

## THE STRONGLY PRIME RADICAL<sup>1</sup>

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**ABSTRACT.** Let  $R$  denote a strongly prime ring. An explicit construction is given of the radical in  $R$ -mod corresponding to the unique maximal proper torsion theory. This radical is characterized in two other ways analogous to known descriptions of the prime radical in rings. If  $R$  is a left Ore domain the radical of a module coincides with the torsion submodule.

**1. The strongly prime radical.** The terminology of radicals in modules is that of Stenstrom [3]. Throughout this paper all rings have a unity and all modules are unital left modules. For a ring  $R$  the category of  $R$ -modules is denoted by  $R$ -mod.

A functor  $\sigma: R\text{-mod} \rightarrow R\text{-mod}$  is called a *preradical* if  $\sigma(M)$  is a submodule of  $M$  and  $\sigma(M)\alpha \subseteq \sigma(N)$  for each morphism  $M \xrightarrow{\alpha} N$  in  $R$ -mod. A preradical  $\alpha$  is called a *radical* if  $\sigma(M/\sigma(M)) = 0$  for all  $M \in R\text{-mod}$ . A preradical  $\sigma$  is called *left exact* if  $\sigma(N) = N \cap \sigma(M)$  whenever  $N \subseteq M$  in  $R$ -mod (equivalently, if  $\sigma$  is a left exact functor). One method of constructing left exact radicals is given by the following result.

**PROPOSITION 1.** *Let  $\mathfrak{M}$  be any nonempty class of modules closed under isomorphisms. For any module  $M$  define*

$$\sigma(M) = \bigcap \{ K \mid K \subseteq M, M/K \in \mathfrak{M} \}.$$

*It is assumed that  $\sigma(M) = M$  if  $M/K \notin \mathfrak{M}$  for all  $K \subseteq M$ . Then*

- (1)  $\sigma[M/\sigma(M)] = 0$  for all modules  $M$ ;
- (2) if  $\mathfrak{M}$  is closed under taking nonzero submodules,  $\sigma$  is a radical;
- (3) if  $\mathfrak{M}$  is closed under taking essential extensions, then  $\sigma(M) \cap N \subseteq \sigma(N)$  for all submodules  $N \subseteq M$ .

*In particular,  $\sigma$  is a left exact radical if  $\mathfrak{M}$  is closed under nonzero submodules and essential extensions.*

**PROOF.** The proofs of (1) and (2) are straightforward and so are omitted; the last sentence follows from (2) and (3). To prove (3) let  $N \subseteq M$  be modules. We must verify that  $N \cap \sigma(M) \subseteq K$  whenever  $N/K \in \mathfrak{M}$ . By Zorn's lemma, choose  $W$  maximal in

$$\mathfrak{S} = \{ W \mid K \subseteq W \subseteq M, W \cap N = K \}.$$

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We claim that  $(W + N)/W$  is essential in  $M/W$ . For if

$$X/W \cap (W + N)/W = 0$$

where  $X/W \neq 0$  then  $X \supset W$  so  $X \cap N \supset K$  by the choice of  $W$ . Suppose  $x \in (X \cap N) - K$ . Then

$$x + W \in X/W \cap (W + N)/W = 0$$

so  $x \in N \cap W = K$ , a contradiction. Hence  $(W + N)/W \subseteq M/W$  is essential. Since

$$(W + N)/W \cong N/(W \cap N) = N/K \in \mathfrak{N},$$

it follows that  $M/W \in \mathfrak{N}$  and so  $\sigma(M) \subseteq W$ . Thus  $\sigma(M) \cap N \subseteq W \cap N = K$  as required.  $\square$

We are going to apply this to the following class of modules: An  $R$ -module  $M$  is called *strongly prime* [1] if  $M \neq 0$  and, for each nonzero element  $m \in M$ , there exists a finite subset  $\{r_1, \dots, r_k\} \subseteq R$  (depending on  $m$ ) such that  $rr_i m = 0$  for all  $i$  ( $r \in R$ ) implies  $r = 0$ . In [1] the set  $\{r_1, r_2, \dots, r_k\}$  is called an *insulator* for  $m$ . A ring  $R$  is called *left strongly prime* if  ${}_R R$  is strongly prime (this is not left-right symmetric [1, p. 212]).

**PROPOSITION 2.** *The class of strongly prime modules is closed under taking isomorphic images, (nonzero) submodules and essential extensions.*

**PROOF.** It is obviously closed under isomorphic images and nonzero submodules. If  $M \subseteq X$  is an essential extension and  $M$  is strongly prime let  $0 \neq x \in X$ . Then  $Rx \cap M \neq 0$ , say  $0 \neq rx \in M$ ,  $r \in R$ . Then if  $\{r_1, \dots, r_k\}$  is an insulator for  $rx$  it is clear that  $\{r_1 r, \dots, r_k r\}$  is an insulator for  $x$ .  $\square$

Now define the *strongly prime radical*  $\beta$  on  $R$ -mod by

$$\beta(M) = \cap \{K \mid K \subseteq M, M/K \text{ strongly prime}\},$$

where we assume that  $\beta(M) = M$  whenever  $M$  has no strongly prime images. Observe that every strongly prime module is faithful. If  $M$  is strongly prime and  $0 \neq r \in R$  then  $rM \neq 0$ , say  $rm \neq 0$ ,  $m \in M$ . If  $\{r_1, r_2, \dots, r_k\}$  is an insulator for  $rm$  then it is also an insulator for  $r$  in  ${}_R R$ . It follows that  $R$  is *left strongly prime* if and only if it has a strongly prime module [1, p. 220]. In particular,  $\beta(M) = M$  for all  $M \in R$ -mod unless  $R$  is a strongly prime ring. In this case Propositions 1 and 2 give:

**PROPOSITION 3.** *If  $R$  is left strongly prime then  $\beta$  is a left exact preradical on  $R$ -mod.*

If  $\sigma$  and  $\rho$  are two preradicals on  $R$ -mod, we say that  $\rho$  is *larger* than  $\sigma$  (written  $\rho \geq \sigma$ ) if  $\rho(M) \supseteq \sigma(M)$  for every module  $M \in R$ -mod. Then we have:

**THEOREM 1.** *Let  $R$  be left strongly prime. Then  $\beta(R) = 0$  and  $\beta \geq \sigma$  for every left exact preradical  $\sigma$  on  $R$ -mod such that  $\sigma(R) = 0$ .*

PROOF. Clearly  $\beta(R) = 0$  (since  ${}_R R$  is strongly prime). Suppose  $\sigma$  is a preradical on  $R\text{-mod}$  for which  $\sigma(R) = 0$ . Given  $M \in R\text{-mod}$  we must show  $\sigma(M) \subseteq \beta(M)$ . If not then  $\sigma(M) \not\subseteq K$  for some  $K \subseteq M$  with  $M/K$  strongly prime. If  $\alpha: M \rightarrow M/K$  is the natural map then

$$0 \neq [\sigma(M) + K]/K = \sigma(M)\alpha \subseteq \sigma(M/K)$$

so it suffices to show that  $\sigma(M) = 0$  whenever  $M$  is strongly prime. Suppose on the contrary that  $0 \neq m \in \sigma(M)$ . Let  $\{r_1, \dots, r_k\}$  be an insulator for  $m$  and define  $\lambda: R \rightarrow M^k$  by  $r\lambda = (rr_1m, \dots, rr_km)$ . This is an  $R$ -monomorphism and  $R\lambda \subseteq \sigma(M)^k \subseteq \sigma(M^k)$ . But  $\sigma$  is left exact so  $\sigma(R) = 0$  implies

$$0 = \sigma(R\lambda) = R\lambda \cap \sigma(M^k) = R\lambda,$$

a contradiction.  $\square$

A nonempty class  $\mathfrak{T}$  of modules is called a *pretorsion class* if it is closed under quotients and direct sums; if in addition  $\mathfrak{T}$  has the property that  $M/K, K \in \mathfrak{T}$  imply  $M \in \mathfrak{T}$ , then  $\mathfrak{T}$  is called a *torsion class*. A pretorsion class is called *hereditary* if it is closed under taking submodules. If a preradical  $\sigma$  on  $R\text{-mod}$  is given, the class  $\mathfrak{T}_\sigma = \{M \mid \sigma(M) = M\}$  is known to be a pretorsion class and the assignment  $\sigma \leftrightarrow \mathfrak{T}_\sigma$  is a bijection between left exact preradicals and hereditary pretorsion classes [3, p. 138] under which left exact radicals correspond with hereditary torsion classes [3, p. 139]. In particular, if  $R$  is left strongly prime and  $\beta$  is the strongly prime radical on  $R\text{-mod}$ , then Theorem 1 implies that  $\mathfrak{T}_\beta$  is the torsion class of the largest hereditary torsion theory [3, p. 141] on  $R\text{-mod}$  for which  $R$  is torsion-free. The existence of a unique maximal proper torsion theory on  $R\text{-mod}$  was given in [1, p. 220].

**2. Further characterizations of the strongly prime radical.** In this section we present two characterizations of the strongly prime radical which are analogs of well-known descriptions of the prime radical of a ring. The first gives a generalization of the notion of an  $m$ -system. A subset  $X$  of an  $R$ -module  $M$  is called an *fm-system* if  $X \neq \emptyset$  and for each  $x \in X$  there is a finite subset  $F \subseteq R$  (depending on  $x$ ) such that  $rFx \cap X \neq \emptyset$  for all  $0 \neq r \in R$ .

**PROPOSITION 4.** *If  $N \subseteq M$  are modules then  $M/N$  is strongly prime if and only if  $M - N$  is an fm-system.*

PROOF. If  $M - N$  is an fm-system then  $M/N \neq 0$  and, if  $m \notin N$  for some  $m \in M$ , choose  $F = \{r_1, \dots, r_k\} \subseteq R$  such that  $rFm \cap (M - N) \neq \emptyset$  for all  $0 \neq r \in R$ . Then  $F$  is an insulator for  $m + N$ . For the converse, reverse the argument.  $\square$

One immediate consequence of this proposition is that subdirect products of strongly prime modules are strongly prime. Alternatively, if  $K_i \subseteq M, i \in I$ , are submodules such that  $M/K_i$  is strongly prime for each  $i \in I$ , then  $M/\cap K_i$  is strongly prime. This follows since  $M - \cap K_i = \cup (M - K_i)$  and the union of a collection of fm-systems is again an fm-system. In

particular, either  $\beta(M) = M$  or  $M/\beta(M)$  is strongly prime for every module  $M$ .

**THEOREM 2.** *Let  $R$  be a left strongly prime ring and let  $\beta$  denote the strongly prime radical in  $R\text{-mod}$ . Then*

$$\beta(M) = \{m \in M \mid \text{each } fm\text{-system } X \text{ with } m \in X \text{ has } 0 \in X\}$$

*holds for each module  $M$ . Furthermore  $\beta(M)$  is the unique smallest submodule of  $M$  with the property that  $M/\beta(M)$  is strongly prime or zero.*

**PROOF.** The last sentence follows by the preceding remark. Write

$$B = \{m \in M \mid \text{each } fm\text{-system } X \text{ with } m \in X \text{ has } 0 \in X\}.$$

If  $\beta(M) \neq M$  then  $M - \beta(M)$  is an  $fm$ -system which does not contain zero so  $B \subseteq \beta(M)$  in this case. This clearly holds if  $\beta(M) = M$ .

Now suppose  $m \notin B$ ; we must show  $m \notin \beta(M)$ . There is an  $fm$ -system  $X$  with  $m \in X$  and  $0 \notin X$ . Let  $\mathfrak{S} = \{K \subseteq M \mid K \text{ a submodule and } K \cap X = \emptyset\}$ . Then  $0 \in \mathfrak{S}$  and, by Zorn's lemma, we may choose a maximal member  $K$  of  $\mathfrak{S}$ . Since  $m \notin K$  we are finished if we can show that  $M/K$  is strongly prime, equivalently that  $M - K$  is an  $fm$ -system. Given  $m_1 \in M - K$  then  $Rm_1 + K$  meets  $X$  by the maximality of  $K$ , say  $rm_1 + k = x \in X$ . Since  $X$  is an  $fm$ -system, choose a finite set  $F = \{r_1, \dots, r_t\} \subseteq R$  such that  $sFx \cap X \neq \emptyset$  for each  $0 \neq s \in R$ . If  $sr_i x \in X$  for such an  $s$ , then  $sr_i rm_1 + sr_i k \in X$ . But  $K \cap X = \emptyset$  and  $sr_i k \in K$  so it follows that  $sr_i rm_1 \notin K$ . Thus

$$sr_i rm_1 \in s(Fr)m_1 \cap (M - K)$$

and so  $M - K$  is an  $fm$ -system as required.  $\square$

Note that this argument yields slightly more. If  $X_0$  is any  $fm$ -system with  $0 \notin X_0$  then Zorn's lemma produces a maximal  $fm$ -system  $X \supseteq X_0$  with  $0 \notin X$ . If we now choose  $K$  as in the proof of Theorem 2 then  $X \subseteq M - K$  (since  $X \cap K = \emptyset$ ) and hence  $X = M - K$  by the maximality of  $X$ . Thus

**COROLLARY.** *If  $X$  is a maximal  $fm$ -system such that  $0 \notin X$  in a module  $M$  then  $K = M - X$  is a submodule with  $M/K$  strongly prime. In particular, a module  $M$  contains an  $fm$ -system  $X$  with  $0 \notin X$  if and only if  $M$  has a strongly prime image.*

We now turn to a characterization of the strongly prime radical which is analogous to the lower radical construction of the prime radical of a ring. Given a module  $M$ , inductively define an ascending chain of submodules  $M_\lambda$ ,  $\lambda$  an ordinal, as follows:

- (1)  $M_0 = 0$ ;
- (2) if  $\lambda$  is a limit ordinal, define  $M_\lambda = \bigcup_{\mu < \lambda} M_\mu$ ;
- (3) if  $\lambda = \mu + 1$ , define

$$M_\lambda = M_{\mu+1} = \left\{ m \in M \mid \begin{array}{l} \text{given a finite nonempty subset } F \subseteq R, \\ \text{there exists } 0 \neq r \in R \text{ such that } rFm \subseteq M_\mu \end{array} \right\}.$$

Clearly  $M_\lambda \subseteq M_{\lambda+1}$  so these  $M_\lambda$  are an ascending chain of submodules. If  $\gamma$  is the least ordinal for which  $M_\gamma = M_{\gamma+1}$  write  $M_\gamma = L(M)$ .

**THEOREM 3.** *If  $R$  is left strongly prime and  $\beta$  is the strongly prime radical in  $R\text{-mod}$  then  $\beta(M) = L(M)$  holds for every  $M \in R\text{-mod}$ .*

**PROOF.** Let  $L(M) = M_\gamma = M_{\gamma+1}$ . We show first that  $\beta(M) \subseteq M_\gamma$ . If  $M_\gamma = M$  this is clear. Otherwise it suffices to show  $M/M_\gamma$  is strongly prime. If  $m \in M - M_\gamma$  then  $m \notin M_{\gamma+1}$  so there exists a finite set  $F = \{r_1, \dots, r_k\} \subseteq R$  such that  $rFm \not\subseteq M$  for every  $0 \neq r \in R$ . Thus  $rFm \cap (M - M_\gamma) \neq \emptyset$  for all  $0 \neq r \in R$  so  $M - M_\gamma$  is an  $fm$ -system as required.

To prove  $M_\gamma \subseteq \beta(M)$  we prove inductively that  $M_\lambda \subseteq \beta(M)$  holds for every ordinal  $\lambda$ . The only case where proof is required is when  $\lambda = \mu + 1$  for some ordinal  $\mu$ . Assume  $M_\mu \subseteq \beta(M)$  and suppose  $m \in M_\lambda - \beta(M)$ . Then, since  $M - \beta(M)$  is an  $fm$ -system, there exists a finite set  $F \subseteq R$  with  $rFm \cap [M - \beta(M)] \neq \emptyset$  for all  $0 \neq r \in R$ . But  $m \in M_\lambda = M_{\mu+1}$  means there exists  $0 \neq r_0 \in R$  such that  $r_0 Fm \subseteq M_\mu$ . This contradiction shows that  $M_\lambda \subseteq \beta(M)$  and so completes the induction.  $\square$

One important class of strongly prime rings is the class of domains. We now relate  $\beta(M)$  for  $M \in R\text{-mod}$  to the set of torsion elements  $\tau(M)$  where  $R$  is a domain. Recall that  $\tau(M) = \{m \in M \mid rm = 0 \text{ for some } 0 \neq r \in R\}$ .

**PROPOSITION 5.** *If  $R$  is a domain then  $\beta(M) \subseteq \tau(M)$  for all  $M \in R\text{-mod}$ .*

**PROOF.** We use Theorem 3 and show inductively that  $M_\lambda \subseteq \tau(M)$  for every ordinal  $\lambda$ . Again we need only discuss the case when  $\lambda = \mu + 1$  for some ordinal  $\mu$  and  $M_\mu \subseteq \tau(M)$ . Let  $m \in M_\lambda$ . Then the definition of  $M_{\mu+1}$  (with  $\{1\} = F$ ) shows that there exists  $0 \neq r \in R$  with  $rm \in M_\mu$ . Thus  $sr = 0$  for some  $0 \neq s \in R$  and, since  $R$  is a domain, this shows that  $m \in \tau(M)$ .  $\square$

In the case of left Ore domains, Levy [2] has shown that, for each  $M \in R\text{-mod}$ ,  $\tau(M)$  is a submodule of  $M$ . In this case it is easy to verify that  $\tau$  is a left exact radical on  $R\text{-mod}$  and it is clear that  $\tau(R) = 0$ . Hence, by Theorem 1,  $\beta \geq \tau$ . With Proposition 5 this gives:

**PROPOSITION 6.** *If  $R$  is a left Ore domain, then  $\tau(M) = \beta(M)$  for all  $M \in R\text{-mod}$ , that is  $\tau = \beta$  on  $R\text{-mod}$ .*

**3. The faithful prime radical.** The preceding work can be repeated to deal with the radical determined by the class  $\mathfrak{N}_0$  of faithful prime modules in  $R\text{-mod}$  (so we assume  $R$  is a prime ring). Then Proposition 2 is valid for  $\mathfrak{N}_0$  and yields a left exact radical

$$\beta_0(M) = \{K \mid K \subseteq M, M/K \text{ is faithful and prime}\}$$

when we set  $\beta_0(M) = M$  if  $M$  has no faithful, prime images. We call  $\beta_0(M)$  the *faithful prime radical* of  $M$ . Clearly  $\beta_0 \leq \beta$  over a strongly prime ring.

Define an  $m$ -system in a module  $M$  to be a nonempty subset  $X$  of  $M$  such that, for each  $x \in X$  and  $0 \neq r \in R$ ,  $rRx \cap X \neq \emptyset$ . Then  $M/N$  is a faithful

prime module if and only if  $M - N$  is an  $m$ -system. Furthermore Theorem 2 has its analog for prime rings  $R$  obtained by replacing  $\beta$ , "strongly prime" and " $fm$ -system" by  $\beta_0$ , "faithful prime" and " $m$ -system" throughout. The proof is analogous to the above and is omitted.

We also have a lower radical construction of  $\beta_0(M)$ . A sequence  $M_\lambda$ ,  $\lambda$  an ordinal, of submodules of a module  $M$  is defined as before except that, when  $\lambda = \mu + 1$ , we define  $M_{\mu+1} = \{m \in M \mid \text{there is an ideal } I \neq 0 \text{ of } R \text{ with } Im \subseteq M_\mu\}$ . Again we find that the terminal module in this ascending chain is  $\beta_0(M)$ .

Finally, let  $F$  be a field with a monomorphism  $\alpha: F \rightarrow F$  which is not onto and let  $R = F[x, \alpha]$  be the skew polynomial ring with coefficients written on the left. Then  $R$  is a left Ore domain which is left primitive. In fact, if  $b \in F - F\alpha$ , then  $M = R/R(x + b)$  is a faithful irreducible module which is torsion. Hence  $\beta_0(M) = 0$  while  $\tau(M) = \beta(M) = M$  and so  $\beta_0 < \beta$ .

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