SIMPLE KNOTS WHICH ARE DOUBLY-NULL-COBORDANT

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ABSTRACT. Using the Seifert matrix, a necessary and sufficient condition is given for a simple $(2q - 1)$ -knot, $q > 1$, to be doubly-null-cobordant.

An n -knot is a smooth oriented submanifold K of the $(n + 2)$ -sphere S^{n+2} , where K is homeomorphic to S^n . An n -knot is *doubly-null-cobordant* if it is a cross-section of an unknotted pair (S^{n+3}, S^{n+1}) . D. W. Sumners [3] has studied the case $n = 2q - 1$, and has given a necessary condition for K to be doubly-null-cobordant. He has also given a partial converse, which it is the purpose of this paper to strengthen.

Let K be a $(2q - 1)$ -knot; K bounds a smooth oriented submanifold U of S^{2q+1} , and choosing a basis for the torsion-free part of $H_q(U)$, we obtain a matrix A of linking numbers called a Seifert matrix of K . The submanifold U is not unique, but any two such submanifolds are cobordant, and this induces an equivalence relation on the Seifert matrices of K which may be described as follows. An *elementary enlargement* of A is an integer matrix of the form

$$\left(\begin{array}{c|cc} A & 0 & 0 \\ \hline \alpha & 0 & 0 \\ \hline 0 & 1 & 0 \end{array} \right) \text{ or } \left(\begin{array}{c|cc} A & \beta & 0 \\ \hline 0 & 0 & 1 \\ \hline 0 & 0 & 0 \end{array} \right)$$

where α is a row vector, β a column vector. We say $A \sim B$ if A is an elementary enlargement of B or vice versa, or if there is a unimodular congruence between A and B . Two Seifert matrices A, B are *equivalent* if they are connected by a sequence $A = A_1, \dots, A_k = B$ such that $A_i \sim A_{i+1}$ for $1 \leq i < k$.

An elementary enlargement is *trivial* if $\alpha = 0$ or $\beta = 0$, and a succession of trivial elementary enlargements is a *trivial enlargement*.

The Seifert matrix A is *doubly-null-cobordant* if it is congruent to one of the form $\begin{pmatrix} 0 & * \\ * & 0 \end{pmatrix}$, all the blocks being square.

Levine [2] defines a *simple* $(2q - 1)$ -knot as one whose complement has the homotopy $(q - 1)$ -type of a circle. If K is a $(2q - 1)$ -knot which bounds a $(q - 1)$ -connected submanifold U , then the associated matrix A is said to be *special*; we remark that this can occur precisely when K is simple.

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Lemma. *Let A be a Seifert matrix of a simple $(2q - 1)$ -knot K . If $q > 2$, then A is special; if $q = 2$, then some trivial enlargement of A is special.*

Proof. Let U be a submanifold with which A is associated. By results of [1], U is cobordant to a $(q - 1)$ -connected submanifold V , and so there exists a sequence $A = A_1, \dots, A_k = B$ where $A_i \sim A_{i+1}$ for $1 \leq i < k$, and B is a Seifert matrix associated with V . If $q > 2$, it is shown in [2, §§ 11–13] that if $C \sim D$ and D is a special Seifert matrix, then so is C . But B is special, hence so is A . If $q = 2$, the arguments in [2, §§ 10–13] apply provided we replace the sequence $A_1, \dots, A_k$ by $A'_1, \dots, A'_k$, each A'_i being a trivial enlargement of A_i with order $A'_i - \text{order } A_i$ independent of i . $\square$

Theorem. *If K is a simple $(2q - 1)$ -knot, $q \geq 2$, then K is doubly-null-cobordant if and only if it possesses a doubly-null-cobordant Seifert matrix.*

Proof. If K is doubly-null-cobordant, then Sumners proves in [3] that it has a doubly-null-cobordant matrix. Assume conversely that A is such a matrix; then any trivial enlargement of A is also doubly-null-cobordant, and so by the Lemma we may take A to be special. Another result of Sumners [3, Theorem 3.1] shows that K is doubly-null-cobordant. $\square$

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