

## ON THE FUNDAMENTAL GROUP OF A COMPACT NEGATIVELY CURVED MANIFOLD

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**ABSTRACT.** A question of Hirsch and Thurston on the fundamental group of a compact negatively curved manifold is investigated.

1. In [8], Hirsch and Thurston have asked the question whether the fundamental group  $\pi_1(M)$  of a compact Riemannian manifold  $M$  of negative sectional curvature is in the class  $C$  of groups which contains all amenable groups and which satisfies: if  $G$  and  $H$  are in  $C$ , then the free product  $G*H$  is in  $C$ , and if  $G$  has finite index in  $K$  then  $K$  is in  $C$ . This question is related to their investigation [8] on the Euler characteristic  $\chi(M)$  of  $M$ . In this paper, we shall give some evidence for  $\pi_1(M)$  of a compact Riemannian manifold  $M$  of negative sectional curvature not in this class  $C$  of groups. According to [8],  $\pi_1(M)$  in  $C$  would imply that the Euler characteristic  $\chi(M)$  vanishes. Consequently, our result to a certain extent supports the truth of a well-known conjecture that for a compact negatively curved manifold  $M$  of even dimension  $n$ ,  $\chi(M) < 0$  for  $n = 2 \pmod{4}$  and  $\chi(M) \geq 0$  for  $n = 0 \pmod{4}$ .

We first show that any amenable subgroup of  $\pi_1(M)$  is infinite cyclic. If  $M$  has nonpositive sectional curvature, then it is not known whether any amenable subgroup of  $\pi_1(M)$  is a finite extension of abelian groups. When the subgroup is solvable, this is known to be true [7], [12].

Next, we show the following statements:

- (1)  $\pi_1(M)$  has relations for a certain set of generators.<sup>1</sup>
- (2) A subgroup of  $\pi_1(M)$  with an amenable subgroup of axial elements of finite index is an amenable group of axial elements and is infinite cyclic.
- (3) A free product of amenable subgroups of axial elements is free.
- (4) A subgroup of  $\pi_1(M)$  with a free product subgroup of amenable subgroups of axial elements of finite index is free.
- (5) If  $\pi_1(M)$  belongs to the class  $C$ , then  $\pi_1(M)$  is free. We shall use a deep result of Stallings [13] and Swan [14] to prove statement (4). Stallings has proved that a finitely generated torsion free group with a free subgroup of finite index is free [13]. In [14], Swan has extended this theorem to infinitely generated groups. A direct proof of statement (4) seems to be possible.

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<sup>1</sup>This statement is not enough to imply that  $\pi_1(M)$  is not a free group.

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2. Let  $M$  be a compact negatively curved manifold. Then the sectional curvature is bounded from above by a negative constant. The group  $G$  of covering transformations associated to the universal covering  $\tilde{M}$  of  $M$  is isomorphic to  $\pi_1(M)$ .  $G$  is the disjoint union of its stability groups  $G_x$ ,  $x \in \tilde{M}(\infty)$  [5]. Here  $\tilde{M}(\infty)$  denotes the boundary of  $\tilde{M}$  [5]. All elements of  $G$  are axial and they translate certain geodesics [3]. There are exactly two fixed points in  $\tilde{M}(\infty)$  of each axial elements. Each  $G_x$  is infinite cyclic. The limit set  $L(G)$  of  $G$  is  $\tilde{M}(\infty)$ .

**THEOREM 1.** *Let  $M$  be a compact negatively curved manifold. Any amenable subgroup of  $\pi_1(M)$  is infinite cyclic.*

**PROOF.** Let  $H$  be any subgroup of  $G$ . The limit set  $L(H)$  of  $H$  is one of the following [5]: (1) one point and every element of  $H$  is parabolic, (2) two points and  $H$  is infinite cyclic of axial elements, (3) a perfect nowhere dense set in  $\tilde{M}(\infty)$  and (4)  $\tilde{M}(\infty)$ . It is clear that (1) cannot occur. If (3) and (4) occur, then we may use Eberlein's freeness argument [4] to show that there is a free subgroup  $F$  of at least two generators of  $H$ . Hence  $H$  cannot be amenable. Thus  $H$  is infinite cyclic and consists of axial elements of the same fixed points.

**COROLLARY.** *Every abelian or solvable subgroup of  $\pi_1(M)$  is infinite cyclic (see [1], [11]).*

**THEOREM 2.** *Let  $M$  be a compact negatively curved manifold. There is at least one relation for a certain set of generators of  $\pi_1(M)$ .*

**PROOF.** Let  $G$  be a discrete group of isometries of  $\tilde{M}$ . One can form the Dirichlet fundamental region  $\mathfrak{R}$  for  $G$  in  $\tilde{M}$ .  $\mathfrak{R} = \{p \in \tilde{M} | d(p, p_0) < d(p, \phi(p_0)), \forall \phi \in G \text{ and } \phi \neq \text{id}\}$ . Here  $p_0$  is a point not fixed by  $G$ . Then the following properties are known [6]:

(1) Every point in  $\tilde{M}$  is identified with exactly one point of  $\mathfrak{R}$  or finitely many points of the boundary  $\text{Bd}(\mathfrak{R})$ .

(2)  $\mathfrak{R}$  is not empty and  $\text{Bd}(\mathfrak{R}) = \{p \in \tilde{M} | d(p, p_0) \leq d(p, p_j), \forall j > 0 \text{ and } d(p, p_0) = d(p, p_i) \text{ for some } i > 0\}$ , where  $G = \{\phi_i\}$  and  $p_i = \phi_i(p_0)$ ,  $i > 0$ .

(3) Each compact subset  $K$  of  $\tilde{M}$  meets only finitely many images  $\phi(\overline{\mathfrak{R}})$ ,  $\phi \in G$  of  $\mathfrak{R}$  under  $G$ . The set  $S_i = \mathfrak{R} \cap \{p \in \tilde{M} | d(p, p_0) = d(p, p_i)\}$  is called the side of  $\mathfrak{R}$  determined by  $\phi_i$ . If  $S_i$  is the side of  $\mathfrak{R}$  determined by  $\phi_i$  and  $S'_i$  is the side of  $\mathfrak{R}$  determined by  $\phi_i^{-1}$ , then  $S_i$  is conjugate to exactly one other side  $S'_i$  of  $\mathfrak{R}$ .

Since  $M$  is compact, the Dirichlet region  $\mathfrak{R}$  for  $G$  in  $\tilde{M}$  is compact and consists of finitely many sides which intersect at lower dimensional faces. Let  $T$  be a lower dimensional face in  $\text{Bd}(\mathfrak{R})$  which lies on some side  $S_{k_1}$  determined by  $\phi_{k_1} \in G$ . Then  $S_{k_1}$  is conjugate to  $S'_{k_1}$ .  $\phi_{k_1}(T)$  lies on  $S'_{k_1}$  and some other side  $S_{k_2}$  determined by  $\phi_{k_2}$ . Then  $\phi_{k_2}\phi_{k_1}(T)$  lies on  $S'_{k_2}$  and some other side. Consider an orbit of  $T$  under these conjugations. Then this orbit

must be finite and is  $\{T, \phi_{k_1}(T), \dots, \phi_{k_1} \phi_{k_{i-1}} \dots \phi_{k_1}(T) = T\}$ . Since  $\phi_{k_1} \phi_{k_{i-1}} \dots \phi_{k_1}$  is axial and leaves a proper subset  $T$  of  $M$  invariant, it has to be the identity. Thus  $G$  has a relation.

3. We need a theorem due to Swan [14] which generalizes a theorem of Stallings [13].

**THEOREM (SWAN).** *A torsion free group (finitely or infinitely generated) with a free subgroup  $F$  of finite index is a free group.*

**PROPOSITION 1.** *A subgroup of  $\pi_1(M)$  with an amenable subgroup of axial elements of finite index is an amenable subgroup of axial elements and is infinite cyclic.*

**PROOF.** Since the limit set  $L(H)$  of  $H$  with an amenable subgroup  $G_x$  ( $x \in \tilde{M}(\infty)$ ) of axial elements of finite index is equal to the limit set  $L(G_x)$ ,  $L(H)$  consists of two points and is an infinite cyclic group of axial elements.

**PROPOSITION 2.** *A free product of amenable subgroups of axial elements is a free group.*

**PROOF.** Each amenable group of axial elements is infinite cyclic. The given free product is free from the definition of free products.

**PROPOSITION 3.** *A subgroup of  $\pi_1(M)$  with a free product subgroup of amenable subgroups of axial elements of finite index is a free group.*

**PROOF.** Since  $\pi_1(M)$  is torsion free, the given group is also. We may apply Swan's theorem to obtain the statement.

**REMARK.** Can one give a geometric proof of the above proposition?

**THEOREM 3.** *Let  $M$  be a compact negatively curved manifold. If  $\pi_1(M)$  belongs to the class  $C$  of groups then  $\pi_1(M)$  is free.*

**PROOF.** Each group in  $C$  is obtained by a composition of operations described in Propositions 1, 2 and 3. The resulting group is a free group.

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