SUPPORT POINTS OF SUBORDINATION FAMILIES

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ABSTRACT. Let $s(F)$ denote the set of functions subordinate to a function F analytic in the unit disc Δ . Let $Hs(F)$ denote the closed convex hull of $s(F)$ and $\text{supp } s(F)$ the set of support points of $s(F)$. We prove the following

THEOREM. Let F be analytic in Δ and satisfy

(1) $Hs(F) = \left\{ \int_{\partial\Delta} F(xz) d\mu(x) : \mu \text{ a probability measure on } \partial\Delta \right\}$ and

(2) $F(z) = G(z)/(z - x_0)^\alpha$ where G is analytic in Δ , continuous in $\overline{\Delta}$, $G(x_0) \neq 0$ and $\alpha > 1$. Then $\text{supp } s(F) = \{F(xz) : |x| = 1\}$.

Introduction. Let $\Delta = \{z : |z| < 1\}$ and let $\mathbf{A}$ denote the set of functions analytic in Δ . Let $B_0 = \{\phi : \phi \in \mathbf{A} \text{ and } |\phi(z)| \leq |z|\}$. $\mathbf{A}$ is a locally convex linear topological space with respect to the topology given by uniform convergence on compact subsets of Δ . By a continuous, linear functional on $\mathbf{A}$, we mean a complex-valued functional defined on $\mathbf{A}$ that is continuous and linear. In other words, if J is such a functional, then $J(af + bg) = aJ(f) + bJ(g)$ whenever a and b belong to $\mathbb{C}$ and f and g belong to $\mathbf{A}$. Also, if $f_n \in \mathbf{A}$ ($n = 1, 2, \dots$) and $f_n \rightarrow f$, then $J(f_n) \rightarrow J(f)$. Each continuous, linear functional J on $\mathbf{A}$ is given by a sequence $\{b_n\}$ ($n = 1, 2, \dots$) which satisfies $\overline{\lim}_{n \rightarrow \infty} \sqrt{|b_n|} < 1$ and

$$(1) \quad J(f) = \sum_{n=0}^{\infty} a_n b_n$$

where $f(z) = \sum_{n=0}^{\infty} a_n z^n$ ($|z| < 1$) [7]. For such a sequence $\{b_n\}$, the function $F(z) = \sum_{n=0}^{\infty} b_n z^n$ is analytic in $\overline{\Delta} = \{z : |z| \leq 1\}$.

A function f is called a support point of a compact subset $\mathbf{F}$ of $\mathbf{A}$ if $f \in \mathbf{F}$ and if there is a continuous, linear functional J on $\mathbf{A}$ so that

$$(2) \quad \text{Re } J(f) = \max\{\text{Re } J(g) : g \in \mathbf{F}\}$$

and $\text{Re } J$ is not constant on $\mathbf{F}$. In general, for a fixed continuous, linear functional J , the solution set contains an extreme point of the closed convex hull of $\mathbf{F}$ which we denote by $H\mathbf{F}$. The set of extreme points of a family $\mathbf{F}$, we denote by $E\mathbf{F}$ and the set of support points by $\text{supp } \mathbf{F}$.

We recall the definition of subordination between two functions, say f and F , analytic in Δ . This means that there is an analytic function ϕ so that $\phi \in B_0$ and $f = F \cdot \phi$. If F is univalent in Δ , the subordination is equivalent to $f(0) = F(0)$ and $f(\Delta) \subset F(\Delta)$. The set of all functions subordinate to a fixed function F we denote by $s(F)$. We note that $s(F)$ is a compact subset of $\mathbf{A}$ and $s(F) = \{F \cdot \phi : \phi \in B_0\}$.

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In Theorem 1 we give conditions that guarantee $\text{supp } s(F) = \{F(xz) : |x| = 1\}$.
Support points of $s(F)$.

THEOREM 1. *Let F be analytic in Δ and satisfy*

$$(3) \quad F(z) = G(z)/(z - x_0)^\alpha$$

where G is analytic in Δ , continuous in $\overline{\Delta}$, $G(x_0) \neq 0$ and $\alpha > 1$.

$$(4) \quad Hs(F) = \left\{ \int_{\partial\Delta} F(xz) d\mu(x) : \mu \in \Lambda \right\}$$

where Λ is the set of probability measures on $\partial\Delta$. Then $\text{supp } s(F) = \{F(xz) : |x| = 1\}$.

PROOF. The inclusion $\{F(xz) : |x| = 1\} \subset \text{supp } s(F)$ is known [6, p. 103] and so we need only to prove that $\text{supp } s(F) \subset \{F(xz) : |x| = 1\}$.

Suppose that $f \in \text{supp } s(F)$. It follows that $f = F \cdot \phi$ where $\phi \in \text{supp } B_0$ [1, 5]. There exists a continuous, linear functional J on $\mathbf{A}$ so that $\text{Re } J$ is nonconstant on $s(F)$, J is given by $\{b_n\}$ as in (1) and (2) holds. Let $F(z) = A_0 + \sum_{n=1}^{\infty} A_n z^n$. Then $F(xz) = A_0 + \sum_{n=1}^{\infty} A_n x^n z^n$ and

$$(5) \quad J(F(xz)) = b_0 A_0 + \sum_{n=1}^{\infty} b_n A_n x^n = G(x)$$

defines a function G analytic in $\overline{\Delta}$, because $\overline{\lim}_{n \rightarrow \infty} \sqrt{|b_n A_n|} \leq \overline{\lim}_{n \rightarrow \infty} \sqrt{|b_n|} < 1$. Since (4) implies that $EHs(F) \subset \{F(xz) : |x| = 1\}$, it is clear that G is nonconstant, otherwise $\text{Re } J$ is constant on $EHs(F)$ and so on $s(F)$. This is impossible by assumption. If we let $M = \max\{\text{Re } J(g) : g \in s(F)\}$, then $\text{Re } G(x) = M$ has only a finite number of solutions with $|x| = 1$ [3, p. 106]. By familiar arguments, [3, p. 100], we conclude that the solution set over $Hs(F)$ is the convex hull of a finite number of extreme points of $Hs(F)$. It follows that

$$(6) \quad f(z) = \sum_{k=1}^n \lambda_k F(x_k z)$$

where n is a positive integer, $\lambda_k \geq 0$, $|x_k| = 1$ ($k = 1, 2, \dots, n$) and $\sum_{k=1}^n \lambda_k = 1$. We see from (3) that $f(z) = G(\phi(z))/(\phi(z) - x_0)^\alpha$. Let $w_k = \bar{x}_k x_0$ ($k = 1, 2, \dots, n$) then (3), (6) and the previous equality imply $\phi(w_k) = x_0$ for $k = 1, 2, \dots, n$. It is known [4, p. 83] that we can write

$$(7) \quad \frac{1}{1 - \bar{x}_0 \phi(z)} = \sum_{k=1}^m t_k \frac{1}{1 - y_k z}$$

where $t_k \geq 0$, $|y_k| = 1$ ($k = 1, 2, \dots, m$) and $\sum_{k=1}^m t_k = 1$. It follows that

$$(8) \quad \sum_{k=1}^n \lambda_k F(x_k z) = a G(\phi(z)) \left[\sum_{k=1}^m t_k \frac{1}{1 - y_k z} \right]^\alpha$$

where $a = (-x_0)^{-\alpha}$. By using (3) and comparing singularities on both sides of (8) we conclude that $n = m$ and so we have

$$(9) \quad \sum_{k=1}^n \lambda_k F(x_k z) = a G(\phi(z)) \left[\sum_{k=1}^n t_k \frac{1}{1 - y_k z} \right]^\alpha.$$

Using (3) we can rewrite (9) as

$$(10) \quad a \sum_{k=1}^n \lambda_k \frac{G(x_k z)}{(1 - \bar{w}_k z)^\alpha} = aG(\phi(z)) \left[\sum_{k=1}^n t_k \frac{1}{1 - y_k z} \right]^\alpha.$$

Thus $w_k = \bar{y}_k$ for $k = 1, 2, \dots, n$. If we let $z = r\bar{y}_j$ ($j \in \{1, 2, \dots, n\}$), multiply by $(1 - r)^\alpha$ and let $r \rightarrow 1$ in (10) we obtain

$$(11) \quad G(x_j \bar{y}_j) \lambda_j = G(\phi(\bar{y}_j)) t_j^\alpha.$$

But $\phi(\bar{y}_j) = \phi(w_j) = x_0 = x_j w_j = x_j \bar{y}_j$ and $G(x_0) \neq 0$ by (3). Thus (11) implies $t_j^\alpha = \lambda_j$ for $j = 1, 2, \dots, n$.

To finish the proof, assume that each λ_k in (6) satisfies $0 < \lambda_k < 1$. As we showed above $\lambda_k = t_k^\alpha$ for $k = 1, 2, \dots, n$. Note that $\alpha > 1$ and $0 < t_k < 1$ implies that $t_k^\alpha < t_k$ for $k = 1, 2, \dots, n$. Hence, we conclude that $1 = \sum_{k=1}^n \lambda_k = \sum_{k=1}^n t_k^\alpha < \sum_{k=1}^n t_k = 1$. This is a contradiction and so $\lambda_j = 1$ for some j and $f(z) = F(x_j z)$. This completes the proof.

REMARK. In a recent paper [2], Y. Abu-Muhanna proved that if F is univalent in Δ , the complement of $F(\Delta)$ is convex and $\partial F(\Delta)$ satisfies a smoothness condition at ∞ then (3) holds. He also proved that under these assumptions $EHs(F) = \{F(xz) : |x| = 1\}$ and so (4) also holds. Hence the conclusion of Theorem 1 holds under the assumptions made in [2]. When $F(z) = ((1 + cz)/(1 - z))^\alpha$ for $|c| \leq 1$, $c \neq -1$ and $\alpha > 1$ it is known that $\text{supp } s(F) = \{F(xz) : |x| = 1\}$ [5]. This follows directly from Theorem 1.

COROLLARY 2. Suppose F satisfies the hypothesis of Theorem 1. Suppose additionally that $F(z) \neq 0$ in Δ and $\log F(z)$ is convex. Then for $\beta \geq 1$, $\text{supp } s(F^\beta) = \{(F(xz))^\beta : |x| = 1\}$.

PROOF. Note that F^β is analytic in Δ for some choice of $\log F(z)$. Also $(F(z))^\beta = K(z)/(z - x_0)^{\beta\alpha}$ where $K(z) = (G(z))^\beta$ is analytic in Δ , continuous in $\bar{\Delta}$, $K(x_0) \neq 0$ and $\beta\alpha > 1$. It is easy to deduce from (4) and the fact that $\log F(z)$ is convex that $EHs(F^\beta) \subset \{(F(xz))^\beta : |x| = 1\}$. It follows that (4) holds with F replaced by F^β . Hence the proof may be completed by appealing to Theorem 1.

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