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EXTENSION OF NORMAL FAMILIES OF HOLOMORPHIC FUNCTIONS

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Let X be a Stein manifold, and let A be an analytic subset of X A well-known application of Cartan's Theorem B [2, Théorème 3 p. 52] states that each holomorphic function on A is the restriction of a holomorphic function on X. This paper presents a generalization of this application, namely that each normal family of holomorphic functions on A is the restriction of a normal family of holomorphic functions on X.

1. Let X be a topological space which is σ -compact, i.e., the union of a countable family of compact sets. Let K(X) denote the set of all compact subsets of X. For $K \in K(X)$ and $f: X \to \mathbf{C}$ define $||f||_{\mathbf{K}} = \sup\{|f(x)| \mid x \in K\}$. Define

$$B(X) = \{f \mid f \colon X \to \mathbf{C}, ||f||_K < \infty \text{ for all } K \in K(X)\}.$$

Clearly B(X) is a complex vector space, and $\{\| \|_K | K \in K(X) \}$ is a family of pseudonorms on B(X) which then becomes a locally convex vector space. Since X is σ -compact, B(X) is metrizable, and it is readily checked to be a Fréchet space.

DEFINITION. Let V be a vector subspace of B(X). We say that a set $F \subset V$ is normal with respect to V iff every sequence in F has a subsequence which converges in V.

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LEMMA 1. Let V be a vector subspace of B(X). Then $F \subset V$ is normal with respect to V if and only if \overline{F} is a compact subset of V.

We say that $F \subset B(X)$ is uniformly bounded on compact sets iff $\sup \{ ||f||_{\mathbb{R}} | f \in F \} < \infty$ for all $K \in K(X)$. If V is a vector subspace of B(X) and $F \subset V$ is normal with respect to V, then F is uniformly bounded on compact sets.

DEFINITION. Let V be a vector subspace of B(X). We say that the Vitali theorem holds for V iff every set $F \subset V$ which is uniformly bounded on compact sets is normal with respect to V.

LEMMA 2. Let V be a vector subspace of B(X). If the Vitali theorem holds for V, then V is a closed subset of B(X), i.e., V is a Fréchet space with the induced psudonorms.

PROOF. Let $f \in \overline{V}$. Then there exists a sequence $\{f_n\}$ in V such that $f_n \to f$ for $n \to \infty$. Take $K \in K(X)$. There exists an integer n_0 such that $\|f_n - f\|_K < 1$ for $n \ge n_0$. Let $M = \max\{\|f_n\|_K + 1 \mid n = 1, \cdots, n_0\}$. Then $\|f_n\|_K \le M$ for $n = 1, 2, \cdots$. Hence $\sup\{\|f_n\|_K \mid n = 1, 2, \cdots\} \le M < \infty$, that is $\{f_n \mid n = 1, 2, \cdots\}$ is uniformly bounded on compact sets. Since the Vitali theorem holds for V, $\{f_n\}$ has a subsequence which converges in V. The limit of this subsequence must be f. Hence $f \in V$.

LEMMA 3. Let A be a closed subset of the σ -compact space X. Define $R:B(X) \rightarrow B(A)$ by R(f) = f | A for $f \in B(X)$. Then R is continuous and linear.

PROOF. Clearly R is linear. Each compact subset of A is also compact in X. Hence each pseudonorm in B(A) is the restriction of a pseudonorm in B(X). Therefore R is continuous.

Lemma 4. Let E and F be Fréchet spaces, and let $u: E \rightarrow F$ be continuous, linear, and surjective. Let K be a compact subset of F. Then there exists a compact subset K' of E such that u(K') = K.

PROOF. Let $G=u^{-1}(0)$. Then G is a closed linear subspace of E, hence E/G is a Fréchet space. Let $r: E \rightarrow E/G$ be the residual map, and define $v: E/G \rightarrow F$ by $v \circ r = u$. Then v is continuous, linear, and bijective. According to [4, Satz (1), p. 170], v is a topological isomorphism. The lemma then follows from [4, Satz (7), p. 281].

2. If X is a complex space, we define H(X) to be the set of holomorphic functions on X. As has been proved by Gunning [3] and Andreotti and Stoll [1, pp. 326-327], the Vitali theorem holds for

H(X). We say that $F \subset H(X)$ is a normal family of holomorphic functions iff F is normal with respect to H(X).

THEOREM. Let X be a Stein manifold, and let A be an analytic subset of X. Let $F = \{f_{\lambda} | \lambda \in \Lambda\}$ be a normal family of holomorphic functions on A. Then there exists a normal family $G = \{g_{\mathbf{x}} | \lambda \in \Lambda\}$ of holomorphic functions on X such that $g_{\lambda} | A = f_{\lambda}$ for $\lambda \in \Lambda$.

PROOF. According to the well-known application of Theorem B of Cartan referred to at the beginning of this paper, the restriction map $R: H(X) \rightarrow H(A)$, defined by $R(f) = f \mid A$, is onto. By Lemma 3, R is continuous and linear. Since the Vitali theorem holds for H(X) and H(A), they are Fréchet spaces (Lemma 2). Application of Lemma 1 and Lemma 4 completes the proof.

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