

## TENSOR PRODUCTS AND ALMOST PERIODICITY<sup>1</sup>

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**ABSTRACT.** Let  $E$  and  $F$  be locally convex spaces and  $G$  their completed  $\varepsilon$ -tensor product. It is shown that if  $S$  and  $T$  are weakly almost periodic equicontinuous semigroups of operators on  $E$  and  $F$  respectively, then, under mild restrictions on  $E$  or  $F$ ,  $S \otimes T$  is a weakly almost periodic equicontinuous semigroup of operators on  $G$ , and the almost periodic and flight vector subspaces of  $G$  are related in a natural way to the corresponding subspaces of  $E$  and  $F$  via the  $\varepsilon$ -tensor product. Furthermore, if  $E$  and  $F$  both decompose into a direct sum of these subspaces then so does  $G$ .

**1. Weakly almost periodic semigroups.** Let  $E$  be a locally convex (Hausdorff) linear topological space with topological dual  $E'$ , and let  $L(E)$  denote the space of continuous linear operators on  $E$ . A *semigroup of operators* on  $E$  is a subset  $S$  of  $L(E)$  containing the identity operator and closed under composition. A vector  $x \in E$  is said to be *weakly (strongly) almost periodic* under  $S$  if its orbit  $Sx = \{ux : u \in S\}$  is relatively compact in the weak (strong) topology of  $E$ . The set of all weakly (strongly) almost periodic vectors in  $E$  shall be denoted by  $W(E, S)$  ( $A(E, S)$ ). Occasionally we shall suppress the symbols  $E$  or  $S$  from the notation if they are understood from context. If  $W(E, S) = E$  ( $A(E, S) = E$ ) we say that  $S$  is *weakly (strongly) almost periodic*.

It is easily seen that multiplication in a semigroup of operators  $S$  on a locally convex space  $E$  is separately continuous with respect to the weak or strong operator topologies on  $L(E)$ , that is to say  $S$  is a topological semigroup. Moreover if  $S$  is equicontinuous then multiplication is actually jointly continuous in the strong operator topology. The following lemma is at the heart of the theory of weak almost periodicity. A proof can be found in [1].

**LEMMA 1.1.** *Let  $S$  be a weakly almost periodic equicontinuous semigroup of operators on a locally convex space  $E$ , and let  $\bar{S}$  denote the closure*

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of  $S$  in the weak operator topology of  $L(E)$ . Then  $\bar{S}$  is a compact topological semigroup in the weak operator topology.

In connection with Lemma 1.1 we remark that if  $E$  is barreled or a Baire space then the weak almost periodicity of  $S$  implies equicontinuity [8, p. 83], and if  $E$  is semireflexive then the converse implication holds [8, p. 144].

Using Lemma 1.1 the following generalization of a result of Eberlein [3] is easily proved (see [1]).

**THEOREM 1.2.** *Let  $S$  be an equicontinuous semigroup of operators on a locally convex space  $E$ . Then  $W=W(E, S)$  is an  $S$ -invariant linear subspace of  $E$ . Moreover, if  $E$  is complete then  $W$  is closed.*

**COROLLARY 1.3.** *If  $S$  is a weakly almost periodic equicontinuous semigroup on  $E$ , then the extension of  $S$  to the completion of  $E$  is a weakly almost periodic equicontinuous semigroup.*

**2. Tensor product of weakly almost periodic semigroups.** Let  $E$  and  $F$  be locally convex spaces and  $G=E \otimes_{\epsilon} F$  the completion in the  $\epsilon$ -topology of the tensor product  $E \otimes F$ . If  $S$  and  $T$  are semigroups of operators on  $E$  and  $F$  respectively we shall let  $S \otimes T$  denote the set of all operators in  $L(G)$  of the form  $u \otimes v$ , where  $u \in S$  and  $v \in T$ . Recall that  $u \otimes v$  is defined by the equation  $(u \otimes v)(x \otimes y) = ux \otimes vy$ . It follows easily that  $S \otimes T$  is a semigroup of operators on  $G$ , where  $(u_1 \otimes v_1)(u_2 \otimes v_2) = u_1 u_2 \otimes v_1 v_2$ . Furthermore, if  $S$  and  $T$  are equicontinuous then so is  $S \otimes T$  [5].

Our main object in this section is to determine conditions on  $E$  and  $F$  under which the weak almost periodicity of  $S$  and  $T$  implies that of  $S \otimes T$ . To this end we require the following lemma.

**LEMMA 2.1.** *Let  $E$  and  $F$  be locally convex spaces,  $A$  and  $B$  relatively weakly compact subsets of  $E$  and  $F$  respectively. Suppose one of the following conditions holds:*

- (i)  $E$  or  $F$  has separable dual;
- (ii)  $E$  or  $F$  is a Banach space;
- (iii)  $A$  or  $B$  is relatively strongly compact.

*Then  $A \otimes B = \{x \otimes y : x \in A, y \in B\}$  is relatively compact in the weak topology of  $G = E \otimes_{\epsilon} F$ .*

**PROOF.** By the completeness of  $G$  it suffices to show that any sequence  $(x_n \otimes y_n)$  in  $A \otimes B$  has a weak cluster point [8, p. 187]. Let  $(x_{\alpha})$  be a subnet of  $(x_n)$  converging weakly to  $x_0 \in E$  and  $(y_{\alpha})$  a subnet of  $(y_n)$  converging weakly to  $y_0 \in F$ . We shall show that  $x_{\alpha} \otimes y_{\alpha}$  converges weakly to  $x_0 \otimes y_0$  in  $G$ . The equality  $x_{\alpha} \otimes y_{\alpha} - x_0 \otimes y_0 = (x_{\alpha} - x_0) \otimes y_0 + x_{\alpha} \otimes (y_{\alpha} - y_0)$  and the

separate weak continuity of tensor product show that it suffices to prove that  $x_\alpha \otimes (y_\alpha - y_0)$  converges weakly to zero. Let  $\varphi \in G'$  and suppose  $\varphi(x_\alpha \otimes (y_\alpha - y_0))$  does not converge to zero. Then there exist a positive number  $\varepsilon$  and a subnet  $x_\beta \otimes (y_\beta - y_0)$  such that  $|\varphi(x_\beta \otimes (y_\beta - y_0))| \geq \varepsilon$  for all  $\beta$ . Now for all  $x \in E$ ,  $y \in F$ ,

$$\varphi(x \otimes y) = \int_{A' \times B'} \langle x, x' \rangle \langle y, y' \rangle d\mu(x', y')$$

where  $A'$  and  $B'$  are equicontinuous subsets of  $E'$  and  $F'$  respectively and  $\mu$  is a Borel measure on  $A' \times B'$  with total variation  $|\mu| \leq 1$  [8, p. 168]. Since  $A$  is bounded,  $\lambda = \sup\{|\langle x, x' \rangle| : x \in A, x' \in A'\} < \infty$ . Therefore for all  $\beta$  we have

$$(1) \quad \int_{A' \times B'} |\langle y_\beta - y_0, y' \rangle| d|\mu|(x', y') \geq \varepsilon/\lambda > 0.$$

Suppose  $F'$  is separable with total set  $\{y'_n\}$ , and choose a sequence  $(y_k)$  from the set  $\{y_\beta\}$  such that  $\lim_{k \rightarrow \infty} \langle y_k - y_0, y'_n \rangle = 0$  for every  $n$ . Since  $B$  is bounded, it follows easily that  $y_k$  converges weakly to  $y_0$ . By Lebesgue's Dominated Convergence Theorem we thus obtain a contradiction to (1).

Now assume  $F$  is a Banach space. Then by Eberlein's Theorem we may suppose  $y_n$  converges weakly to  $y_0$  and in the same manner as above we contradict (1).

Finally, if  $B$  is relatively strongly compact then we may assume  $\langle y_\beta - y_0, y' \rangle$  converges to 0 uniformly on  $B'$  and again we contradict (1). Q.E.D.

We may now state and prove the main result of this section.

**THEOREM 2.2.** *Let  $E$  and  $F$  be locally convex spaces,  $S$  and  $T$  weakly almost periodic equicontinuous semigroups of operators on  $E$  and  $F$  respectively, and let  $G = E \otimes_e F$ . Suppose further that one of the following conditions holds:*

- (i)  $E$  or  $F$  has separable dual;
- (ii)  $E$  or  $F$  is a Banach space;
- (iii)  $S$  or  $T$  is strongly almost periodic.

*Then  $S \otimes T$  is a weakly almost periodic equicontinuous semigroup of operators on  $G$ , and  $\text{Cl}(S \otimes T) = \bar{S} \otimes \bar{T}$  (closures taken in the weak operator topologies).*

**PROOF.** If  $x \in E$  and  $y \in F$ , then  $S \otimes T(x \otimes y) = Sx \otimes Ty$ ; hence if any of the conditions (i)–(iii) holds, Lemma 2.1 implies that  $x \otimes y \in W(G, S \otimes T)$ . By Theorem 1.2,  $G \subset W(G)$ , i.e.,  $S \otimes T$  is weakly almost periodic.

Let  $w \in \text{Cl}(S \otimes T)$ ,  $(u_\alpha)$  and  $(v_\alpha)$  nets in  $S$  and  $T$  respectively such that  $u_\alpha \otimes v_\alpha$  converges to  $w$  in the weak operator topology of  $L(G)$ . We may

assume  $u_\alpha$  converges to  $u \in \mathcal{S}$  and  $v_\alpha$  converges to  $v \in \mathcal{T}$ . Let  $x \in E$ ,  $y \in F$ ,  $x' \in E'$ ,  $y' \in F'$ . Then  $x' \otimes y' (u_\alpha \otimes v_\alpha (x \otimes y)) = \langle u_\alpha x, x' \rangle \langle v_\alpha y, y' \rangle$  converges to  $\langle ux, x' \rangle \langle vy, y' \rangle$ , hence  $x' \otimes y' (u \otimes v (x \otimes y) - w(x \otimes y)) = 0$ . If  $\varphi \in G'$ , then by definition of the  $\varepsilon$ -topology  $|\varphi(\theta)| \leq \sup\{|\langle x' \otimes y', \theta \rangle| : x' \in A', y' \in B'\}$  for all  $\theta \in G$ , where  $A'$  and  $B'$  are equicontinuous subsets of  $E'$  and  $F'$  respectively [5]. It follows that  $\varphi(u \otimes v (x \otimes y) - w(x \otimes y)) = 0$  and hence that  $w = u \otimes v \in \mathcal{S} \otimes \mathcal{T}$ .

Conversely, let  $u \otimes v \in \mathcal{S} \otimes \mathcal{T}$  and let  $(u_\alpha)$  and  $(v_\alpha)$  be as before. For fixed  $\beta$ ,  $u \otimes v_\beta$  is the weak operator limit of  $u_\alpha \otimes v_\beta$  and is therefore a member of  $\text{Cl}(\mathcal{S} \otimes \mathcal{T})$ . Taking the limit with respect to  $\beta$  we see that  $u \otimes v \in \text{Cl}(\mathcal{S} \otimes \mathcal{T})$ . Q.E.D.

**3. Decomposition of  $E \otimes_\varepsilon F$ .** In this section we shall determine conditions under which  $E \otimes_\varepsilon F$  has a direct sum decomposition into subspaces of almost periodic and flight vectors.

If  $S$  is a weakly almost periodic semigroup of operators on the locally convex space  $E$ , we denote by  $E_r$  ( $E_0$ ) the set of all vectors  $x \in E$  having the property that  $\text{Cl}(Sx) = \text{Cl}(Sy)$  for all  $y \in \text{Cl}(Sx)$  ( $0 \in \text{Cl}(Sx)$ ), where the closures are in the weak topology of  $E$ .  $E_r$  is the set of *reversible vectors* of  $E$ ,  $E_0$  the set of *flight vectors* [6]. Also, we shall let  $E_p$  denote the closed linear span of all finite-dimensional  $S$ -invariant subspaces  $H$  of  $E$  which have the property that  $S$  restricted to  $H$  is contained in an equicontinuous (i.e., uniformly bounded) group of operators on  $H$ .  $E_p$  is the set of *almost periodic vectors* [2].

The proofs of the following theorems rely heavily on the ideal theory of compact topological semigroups as developed by deLeeuw and Glicksberg in [2]. In particular we shall make use of the fact that a compact topological semigroup  $R$  contains a smallest (nonempty) two-sided ideal  $K(R)$ , called the *kernel* of  $R$ , and that  $K(R)$  contains at least one idempotent element.

We shall also need the analogs of Theorems 4.9, 4.10 and 4.11 of [2] in the setting of locally convex spaces. An examination of the proofs of these theorems reveals the following: Theorem 4.9 holds for any locally convex space and Theorems 4.10, 4.11 hold for quasi-complete spaces. For the details the interested reader is referred to [7]. These theorems may also be formulated so that no reference to topology need be made [1].

**THEOREM 3.1.** *Let  $S$  and  $T$  be weakly almost periodic equicontinuous semigroups of operators on the locally convex spaces  $E$  and  $F$  respectively. If  $\mathcal{S} \otimes \mathcal{T}$  is weakly almost periodic on  $G = E \otimes_\varepsilon F$  and if  $E_0$  and  $F_0$  are closed invariant linear spaces, then  $G_0$  is a closed invariant linear subspace of  $G$  and is the closure of  $E_0 \otimes F + E \otimes F_0$ .*

PROOF. By Theorem 4.9 of [2]  $\bar{S}$  and  $\bar{T}$  have unique minimal left ideals  $I$  and  $J$  respectively. To show  $G_0$  is a closed invariant subspace of  $G$  it suffices by the same theorem to show that  $\text{Cl}(S \otimes T)$  ( $= \bar{S} \otimes \bar{T}$ ) has a unique minimal left ideal, namely  $I \otimes J$ .

It is clear that  $I \otimes J$  is a left ideal of  $\text{Cl}(S \otimes T)$ . To show that it is minimal let  $K$  be a left ideal of  $\text{Cl}(S \otimes T)$  contained in  $I \otimes J$ , and choose any  $u_0 \otimes v_0 \in K$  such that  $u_0 \in I$ ,  $v_0 \in J$ . Now, by Corollary 2.4 of [2],  $I = K(\bar{S})$ , and by Theorem 2.3 [2],  $Iu_0 = I$ . Hence if  $e$  is any projection in  $K(\bar{S})$ , then there exists  $u \in I$  such that  $uu_0 = e$ . Similarly, if  $f$  is a projection in  $K(\bar{T})$ , there exists  $v \in J$  such that  $vv_0 = f$ . Since  $K$  is a left ideal,  $e \otimes f = (u \otimes v)(u_0 \otimes v_0) \in K$ . Fix  $e$  and  $f$  and let  $I_1 = \{u \in I : u \otimes f \in K\}$ .  $I_1$  is easily seen to be a non-empty left ideal of  $\bar{S}$ , hence  $I_1 = I$ , i.e.,  $u \otimes f \in K$  for every  $u \in I$ . Now let  $J_1 = \{v \in J : eu \otimes v \in K\}$ , where  $u$  is a fixed element of  $I$ .  $J_1$  is a left ideal of  $J$ , and, by what has just been proved,  $J_1$  contains  $f$ . Therefore  $J_1 = J$ , and we have shown that  $eu \otimes v \in K$  for all  $u \in I$ ,  $v \in J$  and all projections  $e \in I$ . By Corollary 2.4 of [2],  $I$  is the union of all right ideals  $e\bar{S}$ , where  $e^2 = e \in I$ . Hence given any  $u \in I$  there exists a projection  $e \in I$  such that  $eu = u$ , and it follows from above that  $I \otimes J = K$ .

By Theorem 2.3 of [2],  $K(\text{Cl}(S \otimes T))$  is the union of all minimal left ideals of  $\text{Cl}(S \otimes T)$  and therefore contains  $I \otimes J = K(\bar{S}) \otimes K(\bar{T})$ . But the latter is a two-sided ideal and so must equal  $K(\text{Cl}(S \otimes T))$ . Thus  $I \otimes J$  contains all minimal left ideals and therefore must be the unique minimal left ideal of  $\text{Cl}(S \otimes T)$ .

Now let  $\theta = \lim_{\alpha} \theta_{\alpha} \in G_0$ , where  $(\theta_{\alpha})$  is a net in  $E \otimes F$ . By Lemma 4.2 of [2] there exists a projection  $g \in K(\text{Cl}(S \otimes T)) = K(\bar{S}) \otimes K(\bar{T})$  such that  $g(\theta) = 0$ . Let  $e \in K(\bar{S})$  and  $f \in K(\bar{T})$  be arbitrary projections. Then  $e \otimes f$  is a projection in  $K(\text{Cl}(S \otimes T))$ , hence  $(e \otimes f)g = e \otimes f$  by Corollary 2.4 [2]. In particular,  $e \otimes f(\theta) = 0$ , hence  $\theta = \lim_{\alpha} (\theta_{\alpha} - e \otimes f(\theta_{\alpha}))$ . For a fixed  $\theta_{\alpha} = \sum_{i=1}^n x_i \otimes y_i$ ,

$$\begin{aligned} \theta_{\alpha} - e \otimes f(\theta_{\alpha}) &= \sum (x_i - ex_i) \otimes y_i \\ &\quad + \sum ex_i \otimes (y_i - fy_i) \in E_0 \otimes F + E \otimes F_0, \end{aligned}$$

so  $\theta \in \text{Cl}(E_0 \otimes F + E \otimes F_0)$ . Therefore we have  $G_0 \subset \text{Cl}(E_0 \otimes F + E \otimes F_0)$ . The reverse inclusion follows readily from the fact that  $G_0$  is a closed subspace of  $G$ . Q.E.D.

**THEOREM 3.2.** *Let  $S$  and  $T$  be weakly almost periodic equicontinuous semigroups of operators on the quasi-complete locally convex spaces  $E$  and  $F$  respectively, and suppose  $S \otimes T$  is weakly almost periodic on  $G = E \otimes_{\epsilon} F$ . If  $E_r = E_p$  and  $F_r = F_p$ , then  $G_r = G_p = E_p \otimes_{\epsilon} F_p$ .*

PROOF. The hypotheses imply that  $\bar{S}$  and  $\bar{T}$  have unique minimal right ideals  $I$  and  $J$  respectively [2, Theorem 4.10]. By methods analogous

to those used in the proof of Theorem 3.1,  $I \otimes J$  is the unique minimal right ideal of  $\text{Cl}(S \otimes T)$ . Hence by Theorem 4.10 [2],  $G_r = G_p$ .

If  $x \in E_p$  and  $y \in F_p$ , there exist projections  $e \in K(\bar{S})$ ,  $f \in K(\bar{T})$  such that  $ex = x$  and  $fy = y$  [2, Lemma 4.1]. Then  $e \otimes f$  is a projection in  $K(\text{Cl}(S \otimes T)) = K(\bar{S}) \otimes K(\bar{T})$ , and the same lemma shows that  $x \otimes y \in G_p$ . Thus  $E_p \otimes_e F_p \subset G_p$ . Conversely, let  $\theta = \lim_\alpha \theta_\alpha \in G_p$ ,  $\theta_\alpha \in E \otimes F$ . Choose a projection  $g \in K(\text{Cl}(S \otimes T))$  such that  $g\theta = \theta$ . If  $e$  and  $f$  are arbitrary projections in  $K(\bar{S})$  and  $K(\bar{T})$  respectively, then  $e \otimes f$  is a projection in  $K(\text{Cl}(S \otimes T))$  and by Corollary 2.4 [2],  $(e \otimes f)g = g$ . It follows that  $\theta = e \otimes f(\theta) = \lim_\alpha e \otimes f(\theta_\alpha)$ . If  $\theta_\alpha = \sum_{i=1}^n x_i \otimes y_i$ , then  $e \otimes f(\theta_\alpha) = \sum ex_i \otimes fy_i \in E_p \otimes F_p$ , hence  $\theta \in E_p \otimes_e F_p$ . Therefore  $G_p = E_p \otimes_e F_p$ . Q.E.D.

We may now prove the main result of this section.

**THEOREM 3.3.** *If all the hypotheses of Theorems 3.1 and 3.2 are satisfied, then  $G = G_p \oplus G_0$ , where  $G_p = E_p \otimes_e F_p$  and  $G_0 = \text{Cl}(E_0 \otimes F + E \otimes F_0)$ .*

**PROOF.** By Theorem 4.11 [2],  $K(\bar{S})$  and  $K(\bar{T})$  are compact topological groups; to show  $G = G_p \oplus G_0$  it suffices by the same theorem to show that  $K(\text{Cl}(S \otimes T))$  is a compact topological group. By Ellis' Theorem [4] we need only show that  $K(\text{Cl}(S \otimes T))$  is algebraically a group. But this is immediate from the equality  $K(\text{Cl}(S \otimes T)) = K(\bar{S}) \otimes K(\bar{T})$  (see proof of Theorem 3.1). Q.E.D.

The above results may be used in a variety of ways to generate nontrivial examples of weakly almost periodic semigroups of operators with the decomposition property of Theorem 3.3. As an illustration, let  $E$  and  $F$  be reflexive Banach spaces and let  $S$  and  $T$  be bounded. Then  $S$  and  $T$  are obviously weakly almost periodic, hence, according to Theorem 2.2, so is  $S \otimes T$ . Since  $G = E \otimes_e F$  need not be reflexive [9], this result is decidedly nontrivial. Furthermore, if, say,  $E$  and  $E'$  are strictly convex and  $T$  is commutative, then  $E$  and  $F$  both have direct sum decompositions into almost periodic and flight vector subspaces [2], and therefore, by Theorem 3.3, so does  $G$ .

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