

SECONDARY COHOMOLOGY OPERATIONS AND COMPLEX VECTOR BUNDLES

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Secondary cohomology operations have proved very useful in recent years [2], [5], [6].

Here we show that certain secondary operations are associated with the divisibility by 2 of the Chern classes of complex vector bundles, and so we obtain a very simple method for evaluating them.

Thus let Φ_{4j} be the secondary operation based on the relation

$$\mathrm{Sq}^1 \mathrm{Sq}^{4j} + (\mathrm{Sq}^2 \mathrm{Sq}^1) \mathrm{Sq}^{4j-2} + \mathrm{Sq}^{4j} \mathrm{Sq}^1 = 0.$$

Let e be a generator of $H^2(CP^\infty, Z)$ and $\rho: H^*(\ , Z) \rightarrow H^*(\ , Z_2)$ the coefficient homomorphism.

THEOREM A. *Let k be divisible by 4 and suppose the $2j$ th integral Chern class C_{2j} of $k\eta$ (η is the canonical line bundle over CP^∞) satisfies $C_{2j} = 2\theta$; then $\Phi_{4j}(\rho(e^k)) = \rho(e^k) \cup \rho(\theta)$ with zero indeterminacy.*

The total Chern class of $k\eta$ is $(1+e)^k$; hence

$$C_{2j} = \binom{k}{2j} e^{2j}$$

and we have for example

$$\Phi_{2^r}(\rho(e^{2^r})) = \rho(e^{2^r+2^{r-1}}).$$

More generally

COROLLARY. *Let $i = 2^r a$ and $4j = 2^{r-1} b$ with a, b odd; then*

$$\binom{2a}{b-1} \equiv 1(2)$$

implies $\Phi_{4j}(\rho(e^i)) = \rho(e^{i+2j})$.

This last result includes as special cases the basic results in this direction of [1], [5], [6]. The proof of A turns out to be very easy and is given in §2. Further, our viewpoint simplifies the original proof of Hopf invariant one as given in [1] to the point where it may be even easier than the recent K -theory proofs of [3], [4], [7], so we include

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an outline proof in §3. Finally, in §4 we extend these results to secondary operations on RP^∞ .

Theorem A is essentially 3.3.2 of [9] and the methods of proof are similar. A can also be obtained by using the results of [10] and S -duality [11, §4]. Maunder's approach allows one to evaluate certain higher order operations built up from the Φ_{4j} in CP^∞ as well. However our Theorem B does not seem to follow from [10] or [11], and the methods in §§2 and 4 also generalize to higher order operations (see [8]). Indeed our main object in presenting this paper was to help clarify [8]. Moreover, the viewpoint on higher order operations implicit in this note seems to be lacking in the literature.

2. Theorem B and the proof of Theorem A. Let E_n be the universal example for Φ_{4j} on an integral class of dimension n . Thus E_n is the fiber in the map

$$\begin{aligned} 2.1 \quad \theta &= \text{Sq}^{4j-2}(\iota) \times \text{Sq}^{4j}(\iota): K(Z, n) \\ &\rightarrow K(Z_2, n + 4j - 2) \times K(Z_2, n + 4j) \end{aligned}$$

and we have the sequence of fibrations

$$\begin{aligned} F &= K(Z_2, n + 4j - 3) \times K(Z_2, n + 4j - 1) \xrightarrow{j} E_n \xrightarrow{\pi} K(Z, n) \\ 2.2 \quad \theta &\rightarrow K(Z_2, n + 4j - 2) \times K(Z_2, n + 4j). \end{aligned}$$

Let $T(k)$ be the Thom space of the universal complex k -plane bundle, and denote the Thom class as $U \in H^{2k}(T(k), Z)$. From the map $(U): T(k) \rightarrow K(Z, 2k)$ and the fibration $\pi: E_{2k} \rightarrow K(Z, 2k)$ we have the induced fibration $(U)^\sharp E_{2k}$ over $T(k)$ and the diagram of fibrations:

$$\begin{array}{ccc} (U)^\sharp E_{2k} & \xrightarrow{\quad} & E_{2k} \\ \downarrow \tilde{\pi} & & \downarrow \pi \\ T(k) & \xrightarrow{(U)} & K(Z, 2k) \end{array}$$

The following lemma is evident.

LEMMA 2.3. *Let $v \in H^*(E_{2k})$ be a universal example for Φ_{4j} . Let \bar{U} be the Thom class of a complex k -bundle ξ over a space X ; then*

$$\Phi_{4j}(\bar{U}) = \{L^*((\bar{U})^*v)\}$$

where L runs over all liftings which make the following diagram com-

mute:

$$\begin{array}{ccc} & (U)^\sharp E_{2k} & \\ L \nearrow & \downarrow \pi & \\ T(\xi) & \rightarrow & T(k) \end{array}$$

LEMMA 2.4. In $H^*(U^\sharp E_{2k}, Z)$ there are classes X, Y so

(i) $\tilde{\pi}^*(C_{2j-1} \cup U) = 2X$

(ii) $\tilde{\pi}^*(C_{2j} \cup U) = 2Y$

(iii) $\tilde{U}^*(\mu) = \rho(Y) + \text{Sq}^2 \rho(X) + \tilde{\pi}^*(\rho(P \cup U))$ where P is a polynomial of degree $4j$ in the Chern classes C_1, \dots, C_{2j-2} ,

PROOF. $H^{2k+4j}(U^\sharp E_{2k}, Z_2) = (\text{im } \tilde{\pi}^*) \oplus (\text{im } j^*)$, and also $j^*(\tilde{U}^*(v)) = \text{Sq}^1(\iota_{2k+4j-1}) + \text{Sq}^2 \text{Sq}^1(\iota_{2k+4j-3})$; hence the lemma follows when we observe that if X, Y exist then they must restrict to $\text{Sq}^1(\iota_{2k+4j-3})$, $\text{Sq}^1(\iota_{2k+4j-1})$ respectively, since this is true in the universal example for division by two, namely the fibering

$$K(Z_2, n-1) \rightarrow K(Z, n) \xrightarrow{2(\iota)} K(Z, n).$$

On the other hand, to show the existence of X, Y is now completely direct.

Putting these results together we have

THEOREM B. Let B be the Thom space of ξ , a complex k -bundle over a space X for which $\rho(C_1) = 0$. Suppose there are integer classes X, Y so $C_{2j-1}(\xi) = 2X$, $C_{2j}(\xi) = 2Y$; then $\Phi_{4j}(\rho U)$ is defined in $H^*(T, Z_2)$ and modulo the total indeterminacy

$$\Phi_{4j}(\rho U) = [\text{Sq}^2 \rho(X) + \rho(Y)] \cup \rho(U).$$

To complete the proof of B note that in the map

$$\lambda: \Sigma^{2k+4j-4} T(2j-2) \rightarrow T(k)$$

we have

$$\lambda^* \rho(P \cup U) = \Phi_{4j}(\sigma^{2k-4j-4} U_{2j-2}) = \sigma^{2k-4j-4} \Phi_{4j}(U_{2j-2})$$

and v can be chosen so this last is zero,² but since P is a polynomial in C_1, \dots, C_{2j-2} the fact that $\lambda^*(\rho P \cup U) = 0$ implies $\rho(P \cup U) = 0$ and B follows since $\text{Sq}^2(\rho(U)) = 0$.

To complete the proof of A note that the Thom space of $k\eta$ over

² Φ_{4j} is defined on ρ of any integral $4j-3$ class, and thus its value on a $4j-4$ class a is a stable cohomology operation $\text{Sq}^1(a)$. Then we choose a new representant for Φ , specifically we set $v'' = v + \text{Sq}^1(\iota)$.

CP^∞ is CP^∞/CP^{k-1} . The theorem now follows from B and the evident map $p: CP^\infty \rightarrow CP^\infty/CP^{k-1}$ which collapses the $2k-1$ skeleton to the base point since $\rho(p^*T(k\eta)^*(U)) = \rho(e^k)$.

3. Hopf invariant one. $\mathcal{A}(2)$ is the Steenrod algebra [12]. $\mathcal{A}(2) \otimes \mathcal{A}(2)$ becomes an $\mathcal{A}(2)$ module when we set $\alpha(a \otimes b) = \alpha a \otimes b$, and $m: \mathcal{A}(2) \otimes \mathcal{A}(2) \rightarrow \mathcal{A}(2)$ is given by $m(a \otimes b) = ab$.

DEFINITION 3.1. An element $x = \sum a_i \otimes \text{Sq}^{2^i} \in \mathcal{A}(2) \otimes \mathcal{A}(2)$ is a minimal relation if $m(x) = 0$.

Clearly the set of minimal relations is a module \mathcal{g} over $\mathcal{A}(2)$ and a basic set of relations is any $\mathcal{A}(2)$ basis for \mathcal{g} , say $\{e_i: i \in I\}$ for some index set I . A relation among relations is an element $y = \sum a_i \otimes b_{i,j} \otimes \text{Sq}^{2^j}$ in $\mathcal{A}(2) \otimes \mathcal{A}(2) \otimes \mathcal{A}(2)$ so $(m \otimes 1)(y) = (1 \otimes m)(y) = 0$.

LEMMA 3.2. Let $E_{n,i}$ be the two-stage Postnikov system obtained by killing $\text{Sq}^1(\iota)$, $\text{Sq}^2(\iota)$, $\text{Sq}^4(\iota) \cdots \text{Sq}^{2^i}(\iota)$ in $H^*(K(Z_2, n), Z_2)$, ($n > 2^k$); then $H^*(E_{n,i}, Z_2)$ is isomorphic to the module of minimal relations (over $\mathcal{A}(2)$ and with degree diminished by one) in dimension less than $n + 2^{i+1}$. In dimension $n + 2^{i+1}$ there is also $\text{Sq}^{2^{i+1}}(\iota)$, and if y is a relation among relations ($\sum a_i \otimes b_{i,j} \otimes \text{Sq}^{2^j}$) then in $H^*(E_{n,i}, Z_2)$ we have $\sum a_i \{b_{i,j} \otimes S_2^{2^j}\} = \lambda(y) \text{Sq}^{2^{i+1}}(\iota)$ where $\lambda = 0$ or 1 and depends only on y .

(The proof is a simple exercise with the Serre spectral sequence, the Steenrod algebra and the stability of the Steenrod operations.)

LEMMA 3.3 (J. F. ADAMS). (a) A basic set of minimal relations is given by a doubly indexed family $R(i, j)$ (of degree $2^i + 2^j$) $0 \leq i < j - 1$ or $i = j$. (b) There is a relation among relations of the form

$$(\text{Sq}^{2^i} + b) \{R(0, i)\} + \cdots$$

where b is decomposable, for $i \geq 3$.

(The proof is an exercise in handling $\mathcal{A}(2)$. Part (b) follows, for example, by proving $h_0 h_i^2$ is nonzero in $\text{Ext}_{\mathcal{A}(2)}(Z_2, Z_2)$ for $i \geq 3$.)

Now consider the mapping $(\iota): E_{n,i} \rightarrow E_n$ where E_n is the universal example for Φ_{2^i} . The following is immediate.

LEMMA 3.4. $(\iota)^*(v) = \{R(0, i)\} + \sum a_{j,k} \{R_{(j,k)}\}$ where $k < i$.

Now the basic theorem of [1] becomes

THEOREM 3.5. In $H^*(E_{n,i}, Z_2)$ we have $(\text{Sq}^{2^i} + b) \{R(0, i)\} + \cdots = \text{Sq}^{2^{i+1}}(\iota)$ for $i \geq 3$, and thus there is no two cell complex with $\text{Sq}^{2^{i+1}}$ nonzero, $i \geq 3$.

PROOF. By A and 3.4 the only secondary operation among the

$\{R(j, k)\}$ ($k \leq i$) which is nonzero on $\rho(e^{2^i})$ is $\{R(0, i)\}$ (the $R(j, k)$ for $j > 0$ all have odd degree, and $R(0, k)$ for $k < i$ are 0 by A). Hence $[(Sq^{2^i} + b)\{R(0, i)\} + \dots](\rho(e^{2^i})) = Sq^{2^i}\{R(0, i)\}\rho(e^{2^i}) = Sq^{2^i}\rho(e^{2^i+2^{i-1}}) = Sq^{2^{i+1}}(\rho(e^{2^i}))$ and the proof is complete.

4. **Secondary operations in RP^∞ .** Consider again the results of §3. Φ_8 is defined and nonzero on $\rho(e^{8+16k})$ in $H^*(CP^\infty)$. But if $\pi: RP^\infty \rightarrow CP^\infty$ is the nontrivial map then $\Phi_8(\pi^*(\rho(e^{8+16k}))) = 0$ due to indeterminacy. On the other hand, from the universal relation $Sq^{16} = Sq^8\Phi_8 + \dots$ it follows that the set

$$\{\Phi_8(\pi^*(\rho(e^{8+16k}))), \{R(3, 3)\}(\pi^*(\rho(e^{8+16k}))), \dots\}$$

cannot *all* vanish simultaneously. Thus this *set of operations*, even modulo indeterminacy, is not zero on the class of dimension $16 + 32k$ in $H^*(RP^\infty, Z_2)$.

There are similar results with Sq^{16} replaced by Sq^{2^i} ($i > 4$), and we have

THEOREM 4.1. *There is no map*

$$RP^{2^{i+1}(k+1)-1}_{2^i+2^{i+1}k} \rightarrow S^{2^i+2^{i+1}k}$$

inducing the nontrivial map in cohomology (RP^s is RP^s with the $t-1$ skeleton collapsed to the base point).

Actually we can sharpen this result. Consider, for example, the pair of relations

$$\begin{aligned} Sq^1Sq^{8k} + (Sq^2Sq^1)Sq^{8k-2} + Sq^{8k}Sq^1 &= 0, \\ Sq^2Sq^{8k} + Sq^4Sq^{8k-2} + Sq^{8k}Sq^2 + Sq^{8k+1}Sq^1 &= 0. \end{aligned}$$

Let G_n be the universal example for both operations, let $u \in H^*(G_n)$ represent Φ_{8k} and ω represent the second operation; then

$$\begin{aligned} &j^*(Sq^8(u) + (Sq^7 + Sq^4Sq^2Sq^1)\omega) \\ 4.2 \quad &= (Sq^9 + Sq^6Sq^2Sq^1)A + Sq^{10}Sq^1B + (Sq^7 + Sq^4Sq^2Sq^1)Sq^{8k}C \\ &\quad + (Sq^8 + Sq^7Sq^1)Sq^{8k}D \end{aligned}$$

where D is the n -dimensional generator on the fiber, C is the $n+1$ -dimensional generator, etc.

Let $\rho(e^t)$ satisfy $\Phi_{8k}(\rho(e^t)) \neq 0$ in $H^*(CP^\infty)$; then there is a map $m: CP^\infty \rightarrow G_{2t}$, and we have $m^*(u) = \rho(e^t)$, $m^*(u) \neq 0$ and $m^*(\omega) = 0$. Thus the same is true for $m\pi: RP^\infty \rightarrow G_{2t}$. Moreover, any two liftings

$$\begin{array}{ccc}
 & & G_{2t} \\
 & \nearrow m, \bar{m}^u & \downarrow \\
 RP^\infty & \xrightarrow{(\pi^*\rho(e^t))} & K(Z_2, 2t)
 \end{array}$$

differ by a map into the fiber F . Thus if there were a lifting \bar{m} so $\bar{m}^*(u) = \bar{m}^*(\omega) = 0$ there would be a map $r: RP^\infty \rightarrow F$ and

$$4.3. \quad r^*(j^*(u)) \neq 0 \quad \text{while} \quad r^*(j^*(\omega)) = 0.$$

THEOREM 4.4. *Suppose $k = 1 + 4s$. Then $(\Phi_{8k}; \omega)$ on $(\pi^*\rho(e^{8(1+2\lambda)}))$ cannot both vanish simultaneously if*

$$\binom{\lambda}{s} \neq 0.$$

PROOF. Under these assumptions

$$(1) \quad \text{Sq}^{8k}\rho(e^{8(1+2\lambda)+\epsilon}) = 0 \quad (\epsilon = 0, 1),$$

$$(2) \quad \Phi_{8k}(\rho(e^{8(1+2\lambda)})) \neq 0,$$

$$(3) \quad \text{Sq}^8\Phi_{8k}\rho(e^{8(1+2\lambda)}) \neq 0.$$

Suppose now there were a map $r: RP^\infty \rightarrow F$ satisfying 4.3; then from 4.2

$$r^*(\text{Sq}^8 j^*(u) + \text{Sq}^2 + \text{Sq}^4 \text{Sq}^2 \text{Sq}^1 j^*(\omega)) = 0,$$

but this is impossible since $\text{Sq}^8(r^*j^*(u)) \neq 0$ and by assumption $r^*j^*(\omega) = 0$.

Similar results can be obtained for Φ_{8k+4} but we need three operations based on

$$\text{Sq}^1 \text{Sq}^{8k+4} + (\text{Sq}^2 \text{Sq}^1) \text{Sq}^{8k+2} + \text{Sq}^{8k+4} \text{Sq}^1 = 0,$$

$$\text{Sq}^4 \text{Sq}^{8k+2} + \text{Sq}^{8k+4} \text{Sq}^2 = 0,$$

$$\text{Sq}^4 \text{Sq}^{8k+4} + \text{Sq}^{8k+6} \text{Sq}^2 + \text{Sq}^{8k+7} \text{Sq}^1 = 0,$$

and if u represents the first, ω the second, and χ the third, we look at

$$j^*(\text{Sq}^8(u) + \text{Sq}^4 \text{Sq}^2 \text{Sq}^1(\bar{\omega}) + \text{Sq}^2 \text{Sq}^1 \text{Sq}^2(\chi))$$

on the fiber. The reader can easily supply details and further generalizations.

REMARK. One could, of course, verify directly (as in [2], [5], [6]) that these operations do not vanish simultaneously, since we know

how to evaluate the indeterminacy. However, the method given here generalizes to higher order operations as in §3.4 of [8].

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