

## ON THE UNIFORMIZATION OF SOUSLIN $\mathfrak{F}$ SETS

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**1. Introduction.** A set  $E$  in a cross product space  $X \times Y$  is said to be uniformized by a set  $U$  if  $U \subset E$ ; the projections  $\Pi_X E$  and  $\Pi_X U$  of  $E$  and  $U$  onto  $X$  coincide; and for each  $x \in \Pi_X E$  the set  $(\{x\} \times Y) \cap U$  of points of  $U$  above  $x$  is a singleton. (For a detailed discussion of the problem of uniformization see C. A. Rogers and R. C. Willmott [6].) M. Kondô [2] showed that if  $E$  is the complement of a Souslin  $\mathfrak{F}$  set in the Euclidean plane (or, more generally, the cross product of two complete separable metric spaces), then a uniformizing set  $U$  may be chosen which is again the complement of a Souslin  $\mathfrak{F}$  set. He showed further [2, Theorem 7, p. 230] that if  $E$  is a Souslin  $\mathfrak{F}$  set then  $U$  may be chosen to be a set from the projective class  $B_2 = P_2 \cap C_2$ , where  $P_2$  is the family of projections of complements of Souslin  $\mathfrak{F}$  sets and  $C_2$  is the family of complements of sets of  $P_2$ .

In a recent paper, C. A. Rogers and R. C. Willmott [6], studying the first result of Kondô, removed almost all conditions on the space  $X$ . They also considered the case where  $Y$  is a descriptive Borel set (see C.A. Rogers [4]), obtaining a partial uniformization result (Theorem 19) in terms of a complement of a Souslin  $\mathfrak{F}$  set. M. Sion [8], studying the case of an analytic set (which is a Souslin  $\mathfrak{F}$  set in a complete separable metric space; see, e.g. Bressler and Sion [1, Theorem 5.7]) also removed almost all conditions on  $X$  and some on  $Y$ , and concentrated his attention on the properties of the uniformizing set as a function, e.g. measurable for a large class of measures, not concerning himself with its set-theoretic properties.

In this paper, using methods of Rogers and Willmott [6], the second result of Kondô is generalized in Theorem 2 by removing all conditions on  $X$ , and by finding for a Souslin  $\mathfrak{F}$  set  $E$  a uniformizing set  $U$  which is the difference between  $E$  and a  $\mathfrak{D}_\sigma$ -set, where  $\mathfrak{D}$  is the family of differences of Souslin  $\mathfrak{F}$  sets.  $U$  is a member of the family of  $\mathfrak{D}_{\sigma\delta}$ -sets, a subset of  $B_2$  in a complete separable metric space. It is known that there exist Souslin  $\mathfrak{F}$  sets in the plane which cannot be uniformized either by a Souslin  $\mathfrak{F}$  set or by the complement of a Souslin  $\mathfrak{F}$  set (see, e.g. [7, p. 57]). The case where  $Y$  is a descriptive Borel set is also considered and a result, Theorem 3, is obtained for a Souslin  $\mathfrak{F}$  set using the difference between the Souslin  $\mathfrak{F}$  set and a

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Received by the editors September 6, 1968.

<sup>1</sup> This paper was written while the author was a fellow of the 1968 Summer Research Institute of the Canadian Mathematical Congress.

$\mathfrak{D}_\sigma$ -set, which is analogous to that obtained in [6, Theorem 19], for the complement of a Souslin  $\mathfrak{F}$  set using the complement of a Souslin  $\mathfrak{F}$  set.

I am grateful to Professor C. A. Rogers for an observation which led to a reduction of the type of the uniformizing set in these theorems.

**2. Definitions and notation.** All definitions and notation are those of [6]. The following should suffice for those parts of this paper which are independent or are statements of theorems.

$I$  denotes the family of sequences of positive integers. For  $i \in I$ ,  $i|n$  is the  $n$ -tuple  $i_1, i_2, \dots, i_n$ ; and

$$I_{i|n} = \{j \in I: j|n = i|n\},$$

called a Baire interval of order  $n$ . The Baire intervals form a base for a topology on  $I$ ; with this topology  $I$  is a homeomorph of the irrational numbers.

Souslin  $\mathfrak{F}$  in  $X$  is the family of sets  $A$  having a representation of the form

$$A = \bigcup_{i \in I} \bigcap_{n=1}^{\infty} A(i|n),$$

where each set  $A(i|n)$  is closed in  $X$ . This Souslin representation is said to be disjoint if for each  $i, j \in I$ ,

$$\bigcap_{n=1}^{\infty} A(i|n) \cap \bigcap_{n=1}^{\infty} A(j|n) = \emptyset \quad \text{if } i \neq j.$$

In a complete separable metric space, Souslin  $\mathfrak{F}$  coincides with the classical family of analytic sets (see, e.g. Bressler and Sion [1, Theorem 5.7]). Souslin sets with disjoint representations are studied in [6, §§4, 5 and 8].

Borel Souslin  $\mathfrak{F}$  in  $X$  is the smallest family containing Souslin  $\mathfrak{F}$  in  $X$  and closed under complementation and countable unions.

A function  $K$  from  $I$  to the compact subsets of a topological space  $X$  is said to be semicontinuous if for each  $i_0 \in I$  and open set  $G$  of  $X$  with  $K(i_0) \subset G$ , there exists an open set  $0$  of  $I$  with  $I_0 \in 0$  and  $K[0] \subset G$ . A set in a Hausdorff space  $X$  is called descriptive Borel if it has a representation of the form  $K[I] = \bigcup_{i \in I} K(i)$  where  $K$  is a semicontinuous function from  $I$  to the compact subsets of  $X$  such that  $K(i) \cap K(j) = \emptyset$  if  $i \neq j$ . For properties of descriptive Borel sets see C. A. Rogers [4].

**3. Theorems.** We establish our main result in  $X \times I$  and subse-

quently use mapping techniques of Rogers and Willmott [6] to extend it to other spaces.

Let  $\mathfrak{D}$  be the family of differences of Souslin  $\mathfrak{F}$  sets. Then the uniformizing set in the following theorem

$$U = A \sim \bigcup_{n=1}^{\infty} W_n = \bigcap_{n=1}^{\infty} (A \sim W_n)$$

is an  $\mathfrak{E}_\delta$ -set, where  $\mathfrak{E}$  is the family of sets  $E$  of the form

$$E = A \sim (B \sim C) = (A \sim B) \cup (A \cap B \cap C),$$

where  $A$ ,  $B$  and  $C$  are Souslin  $\mathfrak{F}$  sets. Clearly

$$\mathfrak{E}_\delta \subset \mathfrak{D}_{\sigma\delta} \subset \text{Borel Souslin } \mathfrak{F}.$$

In a complete separable metric space,  $B_2$  contains Souslin  $\mathfrak{F}$ , is closed under complementation and countable unions (see, e.g. [7, p. 21]) and hence contains Borel Souslin  $\mathfrak{F}$ .

**THEOREM 1.** *Let  $X$  be a topological space. Then a Souslin  $\mathfrak{F}$  set  $A$  in  $X \times I$  can be uniformized by the difference between  $A$  and a  $\mathfrak{D}_{\sigma}$ -set.*

**PROOF.** Let  $A$  be a Souslin  $\mathfrak{F}$  set in  $X \times I$ . Then, by the first part of the proof of Theorem 17 in [6],  $A$  has a representation

$$A = \bigcup_{i \in I} \bigcap_{n=1}^{\infty} F(i|n),$$

where for each  $i \in I$  and  $n \geq 1$ ,

$$F(i|n) = B(i|n) \times C(i|n),$$

where  $B(i|n)$  is closed in  $X$  and  $C(i|n)$  is empty or is a Baire interval in  $I$  of order  $\geq n$ . We may suppose that for each  $i \in I$  and  $n \geq 1$ ,  $C(i|n+1) \subset C(i|n)$ , for otherwise, setting

$$F'(i|n) = B(i|n) \times \bigcap_{m=1}^n C(i|m),$$

we have the  $F'(i|n)$  of the required form since two Baire intervals are either disjoint or one is contained in the other, and clearly

$$\bigcup_{i \in I} \bigcap_{n=1}^{\infty} F'(i|n) = \bigcup_{i \in I} \bigcap_{n=1}^{\infty} F(i|n) = A.$$

Now for  $i \in I$ ,  $m \geq 1$ , set

$$A(i|m) = \bigcup_{j \in I_{i|m}} \bigcap_{n=1}^{\infty} F(j|n) \subset A \cap F(i|m).$$

Let

$$V_1 = \bigcup_{n_1=2}^{\infty} \left[ A(n_1) \cap \left( \bigcup_{p=1}^{n_1-1} \Pi_X A(p) \times I \right) \sim \bigcup_{p=1}^{n_1-1} A(p) \right],$$

$$V_2 = \bigcup_{n_1=1}^{\infty} \bigcup_{n_2=2}^{\infty} \left[ A(n_1, n_2) \cap \left( \bigcup_{p=1}^{n_2-1} \Pi_X A(n_1, p) \times I \right) \right. \\ \left. \sim \left( \bigcup_{p=1}^{n_2-1} A(n_1, p) \cup \bigcup_{p=1}^{n_1-1} A(p) \right) \right],$$

and, in general,

$$V_s = \bigcup_{n_1=1}^{\infty} \cdots \bigcup_{n_{s-1}=1}^{\infty} \bigcup_{n_s=2}^{\infty} \left[ A(n_1, \dots, n_s) \cap \left( \bigcup_{p=1}^{n_s-1} \Pi_X A(n_1, \dots, n_{s-1}, p) \times I \right) \right. \\ \left. \sim \left( \bigcup_{p=1}^{n_s-1} A(n_1, \dots, n_{s-1}, p) \cup \bigcup_{p=1}^{n_{s-1}-1} A(n_1, \dots, n_{s-2}, p) \right. \right. \\ \left. \left. \cdots \cup \bigcup_{p=1}^{n_1-1} A(p) \right) \right].$$

Finally, set  $U = A \sim \bigcup_{s=1}^{\infty} V_s$  and check:

(1) As  $I_{i|m}$  is homeomorphic to  $I$ , each  $A(i|m)$  is a Souslin  $\mathfrak{F}$  set in  $X \times I$ , and so, by the result in [5],  $\Pi_X A(i|m)$  is Souslin  $\mathfrak{F}$  in  $X$ , as is  $\Pi_X A(i|m) \times I$  in  $X \times I$ . Hence each  $V_s$  is a  $\mathfrak{D}_\sigma$ -set, as is  $\bigcup_{s=1}^{\infty} V_s$ .

(2)  $U \subset A$  trivially.

(3)  $\Pi_X A = \Pi_X U$ . By (2) it suffices to show that  $\Pi_X A \subset \Pi_X U$ . Suppose  $x \in \Pi_X A$ . As  $A = \bigcup_{p=1}^{\infty} A(p)$ , there exists a least integer,  $i_1$ , such that  $x \in \Pi_X A(i_1)$ .

By construction of  $V_1$  and the choice of  $i_1$ ,  $V_1$  contains all points of  $A$  outside  $A(i_1)$  which project onto  $x$ , but contains no points of  $A(i_1)$  which project onto  $x$ . That is

$$\Pi_X^{-1}(x) \cap (A \sim V_1) = \Pi_X^{-1}(x) \cap A(i_1) \neq \emptyset.$$

As  $A(i_1) \subset F(i_1) = B(i_1) \times C(i_1)$ , we have

$$\Pi_X^{-1}(x) \cap A(i_1) \subset \{x\} \times C(i_1),$$

and  $x \in B(i_1)$ ,  $C(i_1) \neq \emptyset$ .

Again,  $A(i_1) = \bigcup_{p=1}^{\infty} A(i_1, p)$ , and there exists a first integer,  $i_2$ , such that  $x \in \Pi_X A(i_1, i_2)$ . By construction of  $V_2$  and the choice of  $i_1$  and  $i_2$ ,  $V_2$  contains all points of  $A(i_1)$  outside  $A(i_1, i_2)$  which project

onto  $x$ , but contains no points of  $A(i_1, i_2)$  which project onto  $x$ . Then  $V_1 \cup V_2$  contains all points of  $A$  outside  $A(i_1, i_2)$  which project onto  $x$ , but contains no points of  $A(i_1, i_2)$  which project onto  $x$ , i.e.

$$\Pi_X^{-1}(x) \cap [A \sim (V_1 \cup V_2)] = \Pi_X^{-1}(x) \cap A(i_1, i_2) \neq \emptyset.$$

Again  $\Pi_X^{-1}(x) \cap A(i_1, i_2) \subset \{x\} \times C(i_1, i_2)$ ,  $x \in B(i_1, i_2)$ , and  $C(i_1, i_2) \neq \emptyset$ . Continuing, we obtain  $i \in I$  such that for each  $n \geq 1$ ,

$$\Pi_X^{-1}(x) \cap \left[ A \sim \left( \bigcup_{s=1}^n V_s \right) \right] = \Pi_X^{-1}(x) \cap A(i|n) \neq \emptyset,$$

$$\Pi_X^{-1}(x) \cap A(i|n) \subset \{x\} \times C(i|n),$$

$x \in B(i|n)$ , and  $C(i|n) \neq \emptyset$ .

Now, as  $C(i|n+1) \subset C(i|n)$ , and as  $C(i|n)$  is a Baire interval of order  $\geq n$ , it follows that  $\bigcap_{n=1}^{\infty} C(i|n)$  is a singleton. Let  $\bigcap_{n=1}^{\infty} C(i|n) = \{y\}$ . Then

$$(x, y) \in \bigcap_{n=1}^{\infty} [B(i|n) \times C(i|n)] = \bigcap_{n=1}^{\infty} F(i|n) = \bigcap_{n=1}^{\infty} A(i|n).$$

Then for all  $n$ ,

$$(x, y) \in \Pi_X^{-1}(x) \cap A(i|n) = \Pi_X^{-1}(x) \cap \left[ A \sim \bigcup_{s=1}^n V_s \right]$$

and so

$$(x, y) \in \bigcap_{n=1}^{\infty} \left( A \sim \bigcup_{s=1}^n V_s \right) = A \sim \bigcup_{s=1}^{\infty} V_s = U.$$

Hence  $x \in \Pi_X U$  and  $\Pi_X A \subset \Pi_X U$ .

(4) Finally, for  $x \in \Pi_X A = \Pi_X U$ , we have from a formula in (3), for each  $n$ ,

$$\Pi_X^{-1}(x) \cap \left[ A \sim \bigcup_{s=1}^n V_s \right] \subset \{x\} \times C(i|n),$$

and hence

$$\begin{aligned} \Pi_X^{-1}(x) \cap U &= \bigcap_{n=1}^{\infty} \left[ \Pi_X^{-1}(x) \cap \left( A \sim \bigcup_{s=1}^n V_s \right) \right] \\ &\subset \bigcap_{n=1}^{\infty} [\{x\} \times C(i|n)] = \{x\} \times \bigcap_{n=1}^{\infty} C(i|n), \end{aligned}$$

so that  $\Pi_X^{-1}(x) \cap U$  is a singleton. This completes the proof.

The next theorem generalizes Kondô's result on the uniformization of a Souslin  $\mathfrak{F}$  set in the product of two complete separable metric spaces. It is well known that a complete separable metric space is a continuous one-to-one image of a closed subset of  $I$  (see, e.g. [3, p. 443]).

**THEOREM 2.** *Suppose  $Y$  is a continuous one-to-one image of a closed subset  $H$  of  $I$ ,  $X$  is a topological space, and  $E$  is a Souslin  $\mathfrak{F}$  set in  $X \times Y$ . Then  $E$  can be uniformized by the difference between  $E$  and a  $\mathfrak{D}_\sigma$ -set.*

**PROOF.** Let  $g$  be a continuous function defined on  $H$  which maps  $H$  one-to-one onto  $Y$ . Define  $\phi: X \times H \rightarrow X \times Y$  by setting

$$\phi(x, i) = (x, g(i)) \quad \text{for all } x \in X, \quad i \in H.$$

Then, as in the proof of Theorem 18 in [6],  $\phi$  is a continuous one-to-one function on  $X \times H$  onto  $X \times Y$  which preserves Souslin  $\mathfrak{F}$  sets, i.e. if  $B$  is a Souslin  $\mathfrak{F}$  set in  $X \times H$ , then  $\phi[B]$  is Souslin  $\mathfrak{F}$  in  $X \times Y$ . As  $\phi$  is continuous,  $A = \phi^{-1}[E]$  is a Souslin  $\mathfrak{F}$  set in  $X \times H$  and hence in  $X \times I$  as  $H$  is closed in  $I$ . By Theorem 1, there is a  $\mathfrak{D}_\sigma$ -set,  $V$ , in  $X \times I$  such that  $A \sim V$  uniformizes  $A$ . We have  $V \subset A \subset X \times H$  and evidently  $V$  is also a  $\mathfrak{D}_\sigma$ -set in  $X \times H$ . Clearly

$$U = \phi[A \sim V] = \phi[A] \sim \phi[V] = E \sim \phi[V]$$

uniformizes  $E$ . Finally,  $\phi[V]$  is a  $\mathfrak{D}_\sigma$ -set in  $X \times Y$  since  $\phi$  preserves Souslin  $\mathfrak{F}$  sets and unions, and being one-to-one, also preserves differences.

**THEOREM 3.** *Let  $X$  be a topological space,  $Y$  a Hausdorff space with a representation  $Y = K[I]$  as a descriptive Borel set. Suppose that each open set in  $X \times Y$  has a disjoint Souslin representation. Let  $A$  be a Souslin  $\mathfrak{F}$  set in  $X \times Y$ . Then there is a set  $U$  which is the difference between  $A$  and a  $\mathfrak{D}_\sigma$ -set in  $X \times Y$ , and which satisfies*

- (a)  $U \subset A$ ,
- (b)  $\Pi_X A = \Pi_X U$ , and
- (c) for each  $x \in \Pi_X A$ , the set  $\Pi_Y[\Pi_X^{-1}(x) \cap U]$  is compact and contained in some set  $K(i)$  with  $i \in I$ .

**PROOF.** Let  $A$  have the representation

$$A = A[I], \quad A(i) = \bigcap_{n=1}^{\infty} A(i|n),$$

the sets  $A(i|n)$  being closed. Then, as in the proof of Theorem 19 in [6], let

$$X \times Y = F[I], \quad F(i) = \bigcap_{n=1}^{\infty} F(i|n)$$

be a representation of  $X \times Y$  such that the sets  $F(i|n)$  are closed, and each set  $A(i|n)$  is the union of those fragments  $F(i)$  that it meets, and such that for each  $i \in I$ , there is a  $j \in I$  such that for each integer  $m \geq 1$ , there exists an integer  $n$  with

$$F[I_{i|n}] \subset X \times K[I_{j|m}].$$

As in the proof of that theorem we define a map  $\omega: X \times Y \rightarrow X \times I$  by setting for each  $x \in X, y \in Y, \omega(x, y) = (x, i)$ , where  $i = i(x, y)$  is the unique  $i$  in  $I$  with  $(x, y) \in F(i)$ . Then again  $\omega A$  is a Souslin  $\mathfrak{F}$  set in  $X \times I$ . By Theorem 1, there exist Souslin  $\mathfrak{F}$  sets  $B_s, C_s$  in  $X \times I$  such that

$$W = \omega A \sim \bigcup_{s=1}^{\infty} (B_s \sim C_s)$$

uniformizes  $\omega A$ . Let  $U = \omega^{-1}[W]$ . Properties (a), (b), and (c) follow for  $U$  as in the proof of Theorem 19 in [6]. It remains to show that  $U$  is the difference between  $A$  and a  $\mathfrak{D}_\tau$ -set in  $X \times Y$ . We have

$$\begin{aligned} U &= \omega^{-1} \left[ \omega A \sim \bigcup_{s=1}^{\infty} (B_s \sim C_s) \right] \\ &= \omega^{-1}[\omega A] \sim \left( \bigcup_{s=1}^{\infty} (\omega^{-1}[B_s] \sim \omega^{-1}[C_s]) \right). \end{aligned}$$

Using Theorem 8 of [6] as in the proof of Theorem 19 in [6], we have  $\omega^{-1}[B_s]$  and  $\omega^{-1}[C_s]$  Souslin  $\mathfrak{F}$  sets in  $X \times Y$ . Finally, by definition of  $\omega$ ,  $\omega^{-1}[\omega F(i)] = F(i)$  for  $i$  in  $I$ , and as each set  $A(i|n)$  is the union of the fragments  $F(i)$  that it meets the same is true of each  $A(i) = \bigcap_{n=1}^{\infty} A(i|n)$ , and so also  $\omega^{-1}[\omega A(i)] = A(i)$  for  $i$  in  $I$ . Hence

$$\omega^{-1}[\omega A]^{\dagger} = \omega^{-1} \left[ \bigcup_{i \in I} A(i) \right] = \bigcup_{i \in I} \omega^{-1}[\omega A(i)] = \bigcup_{i \in I} A(i) = A.$$

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