SIMILARITY OF MATRICES OVER FINITE RINGS

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ABSTRACT. It is shown that questions of similarity of certain invertible matrices over a finite ring can be reduced to questions of similarity over finite fields through the application of canonical epimorphisms.

Suprunenko has shown in [3] that two invertible matrices over Z/Z_m whose orders are relatively prime to m are similar if and only if their canonical images are similar over the fields Z/Z_p for each prime divisor p of m . An analogous result holds for invertible matrices over any finite commutative ring with identity.

Preliminaries. If R is a finite commutative ring with identity, then R is uniquely a ring direct product of finite local rings [1, Theorem 8.7, p. 90]. Suppose that $R = \prod_{i=1}^t R_i$, where R_i is a finite local ring with maximal ideal M_i . Each R_i has cardinality $p_i^{e_i}$ for some prime p and has associated with it a canonical projection,

$$h_i: R_i \rightarrow R_i/M_i = \text{GF}(p_i^{f_i}).$$

Setting $k_i = \text{GF}(p_i^{f_i})$ we will say that the finite fields $\{k_i: i=1, 2, \dots, t\}$ are the *fields associated with R* .

Observe that the decomposition of R carries over to the general linear group of degree n over R yielding $\text{GL}_n(R) \cong \prod_{i=1}^t \text{GL}_n(R_i)$. Furthermore, for each i , the projection h_i induces an epimorphism,

$$h_i: \text{GL}_n(R_i) \rightarrow \text{GL}_n(k_i).$$

If $\text{GL}_n(R_i)$ is taken as the group of n by n invertible matrices over R_i , then h_i is simply reduction modulo M_i . Note that the kernel of h_i , K_i , has cardinality a power of p_i and thus is a solvable group.

The following corollary to P. Hall's extension of the Sylow theorems [2, Theorem 9.3.1, p. 141] is the key result needed for Theorems 1 and 2.

Observation. Let G be a finite group with solvable normal subgroup K and let $\bar{G} = G/K = \{\bar{g} | g \in G\}$. Let α and β be elements of G with $(|\alpha|, |K|) = 1 = (|\beta|, |K|)$. Then $\bar{\alpha} \sim \bar{\beta}$ implies $\alpha \sim \beta$.

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PROOF. Since $\bar{\alpha} = \bar{\gamma}^{-1}\bar{\beta}\bar{\gamma}$ for some γ it follows that $\langle \alpha \rangle K = \langle \gamma^{-1}\beta\gamma \rangle K$. By P. Hall's theorem it follows that $\langle \alpha \rangle$ and $\langle \gamma^{-1}\beta\gamma \rangle$ are conjugate in $\langle \alpha \rangle K$. Thus there is a μ in K and $r > 0$ such that $\mu^{-1}\gamma^{-1}\beta\gamma\mu = \alpha^r$. Hence $\bar{\alpha}^r = \bar{\gamma}^{-1}\bar{\beta}\bar{\gamma} = \bar{\alpha}$ and, since α and $\bar{\alpha}$ have the same order, $\alpha = \alpha^r$. Therefore $\alpha = (\gamma\mu)^{-1}\beta(\gamma\mu)$ and $\alpha \sim \beta$.

The theorems.

THEOREM 1. *Let R be a finite local ring with maximal ideal M and $R/M = \text{GF}(p^f) = k$. Let α, β be elements of $\text{GL}_n(R)$ with $(|\langle \alpha \rangle|, p) = 1$ and $(|\langle \beta \rangle|, p) = 1$. Then α is similar to β if and only if α is similar to β modulo M .*

PROOF. This follows from the Observation by noting that the kernel, K , of $h: \text{GL}_n(R) \rightarrow \text{GL}_n(R/M)$ is solvable with cardinality a power of p .

THEOREM 2. *Let R be a finite commutative ring with identity and let the cardinality of R be m . Two elements α and β of $\text{GL}_n(R)$ satisfying $(|\langle \alpha \rangle|, m) = (|\langle \beta \rangle|, m) = 1$ are similar if and only if their canonical images over the Galois fields associated with R are similar.*

PROOF. This follows from Theorem 1 directly by means of the sequence of epimorphisms

$$\text{GL}_n(R) = \prod_{i=1}^t \text{GL}_n(R_i) \xrightarrow{\pi_i} \text{GL}_n(R_i) \xrightarrow{h_i} \text{GL}_n(k_i).$$

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