

## TWO RADIUS OF CONVEXITY PROBLEMS

CARL P. MCCARTY

**ABSTRACT.** The sharp radius of convexity of functions with prescribed second coefficient is found for the two classes: functions starlike of order  $\alpha$ , and functions whose derivative has real part greater than  $\alpha$ .

**1. Introduction.** Let  $\mathcal{P}(\alpha)$  denote the class of functions  $P(z) = 1 + b_1z + \cdots$  which are analytic and satisfy  $\operatorname{Re}\{P(z)\} > \alpha$  for  $|z| < 1$  where  $\alpha \in [0, 1)$ . If  $\varepsilon = \exp\{-i \arg b_1\}$  then  $P(\varepsilon z) = 1 + |b_1|z + \cdots$  and we see that it is no actual restriction to limit our study of  $\mathcal{P}(\alpha)$  to functions with a nonnegative real first coefficient. It is known [2] that  $|b_1| \leq 2(1 - \alpha)$  and we define  $\mathcal{P}_b(\alpha) = \{P(z) \in \mathcal{P}(\alpha) : P'(0) = 2b(1 - \alpha)\}$  for  $b \in [0, 1]$ . This paper extends results found in [1] by obtaining a lower bound on  $\operatorname{Re}\{zP'(z)/P(z)\}$  for  $P(z) \in \mathcal{P}_b(\alpha)$  and subsequently applying the results to obtain a sharp estimate for the radius of convexity of the two classes  $\mathcal{S}_a^*(\alpha)$  and  $\mathcal{P}_a'(\alpha)$  for each  $a \in [0, 1]$  and  $\alpha \in [0, 1)$  where

$$\mathcal{P}_a'(\alpha) = \{F(z) = z + a(1 - \alpha)z^2 + \cdots : F'(z) \in \mathcal{P}_a(\alpha)\}$$

and

$$\mathcal{S}_a^*(\alpha) = \{f(z) = z + 2a(1 - \alpha)z^2 + \cdots : \operatorname{Re}\{zf'(z)/f(z)\} > \alpha \text{ for } |z| < 1\}.$$

The technique used to obtain the results is based on a method of Singh and Goel [4] and extends some of the results found therein.

**2. Preliminaries.** Let  $\mathcal{A}$  denote the class of functions  $w(z)$  such that  $w(0) = 0$  which are also analytic and satisfy  $|w(z)| < 1$  for  $|z| < 1$ . We will occasionally use  $\beta = 2\alpha - 1$  to simplify computations and statements of results.

---

Received by the editors December 22, 1972 and, in revised form, March 23, 1973.  
 AMS (MOS) subject classifications (1970). Primary 30A32, 30A76; Secondary 30A04.

*Key words and phrases.* Radius of convexity, functions starlike of order  $\alpha$ , functions with positive real part.

© American Mathematical Society 1974

LEMMA 1. If  $P(z) \in \mathcal{P}_b(\alpha)$ , then  $|P(z) - A_b| \leq D_b$  for  $b \in [0, 1]$  where

$$A_b = \frac{(1 + br)^2 - \beta(b + r)^2 r^2}{(1 + 2br + r^2)(1 - r^2)}, \quad D_b = \frac{(1 - \beta)(b + r)(1 + br)r}{(1 + 2br + r^2)(1 - r^2)},$$

$$\beta = 2\alpha - 1 \quad \text{and} \quad r = |z| < 1.$$

PROOF. It is known that  $P(z) \in \mathcal{P}_b(\alpha)$  if and only if there exists some  $w(z) \in \mathcal{A}$  such that

$$(1) \quad P(z) = [1 + (1 - 2\alpha)w(z)]/[1 - w(z)].$$

So  $w(z) = [P(z) - 1]/[P(z) + (1 - 2\alpha)] = bz + \dots = z\varphi(z)$  where  $\varphi(z)$  is analytic and  $|\varphi(z)| \leq 1$  for  $|z| < 1$  with  $\varphi(0) = b$ . Now,  $(\varphi(z) - b)/(1 - b\varphi(z)) < z$  and it follows that  $\varphi(z) < (z + b)/(1 + bz)$  and

$$|w(z)| = |z\varphi(z)| \leq |z|(|z| + b)/(1 + b|z|).$$

Let

$$g(z) = \frac{|z| + b}{1 + b|z|} z \quad \text{and} \quad T(z) = \frac{1 + (1 - 2\alpha)z}{1 - z}.$$

We note that the image of  $|z| \leq r$  under  $g(z)$  is a disk and that  $T(z)$  is a bilinear transformation. The image of  $|z| \leq r < 1$  under  $P(z)$  is contained within the image of  $|z| \leq r$  under  $(T \circ g)(z)$  which proves the lemma.

REMARK 1. For a fixed  $r \in [0, 1]$ ,  $A_b - D_b$  decreases as  $b$  increases over the interval  $[0, 1]$  since

$$\frac{\partial}{\partial b} (A_b - D_b) = - \frac{(1 - \alpha)(1 - r^2)}{(1 + 2br + r^2)^2} < 0.$$

LEMMA 2. Let  $P(z) \in \mathcal{P}_b(\alpha)$ ,  $\beta = 2\alpha - 1 \in [-1, 1]$ , and  $k \geq 1$ ; then for  $b \in [0, 1]$

$$(2) \quad \operatorname{Re} \left\{ kP(z) + \frac{\beta}{P(z)} \right\} - \frac{|z|^2 |P(z) - \beta|^2 - |P(z) - 1|^2}{(1 - |z|^2) |P(z)|}$$

$$\geq \frac{(k + \beta) + 2((k + 2)\beta + k)br + ((k + 1)(\beta + 1)^2 b^2 + 2(k + \beta) - (\beta - 1)^2)r^2 + 2(k\beta + (k + 2))\beta br^3 + (1 + k\beta)\beta r^4}{(1 + 2br + r^2)(1 + (\beta + 1)br + \beta r^2)}$$

if  $R_b \geq R'$

$$(3) \quad \geq 2((1 + k)(1 + \beta)A_1)^{1/2} - A_1 \quad \text{if } R_b \leq R'$$

where  $A_b$  and  $D_b$  are as in Lemma 1 with  $R_b = A_b - D_b$  and

$$R' = ((1 + \beta)A_1/(1 + k))^{1/2}.$$

PROOF. Let  $P(z) = A_b + u + iv$  and  $R^2 = (A_b + u)^2 + v^2$  with  $r = |z|$ , then

$$(4) \quad \operatorname{Re} \left\{ kP(z) + \frac{\beta}{P(z)} \right\} - \frac{|z|^2 |P(z) - \beta|^2 - |P(z) - 1|^2}{(1 - |z|^2) |P(z)|} \\ = \left( k - 2 \left( \frac{1 - \beta r^2}{1 - r^2} \right) R^{-1} + \beta R^{-2} \right) (A_b + u) \\ + R + \left( \frac{1 - \beta^2 r^2}{1 - r^2} \right) R^{-1}.$$

Since  $A_1 = (1 - \beta r^2)/(1 - r^2)$  and  $D_1 = ((1 - \beta)r)/(1 - r^2)$ , the right-hand side of (4) may be written

$$(5) \quad (k - 2A_1R^{-1} + \beta R^{-2})(A_b + u) + R + (A_1^2 - D_1^2)R^{-1}.$$

Let  $S_b(u, v)$  denote the expression appearing in (5), then regrouping the terms we have

$$(6) \quad S_b(u, v) = (k + \beta R^{-2})(A_b + u) + (((A_b + u) - A_1)^2 + v^2 - D_1^2)R^{-1}, \\ \partial S_b / \partial v = vR^{-4}T_b(u, v),$$

$$(7) \quad T_b(u, v) = -2\beta(A_b + u) + (D_1^2 - (A_b + u - A_1)^2 - v^2)R + 2R^3 \\ = -2\beta(A_b + u) + (D_1^2 + 2A_1(A_b + u) - A_1^2)R + R^3.$$

Denote by  $F_b(R)$  the right-hand side of (7) with  $R \cos \psi = A_b + u$ ; then

$$(8) \quad F_b(R) = 2(A_1R - \beta)R \cos \psi + (D_1^2 - A_1^2 + R^2)R.$$

Geometrical considerations show that for  $R \in [A_b - D_b, A_b + D_b]$  the function  $F_b(R)$  increases with increasing  $R$ . Since  $R \cos \psi$  is the projection onto the real axis, it must lie on the diameter of the circle of Lemma 1; we have  $R \cos \psi \geq A_1 - D_1$  by virtue of Remark 1 and so for all  $b \in [0, 1]$

$$F_b(R) \geq (2(A_1(A_1 - D_1) - \beta) + (D_1^2 - A_1^2 + (A_1 - D_1)^2))(A_1 - D_1) \\ = \left( (1 - \beta) \left( \frac{1 - \beta r^2}{(1 + r)^2} \right) \right) (A_1 - D_1) > 0.$$

Hence the minimum of  $S_b(u, v)$  inside the circle  $|P(z) - A_b| \leq D_b$  is attained on the diameter. Setting  $v = 0$  in (5) we obtain

$$(9) \quad L_b(R) = (1 + k)R + (\beta + 1)A_1R^{-1} - 2A_1$$

where  $R = A_b + u \in [A_b - D_b, A_b + D_b]$ . The absolute minimum of  $L_b(R)$  in  $(0, \infty)$  is attained at

$$(10) \quad R' = ((1 + \beta)A_1/(1 + k))^{1/2}$$

and yields (3). Clearly  $R' < A_b + D_b$ , but it is not always true that  $R' \in [A_b - D_b, A_b + D_b]$ . When  $R'$  is not in the interval then  $L_b(R)$  achieves its minimum at the point  $R_b = A_b - D_b$  from which we get (2). The transition from (2) to (3) takes place for those values of  $k$  and  $\beta$  for which  $R' = R_b$ .

LEMMA 3 [4]. If  $w(z) \in \mathcal{A}$ , then for  $|z| < 1$

$$(11) \quad |zw'(z) - w(z)| \leq \frac{|z|^2 - |w(z)|^2}{1 - |z|^2}.$$

THEOREM 1. Suppose  $P(z) \in \mathcal{P}_b(\alpha)$  for  $\alpha \in [0, 1)$ , then, for all  $b \in [0, 1]$ ,

$$(12) \quad \operatorname{Re} \left\{ \frac{zP'(z)}{P(z)} \right\} \geq \frac{-2(1-\alpha)r}{1+2\alpha br + (2\alpha-1)r^2} \frac{b+2r+br^2}{1+2br+r^2} \quad \text{if } R' \leq R_b$$

$$(13) \quad \geq (2(\alpha A_1)^{1/2} - A_1 - \alpha)/(1-\alpha) \quad \text{if } R' \geq R_b$$

where  $R_b = A_b - D_b$ ,  $R' = (\alpha A_1)^{1/2}$ , and  $r = |z| < 1$ ;  $A_b, D_b$  as in Lemma 1.

PROOF. Taking the logarithmic derivative of both sides of (1) we get

$$\begin{aligned} \operatorname{Re} \left\{ \frac{zP'(z)}{P(z)} \right\} &= (1-\beta) \operatorname{Re} \left\{ \frac{zw'(z)}{(1-w(z))(1-\beta w(z))} \right\} \\ &\geq (1-\beta) \left( \operatorname{Re} \left\{ \frac{w(z)}{(1-w(z))(1-\beta w(z))} \right\} \right. \\ &\quad \left. - \left| \frac{zw'(z) - w(z)}{(1-w(z))(1-\beta w(z))} \right| \right) \\ &\geq (1-\beta)^{-1} \left( \operatorname{Re} \left\{ P(z) + \frac{\beta}{P(z)} - (1+\beta) \right\} \right. \\ &\quad \left. - \frac{|z|^2 |P(z) - \beta|^2 - |P(z) - 1|^2}{(1-|z|^2) |P(z)|} \right) \end{aligned}$$

where the last inequality follows by virtue of Lemma 3 and the triangle inequality. The proof is concluded by applying Lemma 2 with  $k=1$  and  $\beta=2\alpha-1$ .

Figure 1 shows the transitional curve determined by  $R' = R_b$  for various values of  $r$  from 0.3 to 0.6.

REMARK 2. From Remark 1,  $R_1 \leq R_b$  for  $b \in [0, 1]$ . Thus  $\alpha A_1 \leq R_b^2$  whenever  $\alpha A_1 \leq R_1^2$  or equivalently, when

$$\begin{aligned} 0 &\leq (1 + (2\alpha - 1)r^2)(1 - r) - \alpha(1 - (2\alpha - 1)r^2)(1 + r) \\ &= (1 - \alpha)W(r, \alpha) \end{aligned}$$

where

$$\begin{aligned} W(r, \alpha) &= 1 - 3r - 3(2\alpha - 1)r^2 + (2\alpha - 1)r^3 \geq 1 - 3r - 3r^2 + r^3 \\ &= (1 - r)(1 - 4r + r^2) \geq 0 \end{aligned}$$

for each  $\alpha \in [0, 1)$ . Hence (12) is valid for all  $\alpha \in [0, 1)$ ,  $b \in [0, 1]$  and  $r \in [0, 2-3^{1/2}]$ . Thus the transitional curve for  $r=2-3^{1/2}$  would just touch the upper right-hand corner of the square in Figure 1.

3. **The class  $\mathcal{P}'_a(\alpha)$ .** Let  $\mathcal{P}'_a(\alpha)$  denote the class of functions  $F(z) = z + a(1-\alpha)z^2 + \dots$  such that  $F'(z) \in \mathcal{P}_a(\alpha)$ . Results concerning distortion and regions covered by the class together with other references may be found in [1]. A radius of convexity theorem is also presented in [1] for the class  $\mathcal{P}'_a(\alpha)$ ; the result, however, is exact only for the case  $\alpha=0$ . Here we will produce a sharp estimate for all  $a \in [0, 1]$  and  $\alpha \in [0, 1)$ .

From Nehari [2] it is known that  $F(z)$  maps  $|z| < r$  onto a convex region if  $\operatorname{Re}\{1 + zF''(z)/F'(z)\} > 0$  for  $|z| < r$ .

LEMMA 4. If  $F(z) \in \mathcal{P}'_a(\alpha)$ , then, for all  $\alpha \in [0, 1)$  and  $a \in [0, 1]$ ,

$$\begin{aligned} & \operatorname{Re}\left\{1 + \frac{zF''(z)}{F'(z)}\right\} \\ (14) \quad & \geq \frac{1 + 4\alpha ar + (6\alpha - 4 + 4a^2\alpha)r^2 + 4(2\alpha - 1)ar^3 + (2\alpha - 1)r^4}{(1 + 2\alpha ar + (2\alpha - 1)r^2)(1 + 2ar + r^2)} \end{aligned}$$

if  $R_a \geq R'$

$$(15) \quad \geq \frac{(1 - 2\alpha) - A_1 + 2(\alpha A_1)^{1/2}}{1 - \alpha} \quad \text{if } R_a \leq R'$$

where

$$R_a = \frac{1 + 2\alpha ar + (2\alpha - 1)r^2}{1 + 2ar + r^2}, \quad R' = (\alpha A_1)^{1/2}, \quad r = |z| < 1.$$

PROOF Let  $P(z) = F'(z)$ , then  $\operatorname{Re}\{1 + zF''(z)/F'(z)\} = 1 + \operatorname{Re}\{zP'(z)/P(z)\}$  and we may apply Theorem 1.

THEOREM 2. Each  $F(z) \in \mathcal{P}'_a(\alpha)$  maps  $|z| < R$  onto a convex region where  $R$  is the smallest positive root of the equation

$$1 + 4\alpha ar + (6\alpha - 4 + 4a^2\alpha)r^2 + 4(2\alpha - 1)ar^3 + (2\alpha - 1)r^4 = 0$$

if  $R_a \geq R'$ , and  $R = (1 + (\alpha^{-1} - 1)^{1/2})^{1/2}$  if  $R_a \leq R'$ ,  $R_a$  and  $R'$  are as in Lemma 4. The result is sharp for each  $\alpha \in [0, 1)$  and  $a \in [0, 1]$ .

PROOF. Apply Lemma 4. For sharpness consider

$$F(z) = -(1 - 2\alpha)z + (1 - \alpha)((1 - a) \cdot \log(1 + z) - (1 + c) \cdot \log(1 - z))$$

if  $R_a \geq R'$ ,

$$F(z) = -(1 - 2\alpha)z + (1 - \alpha)((1 - c) \cdot \log(1 + z) - (1 + c) \cdot \log(1 - z))$$

if  $R_a \leq R'$

where  $c$  is determined from  $R_c = R'$ .

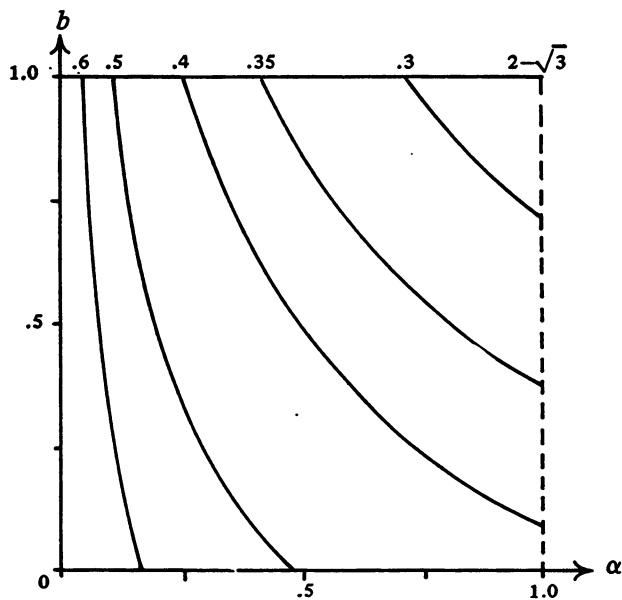


FIGURE 1

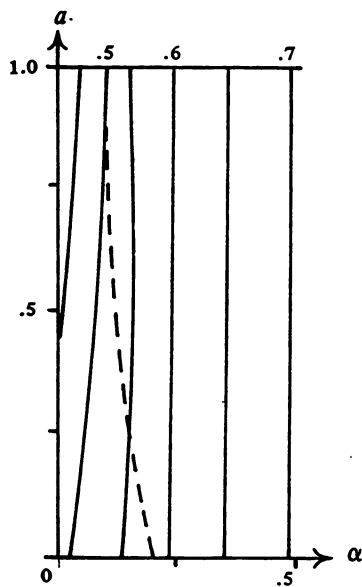


FIGURE 2

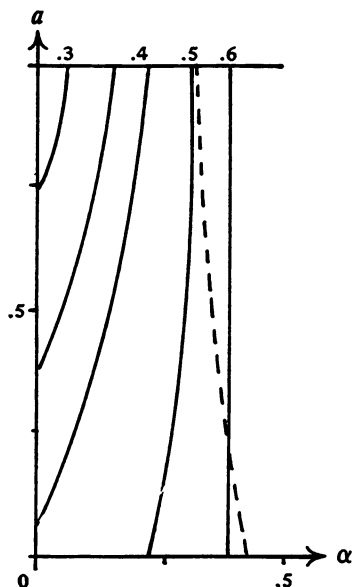


FIGURE 3

Figure 2 shows the transitional line  $R_a = R'$  and displays some level curves for various values of the radius of convexity.

4. **The class  $\mathcal{S}_a^*(\alpha)$ .** Let  $\mathcal{S}^*(\alpha)$  represent the class of functions  $f(z) = z + a_2 z^2 + \dots$  which are analytic and for which  $\operatorname{Re}\{zf'(z)/f(z)\} > \alpha$  for  $\alpha \in [0, 1]$  and  $|z| < 1$ . A. Schild [3] has shown that  $|a_2| \leq 2(1 - \alpha)$  and obtained results on the radius of convexity for a subclass of  $\mathcal{S}^*(\alpha)$ . Singh and Goel [4] obtained the exact radius of convexity for the entire class  $\mathcal{S}^*(\alpha)$ . Define

$$\mathcal{S}_a^*(\alpha) = \{f(z) = z + 2a(1 - \alpha)z^2 + \dots : f(z) \in \mathcal{S}^*(\alpha)\}.$$

D. Tepper [5] found the exact radius of convexity for  $\mathcal{S}_a^*(0)$ . Here we determine a sharp estimate for the entire class  $\mathcal{S}_a^*(\alpha)$ .

LEMMA 5. If  $f(z) \in \mathcal{S}_a^*(\alpha)$ , then, for all  $\alpha \in [0, 1]$  and  $a \in [0, 1]$ ,

$$\begin{aligned} (16) \quad & \operatorname{Re}\left\{1 + \frac{zf''(z)}{f'(z)}\right\} \\ & \geq \frac{1 + (6\alpha - 2)ar + (4\alpha^2 a^2 + 8\alpha - 6)r^2 + (8\alpha^2 - 2\alpha - 2)ar^3 + (2\alpha - 1)r^4}{(1 + 2ar + r^2)(1 + 2\alpha ar + (2\alpha - 1)r^2)} \end{aligned}$$

if  $R_a \geq R'$

$$(17) \quad \geq \frac{2(\alpha(2 - \alpha)A_1)^{1/2} - \alpha - A_1}{1 - \alpha} \quad \text{if } R_a \leq R'$$

where

$$R_a = \frac{1 + 2\alpha ar + (2\alpha - 1)r^2}{1 + 2ar + r^2}, \quad R' = \left(\frac{\alpha}{2 - \alpha} A_1\right)^{1/2}, \quad r = |z| < 1.$$

PROOF. Let  $P(z) = zf'(z)/f(z)$ , then  $\operatorname{Re}\{1 + zf''(z)/f'(z)\} = \operatorname{Re}\{P(z)\} + \operatorname{Re}\{zP'(z)/P(z)\}$ . Now apply Lemma 2 with  $\beta = 2\alpha - 1$  and  $k = 3 - 2\alpha$ .

THEOREM 3. Each  $f(z) \in \mathcal{S}_a^*(\alpha)$  maps  $|z| < R$  onto a convex region where  $R$  is the smallest positive root of the equation

$$1 + (6\alpha - 2)ar + (4\alpha^2 a^2 + 8\alpha - 6)r^2 + (8\alpha^2 - 2\alpha - 2)ar^3 + (2\alpha - 1)r^4 = 0$$

if  $R_a \geq R'$  and  $R = ((5\alpha - 1)/(4\alpha^2 - \alpha + 1 + 4\alpha(\alpha^2 - 3\alpha + 2)^{1/2}))^{1/2}$  if  $R_a \leq R'$  where  $R_a$  and  $R'$  are as in Lemma 5. The result is sharp for each  $\alpha \in [0, 1]$  and  $a \in [0, 1]$ .

PROOF. Apply Lemma 5. For sharpness, let

$$f(z) = z/(1 - 2az + z^2)^{1-\alpha}, \quad \text{if } R_a \geq R',$$

and

$$f(z) = z/(1 - 2cz + z^2)^{1-\alpha}, \quad \text{if } R_\alpha \leq R',$$

where  $c$  is determined from  $R_c = R'$ .

Figure 3 gives some level curves and the transitional curve for the class  $\mathcal{S}_\alpha^*(\alpha)$ .

REMARK 3. By setting  $a=0$  in Theorems 2 and 3 we could obtain sharp results on odd functions in the two classes  $\mathcal{P}'(\alpha)$  and  $\mathcal{S}^*(\alpha)$  for  $\alpha \in [0, 1)$ .

#### REFERENCES

1. C. P. McCarty, *Functions with real part greater than  $\alpha$* , Proc. Amer. Math. Soc. **35** (1972), 211–216.
2. Z. Nehari, *Conformal mapping*, McGraw-Hill, New York, 1952. MR **13**, 640.
3. A. Schild, *On starlike functions of order  $\alpha$* , Amer. J. Math. **87** (1965), 65–70. MR **30** #4929.
4. V. Singh and R. M. Goel, *On radii of convexity and starlikeness of some classes of functions*, J. Math. Soc. Japan **23** (1971), 323–339. MR **43** #7617.
5. D. E. Tepper, *On the radius of convexity and boundary distortion of schlicht functions*, Trans. Amer. Math. Soc. **150** (1970), 519–528. MR **42** #3268.

DEPARTMENT OF MATHEMATICS, LA SALLE COLLEGE, PHILADELPHIA, PENNSYLVANIA 19141