## A CLASS OF UNIVALENT FUNCTIONS

T. R. CAPLINGER AND W. M. CAUSEY

ABSTRACT. A sharp coefficient estimate is obtained for a class  $D(\alpha)$  of functions univalent in the open unit disc. The radius of convexity and an arclength result are also determined for the class.

Let  $D(\alpha)$  denote the class of functions  $f(z)=z+a_2z^2+\cdots$  analytic in the open unit disc E and satisfying

(1) 
$$|(f'(z)-1)/(f'(z)+1)| < \alpha, z \in E$$

for some  $\alpha$ ,  $0 < \alpha \le 1$ . The values f'(z) lie inside the circle in the right half plane with center  $(1+\alpha^2)/(1-\alpha^2)$  and radius  $2\alpha/(1-\alpha^2)$ . The class  $D(\alpha)$  is a subclass of the class of functions whose derivative has positive real part and hence a function in  $D(\alpha)$  is univalent in E. If  $f \in D(\alpha)$  it follows from Schwarz lemma that  $f'(z) = (1-\alpha z\theta(z))/(1+\alpha z\theta(z))$ , where  $\theta(z)$  is analytic and  $|\theta(z)| \le 1$  in E.

A class of starlike functions has been studied by Padmanabhan [5] in which f'(z) is replaced by zf'(z)/f(z) in inequality (1).

A sharp coefficient estimate for the class  $D(\alpha)$  is proved in Theorem 1 using a technique of Clunie and Keogh [2]. In Theorem 2 the radius of convexity of the class is obtained and in Theorem 3 an arclength result is given.

THEOREM 1. If  $f(z)=z+\sum_{n=2}^{\infty}a_nz^n$  is in  $D(\alpha)$  for some  $\alpha$ ,  $0<\alpha\leq 1$ , then  $|a_n|\leq 2\alpha/n$ ,  $n=2,3,\cdots$ . The inequality is sharp.

PROOF. Since f(z) is in  $D(\alpha)$ , then  $f'(z)=(1+\alpha z\theta(z))/(1-\alpha z\theta(z))$ , where  $\theta(z)=\sum_{n=1}^{\infty}t_nz^n$  is analytic and  $|\theta(z)|\leq 1$  for  $z\in E$ . Then

$$f'(z) - 1 = \alpha z \theta(z) \{ f'(z) + 1 \},$$

or

(2) 
$$\sum_{n=2}^{\infty} n a_n z^{n-1} = \alpha \left( \sum_{n=0}^{\infty} t_n z^n \right) \left( 2z + \sum_{n=2}^{\infty} n a_n z^n \right).$$

Equating corresponding coefficients in (2) gives

$$na_n = \alpha \{ (n-1)t_0 a_{n-1} + (n-2)t_1 a_{n-2} + \cdots + 2t_{n-3} a_2 + 2t_{n-2} \}.$$

Key words and phrases. Univalent, convex.

Presented to the Society, January 26, 1973; received by the editors September 5, 1972 and, in revised form, September 25, 1972.

AMS (MOS) subject classifications (1970). Primary 30A32, 30A34.

Thus  $a_n$  depends only on  $a_2$ ,  $a_3$ ,  $\cdots$ ,  $a_{n-1}$  and  $\theta$  for  $n \ge 2$ . Hence, for  $n \ge 2$ , it follows from (2) that

$$\sum_{k=2}^{n} k a_k z^{k-1} + \sum_{k=n+1}^{\infty} b_k z^{k-1} = \alpha \theta(z) \left\{ 2z + \sum_{k=2}^{n-1} k a_k z^k \right\},$$

which yields

$$\begin{split} \left| \sum_{k=2}^{n} k a_k z^{k-1} + \sum_{k=n+1}^{\infty} b_k z^{k-1} \right|^2 &= \alpha^2 \left| \theta(z) \right|^2 \left| 2z + \sum_{k=2}^{n-1} k a_k z^k \right|^2 \\ &\leq \alpha^2 \left| 2z + \sum_{k=2}^{n-1} k a_k z^k \right|^2. \end{split}$$

Integrating about |z|=r, 0 < r < 1, gives

$$\sum_{k=2}^{n} k^{2} |a_{k}|^{2} r^{2k-2} + \sum_{k=n+1}^{\infty} |b_{k}|^{2} r^{2k-2} \leq \alpha^{2} \left\{ 4r^{2} + \sum_{k=2}^{n-1} k^{2} |a_{k}|^{2} r^{2k} \right\}.$$

If we take the limit as r approaches 1, then

$$\sum_{k=2}^{n} k^{2} |a_{k}|^{2} \leq \alpha^{2} \left\{ 4 + \sum_{k=2}^{n-1} k^{2} |a_{k}|^{2} \right\},$$

or

$$\begin{split} n^2 |a_n|^2 &\leq 4\alpha^2 + \alpha^2 \sum_{k=2}^{n-1} k^2 |a_k|^2 - \sum_{k=2}^{n-1} k^2 |a_k|^2 \\ &= 4\alpha^2 + (\alpha^2 - 1) \sum_{k=2}^{n-1} k^2 |a_k|^2 \leq 4\alpha^2, \end{split}$$

since  $\alpha \leq 1$ . Thus  $|a_n| \leq 2\alpha/n$  for  $n \geq 2$ .

Sharpness of the inequality is shown by

$$f(z) = \int_0^z \frac{1 + \alpha t^{n-1}}{1 - \alpha t^{n-1}} \, \alpha t = z + \frac{2\alpha z^n}{n} + \frac{2\alpha^2}{2n-1} \, z^{2n-1} + \cdots$$

THEOREM 2. If f(z) is in  $D(\alpha)$ ,  $0 < \alpha \le 1$ , then

(i) f(z) maps  $|z| < (\sqrt{2-1})/\alpha$  onto a convex domain if

$$\left(\frac{(\sqrt{2}-1)(\sqrt{3}+1)}{\sqrt{2}}\right) \le \alpha \le 1,$$

(ii) f(z) maps

$$|z| < [{\{\alpha^2 - 1 + ((1 - \alpha^2)(1 + 4\alpha - \alpha^2))^{1/2}\}}/{2\alpha(1 + \alpha)}]^{1/2}$$

onto a convex domain if

$$0 < \alpha \le (\sqrt{2} - 1)(\sqrt{3} + 1)/\sqrt{2}$$
.

The bounds in (i) and (ii) are both sharp.

**PROOF.** Since f(z) is in  $D(\alpha)$ , we have

$$f'(z) = (1 + \alpha z \theta(z))/(1 - \alpha z \theta(z)),$$

where  $\theta(z)$  is analytic and  $|\theta(z)| \le 1$  for  $z \in E$ . Then

$$\frac{f''(z)}{f'(z)} = \frac{2\alpha\{z\theta'(z) + \theta(z)\}}{1 - \alpha^2 z^2 [\theta(z)]^2}.$$

But for functions  $\theta(z)$  [4, p. 168] we have

$$|\theta'(z)| \le (1 - |\theta(z)|^2)/(1 - |z|^2).$$

Using this estimate we obtain

$$\left| \frac{zf''(z)}{f'(z)} \right| \leq \frac{2\alpha|z| (|z| + |\theta(z)|)(1 - |z\theta(z)|)}{(1 - |z|^2)(1 - \alpha^2|z|^2 |\theta(z)|^2)}.$$

Therefore,  $|zf''(z)/f'(z)| \le 1$  provided

(3) 
$$2\alpha |z| (|z| + |\theta(z)|)(1 - |z\theta(z)|) \le (1 - |z|^2)(1 - \alpha^2 |z|^2 |\theta(z)|^2).$$

Letting |z|=r,  $|\theta(z)|=x$  and t=rx, relation (3) becomes

(4) 
$$2\alpha r(r+tr^{-1})(1-t) \leq (1-r^2)(1-\alpha^2t^2).$$

We want to find the largest value of  $\rho$  such that (3) holds for all z such that  $|z| < \rho$  and for all  $\theta(z)$ ,  $|\theta(z)| \le 1$ . This corresponds to finding the largest value of r for which (4) holds for all t,  $0 \le t \le r$ . Relation (4) becomes

(5) 
$$H(t) \equiv (\alpha^2 r^2 - \alpha^2 + 2\alpha)t^2 + 2\alpha(r^2 - 1)t + (1 - 2\alpha r^2 - r^2) \ge 0.$$

We want to determine the largest value of r for which  $H(t) \ge 0$ ,  $0 \le t \le r$ . Then f(z) will map |z| < r onto a convex domain. Since  $H'(t^*) = 0$  for  $t^* = (1-r^2)/[2-\alpha(1-r^2)]$  and H''(t) > 0, H(t) assumes its minimum value at  $t^*$ . We separate the proof into two cases:

Case A.  $r < t^*$ . Now H(t) is nonincreasing on [0, r], so H(t) > H(r) for  $0 \le t \le r$ . Since  $H(r) = (1-r^2)(-\alpha^2 r^2 - 2\alpha r + 1)$ ,  $H(r) \ge 0$ , provided  $\alpha^2 r^2 + 2\alpha r - 1 \le 0$  or  $r \le (\sqrt{2} - 1)/\alpha$ . Thus, f(z) maps  $|z| < (\sqrt{2} - 1)/\alpha$  onto a convex domain if  $r = (\sqrt{2} - 1)/\alpha \le t^*$ . This restraint implies that  $\alpha$  must lie in the interval  $[\alpha_0, 1]$ , where  $\alpha_0 = (\sqrt{2} - 1)(\sqrt{3} + 1)/\sqrt{2}$ .

The function  $f(z) = -z - 2/\alpha \log(1 - \alpha z)$  shows this bound to be the best possible since

$$1 + \frac{zf''(z)}{f'(z)} = \frac{1 + 2\alpha z - \alpha^2 z^2}{1 - \alpha^2 z^2} = 0$$

for  $z=(1-\sqrt{2})/\alpha$ .

Case B.  $t^* \leq r$ . The minimum value of H(t) on [0, r] occurs at  $t^*$ , so  $H(t) \geq H(t^*)$ . Therefore (5) will be satisfied if  $H(t^*) \geq 0$ . This inequality reduces to

(6) 
$$Q(r) \equiv \alpha(1+\alpha)r^4 + (1-\alpha^2)r^2 - (1-\alpha) \le 0.$$

But (6) is satisfied for  $r < r_1$ , where

$$r_1 \equiv [\{\alpha^2 - 1 + ((1 - \alpha^2)(1 + 4\alpha - \alpha^2))^{1/2}\}/2\alpha(1 + \alpha)]^{1/2}.$$

We shall show that if  $0 < \alpha < \alpha_0$ , then  $t^* \le r_1$ . But  $t^* \le r$ , if and only if  $P(r) = \alpha r^3 + r^2 + (2 - \alpha)r - 1 \ge 0$ . Denote the zero of P(r) in (0, 1) by  $r_0$ . We shall show that  $r_1 > r_0$  if  $0 < \alpha < \alpha_0$ . A tedious calculation shows that if  $\alpha = \alpha_0$ ,

$$r_1 = \sqrt{2/(1 + \sqrt{3})} = r_0 = (\sqrt{2} - 1)/\alpha_0.$$

Also for a fixed r and  $\alpha < \alpha_0$ , the expression Q(r) increases with  $\alpha$ . Thus, if (6) holds for a certain interval of values of r with  $\alpha = \alpha_1$ , then the condition holds for all  $\alpha < \alpha_1$ . Hence  $r_1$  increases with decreasing  $\alpha$ . But  $\alpha = \alpha_0$  corresponds to  $r_1 = r_0$ . Thus for  $\alpha < \alpha_0$ ,  $r_1 > r_0$ .

To show the estimate is sharp, we construct a function as follows. Let  $\beta$  be defined by

(7) 
$$r_1(r_1 - \beta)/(1 - \beta r_1) = (1 - r_1^2)/[2 - \alpha(1 - r_1^2)].$$

Since  $r_1 > r_0$  for  $\alpha < \alpha_0$ , it follows that  $(1-r_1^2)/[2-\alpha(1-r_1^2)] < r_1$ . Also,  $r_1 < 1$ . From (7) we have

$$0 < r_1(r_1 - \beta)/(1 - \beta r_1) < r_1,$$

or

$$(r_1 - \beta)/(1 - \beta r_1) < 1.$$

This implies that  $(r_1^2-1)(1-\beta^2)<0$ . But  $r_1<1$ , so  $|\beta|<1$ . Define  $\theta(z)$  by

(8) 
$$\theta(z) = (z - \beta)/(1 - \beta z).$$

Since  $|\beta| < 1$ ,  $|\theta(z)| \le 1$  for  $z \in E$ . Define f(z) by

$$f(z) = [1 - \alpha z \theta(z)]/[1 + \alpha z \theta(z)].$$

Then

$$1 + \frac{zf''(z)}{f'(z)} = \frac{1 - \alpha^2 z^2 [\theta(z)]^2 - 2\alpha z \theta(z) - 2\alpha z^2 \theta'(z)}{1 - \alpha^2 z^2 [\theta(z)]^2},$$

where

$$\theta'(z) = \{1 - [\theta(z)]^2\}/\{1 - z^2\}.$$

Using (7) and (8) and remembering that  $Q(r_1)=0$ , we have that  $1+r_1f''(r_1)|f'(r_1)=0$ . Therefore, f(z) is not convex in |z| < r if  $r > r_1$ .

THEOREM 3. If f(z) is in  $D(\alpha)$  and if  $L_r(f)$  denotes the length of the image of |z|=r under f(z), 0 < r < 1, then  $L_r(f)=O\{\log(1/(1-\alpha r))\}$ , as  $r \to 1$ .

PROOF.

$$L_{r}(f) = \int_{|z|=r} |f'(z)| |dz| = \int_{0}^{2\pi} |f'(re^{i\theta})| r d\theta$$

$$\leq \int_{0}^{2\pi} \left| \frac{1 + \alpha r e^{i\theta}}{1 - \alpha r^{i\theta}} \right| r d\theta$$

$$= \int_{0}^{2\pi} \left| \frac{1 - \alpha^{2} r^{2} + 2\alpha r i \sin \theta}{1 - 2\alpha r \cos \theta + \alpha^{2} r^{2}} \right| r d\theta$$

$$(9) \qquad \leq r \int_{0}^{2\pi} \frac{1 - (\alpha r)^{2}}{1 - 2\alpha r \cos \theta + (\alpha r)^{2}} d\theta + \int_{0}^{2\pi} \frac{2\alpha r^{2} |\sin \theta|}{1 - 2\alpha r \cos \theta + \alpha^{2} r^{2}} d\theta$$

$$= 2\pi r + 2r \int_{0}^{\pi} \frac{2\alpha r \sin \theta}{1 - 2\alpha r \cos \theta + \alpha^{2} r^{2}} d\theta$$

$$= 2\pi r + 4r \log \frac{1 + \alpha r}{1 - \alpha r} = O\{\log 1/(1 - \alpha r)\}.$$

The first integral in (9) is a Poisson integral and the second can be evaluated directly.

REMARK. We state without proof that if  $f(z) \in D(\alpha)$  and if  $A_r(f)$  denotes the area of the image of |z| < r under f(z), 0 < r < 1, then

$$A_r(f) \le \pi \{-3 - (4/\alpha^2 r^2)\log(1 - \alpha^2 r^2)\}.$$

The inequality is sharp.

## REFERENCES

- 1. C. Carathéodory, Funktionentheorie. Vol. II, Verlag Birkhäuser, Basel, Switzerland, 1950. MR 12, 248.
- 2. J. Clunie and F. R. Keogh, On starlike and convex schlicht functions, J. London Math. Soc. 35 (1960), 229-233. MR 22 #1682.
- 3. T. H. MacGregor, Functions whose derivative has a positive real part, Trans. Amer. Math. Soc. 104 (1962), 532-537. MR 25 #4090.
  - 4. Z. Nehari, Conformal mapping, McGraw-Hill, New York, 1952. MR 13, 640.
- 5. K. S. Padmanabhan, On certain classes of starlike functions in the unit disk, Indian Math. Soc. J. 32 (1968), 89-103. MR 39 #2965.

DEPARTMENT OF MATHEMATICS, MEMPHIS STATE UNIVERSITY, MEMPHIS, TENNESSEE 38152

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF MISSISSIPPI, UNIVERSITY, MISSISSIPPI 38677