

## THE GAUSS MAP IN SPACES OF CONSTANT CURVATURE

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**ABSTRACT.** Let  $N$  be a complete simply connected Riemannian manifold of constant sectional curvature  $\neq 0$ . Let  $M$  be an immersed Riemannian hypersurface of  $N$ . The Gauss map on  $M$  based at a point  $p$  in  $N$  is defined. Suppose a Gauss map on  $M$  has constant rank less than the dimension of  $M$ ; then  $M$  is generated by Riemannian submanifolds with constant sectional curvature. The sectional curvature of each of these generating submanifolds of  $M$  has the same sign as the sectional curvature of  $N$ .

**1. Introduction.** Let  $M$  be an  $n$ -dimensional Riemannian manifold isometrically immersed into Euclidean  $(n+k)$ -space  $E^{n+k}$  ( $k \geq 1$ ) and  $\nu$  be the bundle of unit vectors normal to  $M$ . The Gauss map of  $\nu$  into the unit sphere  $S^{n+k-1}$  about the origin of  $E^{n+k}$  is well known. Willmore and Saleemi [3] generalized this map to the case where  $M$  is an  $n$ -dimensional Riemannian manifold immersed into an  $(n+k)$ -dimensional complete, simply connected Riemannian manifold  $N$  with nonpositive sectional curvature as follows. Let  $p \in N$  and  $\nu$  be the unit normal bundle of  $M$  in  $N$ . The parallel displacement of  $v \in \nu$  along the shortest geodesic joining the foot point of  $v$  to  $p$  gives a mapping of  $\nu$  into the unit sphere in the tangent space of  $N$  at  $p$ . If  $N$  is an arbitrary Riemannian manifold with  $M$  isometrically immersed into it and  $p \in N$  the only requirement we need for the construction of Willmore and Saleemi is for  $M$  not to intersect the cut locus of  $p$ . We call the resulting mapping from  $\nu$  into the unit sphere in the tangent space of  $N$  at  $p$  the Gauss map on  $M$  based at  $p$ .

R. Takagi [2] describes an  $n$ -dimensional complete Riemannian manifold  $M$  isometrically immersed into an Euclidean  $(n+1)$ -sphere  $S^{n+1}$  when the Gauss map on  $M$  based at a point  $p \in S^{n+1}$  has constant rank on  $M$ . He shows that  $M$  is generated by metric spheres. We will show that a similar result holds when the ambient space is a complete, simply connected Riemannian manifold of constant sectional curvature  $-1$ , that is, hyperbolic space of curvature  $-1$ . In this case,  $M$  is generated by hyperbolic spaces. We will also reprove Takagi's theorem in a simpler fashion.

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**DEFINITION.** We say a Riemannian manifold is generated by Riemannian manifolds of a certain type if we can foliate  $M$  into Riemannian submanifolds of that type.

**2. The Gauss map in  $H^n$ .** Let  $H^n$  be  $n$ -dimensional hyperbolic space of constant sectional curvature  $-1$ .  $H^n$  will be realized as  $\{x \in E^n: \|x\| < 1\}$  with the metric  $\langle \cdot, \cdot \rangle$  where  $\langle v, w \rangle = (v \cdot w)/h^2(x)$  if  $v$  and  $w$  are vectors at  $x$  and  $h(x) = (1 - \|x\|^2)/2$ . Thus the metric on  $H^n$  is conformally equivalent to the usual flat metric on  $\{x \in E^n: \|x\| < 1\}$ .

Suppose  $M$  is an  $n$ -dimensional Riemannian manifold isometrically immersed in  $H^{n+k}$  ( $k \geq 1$ ). Let  $\nu_H(M)$  be the unit normal bundle of  $M$  in  $H^{n+k}$ . Let  $p \in M$  and  $e_H: \nu_H(M) \rightarrow S_p H^{n+k}$  be the Gauss map on  $M$  based at  $p$ , where  $S_p H^{n+k}$  is the unit sphere in tangent space to  $H^{n+k}$  at  $p$ . If  $p=0$ , the origin in  $E^{n+k}$ , we identify  $H_p^{n+k} = H_0^{n+k}$  with  $E^{n+k}$ ; then  $S_0 H^{n+k} = S^{n+k-1}(1/2)$ , the sphere about the origin in  $E^{n+k}$  of radius  $1/2$ . The radius is  $1/2$  because  $h(0) = 1/2$ ; that is, the metric on  $H_0^{n+k}$  is twice the usual metric on  $E^{n+k}$ .

$M$  is also immersed in  $E^{n+k}$  (of course, not isometrically). Let  $\nu_E(M)$  be the unit normal bundle of  $M$  in  $E^{n+k}$ . Let  $e_E: \nu_E(M) \rightarrow S^{n+k-1}(1)$  be the usual Gauss map in  $E^{n+k}$ .

**LEMMA 1.** *Let  $M$  be an  $n$ -dimensional Riemannian manifold isometrically immersed in  $H^{n+k}$ . Let  $e_H$  be the Gauss map based at 0. Then the following diagram is commutative, where  $h(v) = h(x)v$  if  $v \in \nu_E(M)_x$ , that is,  $v$  is normal to  $M$  at  $x$ , and  $\times 1/2$  indicates scalar multiplication by  $1/2$ .*

$$\begin{array}{ccc} \nu_E(M) & \xrightarrow{e_E} & S^{n+k-1}(1) \\ \downarrow h & & \downarrow \times 1/2 \\ \nu_H(M) & \xrightarrow{e_H} & S^{n+k-1}(1/2) \end{array}$$

**PROOF.** Let  $v \in \nu_E(M)_x$ ; then  $h(x)v \in \nu_H(M)_x$ . Let  $\zeta: [0, \rho] \rightarrow H^{n+k}$  be the unit speed geodesic from  $x \in M$  to 0. We know that  $\zeta$  is a reparametrization of the straight line segment in  $E^{n+k}$  from  $x$  to 0. Let  $V$  be the parallel vector field along  $\zeta$  in  $E^{n+k}$  such that  $V(0) = v$ . Then  $(h \circ \zeta)V$  is the parallel vector field along  $\zeta$  in  $H^{n+k}$  such that  $[(h \circ \zeta)V](0) = h(x)v$ . Hence,  $e_H(h(x)v) = h \circ \zeta(\rho)V(\rho) = (1/2)V(\rho) = (1/2)e_E(v)$ .  $\square$

**LEMMA 2.**  *$h: \nu_E(M) \rightarrow \nu_H(M)$  is a diffeomorphism, and hence the rank of  $e_E$  at  $v$  equals the rank of  $e_H$  (based at 0) at  $h(v)$  for all  $v \in \nu_H(M)$ .*

**PROOF.** Both statements are obvious.  $\square$

**REMARK.** Lemmas 1 and 2 essentially still hold if  $H^{n+k}$  is replaced by a Riemannian manifold  $N^{n+k}$  defined on the open unit disk in  $E^{n+k}$  with

metric  $\langle \cdot, \cdot \rangle$ , where  $\langle v, w \rangle = (v \cdot w)/h^2(x)$ , if  $v$  and  $w$  are vectors at  $x$ , and  $h(x)$  is a positive function which depends only on  $\|x\|$ .

**3. Special hyperbolic subspaces.** Before we proceed further it is necessary to characterize intrinsically the Riemannian submanifolds  $M = L^n \cap H^{n+1}$  of  $H^{n+1}$ , where  $L^n$  is a hyperplane of  $E^{n+1}$  which has nontrivial intersection with  $H^{n+1}$ .

Let  $d$  be the metric on  $H^{n+1}$ . Fix  $p \in H^{n+1}$  and let  $M$  be an  $n$ -dimensional complete orientable Riemannian submanifold. Set  $\lambda = \tanh(d(p, M)/2)$ . Let  $z \in M$  such that  $d(p, z) = d(p, M)$ . Let  $U$  be a unit normal vector field on  $M$  such that  $U(z) = \dot{\zeta}(0)$ , the initial velocity of the unit speed geodesic  $\zeta$  from  $z$  to  $p$ , if  $z \neq p$ . If the second fundamental form  $S_U$  with respect to  $U$  equals  $\lambda I$ , where  $I$  is the identity, then  $M$  is called a *special hyperbolic subspace with respect to  $p$* . Indeed, for  $n \geq 2$ ,  $M$  is a hyperbolic space with constant sectional curvature  $-1 + \lambda^2 < 0$ . If  $\lambda \neq 0$ ,  $M$  is one of two totally umbilic hyperbolic spaces through  $z$  at a distance  $d(p, z)$  from  $p$ ; for the other space  $S_U = -\lambda I$ . If  $\lambda = 0$ ,  $M$  is a totally geodesic hypersurface through  $p$ .

Isometries preserve the relationship of being a special hyperbolic subspace with respect to a point. If  $\phi: H^{n+1} \rightarrow H^{n+1}$  is an isometry,  $p \in H^{n+1}$ , and  $M$  is a special hyperbolic subspace with respect to  $p$ , then  $\phi(M)$  is a special hyperbolic subspace with respect to  $\phi(p)$ . Hence if we know the special hyperbolic subspaces with respect to one point of  $H^{n+1}$ , then we know the special hyperbolic subspaces with respect to any point.

**LEMMA 3.** *The special hyperbolic subspaces of  $H^{n+1}$  with respect to 0 are the Riemannian submanifolds  $L^n \cap H^{n+1}$ , where  $L^n$  is a hyperplane of  $E^{n+1}$  which has nontrivial intersection with  $H^{n+1}$ .*

**PROOF.** The calculations are straightforward. They depend heavily on the fact that  $H^{n+1}$  has a metric which is conformally equivalent to the usual flat metric on the open unit disk by a function which depends only on  $\|x\|$ .  $\square$

**4. Theorem.** If  $M$  is orientable and  $k=1$ , we can identify  $M$  with a component of  $v_H(M)$  and also the corresponding component of  $v_E(M)$ . Then  $e_H: M \rightarrow S_p H^{n+1}$  is the Gauss map based at  $p$  and  $e_E: M \rightarrow S^n(1)$  is the usual Gauss map.

**THEOREM 4.** *Let  $M$  be an  $n$ -dimensional complete orientable Riemannian manifold isometrically immersed in  $H^{n+1}$ . Suppose  $e_H: M \rightarrow S_p H^{n+1}$  has constant rank  $n-m$  on  $M$  ( $0 \leq m \leq n$ ).*

(1) *Let  $m=0$ . If  $M$  is compact, then  $M$  is diffeomorphic to the  $n$ -sphere.*

(2) Let  $1 \leq m \leq n-1$ . Then  $M$  is generated by  $m$ -dimensional hyperbolic spaces (whose sectional curvatures may vary).

(3) Let  $m=n$ . Then  $M$  is a special hyperbolic subspace with respect to  $p$ , and conversely.

PROOF. (1). This is clear.

(2) and (3). First, we may assume without loss of generality that  $p=0$  by the homogeneity of  $H^{n+1}$ . Thus  $e_H: M \rightarrow S^n(1/2)$  having constant rank  $n-m$  on  $M$  implies that  $e_E: M \rightarrow S^n(1)$  has constant rank  $n-m$  on  $M$ , by Lemma 2. By Lemma 2 of [1],  $M$  is generated by  $m$ -dimensional planes  $L^m$  in  $E^{n+1}$  intersected with  $H^{n+1}$ . For each  $L^m$ ,  $L^m \cap H^{n+1}$  with the metric induced from  $H^{n+1}$  is a hyperbolic space. Since the metric on  $L^m \cap H^{n+1}$  depends on the distance from  $L^m$  to 0, the curvatures of these hyperbolic spaces may vary. Hence  $M$  is generated by  $m$ -dimensional hyperbolic spaces.

When  $m=n$ ,  $M$  is a hyperplane  $L^n$  intersected with  $H^{n+1}$  with the induced metric. Thus, by Lemma 3, it is a special hyperbolic space with respect to 0. If, on the other hand,  $M$  is a special hyperbolic space with respect to 0, then there exists a hyperplane  $L^n$  of  $E^{n+1}$  such that  $M=L^n \cap H^{n+1}$ . Hence  $e_E: M \rightarrow S^n(1)$  has rank 0; hence,  $e_H: M \rightarrow S_0 H^{n+1}$  has rank 0.  $\square$

The preceding theorem is also true on hyperbolic spaces with constant sectional curvature different from  $-1$ . However, it is necessary to modify the definition of special hyperbolic subspace so that  $S_U=(K\lambda)I$  on a special hyperbolic subspace when the ambient hyperbolic space has constant sectional curvature  $-K<0$ .

**5. The Gauss map in spheres.** Let  $S^{n+1}$  be the Euclidean unit  $(n+1)$ -sphere. Fix  $p \in S^{n+1}$ . Suppose  $M$  is an  $n$ -dimensional orientable immersed submanifold of  $S^{n+1}$ , and  $\nu_S(M)$  is the unit normal bundle of  $M$  in  $S^{n+1}$ . Since the codimension of  $M$  in  $S^{n+1}$  is 1 and  $M$  is orientable, we identify  $M$  with a component of  $\nu_S(M)$ .

Let  $-p$  denote the antipode of  $p$ . Since  $-p$  is the cut locus of  $p$  we can define the Gauss map based at  $p$  as  $e_S: M \setminus \{-p\} \rightarrow S_p S^{n+1}$ .

Let  $\sigma: S^{n+1} \setminus \{-p\} \rightarrow E^{n+1}$  be stereographic projection from  $-p$ ;  $\sigma$  is a conformal mapping. Denote by  $M^*$  the image of  $M \setminus \{-p\}$  under  $\sigma$ .  $\sigma(p)=0$ , so we can identify the tangent space at  $p$  with  $E^{n+1}$ . Under this identification we know that  $S_p S^{n+1}$ , the unit sphere about  $p$  in the tangent space to  $S^{n+1}$  at  $p$ , agrees with  $S^n$ , the unit sphere about 0 in  $E^{n+1}$ . Hence  $e_S: M \setminus \{-p\} \rightarrow S^n$ . Let  $e_E: M^* \rightarrow S^n$  be the usual Gauss map in  $E^{n+1}$  on  $M^*$ .

**LEMMA 5.** Let  $M$  be an  $n$ -dimensional orientable Riemannian manifold isometrically immersed in  $S^{n+1}$ . Then the following diagram is commutative.

$$\begin{array}{ccc}
 M \setminus \{-p\} & \xrightarrow{e_S} & S^n \\
 \sigma \downarrow & & \downarrow \text{id} \\
 M^* & \xrightarrow{e_E} & S^n
 \end{array}$$

PROOF. The proof is identical to the proof of Lemma 1.  $\square$

Using Lemma 5, the fact that planes in  $E^{n+1}$  correspond to spheres through  $-p$  under  $\sigma$ , and arguing as in the hyperbolic case we get the theorem first due to R. Takagi [2], which we restate here in an intrinsic form.

**THEOREM 6.** *Let  $M$  be an  $n$ -dimensional complete orientable Riemannian manifold isometrically immersed in  $S^{n+1}$ . Suppose that the Gauss map  $e_S: M \setminus \{-p\} \rightarrow S_p S^{n+1}$  based at  $p$  has constant rank  $n-m$  on  $M \setminus \{-p\}$  ( $0 \leq m \leq n$ ).*

- (1) *Let  $m=0$ . If  $M$  is compact, then  $M$  is diffeomorphic to the  $n$ -sphere.*
- (2) *Let  $1 \leq m \leq n-1$ . Then  $M$  is generated by Euclidean  $m$ -spheres, each through  $-p$ .*
- (3) *Let  $m=n$ . Then  $M$  is a Euclidean hypersphere through  $-p$ , and conversely.*

Again, we remark that the preceding result is not peculiar to Euclidean spheres of unit radius but holds for Euclidean spheres of arbitrary radius.

**REMARK.** It is important to note that the last two parts of Theorem 4 and Theorem 6 are really local in nature. Thus, if the Gauss map based at  $p$  on an open set  $V \subset M$  has constant rank less than  $n$ , then the open set  $V$  is generated by parts of special hyperbolic subspaces with respect to  $p$  or parts of Euclidean spheres through  $-p$  according as the ambient space has constant negative or positive sectional curvature.

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