

## PROOF OF THE GELFAND-KIRILLOV CONJECTURE FOR SOLVABLE LIE ALGEBRAS

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ABSTRACT. Let  $g$  be a solvable algebraic Lie algebra over the complex numbers  $\mathbb{C}$ . It is shown that the quotient field of the enveloping algebra of  $g$  is isomorphic to one of the standard fields  $D_{n,k}$ , being defined as the quotient field of the Weyl algebra of degree  $n$  over  $\mathbb{C}$  extended by  $k$  indeterminates. This proves the Gelfand-Kirillov conjecture for  $g$  solvable.

1. **Introduction.** Let  $g$  be an algebraic Lie algebra over the complex numbers  $\mathbb{C}$ . Let  $Ug$  denote the enveloping algebra of  $g$  and  $Dg$  the quotient field of  $Ug$ . With  $n, k$  nonnegative integers, let  $A_{n,k}$  denote the Weyl algebra of degree  $n$  over  $\mathbb{C}$  extended by  $k$  indeterminates [1]. Let  $D_{n,k}$  denote the quotient field of  $A_{n,k}$ . It has been conjectured [1]–[3] that  $Dg$  is isomorphic to  $D_{n,k}$  for suitable  $n, k$ . This has been demonstrated for  $g$  nilpotent and for  $g$  semisimple if either  $g = sl(r)$  [2] or  $Dg$  is given an extended centre [3]. Here we prove the conjecture for  $g$  solvable and algebraic. The analysis is based on the work of Nghiêm [4] and the theorem proved below.

2. **A transcendence theorem.** Let  $g$  be a finite dimensional Lie algebra over  $\mathbb{C}$  and  $A$  an arbitrary commutative subalgebra of  $Ug$ . Let  $\text{Dim}_{\mathbb{C}} A$  denote the dimensionality introduced by Gelfand and Kirillov [1]. We have shown [5, Theorem 1.1] that

$$(2.1) \quad \text{Dim}_{\mathbb{C}} A \leq \dim g - \frac{1}{2} \dim \Omega,$$

where  $\Omega$  is an orbit of maximal dimension in the dual  $g^*$  of  $g$  [1].

Recall that if  $g$  is algebraic, then in particular  $g \subset g\ell(V)$  for some finite dimensional vector space  $V$  over  $\mathbb{C}$ .  $g \subset g\ell(V)$  is said to be almost algebraic [6, p. 98] given that the semisimple and nilpotent parts of every

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$X \in g$  belong to  $g$ .  $g$  is almost algebraic if it is algebraic [7, Lemma 2, §3]. The proofs of the following two lemmas are straightforward (cf. [8, in particular, Theorem 4]).

**Lemma 2.1.** *Let  $g \subset gl(V)$ . Given  $X \in g$  semisimple (resp. nilpotent) then  $\text{ad}_g X$  is semisimple (resp. nilpotent).*

**Lemma 2.2.** *Let  $g$  be solvable and almost algebraic. Then  $g = g_1 \oplus g_2$  where  $g_1$  (resp.  $g_2$ ) is the commutative subalgebra (resp. nilpotent ideal) of  $g$  spanned by the semisimple (resp. nilpotent) elements of  $g$ .*

**Proof.** Let  $g_1$  (resp.  $g_2$ ) denote a maximal abelian subalgebra of semisimple (resp. the set of all nilpotent) elements of  $g$ . Certainly  $g_1 \cap g_2 = \{0\}$ . By Lie's theorem, it follows that  $g_2$  is a linear space and  $g_2 \supset [g, g]$ , so  $g_2$  is an ideal. Set  $a = g_1 \oplus g_2$ .  $a$  is an invariant subspace of  $\text{ad}_{g_1}$ , so by Lemma 2.1 and the choice of  $g_1$ ,  $a$  is complemented in  $g$ . That is  $g = a \oplus b$ , with  $[g_1, b] \subset b$ . Yet  $b \cap g_2 = \{0\}$ , so  $[g_1, b] = \{0\}$ . Since  $g$  is almost algebraic, we may write for each  $X \in b$ :  $X = Y + Z$ ;  $Y, Z \in g$ , where  $Y, Z$  are the semisimple and nilpotent parts of  $X$ . We have  $[g_1, Y] = \{0\}$ , so  $Y = 0$ , by the maximality of  $g_1$ . Then  $Z = 0$ , since  $b \cap g_2 = \{0\}$ . Hence  $b = \{0\}$ , and  $a = g$  as required.

Given  $g \subset gl(V)$ , let  $S(V)$  denote the symmetric algebra over  $V$  and  $K(V)$  its quotient field. Define the action of  $g$  on  $S(V)$  by derivation and on  $K(V)$  by the rule  $X\xi = -a^{-2}(Xa)b + a^{-1}(Xb)$  where  $\xi = a^{-1}b \in K(V)$ ,  $a, b \in S(V)$ . More generally we wish to consider the possibility of there being certain additional algebraic relations in  $S(V)$ . Let  $I$  denote the (two-sided) ideal generated by finitely many  $g$  annulled elements of  $K(V)$ . Assume  $I$  prime. Set  $S = S(V)/I$  and  $K$  its quotient field. Define the subfield  $K_0$  of  $K$  annihilated by  $g$  through

$$K_0 = \{\xi \in K: X\xi = 0, \text{ for all } X \in g\}.$$

Let  $\text{deg } K$  denote the degree of transcendence of  $K$ .

**Theorem 2.3.** *Suppose  $g \subset gl(V)$  is solvable and almost algebraic. Define  $K, K_0$  as above. Then  $K$  is a pure transcendental extension of  $K_0$ . Further, given  $g$  nilpotent,  $\text{deg } K - \text{deg } K_0 \leq \dim g$ .*

**Proof.** Let  $g = g_1 \oplus g_2$  be the decomposition of  $g$  defined in the conclusion of Lemma 2.2. Set  $T = \{a \in S: g_2 a = 0\}$ . Then  $gT \subset T$ , since  $g_2$  is an ideal. Let  $L$  denote the quotient field of  $T$  and  $L_0$  the subfield of

$L$  annihilated by  $g_1$ . Then  $K_0 = L_0$ . Indeed  $K_0 \supset L_0$  is immediate. On the other hand given  $\xi \in K_0$ , write  $\xi = a^{-1}b$ :  $a, b \in S$ . Suppose that there exists a  $Z \in g_2$  such that  $Za \neq 0$ . Since  $Z\xi = 0$ , we obtain  $\xi = (Za)^{-1}Zb$ . Since  $g_2$  is nilpotent it follows that we may write  $\xi = c^{-1}d$ :  $c, d \in T$ . Hence  $K_0 \subset L_0$  and so  $K_0 = L_0$  as required.

Next show that  $L$  is a pure transcendental extension of  $L_0$ . Let  $S^i \subset S$  denote the linear subspace of homogeneous polynomials of degree  $i$ . Set  $T^i = T \cap S^i$ . For each  $i$ ,  $S^i$  and  $T^i$  are finite dimensional,  $g$  invariant and respectively define a direct sum decomposition of  $S$  and  $T$ .

Set  $\dim g_1 = r, \dim g_2 = s$ . Let  $\{Y_i: i = 1, 2, \dots, r\}$  be a basis for  $g_1$ . Let  $\text{spec}(S)$  (resp.  $\text{spec}(T)$ ) be the set of all  $r$ -tuples  $\lambda$  such that

$$Y_i \xi = \lambda_i \xi: \quad i = 1, 2, \dots, r,$$

$\xi \in S(\lambda)$  (resp.  $T(\lambda)$ ) where  $S(\lambda), T(\lambda)$  denote the corresponding eigensubspaces. These subspaces define a direct sum decomposition of  $S$  and  $T$  respectively. Define  $L(\lambda), \text{spec}(L)$  analogously. Since  $l$  is prime a monomial cannot vanish in  $S$ . Hence  $\text{spec}(S)$  and  $\text{spec}(T)$  are closed under the addition of  $r$ -tuples. We remark that  $\text{spec}(S) \supset \text{spec}(T)$  and that this may be strict inclusion. Further  $\text{spec}(S)$  is generated over the integers by  $\text{spec}(S^1)$ . Let  $W$  be the vector space generated by  $\text{spec}(S^1)$  over the rationals. Set  $t = \dim W \leq \dim V$ . Let  $\{\lambda_j \in \text{spec}(S^1)\}$  be a basis for  $W$ . Let  $u$  be the common divisor for the rational coefficients of the remaining  $\mu_j \in \text{spec}(S^1)$  expressed in this basis. Then for all  $\lambda \in \text{spec}(T)$  we have

$$(2.2) \quad \lambda = \frac{1}{u} \sum_{j=1}^t u_j \lambda_j$$

for suitable integers  $u_j$ . Let  $M$  be the module over the integers generated by  $\text{spec}(T)$ . With respect to (2.2) define a map  $\pi: M \rightarrow \mathbf{Z}^t$ , through  $\pi(\lambda) = u$ . Then  $M$  can be considered as a submodule of  $\mathbf{Z}^t$ . Hence  $M$  is a finitely generated free  $\mathbf{Z}$  module. Let  $\{\Lambda_\alpha\}$  be a basis for  $M$ . Taking products of elements of  $T$  with integer exponents gives  $M = \text{spec}(L)$ , so there exist  $y_\alpha \in L(\lambda)$  such that  $Y_i y_\alpha = \Lambda_{i\alpha} y_\alpha$  for all  $i, \alpha$ . Further by definition of  $\{\Lambda_\alpha\}$  distinct monomials in the  $y_\alpha$  belong to distinct eigensubspaces  $L(\lambda)$ . Hence they are algebraically independent over  $L_0$ . Now given  $u, v \in T(\lambda), uv^{-1} \in L_0$ , so  $u = bv$  for some  $b \in L_0$ . Recalling the direct sum decomposition of  $T$ , it follows that  $L + L_0(y_1, y_2, \dots, y_t): t \leq \dim V$  as required.

Next show that  $K$  is a pure transcendental extension of  $L$ . In this it is convenient to set  $g_1 = h, g_2 = g$ . Since  $g$  is nilpotent, there exists an upper

central series  $\{0\} = C_1 \subset C_2 \subset C_3 \subset \cdots \subset C_l = g$ , for  $g$  [6, p.29]. (Recall that  $C_i$  is the maximal ideal in  $g$  such that  $[g, C_i] \subset C_{i-1}$ .) That  $[h, C_i] \subset C_i$ , follows by induction on  $i$  and the relation  $[g, [h, C_i]] \subset C_{i-1} + [h, C_{i-1}]$ . By definition of  $h$  and Lemma 2.1,  $\text{ad}_g h$  is a commutative Lie algebra of semisimple elements. Hence there exists a central descending series  $g = g_1 \supset g_2 \supset \cdots \supset g_{s+1} = \{0\}$ :  $s = \dim g$ , for  $g$  with the property that for each integer  $k$  there exists  $Z_k \in g_k$ ,  $Z_k \notin g_{k+1}$  such that  $Z_k$  is an eigenvector for  $\text{ad}_g h$ . (Recall also that  $\dim g_k = \dim g_{k+1} + 1$  and  $[g, g_k] \subset g_{k+1}$ , for all  $k$ .)

Let  $L_k$  denote the subfield of  $K$  annihilated by  $g_k$ . Then  $L = L_1 \subset L_2 \subset \cdots \subset L_{s+1} = K$ . Let  $\tilde{S}$  be the maximal subalgebra of  $K$  on which the elements of  $g$  are locally nilpotent derivations. Set  $\tilde{S}_k = L_k \cap \tilde{S}$  and  $S_k = L_k \cap S$ . Certainly  $\tilde{S}_k$  contains the subalgebra of  $K$  generated by  $L$  and  $S_k$ . In fact we shall see that it coincides with it.

We show that for each  $k$  either  $L_{k+1} = L_k$  or  $L_{k+1} = L_k(a)$  for some  $a \in \tilde{S}_{k+1}$ . This will prove the theorem with  $\deg K - \deg L \leq \dim g$  as required.

Verify that  $Z_i S_j \subset S_j$ ,  $Z_i \tilde{S}_j \subset \tilde{S}_j$  and  $Z_i L_j \subset L_j$  for all  $i, j$ . We show that  $L_k$  is the quotient field of  $S_k$  by induction on  $k$ . By definition  $K = L_{s+1}$  is the quotient field of  $S = S_{s+1}$ . So, given  $\xi \in L_k \subset L_{k+1}$ , we may write by the induction hypothesis  $\xi = a^{-1}b$ :  $a, b \in S_{k+1}$ . Suppose that  $Z_k a \neq 0$ , then  $Z_k \xi = 0$  gives  $\xi = (Z_k a)^{-1} Z_k b$ . Then by the local nilpotency of  $Z_k$  on  $S_{k+1}$  we may choose  $a, b \in S_k$ .

Finally suppose that  $L_{k+1} \neq L_k$ . Then there exists  $a' \in S_{k+1}$  such that  $Z_k a' \neq 0$  so by the local nilpotency of  $Z_k$  on  $S_{k+1}$ , there exists  $a'' \in S_{k+1}$  such that  $Z_k a'' = b \in S_k$ :  $b \neq 0$ . Now suppose that  $Z_i b \neq 0$ , for some  $i$ . Then  $Z_k(Z_i a'') = [Z_k, Z_i]a'' + Z_i(Z_k a'') = Z_i b \neq 0$ , since  $[Z_k, Z_i] \in g_{k+1}$  and  $a'' \in S_{k+1}$ . Hence by the local nilpotency of  $Z_i$  on  $S_k$  we may choose  $a''' \in S_{k+1}$  such that  $Z_k a''' = b' \in L$ :  $b' \neq 0$ . Then there exists  $a \in \tilde{S}_{k+1}$  such that  $Z_k a = 1$ . Let  $\alpha_n a^n + \cdots + \alpha_0$ :  $\alpha_i \in L_k$ ,  $\alpha_n \neq 0$ , be the minimal polynomial of  $a$  in  $L_k$ . The relation  $Z_k a^i = i a^{i-1}$  contradicts its minimality, so  $a$  is transcendental over  $L_k$ . Further for any  $c \in \tilde{S}_{k+1}$ , we have  $Z_k^n c = d \in \tilde{S}_k$ :  $d \neq 0$ , for some nonnegative integer  $n$ . Then  $e = c - (n!)^{-1} a^n d$  satisfies  $Z_k^{n-1} e = f \in \tilde{S}_k$ . Hence by induction  $\tilde{S}_{k+1} = \tilde{S}_k[a]$ . Then  $L_{k+1} = L_k(a)$ , which proves the theorem.

Preserve the notation used in the proof of Theorem 2.3. For each  $k = 1, 2, \dots, s+1$ ;  $\lambda \in \text{spec}(S)$  (resp.  $\lambda \in \text{spec}(T)$ ) set  $S_k(\lambda) = S(\lambda) \cap S_k$  (resp.  $\tilde{S}_k(\lambda) = T(\lambda) \cap \tilde{S}_k$ ). Recall that by construction  $[Y_i, Z_j] = \mu_{ij} Z_j$ :  $\mu_{ij} \in \mathbb{C}$ . Let  $\mu_j$  denote the  $r$ -tuple with entries  $\mu_{ij}$ .

**Lemma 2.4.** For all  $j, k = 1, 2, \dots, s + 1$ ,

- (1)  $Z_j S_k(\lambda) \subset S_k(\lambda + \mu_j)$ : for all  $\lambda \in \text{spec}(S)$ ,
- (2)  $Z_j \tilde{S}_k(\lambda) \subset \tilde{S}_k(\lambda + \mu_j)$ : for all  $\lambda \in \text{spec}(T)$ ,
- (3)  $S_k = \bigoplus_{\lambda \in \text{spec}(S)} S_k(\lambda)$  (direct sum).

**Proof.** (1) and (2) are clear. (3) holds for  $k = s + 1$  since  $S_{s+1} = S$  and  $S$  is a direct sum of its eigensubspaces. Assume (3) holds for all  $k > j$ . To show it holds for  $k = j$  recall that  $S_j \subset S_{j+1}$  and apply (1).

**Corollary 2.5.** Suppose  $S_{k+1} \neq S_k$  for some  $k \in (1, 2, \dots, s)$ . Then there exists  $\lambda_k \in \text{spec}(T)$  such that  $\tilde{S}_{k+1} = \tilde{S}_k[a_k]$  with  $a_k \in \tilde{S}_{k+1}(\lambda_k)$ .

**Proof.** Apply Lemma 2.4 to the latter half of the proof of Theorem 2.3, noting that we may choose  $a' \in S_{k+1}(\lambda)$  for some  $\lambda \in \text{spec}(S)$ .

The conclusion of Theorem 2.3 shows that we may write  $K = K_0(y_1, y_2, \dots, y_t)$ . Assume  $t \leq \dim g$ ; let  $\{T_i\}: i = 1, 2, \dots, t$ , be a sub-basis of a basis for  $g$  and denote by  $B$  the matrix with entries  $T_i y_j$ . Recall that  $g = g_1 \oplus g_2$  and let  $\{Y_i\}, \{Z_j\}$  be the bases for  $g$  defined in the proof of Theorem 2.3. Assume  $\{Y_\alpha\}: \alpha = 1, 2, \dots, \gamma, \{Z_\mu\}: \mu = 1, 2, \dots, \rho$  to be subbases on which  $B$  is nondegenerate. Further assume that

$$(2.3) \quad Z_k \in \{Z_\mu\} \text{ given } L_{k+1} \neq L_k.$$

Following Theorem 2.3 introduce subsets  $\{y'_\alpha\}, \{y''_\mu\}$  of  $y_i$  such that  $L = K_0(y'_1, y'_2, \dots, y'_\gamma)$ ,  $K = L(y''_1, y''_2, \dots, y''_\rho)$ . Note that  $\gamma + \rho = \gamma' + \rho' = t$ . By (2.3)  $\rho' \leq \rho$ . Since  $y'_\alpha \in L$ ,  $B_{\mu\alpha} = 0$  for the given ranges of  $\mu, \alpha$ . Then  $\det B \neq 0$ , implies  $\rho' \geq \rho$ . Hence  $\rho' = \rho$  and  $\gamma' = \gamma$ . Then the  $B_{\mu\nu}$  are the entries of a square matrix which is upper triangular, has ones on the diagonal, and its remaining entries lie in  $\tilde{\mathcal{S}}$  which was shown to be identical with  $L[y''_1, y''_2, \dots, y''_\rho]$ . Since the  $y'_\alpha \in L$ , it follows that  $\det B \in L$ . As was shown, the  $y_i$  may be chosen as eigenfunctions of the elements of  $g_1$ . Then  $B_{\alpha i} = \lambda_{\alpha i} y_i; \lambda_{\alpha i} \in \mathbb{C}$ . Let  $B^{-1}$  denote the inverse of  $B$ . Then  $B_{\alpha\beta}^{-1} \in L$ , for all  $\alpha, \beta$ , and  $B^{-1}$  defines a matrix which is upper triangular, has ones on the diagonal, and its remaining entries lie in  $\tilde{\mathcal{S}}$ . Finally  $B_{\alpha\mu}^{-1}$  are polynomials in the  $y''_\nu$  over  $L$  with no constant terms.

Given  $a \in K$ , then

$$(2.4) \quad Za = b \in \tilde{\mathcal{S}} \text{ for all } Z \in g_2 \text{ implies that } a \in \tilde{\mathcal{S}}.$$

Indeed by definition of  $\tilde{\mathcal{S}}$ , there exists for each  $Z \in g_2$  a positive integer  $m$  such that  $Z^m b = 0$ . But then  $Z^{m+1} a = 0$  which implies that  $a \in \tilde{\mathcal{S}}$ .

We remark that the above conclusions hold should  $\mathbb{C}$  be replaced by an arbitrary algebraically closed commutative field of characteristic zero.

### 3. Proof of conjecture.

**Theorem 3.1** [4, Proposition III.8, Theorem III.9]. *Given  $g$  solvable, then*

(1) *there exist pairwise commutative elements  $A_\alpha \in Ug: \alpha = 1, 2, \dots, s$ , algebraically independent over  $\mathbb{C}$ . Set  $A = \mathbb{C}[A_1, A_2, \dots, A_s]$  and let  $K$  denote its quotient field. The  $[g, K] \subset K$ , and  $K$  is a maximal commutative subfield of  $Dg$ ;*

(2) *there exist  $T_i \in g: i = 1, 2, \dots, t$ , algebraically independent over  $K$  such that  $(1, T_1, T_2, \dots, T_t)$  is a basis for the extension  $\widehat{g}$  of  $g$  by  $K$ . Further  $Dg = D\widehat{g}$  and  $[T_i, A_\alpha] = A_{i\alpha} \in K$  where the matrix  $A$  with entries  $A_{i\alpha}$  is of rank  $t$  in  $K$ .*

(3)  $\dim_{\mathbb{C}} C(Dg) \leq s - t$  and  $C(Dg) \subset K$ , where  $C$  denotes centre.

Set  $K_0 = C(Dg)$ . Set  $m = \dim g$ ,  $n = \frac{1}{2} \dim \Omega$ , where  $\Omega$  is an orbit of maximal dimension in the dual  $g^*$  of  $g$  [1].

**Theorem 3.2.** *Suppose  $g$  is solvable and algebraic. Define  $m, n$  as above. Then  $Dg$  is isomorphic to  $D_{n,k}$  with  $k = m - 2n$ .*

**Proof.** By [1] (cf. [9, Lemma 7]),  $\dim_{\mathbb{C}} C(Dg) = m - 2n = k$ . Since  $g$  is algebraic, (2.1) applies to the algebra  $A$  defined in (1) above. Hence  $2s \leq 2m - 2n = m + k$ . Yet  $m = \dim g = s + t$ , where  $t$  is defined in (2). Then by (3),  $2s \geq m + k$ . Hence  $k = s - t$  and  $n = t$ . Further  $t = \deg K - \deg K_0$ .

Since  $A \in Ug$ , the space  $V$  generated by  $\text{ad } g$  on  $A$  is finite dimensional. Further the algebra  $S$  generated by  $V$ , being a subalgebra of  $Ug$ , has no zero divisors. By (1) its quotient field is precisely  $K$  which is commutative. Since  $g$  is solvable algebraic, it follows by Lemma 2.1 that  $\text{ad } g$  considered as a subalgebra of  $gl(V)$  is solvable and almost algebraic. Recalling (1), (3) above, Theorem 2.3 applies to show that  $K_0(y_1, y_2, \dots, y_t)$ . Further by [10], there exist  $z_i \in C(Dg)$ , such that  $K_0 = \mathbb{C}(z_1, z_2, \dots, z_k)$ . Set

$$B_\alpha = \begin{cases} y_\alpha: & \alpha = 1, 2, \dots, t, \\ z_{\alpha-t}: & \alpha = t + 1, \dots, s. \end{cases}$$

Define  $B'_{i\alpha} = \sum_\beta A_{i\beta} (\partial B_\alpha / \partial A_\beta)$ . Clearly  $\text{rank } B' = \text{rank } A = t$  in  $K$  by (2) above. Further define a  $t \times t$  matrix  $B$  with entries

$$B_{ij} = [T_i, y_j] = B'_{ij}: \quad i, j = 1, 2, \dots, t.$$

Then  $\det B \neq 0$ , since  $B'_{i\alpha} = 0: \alpha > t$ .

Set  $x_j = \sum_i B_{ji}^{-1} T_i$ . By construction

$$(3.2) \quad [x_i, y_j] = \delta_{ij} \cdot 1,$$

whereas

$$(3.3) \quad [y_i, y_j] = 0,$$

since  $y_i \in K$ . Note that (3.2) implies  $(\text{ad } x_i)u = \partial/\partial y_i(u)$  for all  $u \in K$ . Then by (3.2) and (3.3):  $[[x_i, x_j], y_k] = 0$  for all  $i, j, k$ . Hence

$$(3.4) \quad [[x_i, x_j], A_\alpha] = 0$$

for all  $i, j = 1, 2, \dots, t, \alpha = 1, 2, \dots, s$ . Yet  $x_i \in \mathfrak{G}$  so by the maximality of  $K: [x_i, x_j] \in K$ . Set  $[x_i, x_j] = f_{ij}$ . By antisymmetry, (3.2) and the Jacobi identity applied to  $[[x_i, x_j], x_k]$  we obtain

$$(3.5) \quad \begin{aligned} f_{ij} + f_{ji} &= 0, \\ \partial_k f_{ij} + \partial_i f_{jk} + \partial_j f_{ki} &= 0, \end{aligned}$$

where  $\partial_k$  denotes differentiation in  $y_k$ . The theorem is proved if we can show that there exist  $g_i \in K$ , such that

$$(3.6) \quad f_{ij} = \partial_i g_j - \partial_j g_i,$$

for all  $i, j$ . For then replacing  $x_i$  by  $x_i - g_i$ , we obtain

$$(3.7) \quad [x_i, x_j] = 0.$$

Then by (2) above the  $x_i, y_i, z_j: i = 1, 2, \dots, t, j = 1, 2, \dots, k$ , generate  $Dg$ . Recalling that  $z_j \in K_0$ , it follows by (3.2), (3.3) and (3.7) that  $Dg$  is isomorphic to  $D_{n,k}$  as required.

Let  $A_r$  be the  $r$ th generator of  $K$  constructed in the recurrence procedure of [4, Lemma III.4]. Set  $K_1 = \mathbb{C}, K_{r+1} = K_r(A_r)$ . Let  $\{X_i\}$  be some basis for  $g$ . The cobase  $\{T_i\}$  is obtained as a subbasis of  $\{X_i\}$  by eliminating at each step one  $X_i$  for which  $\alpha_i \neq 0$  in the relation:  $A_r = \sum_j \alpha_j X_j: \alpha_j \in K_r$ . With  $g = g_1 \oplus g_2$ , choose the bases  $\{Y_i\}, \{Z_j\}$  for  $g_1, g_2$  described in §2. Should  $\alpha_i \neq 0$ , for some  $Y_i$ , eliminate the  $Y_i$ . Otherwise eliminate the  $Z_j$  belonging to the smallest  $j$  for which  $\alpha_j \neq 0$ . Let  $\{Y_\alpha\}, \{Z_\mu\}$  denote the resulting cobase. By construction if  $Z_k$  is not in the cobase

$$(3.8) \quad Z_k = \sum_{\mu > k} \alpha_{k\mu} Z_\mu + \beta_k: \alpha_{k\mu}, \beta_k \in K.$$

Suppose  $L_{k+1} \neq L_k$ . By Theorem 2.3,  $L_{k+1} = L_k(a_k)$  where  $[Z_k, a_k] = 1$  and  $a_k \in L_{k+1}$ . This contradicts (3.8). Hence (2.3) holds for  $\{Z_\mu\}$ . Further the conclusion  $\rho' = \rho$  (established in the discussion following (2.3)) implies that

$$(3.9) \quad Z_k \in \{Z_\mu\} \quad \text{if and only if } L_{k+1} \neq L_k.$$

Recalling the choice of the cobase substitution from (3.8) gives

$$\begin{aligned} [Y_\alpha, Y_\beta] &= 0, & [Y_\alpha, Z_\mu] &= C_{\alpha\mu} Z_\mu: & C_{\alpha\mu} &\in \mathbb{C}, \\ [Z_\mu, Z_\nu] &= \sum_\lambda \gamma_{\mu\nu}^\lambda Z + \Gamma_{\mu\nu}: & \gamma_{\mu\nu}^\lambda, \Gamma_{\mu\nu} &\in K. \end{aligned}$$

We show that  $\Gamma_{\mu\nu} \in \tilde{\mathcal{S}}$ . By (2.4) and the nilpotency of  $g_2$  it suffices to show that  $\gamma_{\mu\nu}^\lambda \in \tilde{\mathcal{S}}$ . Now by (3.9), recalling the definition of the  $a_k$ , we have

$$\gamma_{\mu\nu}^\lambda = [[Z_\mu, Z_\nu], a_\lambda] - \sum_{\sigma=1}^{\lambda-1} \gamma_{\mu\nu}^\sigma [Z_\sigma, a_\lambda].$$

Then, using (2.4), induction on  $\lambda$  proves the assertion.

Construct the  $y_i$  and the  $x_i$  as above. Then the only contribution to  $[x_i, x_j]$  comes from  $\Gamma_{\mu\nu}$ . Recalling (§2) that  $B_{i\mu}^{-1} \in \tilde{\mathcal{S}}$  we obtain

$$(3.10) \quad [x_i, x_j] = \sum_{\mu\nu} B_{i\mu}^{-1} B_{j\nu}^{-1} \Gamma_{\mu\nu} \in \tilde{\mathcal{S}}.$$

Set  $x'_\alpha = \sum_i B_{\alpha i}^{-1} T_i$ ,  $x''_\mu = \sum_i B_{\mu i}^{-1} T_i$ . Since by (3.10), the  $f_{ij}$  are polynomials in the  $y''_\mu$  over  $L$ , we may integrate (3.5) in the  $y''_\mu$  to obtain functions  $g_i \in \mathcal{S}$  such that the  $\bar{x}_i = x_i - g_i$ , satisfy

$$(3.11) \quad [\bar{x}_i, \bar{x}_\mu] = 0,$$

for all  $i, \mu$ . This integration is performed as follows. Assume  $\rho \geq 1$  and identify  $y''_\rho$  with  $y_t$ . Set  $g_t = 0$  and  $g_i = \int_0^{y_t} f_{ti} dy_t$ , for  $1 \leq i < t$ . By (3.10)  $f_{ti}$  is polynomial in  $y_t$  and so  $g_i \in \tilde{\mathcal{S}}$  for all  $i$ . Set  $f'_{ij} = f_{ij} - (\partial_i g_j - \partial_j g_i)$ . Then  $f'_{ij} \in \tilde{\mathcal{S}}$  and satisfies (3.5).  $f'_{ii} = 0$  by construction, so by (3.5)  $f'_{it} = 0$  and  $\partial_i f'_{ij} = 0$  for all  $i, j$ . Then (3.11) obtains by successive integration in each  $y''_\mu$ . Note further that  $g_i$  as polynomials in the  $y''_\mu$  over  $L$  are chosen to have no constant terms.

We show that the  $[\bar{x}_\alpha, \bar{x}_\beta]$ , as polynomials in the  $y''_\mu$  over  $L$ , have no constant terms. This property was demonstrated in §2 for the  $B_{\alpha\mu}^{-1}$ . It also holds for the  $[Y_\gamma, g_\alpha]$  by choice of the  $g_\alpha$  and because the  $y''_\mu$  are eigen-



vectors of  $\text{ad } Y_\gamma$  where  $(\text{ad } Y_\gamma) L \subset L$  for all  $\gamma$ . It is then sufficient to observe that

$$[\bar{x}_\alpha, \bar{x}_\beta] = \sum_{\mu\nu} B_{\alpha\mu}^{-1} B_{\beta\nu}^{-1} \Gamma_{\mu\nu} + [x_\beta, g_\alpha] - [x_\alpha, g_\beta],$$

where

$$[x_\beta, g_\alpha] = \sum_\gamma B_{\beta\gamma}^{-1} [Y_\gamma, g_\alpha] + \sum_\mu B_{\beta\mu}^{-1} [Z_\mu, g_\alpha].$$

Yet  $[\bar{x}_\mu, [\bar{x}_\alpha, \bar{x}_\beta]] = 0$  by (3.11) and the Jacobi identity, so  $[\bar{x}_\alpha, \bar{x}_\beta] \in L$  by (3.2). Hence  $[\bar{x}_\alpha, \bar{x}_\beta] = 0$ . Combined with (3.11) it follows that the required functions  $g_i$  exist and the theorem is proved.

**Remarks.** The theorem evidently fails should  $k - \deg K_0$  be an odd integer. Yet given  $\deg K_0 = k$ , it is sufficient that  $g$  be almost algebraic. In this connection see [2, §8] for examples. If  $g$  is not almost algebraic the integration of (3.5) may fail in  $K$ . For example, let  $e_{ij}$  denote the usual canonical basis in  $\text{Hom}(\mathbb{C}^5, \mathbb{C}^5)$ . Set  $a_1 = e_{12} - e_{55}$ ,  $a_2 = e_{23} - e_{44}$ ,  $y_1 = e_{15}$ ,  $y_2 = e_{14}$ ,  $z = e_{13}$ . Let  $h \subset \mathfrak{gl}(\mathbb{C}^5)$  be the Lie algebra spanned by these elements. In  $h$  we have the bracket relations  $[a_1, a_2] = z$ ,  $[a_1, y_1] = y_1$ ,  $[a_2, y_2] = y_2$  and all other brackets vanish.  $h$  is evidently solvable, yet not almost algebraic. Set  $K = \mathbb{C}(y_1, y_2, z)$ . Then  $K_0 = \mathbb{C}(z)$ ,  $x_1 = y_1^{-1} a_1$ ,  $x_2 = y_2^{-1} a_2$ . Equations (3.1) and (3.2) hold; but  $f_{12} = z/y_1 y_2$  and (3.5) does not admit integration in  $K$ .

Finally given  $g$  nilpotent, it is easy to see that the common divisor of  $x_i, y_i, z_j$  lies in  $C(Ug)$ , so we have incidentally proved [2, Lemma 9].

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