

RIGID PAIRS OF LONG ARCS¹

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ABSTRACT. Arcs (linearly ordered continua) A and B are constructed such that every map from A to B and every map from B to A is constant. The Generalized Continuum Hypothesis is sufficient for the existence of two such arcs each of cardinality 2^{\aleph} for each uncountable cardinal \aleph .

1. Introduction. By an *arc* we mean a compact connected Hausdorff space with exactly two noncut points. A separable arc is then a homeomorph of the real interval $I = [0, 1]$. An arc is *long* if it is not separable or equivalently not metrizable. A survey of the literature on long arcs is given in [2].

Here we construct arcs A and B such that if $f: A \rightarrow B$ and $g: B \rightarrow A$ are continuous, then the image of each function is a point. We say such a pair of arcs is *rigid*. Due to Urysohn's lemma, neither A nor B contains a separable arc. Moreover if C and D are subarcs of a rigid pair of arcs A and B respectively, then C and D form a rigid pair. This follows immediately since subarcs are retracts. The desired arcs are obtained by constructing two kinds of simply ordered sets.

Let X be a simply ordered set (chain) with at least two elements. The *intrinsic topology* of X is obtained by taking open intervals and open rays as a basis. X is then an arc if X has a supremum, every nonempty subset of X has an infimum, and for any two points $x < y$ there is a point z , $x < z < y$ [1, X, §§7-8].

2. Arcs from sequences of ordinals. The usual construction of the unit interval from sequences of digits involves the identification of discontinuities, e.g., $0.4999 \dots$ and $0.5000 \dots$. In this section, long arcs are constructed in somewhat the same fashion from sequences of ordinals. Here identification is unnecessary.

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Let ω be the first infinite ordinal and let λ be any limit ordinal. Then λ^ω denotes the set of all sequences of ordinals less than λ . Order λ^ω lexicographically, i.e., $x(0), x(1), \dots < y(0), y(1), \dots$ if and only if there is an n such that $x(n) < y(n)$ and $x(i) = y(i)$ for all $i < n$. A discussion of such ordinal powers may be found in [1]. The simply ordered set obtained by adjoining a supremum 1 to λ^ω is denoted $\lambda^\omega + 1$.

THEOREM 1. $\lambda^\omega + 1$ is an arc.

PROOF. Suppose M is a nonempty subset of λ^ω . Let $m(0)$ be the infimum of the set of first terms of sequences in M . Assume further $m(i)$ is defined for all $i < n$. Then let $m(n)$ be the infimum of the set of n th terms of sequences in M whose first $n-1$ terms are $m(0), m(1), \dots, m(n-1)$. The sequence $m(0), m(1), \dots, m(n), \dots$ is the infimum of M in λ^ω . Therefore every nonempty subset of $\lambda^\omega + 1$ has an infimum. Now suppose $x, y \in \lambda^\omega + 1$ and $x < y$. Assume $y = 1$. Since λ is a limit ordinal, $x(0)$ has successor $x(0) + 1 < \lambda$. Let z be the sequence $x(0) + 1, 0, 0, 0, \dots$. Then $x < z < y$. Finally assume $y \in \lambda^\omega$. Then there is an n such that $x(n) < y(n)$ and $x(i) = y(i)$ for all $i < n$. Let $z = x(0), x(1), \dots, x(n), x(n+1) + 1, 0, 0, 0, \dots$. Then $x < z < y$. This completes the proof.

COROLLARY. The nonnegative reals are isomorphic to the set of all sequences of nonnegative integers ordered lexicographically.

THEOREM 2. λ^ω contains a subset D such that each point of the space is the limit of a descending sequence of points of D .

PROOF. Let D be the set of all x such that x is eventually the ordinal 0, i.e., such that there is an $n < \omega$ and $x(m) = 0$ for all $m, n < m < \omega$. Suppose $x \in \lambda^\omega$. For each $n < \omega$, let d_n be the following point of D . $d_n(m) = x(m)$ for all $m < n$, $d_n(n)$ is the successor of $x(n)$, and $d_n(m) = 0$ for all $m > n$. Then $d_n > d_{n+1} > x$ and $\text{limit}\{d_n\} = x$.

If X is a set, we denote the cardinal of X by $\aleph(X)$.

THEOREM 3. Every subarc of λ^ω contains $\aleph(\lambda)$ pairwise disjoint open sets.

PROOF. Let $x, y \in \lambda^\omega + 1$ such that $x < y$. Then there is an $n < \omega$ such that $x(n) < y(n)$ and $x(i) = y(i)$ for all $i < n$. For each $\alpha \in \lambda$, let U_α be the open interval (α_1, α_2) where $\alpha_1(i) = x(i)$ for all $i < n$, $\alpha_1(n+1) = x(n+1) + 1$, $\alpha_1(n+2) = \alpha$, $\alpha_1(i) = 0$ for all $i > n+3$, $\alpha_1(i) = \alpha_2(i)$ for all $i \neq n+3$, $\alpha_1(n+3) = 0$ and $\alpha_2(n+3) = 1$. Then $\{U_\alpha\}$ is a collection of pairwise disjoint open intervals each in (x, y) , the cardinal of this collection is $\aleph(\lambda)$.

3. Ordinal powers of the unit interval. V. Novák [4] has shown that I^μ is an arc for any ordinal μ . Here I^μ is the set of all functions from μ to I ordered lexicographically.

Let μ be any limit ordinal such that for all $\alpha < \mu$, $\aleph(\alpha) < \aleph(\mu)$.

THEOREM 4. *I^μ contains a dense subset M such that every subset with a cluster point in M has cardinality at least $\aleph(\mu)$.*

PROOF. Let $M = \{x: \text{there is an } \alpha < \mu \text{ such that } 0 < x(\beta) < 1 \text{ for all } \beta, \alpha < \beta < \mu\}$. Suppose $a, b \in I^\mu$ and $a < b$. Let α be the first ordinal such that $a(\alpha) < b(\alpha)$. Let $C \in I$ such that $a(\alpha) < C < b(\alpha)$. Define x by (1) $x(\beta) = a(\beta)$ for all $\beta < \alpha$, and (2) $x(\beta) = C$ for all $\beta, \alpha < \beta < \mu$. Then $x \in M$ and $a < x < b$. Therefore M is a dense subset of I^μ .

Now suppose X is a subset of I^μ , $x \in M \cap X$ and each neighborhood of x contains a point of X distinct from x . Index $X - x$ so that points are not indexed twice, $X - x = \{x_\gamma: \gamma < \zeta\}$. Define $\psi: \zeta \rightarrow \mu$ as follows. For each $\gamma < \zeta$, let $\psi(\gamma)$ be the first $\eta < \mu$ such that $x(\eta) \neq x_\gamma(\eta)$. Suppose there is a $\delta < \mu$ such that $\psi(\gamma) < \delta$ for all $\gamma < \zeta$. Let $\alpha < \mu$ be an ordinal such that $0 < x(\beta) < 1$ for all $\beta, \alpha < \beta < \mu$. Let $a, b \in I^\mu$ such that $a(\gamma) = b(\gamma) = x(\gamma)$ for all $\gamma < \max[\alpha, \delta]$ and $a(\max[\alpha, \delta]) < x(\max[\alpha, \delta]) < b(\max[\alpha, \delta])$. Then the interval (a, b) is a neighborhood of x which contains no point of X distinct from x . This involves a contradiction. Thus image ψ is cofinal with μ . Therefore $\aleph(\zeta) \geq \aleph(\mu)$. This completes the proof.

THEOREM 5. *I^μ contains a dense subset with cardinality $\sum_{\alpha < \mu} 2^{\aleph_0 \cdot \aleph(\alpha)}$.*

PROOF. Let D be the set of all points which are eventually 0. Then D is a dense subspace of I^μ .

$$\aleph(D) = \aleph\left(\bigcup_{\alpha < \mu} I^\alpha\right) = \sum_{\alpha < \mu} \aleph(I^\alpha) = \sum_{\alpha < \mu} 2^{\aleph_0 \cdot \aleph(\alpha)}.$$

4. The main result. Let μ and λ be any limit ordinals such that μ is uncountable and $\aleph(\lambda) > \sum_{\alpha < \mu} 2^{\aleph(\alpha)}$. If we assume the Continuum Hypothesis, we could take $\mu = \Omega$, the first uncountable ordinal, and λ the first ordinal such that $\aleph(\lambda) > 2^{\aleph_0}$.

THEOREM 6. *The arcs I^μ and $\lambda^\omega + 1$ form a rigid pair.*

PROOF. Suppose f is a nonconstant map from I^μ to $\lambda^\omega + 1$. By Theorem 5, I^μ contains a dense subset D with $\aleph(D) = \sum_{\alpha < \mu} 2^{\aleph_0 \cdot \aleph(\alpha)} = \sum_{\alpha < \mu} 2^{\aleph(\alpha)} < \aleph(\lambda)$. Therefore $f[D]$ is a dense subset of some subarc of $\lambda^\omega + 1$. By Theorem 3, $\aleph(\lambda) \leq \aleph(f[D]) \leq \aleph(D)$. This involves a contradiction.

Finally suppose f is a nonconstant map from $\lambda^\omega + 1$ to I^μ . Let M be as in Theorem 4. Select a point x in $M \cap \text{image } f$ which is not the image of 1. Then $f^{-1}(x)$ is a compact subset of λ^ω . Thus $y = \text{supremum } f^{-1}(x)$ is in $f^{-1}(x)$. By Theorem 2, there is a descending sequence $\{d_n: n < \omega\}$ in λ^ω with limit y . Let $X = \{f(d_n): n < \omega\}$. Then x is a cluster point of X . By Theorem 4, $\aleph(X) \geq \aleph(\mu)$. This involves a contradiction.

5. Other rigid pairs. If we assume the Generalized Continuum Hypothesis, there are two arcs of arbitrarily large cardinality that form a rigid pair.

THEOREM 7. *For each uncountable cardinal \aleph , there are two arcs each of cardinality 2^{\aleph} that form a rigid pair.*

PROOF. Let μ and λ be the first ordinals such that $\aleph(\mu) = \aleph$ and $\aleph(\lambda) = 2^{\aleph}$. $\aleph(\mu) = \sum_{\alpha < \mu} 2^{\aleph(\alpha)}$. Therefore I^{μ} and $\lambda^{\omega} + 1$ are two rigid arcs. $\aleph(I^{\mu}) = 2^{\aleph_0 \cdot \aleph} = 2^{\aleph}$ and $\aleph(\lambda^{\omega} + 1) = 2^{\aleph \cdot \aleph_0} = 2^{\aleph}$.

An arc is *strongly homogeneous* provided it is homeomorphic to each of its subarcs. For references and a discussion of strongly homogeneous arcs, see [2]. Every such arc has cardinality 2^{\aleph_0} . Therefore no arc in one of the previous rigid pairs is strongly homogeneous. However if μ is any limit ordinal such that every final segment has order type μ , then every subarc of I^{μ} contains a subarc homeomorphic to I^{μ} .

Problem 1. Is there a rigid pair of strongly homogeneous arcs?

Problem 2. Are there three arcs such that any two form a rigid pair?

Problem 3. Given an arbitrary cardinal \aleph , are there \aleph arcs such that any two form a rigid pair?

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