1+g} \cap G_1$ because $h_1 \in G_n \subset G_1$. Suppose for some $j - 1 < n$ that $g_{j-1} = (h_{j-1})^{1+g} \in H^{1+g} \cap G_{j-1}$. Then $g_j = (g, g_{j-1}) = (g, (h_{j-1})^{1+g}) = (g, h_{j-1})^{1+g} = h_j^{1+g} \in H^{1+g}$. Now $g \in G_n \subset G_j$ and $(h_{j-1})^{1+g} \in G_{j-1}$, so that $(g, (h_{j-1})^{1+g}) \in G_j$. Therefore $g_j \in H^{1+g} \cap G_j$. By induction $g_i = h_i^{1+g} \in H^{1+g} \cap G_i$ for $i = 1, \dots, n$.

Case I. h_n is not central. Then $g_n \in (H^{1+g} \cap G_n) \setminus Z$. The same argument above with $1 + g$ replaced by x will show that $(h_n)^x \in (H^{1+g} \cap G_n) \setminus Z$. Letting $b = h_n$, the lemma follows.

Case II. h_n is central. Then there exists an integer $k - 1 < n$ such that $h_{k-1} \in (H \cap G_n) \setminus Z$ and h_k is central. If $h_k = (g, h_{k-1}) = 1$, then

$g \in C(h_{k-1}) \subset H$, contrary to Lemma 6. So $h_k \neq 1$ and commutes with h_{k-1} and g . Therefore $g_k \neq 1$ and g_k commutes with g_{k-1} and g .

Let $t_1 = (g, g_{k-1} + 1)$, $b_1 = (g, h_{k-1} + 1)$, $t_i = (g, t_{i-1})$, $b_i = (g, b_{i-1})$ for $i = 2, \dots, n+1$. By induction, $b_i \in H \cap G_i$ for $i = 1, \dots, n$. Then by induction, $t_i = (b_i)^{1+\sigma} \in H^{1+\sigma} \cap G_n$ for $i = 1, \dots, n$. If $t_m \in Z$ for some $m < n+1$, then $t_{m+1} = 1$, and by Lemma 0, $g_{k-1} = (h_{k-1})^{1+\sigma}$ is algebraic over Z ; then h_{k-1} is algebraic over Z , contrary to Lemma 8. So t_i is not in Z for $i = 1, \dots, n$. Then $b_n^{1+\sigma} = t_n \in (H^{1+\sigma} \cap G_n) \setminus Z$. Since h_k commutes with h_{k-1} and g , then $(h_k)^x$ commutes with $(h_{k-1})^x$ and g . The argument of this paragraph with g_{k-1} replaced by $(h_{k-1})^x$ and $1+g$ replaced by x will prove that $(b_n)^x \in (H^x \cap G_n) \setminus Z$. Letting $b = b_n$, we are done.

THEOREM 1. *A division ring has property P_k for any non-negative integer k .*

PROOF. Assume inductively that every division ring has property P_{n-1} . Suppose K is a division ring without property P_n . Then there exist H, G_1, G_2, \dots, G_n such that H is a noncentral proper subdivision ring of K which is invariant under $G_n \triangleleft \dots \triangleleft G_1 \triangleleft G_0 = K'$, where G_n is a noncentral subgroup of K' .

By Lemma 9 there exists $g \in G_n \setminus H$, $b \in H \cap G_n$ such that $b^{1+\sigma} \in H^{1+\sigma} \cap G_n$ and $b^x \in H^x \cap G_n$, where $x = (1+g)^{-1}$. Let $b^x = c$. Then $d = (b, b^{1+\sigma}) = (c, b)^{1+\sigma} \in H^{1+\sigma}$. Since $b^{1+\sigma} \in G_n$, $b \in H \cap G_n$, then $d \in H \cap G_n$. Therefore $d \in Z$ by the corollary to Lemma 7.

If $d = 1$, then $(c, b) = 1$ and $c \in C(b) \subset H$ by Lemma 6. Hence $b = c^{1+\sigma} \in H \cap G_n \cap H^{1+\sigma} \subset Z$, contradiction. So $d \neq 1$. Let $a_1 = (b, b^{1+\sigma} + 1)$, $d_1 = (c, b + 1)$, $a_i = (b, a_{i-1})$, $d_i = (c, d_{i-1})$ for $i = 2, \dots, n+1$. Also let $G_n = G_{n+1}$. By induction $a_i = (d_i)^{1+\sigma} \in H^{1+\sigma} \cap G_i$ for $i = 1, \dots, n+1$. Now $a_{n+1} = (b, a_n) \in H$, so that $a_{n+1} \in H^{1+\sigma} \cap G_n \cap H \subset Z$. Therefore $(b, a_{n+1}) = 1$. Since $d \in Z$, then d commutes with b and $b^{1+\sigma}$. By Lemma 0, $b^{1+\sigma}$ is algebraic over Z , and thus b is algebraic over Z , contrary to Lemma 8.

THEOREM 2. *Let G be a noncentral subnormal subgroup of K' .*

- (i) *For each noncentral $k \in K$ there exists $g \in G$ such that (g, k) is not central;*
- (ii) $C(G) = Z(K)$.

PROOF. Lemma 3 and Theorem 1 give (i). Then (ii) follows from (i).

THEOREM 3. *Let $x \in K \setminus Z(K)$, G a noncentral subnormal subgroup of K' , and M the conjugate class of x in G . Then $M^* = K$.*

PROOF. By Theorem 1.

THEOREM 4. *K' has no proper noncentral subnormal solvable subgroups.*

PROOF. Suppose G is a proper noncentral subnormal solvable subgroup of K' . There exist groups G_1, \dots, G_n such that $\{1\} = G_n \triangleleft G_{n-1} \triangleleft \dots \triangleleft G_1 \triangleleft G_0 = G$, where G_i is the commutator subgroup of G_{i-1} for $i = 1, \dots, n$. Now G_i is a subnormal subgroup of K' for $i = 0, \dots, n$. By induction using Theorem 2, G_i is not central for $i = 1, \dots, n$. But $G_n = \{1\}$ is central, a contradiction.

THEOREM 5. *Let A and B be noncentral subnormal subgroups of K' . Then so is $A \cap B$.*

PROOF. Without loss of generality assume A and B are both n -subnormal in K' . Let $A = A_{2n} = \dots = A_n \triangleleft \dots \triangleleft A_1 \triangleleft A_0 = K'$ and $B = B_{2n} = \dots = B_n \triangleleft \dots \triangleleft B_1 \triangleleft B_0 = K'$. Assume inductively that for some $i < 2n$ that $A_i \cap B_k$ is noncentral i -subnormal subgroup of K' , for all non-negative integers j and k such that $j + k = i$. Consider $A_g \cap B_h$ for positive integers g and h with $g + h = i + 1$. Since $g + (h - 1) = (g - 1) + h = i$, then there exists $x \in A_g \cap B_{h-1}$ which is not central. By Theorem 2 there exists an element $y \in A_{g-1} \cap B_h$ such that (x, y) is not central. Since $A_g \cap B_h$ is normal in both $A_g \cap B_{h-1}$ and $A_{g-1} \cap B_h$, then $(x, y) \in A_g \cap B_h$. So $A_g \cap B_h$ is a noncentral $(i + 1)$ -subnormal subgroup of K' . By assumption $A_{i+1} \cap B_0 = A_{i+1}$ and $A_0 \cap B_{i+1} = B_{i+1}$ are noncentral $(i + 1)$ -subnormal subgroups of K' . By induction $A \cap B = A_n \cap B_n$ in a noncentral $2n$ -subnormal subgroup of K' .

Theorem 2 (ii) generalizes Huzurbazar [4], and Theorem 3 generalizes Hua [2]. Theorems 4 and 5 extend Scott's results in [6]. The following theorem extends the results about noncentral subnormal subgroups of K' to subgroups of type I.

THEOREM 6. *Let H and L be subgroups of K' , both of type I. Then*

- (i) $C(H) = Z(K)$;
- (ii) *for each noncentral $k \in K$ there is an $h \in H$ such that (k, h) is not central;*
- (iii) H is not solvable;
- (iv) $H^* = K$;
- (v) $H \cap L$ is of type I.

PROOF. There exists noncentral groups G_1, \dots, G_n such that H is invariant under $G = G_n \triangleleft \dots \triangleleft G_1 \triangleleft K'$. By Lemma 4, $H \cap G$ is noncentral and also $H \cap G \triangleleft G$. Then (i)–(iii) follow from Theorems 2 and 4 via $H \cap G$. H^* is invariant under G , hence $H^* = K$ by Theorem 1.

Let M be a noncentral subnormal subgroup of K' such that L is

invariant under M . By Theorem 5, $G \cap M$ is a noncentral subnormal subgroup of K' . $H \cap L$ is invariant under $G \cap M$. By Lemma 4, $L \cap M$ is noncentral so that by Theorem 5, $(H \cap G) \cap (L \cap M)$ is not central, hence $H \cap L$ is not central.

THEOREM 7. *Let H be a subgroup of type I in K' . Then H is not finite.*

PROOF. Suppose H is finite of minimum order. There exists a noncentral group G such that H is invariant under G . By Lemma 4, $H \cap G$ is noncentral subnormal in K' . $H \subset G$ since H is minimal. Hence H is a noncentral n -subnormal subgroup of K' , where n is minimal, say $H = H_n \triangleleft H_{n-1} \triangleleft \cdots \triangleleft H_1 \triangleleft H_0 = K'$.

Case I. $n = 1$. Now there exists $x \in H \setminus Z(K)$. Since $H \triangleleft K'$, then x has a finite number of conjugates in K' , contrary to Theorem 4 of [6].

Case II. $n > 1$. Then by the minimality of n , there is $y \in H_{n-2}$ such that $H^y \neq H$. $H^y \triangleleft H_{n-1}$ and H^y is not central, so by Theorem 5 $H \cap H^y$ is noncentral. Also $H \cap H^y \triangleleft H_{n-1}$. This contradicts the minimality of H .

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UNIVERSITY OF KANSAS AND
EAST TEXAS STATE COLLEGE