

## A REGULAR COUNTEREXAMPLE TO THE $\gamma$ -SPACE CONJECTURE

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**ABSTRACT.** This paper presents a completely regular counterexample to the conjecture that every  $\gamma$ -space is quasi-metrizable. Junnila has shown that developable  $\gamma$ -spaces are quasi-metrizable; this example shows that “developable” cannot be replaced by “quasi-developable”. In the process we provide a method for constructing non- $n$ -pretransitive spaces.

**1. Introduction.** The  $\gamma$ -space conjecture is the conjecture that every  $\gamma$ -space is quasi-metrizable. This conjecture has been proven for various classes of spaces, among them spaces with orthobases, developable spaces, and suborderable spaces [G-K2; J2; B-K1]. In [F] it is shown how to construct a counterexample  $\tilde{X}$  to the  $\gamma$ -space conjecture from a  $\gamma$ -space  $X$  having a neighbourhood  $U$  with the property that  $U^k$  is not a normal neighbourhood for any  $k \in \mathbf{N}$  (such a space  $X$  may be obtained by taking the topological sum of a sequence of  $\gamma$ -spaces  $X_n$ , where  $X_n$  is not  $n$ -pretransitive). However, even if  $X$  is completely regular,  $\tilde{X}$  need not be regular at all. A sufficient condition for  $\tilde{X}$  to be completely regular is that  $X$  be completely regular and that  $U^k$  be a clopen neighbourhood for every  $k \in \mathbf{N}$ .

We present here a construction which begins with a quasi-metrizable space  $Y$  of cardinality at most  $\mathfrak{c}$  which is not  $n^+$ -pretransitive, and yields another quasi-metrizable space  $\hat{Y}$  of cardinality  $\mathfrak{c}$  that is not  $(n+1)^+$ -pretransitive. If  $U$  is a neighbourhood on  $Y$  such that  $U^{n+}$  is not normal, then this construction yields a neighbourhood  $\hat{U}$  on  $\hat{Y}$  such that  $\hat{U}^{(n+1)+}$  is not normal (see Lemma 1 below). This construction will allow us to inductively generate a sequence of spaces  $X_n = \hat{X}_{n-1}$  with neighbourhoods  $U_n = \hat{U}_{n-1}$  such that  $U_n^{n+}$  is not normal. If we take  $X$  to be the topological sum  $X = \bigcup_{n=0}^{\infty} X_n$  and  $U = \bigcup_{n=0}^{\infty} U_n$ , we may then apply [F] to construct a  $\gamma$ -space  $\tilde{X}$  which is not quasi-metrizable.

By starting from a suitable space  $X_0$ , we can inductively guarantee that  $X_n$  will be completely regular and that  $U_n^k$  will be clopen for every  $k \in \mathbf{N}$  (see Lemma 3 below). In this case, the counterexample  $\tilde{X}$  will be completely regular, as intended.

**2. Terminology.** A *quasi-metric* is a generalized metric  $d$  satisfying the triangle inequality  $d(x, z) \leq d(x, y) + d(y, z)$  but not necessarily the symmetry axiom  $d(x, y) = d(y, x)$  [N; W]. A space  $X$  is said to be *quasi-metrizable* if it has a

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Received by the editors May 9, 1983 and, in revised form, July 16, 1984.

1980 *Mathematics Subject Classification*. Primary 54E15; Secondary 54G20, 54D15, 54E30.

*Key words and phrases*. Quasi-metric,  $\gamma$ -space,  $n$ -pretransitive space, normal neighbourhood, quasi-developable, scattered space.

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compatible quasi-metric  $d$ —i.e. at each point  $x \in X$  the sets  $B_d(x; \varepsilon) = \{y: d(x, y) < \varepsilon\}$ , for  $\varepsilon > 0$ , form a neighbourhood base.

We will use Junnila's neighbourhood notation [J1]. A *neighbournet* on a space  $X$  is a binary relation  $V$  such that  $V[x]$  is a neighbourhood of  $x$  for every  $x \in X$ . A neighbourhood  $V$  is called *open*, *closed* or *clopen* if every  $V[x]$  is open, closed or clopen, respectively. A sequence  $\langle V_n: n \in \mathbf{N} \rangle$  of neighbourhoods is called *basic* if at each point  $x \in X$  the sets  $V_n[x]$ , for  $n \in \mathbf{N}$ , form a neighbourhood base; and *normal* if  $V_{n+1}^2 \subseteq V_n$  for each  $n$ . A neighbourhood is said to be *normal* if it is a member of a normal sequence of neighbourhoods.

With this terminology, a  $T_1$  space is quasi-metrizable if and only if it has a normal basic sequence of neighbourhoods [R; J1]. Similarly, a  $T_1$  space is a  $\gamma$ -space if and only if it has a sequence  $\langle V_n: n \in \mathbf{N} \rangle$  of neighbourhoods such that the sequence  $\langle V_n^2: n \in \mathbf{N} \rangle$  is basic [H; LF; J1]. Clearly every quasi-metrizable space is a  $\gamma$ -space.

If  $U$  is a binary relation on a space  $X$  we define a new relation  $U^+$  on  $X$  by  $U^+[x] = \bigcap \{U[G]: G \text{ is a neighbourhood of } x\}$ . If  $U$  is a neighbourhood then  $U^n \subseteq (U^n)^+ \subseteq U^{n+1}$  for each nonnegative integer  $n$  [K1]. We will write  $U^{n+}$  for  $(U^n)^+$ .

A space  $X$  is called *n-pretransitive* (*n<sup>+</sup>-pretransitive*) if whenever  $U$  is a neighbourhood on  $X$  then  $U^n$  ( $U^{n+}$ ) is a normal neighbourhood [FL, p. 191, §6.21; cf. also K1]. The  $n^+$ -pretransitivity property lies strictly between  $n$ -pretransitivity and  $(n+1)$ -pretransitivity. Since  $U^0[x] = \{x\}$ , observe that a space is 0-pretransitive ( $0^+$ -pretransitive) if and only if it is discrete (the arbitrary intersection of open sets is open).

The importance of  $n$ - and  $n^+$ -pretransitivity is that an  $n$ - or  $n^+$ -pretransitive  $\gamma$ -space is quasi-metrizable [FL, p. 165, §7.19], and that almost all partial solutions to the  $\gamma$ -space conjecture have implicitly used this property: [G; J2; K1; K2] have all shown that the spaces concerned were 2- or  $2^+$ -pretransitive.

**3. The construction of  $\hat{Y}$  and  $\hat{U}$ .** Let  $Y$  be a quasi-metrizable space and  $\langle V_n: n \in \mathbf{N} \rangle$  a normal basic sequence for  $Y$ . The structure of  $\hat{Y}$  is as follows.

The points of  $\hat{Y}$  are the points of  $Y \times \mathbf{R}$ . We presume that  $\mathbf{R}$  is partitioned into sets  $A$  and  $B$ .

For each  $b \in B$  we declare  $Y \times \{b\}$  to be a clopen subspace of  $\hat{Y}$  canonically homeomorphic to  $Y$ . If  $\langle y, b \rangle \in Y \times \{b\}$  we define  $\hat{V}_n[\langle y, b \rangle] = V_n[y] \times \{b\}$ .

We presume that  $Z$  is a chosen subset of  $Y \times A$ ; and for each  $\langle x, a \rangle \in Z$  that  $S(x, a)$  is a chosen subset of  $Y \times B$ . We define the basic neighbourhoods of  $\langle x, a \rangle \in Z$  to be

$$\hat{V}_n[\langle x, a \rangle] = \{\langle x, a \rangle\} \cup \bigcup \{V_n[y] \times \{b\}: \langle y, b \rangle \in S(x, a) \text{ and } |b - a| < 2^{-n}\}.$$

All points  $\langle x, a \rangle$  in  $X \times A$  which are not in  $Z$  are isolated; for these points we define  $\hat{V}_n[\langle x, a \rangle] = \{\langle x, a \rangle\}$ .

The structure of  $\hat{Y}$  as outlined above does not by itself guarantee that  $\hat{Y}$  will be Hausdorff, even if  $Y$  is. However,  $\hat{Y}$  will be  $T_1$ , and so the Hausdorff property will be guaranteed if  $\hat{Y}$  is regular. It is not difficult to show that  $\langle \hat{V}_n: n \in \mathbf{N} \rangle$  is a normal basic sequence for  $\hat{Y}$ ; and hence  $\hat{Y}$  is quasi-metrizable.

The structure of  $\hat{U}$  is as follows. We presume that  $A$  is further partitioned into sets  $A_p$  ( $p \in \mathbf{N}$ ), and that  $Z_p$  denotes the set of all points  $\langle x, a \rangle \in Z$  with  $a \in A_p$ . Define  $\hat{U}$  by

$$\begin{aligned}\hat{U}[\langle x, b \rangle] &= U[x] \times \{b\} && \text{if } b \in B; \\ \hat{U}[\langle x, a \rangle] &= \hat{V}_p[\langle x, a \rangle] && \text{if } \langle x, a \rangle \in Z_p, p \in \mathbf{N}; \\ \hat{U}[\langle x, a \rangle] &= \{\langle x, a \rangle\} && \text{otherwise.}\end{aligned}$$

Observe that if  $\langle x, a \rangle \in Z$ , then

$$\begin{aligned}\hat{U}^{n+1}[\langle x, a \rangle] &= \hat{U}^n[\langle x, a \rangle] \cup \bigcap_{k=1}^{\infty} \bigcup \{U^n \circ V_k[y] \times \{b\} : \langle y, b \rangle \in S(x, a) \\ &\quad \text{and } |b - a| < 2^{-k}\} \\ &= \hat{U}^n[\langle x, a \rangle].\end{aligned}$$

Note that the construction of  $\hat{Y}$  and  $\hat{U}$  depends on the choices made of  $A = \bigcup_{p=1}^{\infty} A_p$ ,  $B$ ,  $Z$ , and  $S(x, a)$  for each  $\langle x, a \rangle \in Z$ . We will elaborate later on how these choices are to be made. The lemmas below discuss the properties required of  $\hat{Y}$  and  $\hat{U}$ .

**LEMMA 1.** *Suppose that  $U^{n+}$  is not a normal neighbourhood on  $Y$ . If  $\hat{Y}$  is constructed so that*

(I)  *$B$  is a dense Baire subset of  $\mathbf{R}$ ;*

(II) *if  $E$  is a subset of  $Y \times B$ , and the canonical projection of  $E$  onto  $B$  is somewhere dense in  $\mathbf{R}$ , then for every  $p \in \mathbf{N}$  there is a point  $\langle x, a \rangle \in Z_p$  such that  $\langle x, a \rangle \in \text{cl}(E \cap S(x, a))$ ; and*

(III) *for each  $\langle x, a \rangle \in Z$  and each  $b \in B$  there is at most one point of  $S(x, a)$  in  $Y \times \{b\}$ ;*  
*then  $\hat{U}^{(n+1)+}$  will not be a normal neighbourhood on  $\hat{Y}$ .*

**PROOF.** Let  $W$  be a normal neighbourhood on  $\hat{Y}$ . To show that  $\hat{U}^{(n+1)+}$  is not normal, we will show that  $W^2 \not\subseteq \hat{U}^{(n+1)+}$ .

Because  $U^{n+}$  is not normal, we may find for each  $b \in B$  a point  $y_b \in Y$  such that  $W[\langle y_b, b \rangle] \not\subseteq U^{n+}[y_b] \times \{b\}$ . Let  $G_b$  be a neighbourhood of  $y_b$  in  $Y$  such that  $W[\langle y_b, b \rangle] \not\subseteq U^n[G_b] \times \{b\}$ .

By (I), we may find a fixed  $p \in \mathbf{N}$  and a subset  $D$  of  $B$  which is somewhere dense in  $\mathbf{R}$  such that  $V_p[y_b] \subseteq G_b$  for all  $b \in D$ .

By (II), there exists a point  $\langle x, a \rangle \in Z_p$  such that  $\langle x, a \rangle \in \text{cl}(\{\langle y_b, b \rangle : b \in D\} \cap S(x, a))$ . Note that

$$\begin{aligned}\hat{U}^{(n+1)+}[\langle x, a \rangle] &= \hat{U}^{n+1}[\langle x, a \rangle] = \hat{U}^n \circ \hat{V}_p[\langle x, a \rangle] \\ &= \{\langle x, a \rangle\} \cup \bigcup \{U^n \circ V_p[y] \times \{b\} : \langle y, b \rangle \in S(x, a) \text{ and } |b - a| < 2^{-p}\}.\end{aligned}$$

Choose some  $\langle y_b, b \rangle \in W[\langle x, a \rangle] \cap S(x, a)$  so that  $b \in D$ . Then  $U^n \circ V_p[y_b] \subseteq U^n[G_b]$  and hence we may find some point  $\langle z, b \rangle \in W[\langle y_b, b \rangle] \setminus U^n \circ V_p[y_b] \times \{b\}$ . Thus  $\langle z, b \rangle \in W^2[\langle x, a \rangle]$  and, by (III),  $\langle z, b \rangle \notin \hat{U}^{n+1}[\langle x, a \rangle] = \hat{U}^{(n+1)+}[\langle x, a \rangle]$ , as required.

**PROPOSITION 2.** Suppose  $\langle x, a \rangle \in Z$  has a neighbourhood  $\hat{G} = \{\langle x, a \rangle\} \cup \bigcup_{i=1}^{\infty} G_i \times \{b_i\}$ , where the  $G_i$  are clopen in  $Y$  and the  $b_i$  converge in  $\mathbf{R}$  to  $a$ . If  $\langle x, a \rangle$  is the only point of  $Z$  in  $Y \times \{a\}$ , then  $\hat{G}$  is clopen in  $\hat{Y}$ .

**LEMMA 3.** Suppose (a) each  $V_n$  is a clopen neighbournet on  $Y$ ; (b)  $U^k$  is a clopen neighbournet for each  $k \in \mathbf{N}$ ; and (c)  $U^k \circ V_n$  is a clopen neighbournet for each  $k, n \in \mathbf{N}$ . If  $\hat{Y}$  is constructed so that

(IV) for each  $a \in A$  there is at most one point of  $Z$  in  $Y \times \{a\}$ ; and

(V) for each  $\langle x, a \rangle \in Z$ ,  $S(x, a)$  is a sequence  $\{\langle y_i, b_i \rangle : i \in \mathbf{N}\}$  where the  $b_i$  converge in  $\mathbf{R}$  to  $a$ ;

then (â) each  $\hat{V}_n$  is a clopen neighbournet on  $\hat{Y}$ ; (b)  $\hat{U}^k$  is a clopen neighbournet for each  $k \in \mathbf{N}$ ; and (c)  $\hat{U}^k \circ \hat{V}_n$  is a clopen neighbournet for each  $k, n \in \mathbf{N}$ .

**PROOF.** It will suffice to show for each  $\langle x, a \rangle \in Z$  that  $\hat{V}_n[\langle x, a \rangle]$ ,  $\hat{U}^k[\langle x, a \rangle]$  and  $\hat{U}^k \circ \hat{V}_n[\langle x, a \rangle]$  are clopen. Suppose  $\langle x, a \rangle \in Z_p$ . By (V), let  $S(x, a) = \{\langle y_i, b_i \rangle : i \in \mathbf{N}\}$ . Then

$$\hat{V}_n[\langle x, a \rangle] = \{\langle x, a \rangle\} \cup \bigcup \{V_n[y_i] \times \{b_i\} : |b_i - a| < 2^{-n}\},$$

$$\hat{U}^k[\langle x, a \rangle] = \{\langle x, a \rangle\} \cup \bigcup \{U^{k-1} \circ V_p[y_i] \times \{b_i\} : |b_i - a| < 2^{-p}\},$$

$$\begin{aligned} \hat{U}^k \circ \hat{V}_n[\langle x, a \rangle] &= \{\langle x, a \rangle\} \cup \bigcup \{U^k \circ V_n[y_i] \times \{b_i\} : |b_i - a| < 2^{-n}\} \\ &\quad \cup \bigcup \{U^{k-1} \circ V_p[y_j] \times \{b_j\} : |b_j - a| < 2^{-p}\}. \end{aligned}$$

The required result now follows from Proposition 2, using (IV) and the assumptions (a) and (c). (Note that if  $k - 1 = 0$  then  $U^{k-1} \circ V_p = V_p$ , and so we would use (a) instead of (c) to guarantee that  $U^{k-1} \circ V_p[y]$  was clopen.)

Now let us show that conditions (I) through (V) from Lemmas 1 and 3 may be met by suitably constructing  $\hat{Y}$  from a space  $Y$  of cardinality at most  $\mathfrak{c}$ .

First, we may partition  $\mathbf{R}$  into sets  $A$  and  $B$ , where  $A$  has cardinality  $\mathfrak{c}$  on every open interval of  $\mathbf{R}$  and  $B$  is dense and Baire in  $\mathbf{R}$ .

If the cardinality of  $Y$  is no more than  $\mathfrak{c}$ , there will also be no more than  $\mathfrak{c}$  countable subsets  $E$  of  $Y \times B$ . Then by a straightforward transfinite induction, choose for each countable  $E \subseteq Y \times B$  whose canonical projection onto  $B$  is dense in some interval  $(c_1, c_2)$  in  $\mathbf{R}$  and for each  $p \in \mathbf{N}$ , a distinct real number  $a_{Ep} \in A \cap (c_1, c_2)$ . Choose an arbitrary  $x_{Ep} \in Y$  and let  $S(x_{Ep}, a_{Ep})$  be any sequence of points  $\langle y_i, b_i \rangle$  in  $E$  where the  $b_i$  are distinct and converge in  $\mathbf{R}$  to  $a_{Ep}$ .

Let  $Z$  consist of all points  $\langle x_{Ep}, a_{Ep} \rangle$ ; and partition  $A$  into sets  $A_p$  ( $p \in \mathbf{N}$ ) so that  $a_{Ep} \in A_p$ . Then conditions (I) through (V) are met (note that it is sufficient to prove (II) for countable sets  $E$ ).

**4. The counterexample.** To complete the construction of the counterexample  $\tilde{X}$ , all that remains to be done is to provide a suitable space  $X_0$  to start off the induction. For this purpose we will choose the convergent sequence space  $\{2^{-k} : k \in \mathbf{N}\} \cup \{0\}$ . Observe that  $X_0$  is not  $0^+$ -pretransitive, and in fact  $U_0^{0+}$  will not be a normal neighbournet no matter what  $U_0$  is. To satisfy the inductive assumptions (a), (b) and (c) of Lemma 3 we may define the neighbournet  $U_0$  and the normal basic sequence  $\langle V_n : n \in \mathbf{N} \rangle$  so that  $U_0[x] = X_0$  and  $V_n[2^{-k}] = \{2^{-k}\}$ ;  $V_n[0] = \{2^{-k} : k > n\} \cup \{0\}$ .

The counterexample  $\tilde{X}$  thereby produced will have the following properties. Note that the construction of  $\tilde{Y}$  from  $Y$  and the construction of  $\tilde{X}$  from  $X$  both preserve scatteredness, and that both increase the Cantor-Bendixson rank of the space by 1. Therefore  $\tilde{X}$  will be scattered, and the Cantor-Bendixson rank of  $\tilde{X}$  will be  $\omega + 1$ . Consequently,  $\tilde{X}$  will be transitive (by transfinite induction and [FL, 6.16 and 6.17]), hereditarily weakly  $\theta$ -refinable, and quasi-developable. Junnila has shown in [J2] that developable  $\gamma$ -spaces are quasi-metrizable; this demonstrates that developable cannot be weakened to quasi-developable.

We remark in passing that, with a modified construction of  $\tilde{Y}$ , a counterexample  $\tilde{X}$  can be constructed which has the above properties and which is in addition submetrizable—that is, it has normal  $G_\delta$ -diagonal sequence.

Finally, we observe that the construction given in [F] and used here cannot produce a normal counterexample to the  $\gamma$ -space conjecture. In particular, if  $X$  is any  $T_1$  space containing at least 2 points then  $\tilde{X}$  will not be normal. For example, if  $X$  is the 2-point discrete space  $\{0, 1\}$  then  $\tilde{X}$  will consist of levels 1 through  $\omega$  inclusive of a Cantor tree with the tree topology, a nonnormal space. To see this for a larger space  $X$ , consider a 2-point subset of  $X$  and the Cantor tree it generates in  $\tilde{X}$ . This raises the question: Are normal  $\gamma$ -spaces quasi-metrizable?

ADDED IN PROOF. The answer to the last question is *no*; there exists a paracompact counterexample.

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