INDICES OF MAXIMAL SUBGROUPS OF INFINITE SYMMETRIC GROUPS

RALPH W. BALL

Let M be an infinite set with cardinal number $|M| = X$, $S(X, Y) = \{s: s \text{ is a permutation of } M \text{ with } |\text{spt } s| < Y\}$, where $\text{spt } s = \{m \in M: s(m) \neq m\}$. If X is a cardinal number, denote its successor by X^+ ; the smallest infinite cardinal shall be denoted by d . Higman [3] has shown that $S(X, d)$ has only one proper subgroup of index less than X , the alternating subgroup $A(X)$, and $A(X)$ has no proper subgroups of index less than X . Gaughan [2] has extended these results showing that if $d < Y \leq X^+$, then $S(X, Y)$ has no proper subgroups of index less than X .

One might conjecture that any proper maximal subgroup of $S(X, Y)$ would have minimal index, namely X . It is the purpose of this paper to demonstrate that such is not the case by constructing examples with index greater than X . It will also be shown that all intransitive proper maximal subgroups of $S(X, Y)$ do have index X .

Hereafter, maximal shall mean proper maximal. Let M be partitioned into P and Q with $|M| = X \geq d$, $|P| = X$, $|Q| = Z$, $0 < Z \leq X$, $d \leq Y \leq X^+$. Let $J(Z) = S(Q) \cdot S(P)$, $J(Y, Z) = J(Z) \cap S(X, Y)$. It has been shown [1] that if $Z < d$, then $J(Y, Z)$ is a maximal subgroup of $S(X, Y)$. In fact every intransitive maximal subgroup of $S(X, Y)$ is of this form. Let $s \in S(X, X^+)$, and define $P_s = \{x \in P: s(x) \in Q\}$ and $Q_s = \{y \in Q: s(y) \in P\}$. For each $s \in S(X, X^+)$ define the *transfer index* of $s = T(s) = \max \{|P_s|, |Q_s|\}$. Now define $L(Y, Z)$ as follows: If $d \leq Z < Y \leq X^+$, $Z < X$, then $L(Y, Z) = \{s \in S(X, Y): T(s) < Z\}$. If $d < Y \leq Z \leq X$ and Y has an immediate predecessor Y^- , then $L(Y, Z) = \{s \in S(X, Y): T(s) < Y^-\}$. It has been shown [1] that $L(Y, Z)$ is a (transitive) maximal subgroup of $S(X, Y)$.

THEOREM 1. *Let H be an intransitive maximal subgroup of $S(X, Y)$. Then $[S(X, Y): H] = X$.*

PROOF. By [1], $H = J(Y, Z)$ with $0 < Z < d$. By Gaughan [2], $[S(X, Y): H] \geq X$. Since H is intransitive, $A(X) \not\subseteq H$, so by maximality, $S(X, Y) = A(X) \cdot H$, and $[S(X, Y): H] \leq |A(X)| = X$.

Let H be any subgroup of a group G . By $\text{Cl}(H)$ is meant the set of all conjugates of H in G , $\text{Cl}(H) = \{gHg^{-1}: g \in G\}$. It is well known that $[G: N(H)] = |\text{Cl}(H)|$, where $N(H)$ is the normalizer of H in G .

Received by the editors October 1, 1965 and, in revised form, April 16, 1967.

A suitable choice of cardinals X , Y , and Z will now be made to construct $H = L(Y, Z)$ with $[S(X, Y) : H] > X$.

LEMMA 1. *Let $d \leq Z \leq X$. Let C be a class of subsets of M of cardinality Z such that if $Q_1, Q_2 \in C$, $Q_1 \neq Q_2$, then $|Q_1 - Q_2| = Z$. Then $|C| \leq X^Z$, and there is such a class C_0 such that $|C_0| = X^Z$.*

PROOF. The set of all subsets of M of cardinality Z has cardinality X^Z . Thus $|C| \leq X^Z$. Let $M = \bigcup_{x \in M} Q_x$ disjointly such that for all $x \in M$, $|Q_x| = Z$. Also let $M = \bigcup_{a \in A} P_a$ disjointly such that $|A| = Z$ and for all $a \in A$, $|P_a| = X$. Let F be the family of nonvoid subsets of M such that for each $D \in F$ and for each $a \in A$, $|D \cap P_a| = 1$. F has the property that $D_1 \not\subseteq D_2$ if $D_1 \neq D_2$. Furthermore $|F| = X^Z$, and $|D| = Z$ if $D \in F$. Let $E_D = \bigcup_{x \in D} Q_x$, and $C_0 = \{E_D : D \in F\}$. Now C_0 has the desired property, and $E_{D_1} = E_{D_2}$ if and only if $D_1 = D_2$, so $|C_0| = |F| = X^Z$.

THEOREM 2. *Let M be partitioned into P and Q as before with $d < Z < Y \leq X^+$, $Z < X$. Then $[S(X, Y) : L(Y, Z)] = X^Z$.*

PROOF. By [1], $L(Y, Z) = J(Y, Z) \cdot S(X, Z)$. Hence for any $s \in S(X, Y)$, $sL(Y, Z)s^{-1} = s[J(Y, Z) \cdot S(X, Z)]s^{-1} = [sJ(Y, Z)s^{-1}] \cdot S(X, Z) = [S(s(Q), Y) \cdot S(s(P), Y)] \cdot S(X, Z)$. Thus $sL(Y, Z)s^{-1} \neq rL(Y, Z)r^{-1}$ if and only if $|s(Q) - r(Q)| = Z$ and $|r(Q) - s(Q)| = Z$. By Lemma 1, there are X^Z subsets of M of cardinality Z having this property and at most X^Z such subsets. Since $Z < Y$, there are X^Z permutations in $S(X, Y)$ mapping Q onto distinct members of this class of subsets of M , hence $|Cl(L(Y, Z))| = X^Z$. By the maximality and nonnormality of $L(Y, Z)$, $N(L(Y, Z)) = L(Y, Z)$. Thus $[S(X, Y) : L(Y, Z)] = |Cl(L(Y, Z))| = X^Z$.

LEMMA 2. *There are infinite cardinals Z and X such that $Z < X$ and $X < X^Z$.*

PROOF. Let Z be an infinite cardinal, A a well-ordered set of cardinality Z , $\{Z_a\}_{a \in A}$ a family of cardinal numbers such that $Z_a > Z$ for each $a \in A$, and if $a, b \in A$ with $a < b$, then $Z_a < Z_b$. Let f be a one-to-one function from A into A such that $a < f(a)$ for each $a \in A$. Then by the theorem of Koenig and Zermelo, $X = \sum_{a \in A} Z_a < \prod_{a \in A} Z_{f(a)} \leq X^Z$.

THEOREM 3. *There are cardinal numbers X and Y , $Y \leq X^+$, such that $S(X, Y)$ contains maximal subgroups with index larger than X .*

PROOF. By Lemma 2, choose X , Y , and Z such that $Z < Y \leq X^+$, $Z < X$, and $X < X^Z$. By Theorem 2, $[S(X, Y) : L(Y, Z)] = X^Z > X$.

Theorem 3 is somewhat limited by the choice of X and Z . However, for $S(X, X^+)$, maximal subgroups of index greater than X can be demonstrated for any choice of $X \geq d$. Let $s \in S(X, X^+)$ and define $P'_s = P - P_s$ and $Q'_s = Q - Q_s$. For each $s \in S(X, X^+)$ define the *remainder index* of $s = R(s) = \max \{ |P'_s|, |Q'_s| \}$. Now define $L(X^+, X) = \{ s \in S(X, X^+) : T(s) < X \text{ or } R(s) < X \}$. It has been shown [1] that $L(X^+, X)$ is a (transitive) maximal subgroup of $S(X, X^+)$.

THEOREM 4. For $X \geq d$, $[S(X, X^+) : L(X^+, X)] > X$.

PROOF. Partition P into P_1 and P_2 such that $|P_1| = |P_2| = X$. By Lemma 1 choose a collection C of subsets of P_1 such that $|C| = X^X = 2^X$, and if $A, B \in C$ with $A \neq B$, then $|A - B| = X$. Similarly choose a collection D of subsets of Q with the same properties. Let f be a one-to-one function from C onto D . For each $A \in C$ choose a corresponding $s \in S(X, X^+)$ such that $s(A) = f(A)$, $s(P - A) = P - A$, $s(f(A)) = A$, $s(Q - f(A)) = Q - f(A)$. Note that $T(s) = R(s) = X$, so $s \notin L(X^+, X)$. Let F denote the family of functions so defined. Let s and $r \in F$, $s \neq r$, s and r correspond to A and $B \in C$ respectively. Now $P_{r^{-1}s} \supseteq A - B$, so $X \geq |P_{r^{-1}s}| \geq |A - B| = X$. Thus $T(r^{-1}s) = X$. Also $P'_{r^{-1}s} \supseteq (P - A) - B = P - (A \cup B) \supseteq P_2$, so $X \geq |P'_{r^{-1}s}| \geq |P_2| = X$. Thus $R(r^{-1}s) = X$. Hence $r^{-1}s \notin L(X^+, X)$, so r and s determine different cosets of $L(X^+, X)$ in $S(X, X^+)$. Thus $2^X = |S(X, X^+)| \geq [S(X, X^+) : L(X^+, X)] \geq |F| = |C| = 2^X$, so $[S(X, X^+) : L(X^+, X)] = 2^X > X$.

BIBLIOGRAPHY

1. R. W. Ball, *Maximal subgroups of symmetric groups*, Trans Amer. Math. Soc. **121** (1966), 393-407.
2. E. D. Gaughan, *The index problem for infinite symmetric groups*, Proc. Amer. Math. Soc. **15** (1964), 527-528.
3. G. Higman, *On infinite simple permutation groups*, Publ. Math. Debrecen **3** (1954), 221-226.
4. Wacław Sierpinski, *Cardinal and ordinal numbers*, PWN, Warsaw, 1958.

NEW MEXICO INSTITUTE OF MINING AND TECHNOLOGY