

## VALUES TAKEN MANY TIMES BY EULER'S PHI-FUNCTION

KENT WOOLDRIDGE

**ABSTRACT.** Let  $b_m$  denote the number of integers  $n$  such that  $\phi(n) = m$ , where  $\phi$  is Euler's function. Erdős has proved that there is a  $\delta > 0$  such that  $b_m > m^\delta$  for infinitely many  $m$ . In this paper we show that we may take  $\delta$  to be any number less than  $3 - 2\sqrt{2}$ .

We begin with a lemma that is a simple case of Theorem 3.12 in [2].

**LEMMA 1.** *Let  $a$  and  $k$  be relatively prime positive integers of opposite parity. Then for any  $\varepsilon > 0$  we have*

$$\sum_{\substack{p < N \\ ap + k \text{ prime}}} 1 < (8 + \varepsilon) H(a, k) N (\log N)^{-2}$$

for  $N > N_0$ , where

$$H(a, k) = \prod_{p > 2} (1 - (p - 1)^{-2}) \prod_{\substack{p | ak \\ p > 2}} (p - 1)(p - 2)^{-1}$$

and where  $N_0$  depends only on  $\varepsilon$ .

Next we need a well-known lemma, whose proof may be found in [3].

**LEMMA 2.** *Let  $d_1, d_2, \dots$  be a sequence of complex numbers such that  $\sum_{n=1}^{\infty} d_n n^{-1}$  is absolutely convergent. Then if*

$$\sum_{m=1}^{\infty} c_m m^{-s} = \sum_{m=1}^{\infty} m^{-s} \sum_{n=1}^{\infty} d_n n^{-s} \quad (\operatorname{Re} s > 1),$$

we have

$$\lim_{x \rightarrow \infty} x^{-1} \sum_{m \leq x} c_m = \sum_{n=1}^{\infty} d_n n^{-1}.$$

Let  $k$  be a fixed positive integer. Let  $t$  be a positive number and let  $r = 1/(1 + t)$ . Let  $G(N, k, t)$  denote the number of primes  $p$  greater than  $k$  and not exceeding  $N$  for which  $p - k$  has a prime divisor  $q$  such that  $q > N^r$ .

**LEMMA 3.** *For any  $\varepsilon > 0$  and any positive  $t < (\sqrt{2} - 1)/2$  we have*

$$G(N, k, t) \leq 4(1 + \varepsilon)t(1 + t)N(\log N)^{-1} \quad (1)$$

for sufficiently large  $N$ .

---

Received by the editors August 11, 1978.

AMS (MOS) subject classifications (1970). Primary 10A20.

© 1979 American Mathematical Society  
0002-9939/79/0000-0408/\$02.50

**PROOF.** Fix  $\varepsilon > 0$ . Let  $p$  be a prime such that  $k < p \leq N$ . Suppose  $q \geq N^r$  is a prime dividing  $p - k$ . Then  $p - k = aq$  with  $(a, k) = 1$  and  $a \leq N^{1-r}$ . Clearly  $a$  and  $k$  are of opposite parity. Thus

$$G(N, k, t) \leq \sum_{\substack{k < p \leq N \\ (p-k)/a \text{ prime}}} \sum_{a \leq N^{1-r}}' 1 = \sum_{a \leq N^{1-r}}' \sum_{\substack{q \leq (N-k)/a \\ aq+k \text{ prime}}} 1 \quad (2)$$

where the prime indicates that the sum is over integers  $a$  such that  $a$  and  $k$  are of opposite parity and  $(a, k) = 1$ .

We shall show that, for  $a \leq N^{1-r}$ , we have

$$(N - k) \left( \log \frac{N - k}{a} \right)^{-2} \leq N(r \log N)^{-2} \quad (3)$$

for  $N \geq M$ , where  $M$  is independent of  $a$ . Since the left-hand side of (3) increases with  $a$ , the assertion (3) is true if it holds with  $a$  replaced by  $N^{1-r}$ . The resulting inequality is easily shown to be equivalent to

$$-r^2 k (\log N)^2 \leq 2rN \log N \log(1 - kN^{-1}) + N(\log(1 - kN^{-1}))^2. \quad (4)$$

Note that  $x \log(1 - kx^{-1}) \rightarrow -k$  as  $x \rightarrow \infty$ . This implies that the right-hand side of (4) is  $O(\log N)$ . The assertion (3) follows.

If we use Lemma 1 together with (2) and (3) we have

$$G(N, k, t) \leq 8(1 + \varepsilon)N(r \log N)^{-2} \sum_{a \leq N^{1-r}}' H(a, k)a^{-1}. \quad (5)$$

Define the multiplicative function  $f$  by  $f(2) = 1$ ,  $f(p) = 1 + (p - 2)^{-1}$  for  $p > 2$ , and

$$f(n) = \prod_{p|n} f(p) = \prod_{\substack{p|n \\ p > 2}} \left( 1 + \frac{1}{p - 2} \right).$$

Then we have

$$H(a, k) = Df(k)f(a),$$

where

$$D = \prod_{p > 2} \left( 1 + \frac{1}{p(p - 2)} \right)^{-1}.$$

Thus

$$\sum_{a \leq x}' H(a, k)a^{-1} = Df(k) \sum_{a \leq x}' f(a)a^{-1}. \quad (6)$$

We will use Lemma 2 and a partial summation to estimate the last sum.

First assume that  $k$  is even. Then, for  $\text{Re } s \geq 1$ ,

$$\sum_{n=1}^{\infty}' f(n) \frac{1}{n^s} = \prod_{p|k} \left\{ 1 + f(p) \left( \frac{1}{p^s} + \frac{1}{p^{2s}} + \cdots \right) \right\} = \zeta(s)g(s),$$

where

$$g(s) = \prod_{p|k} \left(1 - \frac{1}{p^s}\right) \prod_{\substack{p|k \\ p>2}} \left(1 + \frac{1}{p^s(p-2)}\right).$$

The product converges absolutely for  $\operatorname{Re} s > 0$ .

Now assume that  $k$  is odd. Then, for  $\operatorname{Re} s > 1$ ,

$$\begin{aligned} \sum_{n=1}^{\infty} f(n) \frac{1}{n^s} &= \left(\frac{1}{2^s} + \frac{1}{2^{2s}} + \cdots\right) \prod_{\substack{p|k \\ p>2}} \left\{1 + f(p) \left(\frac{1}{p^s} + \frac{1}{p^{2s}} + \cdots\right)\right\} \\ &= \zeta(s)g(s), \end{aligned}$$

where

$$g(s) = \frac{1}{2^s} \prod_{p|k} \left(1 - \frac{1}{p^s}\right) \prod_{\substack{p|k \\ p>2}} \left(1 + \frac{1}{p^s(p-2)}\right).$$

In either case, we can conclude from Lemma 2 that

$$\lim_{x \rightarrow \infty} x^{-1} \sum_{n \leq x}' f(n) = g(1) = B(k) \frac{\phi(k)}{k} \prod_{\substack{p|k \\ p>2}} \left(1 + \frac{1}{p(p-2)}\right),$$

where  $B(k)$  equals 1 or  $\frac{1}{2}$  according as  $k$  is even or odd.

Let

$$C(x) = \sum_{n \leq x}' f(n) = g(1)x + o(x).$$

We have

$$\begin{aligned} \sum_{n \leq x}' \frac{f(n)}{n} &= \frac{C(x)}{x} + \int_1^x \frac{C(u)}{u^2} du \\ &= O(1) + g(1)\log x + o(\log x). \end{aligned} \quad (7)$$

Combining (6) and (7) we see that

$$\begin{aligned} \sum_{a \leq x}' H(a, k) a^{-1} &\sim Df(k)B(k) \frac{\phi(k)}{k} \prod_{\substack{p|k \\ p>2}} \left(1 + \frac{1}{p(p-2)}\right) \log x \\ &= \frac{1}{2} \log x. \end{aligned} \quad (8)$$

Combining (5) and (8) we see that, for large  $N$ ,

$$G(N, k, t) \leq 4(1 + \varepsilon)t(1 + t)N(\log N)^{-1},$$

since  $(1 - r)/r^2 = t(1 + t)$ .  $\square$

Note that the Prime-Number Theorem implies that Lemma 3 is trivial if  $t > (\sqrt{2} - 1)/2$ .

Let  $P(N, k, t)$  denote the number of primes in the interval  $(k, N]$  such that  $p - k$  is composed of primes less than  $N^r$ , where  $r = (1 + t)^{-1}$ . Then

$$\pi(N) = \pi(k) + G(N, k, t) + P(N, k, t). \quad (9)$$

LEMMA 4. For any  $t < (\sqrt{2} - 1)/2$  and any

$$\varepsilon < \varepsilon(t) = (1 - 4t(1 + t))/(2 + 5t + 4t^2)$$

we have

$$P((\log N)^{t+1}, k, t) > \varepsilon(\log N)^{t+1}(\log \log N)^{-1},$$

provided  $N$  is sufficiently large.

PROOF. Choose  $t < (\sqrt{2} - 1)/2$  and  $\varepsilon < \varepsilon(t)$ . By the Prime-Number Theorem we have

$$\pi((\log N)^{t+1}) - \pi(k) > \frac{1 - \varepsilon}{t + 1} (\log N)^{t+1} (\log \log N)^{-1} \quad (10)$$

for large  $N$ . By Lemma 3 we have

$$G((\log N)^{t+1}, k, t) \leq 4(1 + \varepsilon)t(\log N)^{t+1}(\log \log N)^{-1} \quad (11)$$

for large  $N$ .

Combining (9), (10), and (11) we see that

$$\begin{aligned} P((\log N)^{t+1}, k, t) \\ > \{(1 - \varepsilon)(t + 1)^{-1} - 4(1 + \varepsilon)t\}(\log N)^{t+1}(\log \log N)^{-1} \end{aligned} \quad (12)$$

for large  $N$ . Since  $t < (\sqrt{2} - 1)/2$ , we have  $(t + 1)^{-1} - 4t > 0$ . It is easy to check that if  $\varepsilon < \varepsilon(t)$ , then

$$(1 - \varepsilon)(t + 1)^{-1} - 4(1 + \varepsilon)t > \varepsilon. \quad (13)$$

If we combine (12) and (13) we have the result.  $\square$

Let  $Q(N, k, t)$  denote the number of square-free integers not exceeding  $N$  that are composed of the primes counted by  $P((\log N)^{t+1}, k, t)$ .

LEMMA 5. For any  $t < (\sqrt{2} - 1)/2$  and any  $\varepsilon$  we have  $Q(N, k, t) > N^{(1-\varepsilon)(1-r)}$  for large  $N$ , where  $r = (t + 1)^{-1}$ .

PROOF. Let  $t < (\sqrt{2} - 1)/2$  and assume without loss of generality that  $\varepsilon < \varepsilon(t) < 1$ . Let  $u = \varepsilon/2$ , and let

$$c = c(t, N) = \log N((t + 1)\log \log N)^{-1}.$$

Let  $d = [c]$ . Suppose  $q$  is square-free and has  $d$  prime factors that are counted by  $P((\log N)^{t+1}, k, t)$ . Then  $q \leq (\log N)^{c(t+1)} = N$ . The number of such  $q$  is the binomial coefficient  $B = \binom{P}{d}$ , where  $P = P((\log N)^{t+1}, k, t)$ . By Lemma 4 we have

$$P > \varepsilon(\log N)^{t+1}(\log \log N)^{-1}.$$

Since

$$\binom{m}{n} > \left(\frac{m}{n}\right)^n \quad \text{for } m > n > 1,$$

we have

$$B > \left(\frac{P}{d}\right)^d \geq (\varepsilon(t+1)(\log N)^t)^d. \quad (14)$$

For large  $N$  we have

$$\frac{(1-u)\log N}{(t+1)\log \log N} < d < \frac{(1+u)\log N}{(t+1)\log \log N} \quad (15)$$

and

$$\log \log N > \frac{(1+u)(-\log \varepsilon)}{tu}. \quad (16)$$

Now, using (15) and (16), we have

$$\begin{aligned} \varepsilon^d &> \exp((1+u)\log \varepsilon \log N((t+1)\log \log N)^{-1}) \\ &> \exp(-tu(1+t)^{-1}\log N) = N^{-u(1-r)}. \end{aligned} \quad (17)$$

Also,

$$\begin{aligned} (\log N)^{td} &> \exp(t(1-u)\log N((t+1)\log \log N)^{-1}\log \log N) \\ &= \exp(t(t+1)^{-1}(1-u)\log N) = N^{(1-u)(1-r)}. \end{aligned} \quad (18)$$

Using (14), (17), and (18), we see that

$$Q(N, k, t) > B > N^{(1-u)(1-r)-u(1-r)} = N^{(1-\varepsilon)(1-r)}. \quad \square$$

**LEMMA 6.** *Let  $M(N)$  denote the number of integers not exceeding  $N$  that are composed of primes less than  $\log N$ . Then for any  $\varepsilon > 0$  we have  $M(N) \leq N^\varepsilon$  for sufficiently large  $N$ .*

**PROOF.** This is easily proved. The proof may be found in Erdős [1].

Let  $f$  be a multiplicative arithmetic function with  $f(p) = p - k$  for prime  $p$  greater than  $k$ . We need not consider the values of  $f$  at higher prime powers or at primes not exceeding  $k$ .

**THEOREM.** *Let  $f$  be as above. If  $\delta < 3 - 2\sqrt{2}$ , then there are infinitely many  $m$  such that, for more than  $m^\delta$  square-free integers  $q$ , we have  $m = f(q)$ .*

**PROOF.** If  $t < (\sqrt{2} - 1)/2$  and  $\varepsilon < \varepsilon(t)$  there are, by Lemma 10, at least  $\varepsilon(\log N)^{t+1}(\log N)^{-1}$  primes in the interval  $(k, (\log N)^{t+1}]$  such that  $p - k$  is composed of primes less than  $\log N$ . Let  $u = \varepsilon/2$  and let  $r = (t+1)^{-1}$ . By Lemma 5 there are at least  $N^{(1-u)(1-r)}$  square-free integers  $q \leq N$  that are composed of these primes. Let  $W$  be the number of values of  $f(q)$  for these square-free integers. Since

$$f(q) = \prod_{p|q} (p - k)$$

we see that  $f(q)$  is divisible only by primes less than  $\log N$  for each of these  $q$ . By Lemma 6 we have  $W \leq M(N) \leq N^\varepsilon$  for large  $N$ . By the pigeon-hole principle there is an  $m \leq N$  such that, for at least

$$N^{(1-u)(1-r)-u} \geq N^{(1-r)-\varepsilon} \geq m^{(1-r)-\varepsilon}$$

of these  $q$ , we have  $m = f(q)$ . If  $\delta < 3 - 2\sqrt{2}$  we can choose  $t < (\sqrt{2} - 1)/2$  and  $\varepsilon < \varepsilon(t)$  so that  $(1 - r) - \varepsilon = t(1 + t)^{-1} - \varepsilon > \delta$ , since

$$\frac{(\sqrt{2} - 1)/2}{1 + (\sqrt{2} - 1)/2} = 3 - 2\sqrt{2}.$$

Thus for  $\delta < 3 - 2\sqrt{2}$  and  $N$  sufficiently large, we have, for some  $m \leq N$ , more than  $m^\delta$  square-free integers  $q$  such that  $m = f(q)$ . The theorem follows.

□

#### REFERENCES

1. P. Erdős, *On the normal number of prime factors of  $p - 1$  and some related problems concerning Euler's  $\phi$ -function*, Quart. J. Math. Oxford Ser. 6 (1935), 205–213.
2. H. Halberstam and H. E. Richert, *Sieve methods*, Academic Press, New York, 1974.
3. D. G. Kendall and R. A. Rankin, *On the number of Abelian groups of a given order*, Quart. J. Math. Oxford Ser. 18 (1947), 197–208.

DEPARTMENT OF MATHEMATICS, CALIFORNIA STATE COLLEGE, STANISLAUS, TURLOCK, CALIFORNIA 95380