A BOUNDED HILBERTIAN BASIS IN $C[0, 1]$

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ABSTRACT. The existence of a bounded Hilbertian basis in $C[0, 1]$ is established.

1. Introduction. It is well known that an orthonormal sequence $\{x_n\}$ in a Hilbert space possesses the following properties:

- (1) $\sum_{i=1}^{\infty} a_i x_i$ converges implies $\sum_{i=1}^{\infty} |a_i|^2 < \infty$, and
- (2) $\sum_{i=1}^{\infty} |a_i|^2$ implies $\sum_{i=1}^{\infty} a_i x_i$ converges.

A basis $\{x_i\}$ in a Banach space satisfying (1) is called a Besselian basis and a basis $\{x_i\}$ in a Banach space satisfying (2) is called an Hilbertian basis. A basis $\{x_n\}$ is bounded if $0 < \inf_n \|x_n\| \leq \sup_n \|x_n\| < \infty$. In [2], Pełczyński raised the question whether there exists in $C[0, 1]$ (in $L^1[0, 1]$) a bounded Besselian (resp. Hilbertian) basis, in particular, whether there exists in $C[0, 1]$ a bounded orthonormal basis. In [4], Olevskii proved the non-existence of bounded orthonormal basis in $C[0, 1]$; in this paper we will show that there exists a bounded Hilbertian basis in $C[0, 1]$.

A basis $\{x_n\}$ of a Banach space is wc_0 (or semishrinking) if x_n converges weakly to 0. Since every Hilbertian basis is a wc_0 basis, it is natural to look for a bounded Hilbertian basis in the class of bounded wc_0 bases. The referee has pointed out to us that Warren [3] has constructed a bounded wc_0 basis in $C[0, 1]$. Our basis is similar to his, but is a little simpler.

2. Main result. Let $a_0 = 0$, $a_1 = 1$ and for $j = 2^n$, $a_{j+k} = (2k-1)/(2j)$ ($n = 0, 1, \dots$; $k = 1, 2, \dots, 2^n$). Let $b_n = 1/2^{n+1}$ ($n = 1, 2, \dots$) and let $\{c_n\}$ be the subsequence of $\{a_n\}$ complementary to the subsequence $a_0, a_1, b_1, b_2, \dots$ of $\{a_n\}$, i.e. $c_1 = \frac{1}{2}$, $c_2 = \frac{3}{4}$, $c_3 = \frac{3}{8}$, $c_4 = \frac{5}{8}$, $c_5 = \frac{7}{8}$, $c_6 = \frac{3}{16}$, $\dots$. Next we define a rearrangement of $\{a_n\}$ as follows: a_0, a_1, c_1 ; b_1, b_2, b_3, c_2 ; $\dots$; $b_{q(n-1)+1}, \dots, b_{q(n)}, c_n$; $\dots$, where $q(n) = 1 + 2^2 + \dots + n^2 - n$ ($n = 2, 3, \dots$). (Note. To each positive integer n is associated a group of numbers consisting of $n^2 - 1$ b_i 's and one c_i .) To simplify matters, let us rename the above sequence as $d_0, d_1, d_2, \dots$.

We now define a sequence in $C[0, 1]$ as follows (it is slightly different

Received by the editors June 22, 1972 and, in revised form, September 13, 1972 and January 17, 1973.

AMS (MOS) subject classifications (1970). Primary 46B15; Secondary 46E15.

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from the usual way of defining a generalized Schauder basis [1, p. 11]):

$$\begin{aligned}x_0(t) &= 1, \\x_1(t) &= 1 - t, \\x_2(t) &= 1, \quad t = d_2, \\&= 0, \quad t \in \{d_0, d_1\}, \\&= \text{linear} \quad \text{for other } t,\end{aligned}$$

and for $n \geq 3$

$$\begin{aligned}x_n(t) &= 1, \quad t = d_n, \\&= 0, \quad t \in \{d_0, d_1, \dots, d_{n-1}, g_n\}, \\&= \text{linear} \quad \text{for other } t,\end{aligned}$$

where g_n denotes the first b_i in the sequence $\{b_i\}$ not in $\{d_0, d_1, \dots, d_n\}$.

Finally, we define another sequence in $C[0, 1]$ as follows: $y_i = x_i$ ($i=0, 1, 2$), and for $n \geq 2$ and $p(n) = 1 + 2^2 + \dots + (n-1)^2 + 1$

$$\begin{aligned}y_{p(n)+1} &= -x_{p(n)+1} - x_{p(n)+2} - \dots - x_{p(n+1)-1} + n^{-2}x_{p(n+1)}, \\y_{p(n)+k} &= x_{p(n)+k-1} + n^{-2}x_{p(n+1)} \quad (k = 2, \dots, n^2).\end{aligned}$$

We note in particular that for $n \geq 3$, $1 \leq k \leq n^2$, the support of $y_{p(n)+k}$ is contained in the union of two closed disjoint intervals $I_{n,1}$ and $I_{n,2}$, where $I_{n,1} = [b_{q(n)+1}, b_{q(n-1)}]$ and $I_{n,2} = [b_{q(n-1)-1}, 1]$. (Note. $b_{q(n-1)-1} \leq b_{n-1} < c_n < 1$.)

THEOREM. *The sequence $\{y_n\}$ is a bounded Hilbertian basis of $C[0, 1]$.*

PROOF. The direct method used in showing the generalized Schauder basis of $C[0, 1]$ is a basis [1, p. 11] can be used with slight modification to show $\{x_n\}$ is a basis. Since $x_i = y_i$ ($i=0, 1, 2$), $x_{p(n+1)} = y_{p(n)+1} + \dots + y_{p(n+1)}$, and $x_{p(n)+k} = y_{p(n)+k-1} + n^{-2}(y_{p(n)+1} + \dots + y_{p(n+1)})$ ($k=1, \dots, n^2-1$), $[x_i] = [y_i]$ ($i=0, 1, 2$) and $[x_{p(n)+1}, \dots, x_{p(n+1)}] = [y_{p(n)+1}, \dots, y_{p(n+1)}]$. Hence it suffices [1, p. 64] to show that there is a constant C independent of n such that for any sequence of real numbers $h_1, h_2, \dots$,

$$\left\| \sum_{i=1}^k h_i y_{p(n)+i} \right\| \leq C \left\| \sum_{i=1}^{n^2} h_i y_{p(n)+i} \right\|$$

($n=1, 2, \dots$; $k=1, 2, \dots, n^2$).

The following argument is similar to an argument used in [3]; we present it here for completeness. Let $g(k)=0$ if $k=n^2$ and $=1$ if $1 \leq k < n^2$, then

$$\begin{aligned}\left\| \sum_{i=1}^k h_i y_{p(n)+i} \right\| &= \text{Max}\{|h_1 + \dots + h_k|/n^2, |h_1 - h_2|, \dots, |h_1 - h_k|, g(k)|h_1|\} \\&\leq 2 \text{Max}\{|h_i| \mid 1 \leq i \leq n^2\}.\end{aligned}$$

Now let $e_1 = (h_1 + \cdots + h_N)/N$, and $e_k = h_k - h_1$, $k=2, \cdots, n^2=N$; then $h_1 = e_1 - (e_1 + e_2 + \cdots + e_n)/N$, $h_k = e_k + h_1$ ($k=2, \cdots, n^2=N$), and

$$\text{Max}\{|h_i| \mid 1 \leq i \leq n^2\} \leq 3 \text{Max}\{|e_i| \mid 1 \leq i \leq n^2\}.$$

Hence for $N=n^2$

$$\begin{aligned} \left\| \sum_{i=1}^N h_i y_{p(n)+i} \right\| &= \text{Max}\{|h_1 + \cdots + h_N|/N, |h_1 - h_2|, \cdots, |h_1 - h_N|\} \\ &= \text{Max}\{|e_i| \mid 1 \leq i \leq N\} \\ &\geq \frac{1}{3} \text{Max}\{|h_i| \mid 1 \leq i \leq N\} \\ &\geq \frac{1}{6} \left\| \sum_{i=1}^k h_i y_{p(n)+i} \right\|. \end{aligned}$$

Hence C can be chosen to be 6.

To show that $\{y_n\}$ is a bounded Hilbertian basis, we may disregard a finite number of y_i 's. Therefore, for sake of symmetry, we will consider only $y_{p(n)+k}$ for $n \geq 3$, $1 \leq k \leq n^2$. Let $I_{n,1}$ and $I_{n,2}$ be the two disjoint closed intervals mentioned above. It is clear that

$$\sup\{|y_{p(n)+k}(t)| \mid t \in I_{n,1}\} = 1,$$

and

$$\sup\{|y_{p(n)+k}(t)| \mid t \in I_{n,2}\} = n^{-2} \quad (n \geq 3, 1 \leq k \leq n^2).$$

For $n \geq 3$, $1 \leq k \leq n^2$, let

$$\begin{aligned} u_{p(n)+k}(t) &= y_{p(n)+k}(t), & t \in I_{n,1}, \\ &= 0 & \text{otherwise,} \\ v_{p(n)+k}(t) &= y_{p(n)+k}(t), & t \in I_{n,2}, \\ &= 0 & \text{otherwise.} \end{aligned}$$

One can readily verify that $u_{p(n)+1} = -(x_{p(n)+1} + \cdots + x_{p(n+1)-1})$, $u_{p(n)+k} = x_{p(n)+k-1}$ ($k=2, \cdots, n^2$), and $v_{p(n)+k} = n^{-2} x_{p(n)+1}$ ($k=1, 2, \cdots, n^2$). Thus $u_{p(n)+k}$, $v_{p(n)+k}$ are in $C[0, 1]$, $u_{p(n)+k} + v_{p(n)+k} = y_{p(n)+k}$, $\|u_{p(n)+k}\| = 1$, $\|v_{p(n)+k}\| = n^{-2}$, $u_{p(n)+1} \leq 0$ and $u_{p(n)+k} \geq 0$ ($2 \leq k \leq n^2$). And because of the way the x_i 's are defined, we also have

$$\left\| \sum_{n=3}^N u_{p(n)+1} \right\| = \left\| \sum_{n=3}^N \sum_{j=1}^{n^2-1} x_{p(n)+j} \right\| = 1$$

($N \geq 3$), and similarly,

$$\left\| \sum_{n=3}^{N-1} \sum_{k=2}^{n^2} u_{p(n)+k} + \sum_{k=2}^K u_{p(N)+k} \right\| = 1$$

($N \geq 3$, $2 \leq K \leq N^2$).

Let $f \in C[0, 1]^*$. Then $f(y_n) = f(u_n) + f(v_n)$ ($n \geq 7$), and

$$\sum_{n=7}^{\infty} |f(y_n)|^2 \leq 2 \left\{ \sum_{n=7}^{\infty} |f(u_n)|^2 + \sum_{n=7}^{\infty} |f(v_n)|^2 \right\}.$$

Hence it is sufficient to prove the two series on the right converge. Now $|f(v_n)| \leq \|f\| \|v_n\| = \|f\|/k^2$ for some $k \geq 3$. But because of the way the y_n 's are constructed, there are exactly k^2 v_n 's with $\|v_n\| = k^{-2}$. Hence

$$\sum_{n=7}^{\infty} |f(v_n)|^2 \leq \|f\|^2 \sum_{k=3}^{\infty} k^2 \left(\frac{1}{k^2} \right)^2 < \infty.$$

To show the other series converges, we note that there exists some function h of bounded variation on $[0, 1]$ such that $f(u_n) = \int_0^1 u_n dh$. Let h_1 and h_2 be the positive and negative variations of h respectively. For any integer $M = p(N) + K \geq 7$ ($N \geq 3$, $1 \leq K \leq N^2$),

$$\begin{aligned} \sum_{n=7}^M |f(u_n)| &= \sum_{n=3}^{N-1} \sum_{k=1}^{n^2} |f(u_{p(n)+k})| + \sum_{k=1}^K |f(u_{p(N)+k})| \\ &= \sum_{n=3}^N |f(u_{p(n)+1})| + \sum_{n=3}^{N-1} \sum_{k=2}^n |f(u_{p(n)+k})| + \sum_{k=2}^K |f(u_{p(N)+k})| \\ &\leq \sum_{i=1}^2 \sum_{n=3}^N \left| \int_0^1 u_{p(n)+1} dh_i \right| \\ &\quad + \sum_{i=1}^2 \left\{ \sum_{n=3}^{N-1} \sum_{k=2}^{n^2} \left| \int_0^1 u_{p(n)+k} dh_i \right| + \sum_{k=2}^K \left| \int_0^1 u_{p(N)+k} dh_i \right| \right\} \\ &= \sum_{i=1}^2 \left| \int_0^1 \left(\sum_{n=3}^N u_{p(n)+1} \right) dh_i \right| \\ &\quad + \sum_{i=1}^2 \left| \int_0^1 \left(\sum_{n=3}^{N-1} \sum_{k=2}^{n^2} u_{p(n)+k} + \sum_{k=2}^K u_{p(N)+k} \right) dh_i \right| \\ &\leq 2(V(h_1) + V(h_2)) \end{aligned}$$

where $V(h_i)$ ($i=1, 2$) are the total variations of the monotone increasing functions h_i . This obviously implies the convergence of the series.

Finally, the boundedness is clear. This completes the proof.

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