

## THE RANK IN HOMOGENEOUS SPACES OF NONPOSITIVE CURVATURE

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**ABSTRACT.** Given a solvable and simply connected Lie group  $G$  with Lie algebra  $\mathfrak{g}$  and a left invariant metric of nonpositive curvature without flat factor, we prove that  $\text{rank}(G) \leq \dim \mathfrak{a}$ , where  $\mathfrak{a}$  is the orthogonal complement of  $[\mathfrak{g}, \mathfrak{g}]$  in  $\mathfrak{g}$ . In particular, if  $H$  is a simply connected homogeneous space of nonpositive curvature satisfying the visibility axiom then  $H$  has rank one.

### INTRODUCTION

Let  $G$  be a solvable and simply connected Lie group with a left invariant metric of nonpositive curvature. If  $\mathfrak{g}$  is the Lie algebra of  $G$ , then  $\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}] \oplus \mathfrak{a}$  where  $\mathfrak{a}$ , the orthogonal complement of  $[\mathfrak{g}, \mathfrak{g}]$  in  $\mathfrak{g}$  with respect to the metric, is an abelian subalgebra of  $\mathfrak{g}$ .

The *rank* of  $G$  ( $\text{rank}(G)$ ) is defined as the minimum of the dimensions of the spaces of parallel Jacobi vector fields along the geodesics through the identity of  $G$ . This definition coincides with the usual one in the symmetric case.

In this paper we show that if  $G$  does not have de Rham flat factor, then  $\text{rank}(G)$  is at most  $\dim \mathfrak{a}$  (Theorem 1.3). This bound for  $\text{rank}(G)$  is the best possible since for symmetric  $G$ , it coincides with  $\dim \mathfrak{a}$  (Remark 1.4).

As a consequence, we obtain that if  $H$  is a simply connected homogeneous space of nonpositive curvature satisfying the visibility axiom then  $H$  has rank one (Corollary 1.6). This fact was proved in [5, Theorem 2.6] for  $\dim H \leq 4$ .

Finally, we show that the strict inequality in Theorem 1.3 may occur. In particular, we obtain examples of rank 1-homogeneous spaces of nonpositive curvature having planes of zero curvature (hence, they are not symmetric). Moreover, they do not satisfy the visibility axiom.

### PRELIMINARIES

Let  $H$  be a simply connected homogeneous Riemannian manifold of nonpositive curvature ( $K \leq 0$ ). If  $\gamma$  is a unit geodesic in  $H$ ,  $\text{rank}(\gamma)$  is defined

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to be the dimension of the vector space of parallel Jacobi vector fields on  $\gamma$ . The rank of  $H$  (denoted by  $\text{rank}(H)$ ) is the minimum of  $\text{rank}(\gamma)$  over all unit geodesic  $\gamma$  of  $H$  such that  $\gamma(0) = p$  for some  $p$  in  $H$ . This definition was introduced in [3] ( $H$  not necessarily a homogeneous space) and it coincides with the usual one if  $H$  is a symmetric space (see [5, Preliminaries]). It is clear that  $\text{rank}(H) \geq 1$  and  $\text{rank}(H) = \dim H$  if and only if  $H$  is flat.

Being  $H$  homogeneous it admits a simply transitive solvable Lie group of isometries (see [1, Proposition 2.5]) and hence  $H$  is isometric to a solvable Lie group  $G$  with a left invariant metric of nonpositive curvature.

For  $G$  as above,  $G$  satisfies the *visibility axiom* if and only if  $G$  admits a left invariant metric of negative curvature (see [4, Corollary 2.3]). Hence, if  $G$  has sectional curvature  $K < 0$ ,  $G$  satisfies the visibility axiom and it also follows, by the definition of rank, that  $G$  has rank one.

We recall that if  $X, Y, Z \in \mathfrak{g}$ , the Lie algebra of  $G$ , the Riemannian connection  $\nabla$  is given by

$$2\langle \nabla_X Y, Z \rangle = \langle [X, Y], Z \rangle - \langle [Y, Z], X \rangle + \langle [Z, X], Y \rangle$$

and the sectional curvature  $K$  at  $e$ , the identity of  $G$ , is defined by

$$|X \wedge Y|^2 K(X, Y) = \langle R(X, Y)Y, X \rangle$$

where  $R(X, Y) = [\nabla_X, \nabla_Y] - \nabla_{[X, Y]}$ .

In general, in a Lie group  $G$ , if for any  $g \in G$ ,  $L_g$  and  $R_g$  denote the left and right translations respectively and  $I_g = L_g \circ R_{g^{-1}}$ , then the adjoint representation of  $G$  defined by  $\text{Ad}(g) = (dI_g)_e$  satisfies  $I_g(\exp X) = \exp(\text{Ad}(g)X)$  and  $\text{Ad}(\exp X) = \text{Exp}(\text{ad}_X)$  for every  $X$  in  $\mathfrak{g}$ , where  $\exp: \mathfrak{g} \rightarrow G$  is the exponential map of  $G$  and  $\text{Exp}$  denote the exponential map in  $\mathfrak{gl}(\mathfrak{g})$ .

## 1. THE RANK OF $G$

Let  $G$  be a solvable and simply connected Lie group  $G$  with a left invariant metric of nonpositive curvature. If  $\mathfrak{g}$  is the Lie algebra of  $G$ , then  $\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}] \oplus \mathfrak{a}$  where  $\mathfrak{a}$ , the orthogonal complement of  $[\mathfrak{g}, \mathfrak{g}]$  with respect to the metric, is an abelian subalgebra of  $\mathfrak{g}$ . (see [1, Theorem 5.2].)

If  $\mathfrak{g}'^{\mathbb{C}}$  is the complexification of  $\mathfrak{g}' = [\mathfrak{g}, \mathfrak{g}]$  then we have a decomposition in direct sum,  $\mathfrak{g}'^{\mathbb{C}} = \sum_{\lambda} \mathfrak{g}'_{\lambda}{}^{\mathbb{C}}$ , where  $\mathfrak{g}'_{\lambda}{}^{\mathbb{C}} = \{U \in \mathfrak{g}'^{\mathbb{C}} : (\text{ad}_H - \lambda(H)I)^k \cdot U = 0 \text{ for some } k \geq 1 \text{ and for all } H \in \mathfrak{a}\}$  is the associated root space for the root  $\lambda \in (\mathfrak{a}^*)^{\mathbb{C}}$  under the abelian action of  $\mathfrak{a}$  on  $\mathfrak{g}'$ . If  $\lambda = \alpha \pm i\beta$  is a root of  $\mathfrak{a}$  in  $\mathfrak{g}'$  (that is  $\mathfrak{g}'_{\lambda}{}^{\mathbb{C}} \neq 0$ ), the generalized root space is defined by  $\mathfrak{g}'_{\alpha, \beta} = \mathfrak{g}'_{\alpha, -\beta} = \mathfrak{g}' \cap (\mathfrak{g}'_{\lambda}{}^{\mathbb{C}} \oplus \mathfrak{g}'_{\bar{\lambda}}{}^{\mathbb{C}})$  and  $\mathfrak{g}'$  is the direct sum of the generalized root spaces  $\mathfrak{g}'_{\alpha, \beta}$ .

We assume that  $G$  has no de Rham flat factor; it follows from [2, Theorem 4.6] that the factors  $\mathfrak{g}_0 = \sum \mathfrak{g}_{0, \beta}$  and  $\mathfrak{a}_0 = \{H \in \mathfrak{a} : \alpha(H) = 0 \text{ for all roots } \alpha + i\beta\}$  are zero. Then  $\mathfrak{g}' = \sum_{\alpha \neq 0} \mathfrak{g}'_{\alpha, \beta}$ .

The following lemma is the key for the proof of Theorem 1.3.

**Lemma 1.1.** *If  $H \in \mathfrak{a}$  satisfies  $\alpha(H) > 0$  for  $\alpha + i\beta$  a root then*

$$\lim_{t \rightarrow +\infty} \text{Exp}(-t \text{ad}_H)X = 0 \quad \text{for all } X \in \mathfrak{g}'_{\alpha, \beta}.$$

*Proof.* Let  $\lambda = \alpha + i\beta$  be a root of  $\mathfrak{a}$  in  $\mathfrak{g}'$ . By definition of  $\mathfrak{g}'^c_\lambda$ ,  $N = (\text{ad}_H - \lambda(H)I)|_{\mathfrak{g}'^c_\lambda}$  is a nilpotent operator on  $\mathfrak{g}'^c_\lambda$ . Then  $\text{ad}_H|_{\mathfrak{g}'^c_\lambda} = \lambda(H)I + N$  and  $\text{Exp}(-t \text{ad}_H)|_{\mathfrak{g}'^c_\lambda} = e^{-t\lambda(H)} \text{Exp}(-tN)$ ; since  $|e^{-it\beta(H)}| = 1$  it follows that  $\lim_{t \rightarrow +\infty} \text{Exp}(-t \text{ad}_H)|_{\mathfrak{g}'^c_\lambda} = 0$  if and only if  $\lim_{t \rightarrow +\infty} e^{-t\alpha(H)} \text{Exp}(-tN) = 0$  in  $\text{Gl}(\mathfrak{g}'^c_\lambda)$ . We compute this limit in each matricial coordinate  $(ij)$ . Since  $N$  is nilpotent,

$$\text{Exp}(-tN) = \sum_{k=0}^s (-1)^k \frac{t^k}{k!} N^k (N^{s+1} = 0)$$

and

$$\text{Exp}(-tN)_{ij} = \sum_{k=0}^s (-1)^k \frac{t^k}{k!} (N^k)_{ij} = P_{ij}^s(t)$$

is a polynomial in  $t$  of degree  $s \geq 0$ . Then,  $\lim_{t \rightarrow +\infty} e^{-t\alpha(H)} (\text{Exp}(-tN))_{ij} = \lim_{t \rightarrow +\infty} e^{-t\alpha(H)} P_{ij}^s(t) = 0$  since  $\alpha(H) > 0$ .

Hence,  $\lim_{t \rightarrow +\infty} \text{Exp}(-t \text{ad}_H)U = 0$  for all  $U \in \mathfrak{g}'^c_\lambda$  such that  $\lambda = \alpha + i\beta$  is a root and consequently,  $\lim_{t \rightarrow +\infty} \text{Exp}(-t \text{ad}_H)X = 0$  for all  $X \in \mathfrak{g}'_{\alpha, \beta}$ .

A Jacobi vector field  $J$  on a geodesic  $\gamma$  is said to be *stable* if there exists a constant  $c > 0$  such that  $|J(t)| \leq c$  for all  $t \geq 0$ . We recall that if  $\gamma$  is a geodesic of  $G$ , for every tangent vector  $v$  at  $\gamma(0)$  there exists a unique stable Jacobi vector field  $J$  on  $\gamma$  such that  $J(0) = v$  (see [6, Lemma 2.2]). It is obvious that every parallel vector field on a geodesic  $\gamma$  is stable.

**Lemma 1.2.** *Let  $H \in \mathfrak{a}$  be such that  $\alpha(H) > 0$  for all roots  $\alpha + i\beta$  (such an  $H$  exists by [1, Proposition 5.6] since  $G$  has no flat factor). Then the stable Jacobi vector field  $J$  on the geodesic  $\gamma_H(t) = \exp tH$  with  $J(0) = X \in \mathfrak{g}'$  is given by  $J(t) = \tilde{X}_{\exp tH}$ , where  $\tilde{X}$  is the right invariant vector field on  $G$  such that  $\tilde{X}_e = X$ .*

*Proof.* It follows from Lemma 1.1 since  $\tilde{X}$  is a Jacobi field on  $\gamma_H$  and  $|\tilde{X}_{\exp tH}| = |\text{Ad}(\exp -tH)X| = |\text{Exp}(-t \text{ad}_H)X|$ .

**Theorem 1.3.** *Let  $G$  be a solvable and simply connected Lie group with a left invariant metric of nonpositive curvature. If  $G$  has no de Rham flat factor then  $1 \leq \text{rank}(G) \leq \dim \mathfrak{a}$ .*

*Proof.* Let  $\mathfrak{a}' = \{H \in \mathfrak{a} : \alpha(H) > 0 \text{ for all roots } \alpha + i\beta\}$ . We will show that if  $H \in \mathfrak{a}'$  then there is no parallel Jacobi vector field  $J$  on  $\gamma_H$  with  $J(0) \neq 0$  in  $\mathfrak{g}'$ . In fact, if such a  $J$  exists,  $J$  is a stable vector field on  $\gamma_H$  with  $J(0) = X \in \mathfrak{g}'$  and from Lemma 1.2,  $J(t) = \tilde{X}_{\exp tH}$  for all  $t \in \mathbf{R}$ . This is a contradiction since  $\lim_{t \rightarrow +\infty} |\tilde{X}_{\exp tH}| = 0$  and  $|J(t)| = |X|$  for all  $t \in \mathbf{R}$ .

Therefore,  $J$  is a parallel vector field on  $\gamma_H$  if and only if  $J(t) = (dL_{\exp tH})_e Z$  with  $Z \in \mathfrak{a}$  ( $\nabla_H Z = 0$ ); hence the dimension of the space of parallel Jacobi vector fields on  $\gamma_H$  equals  $\dim \mathfrak{a}$  and consequently  $\text{rank}(\gamma_H) = \dim \mathfrak{a}$  for all  $H \in \mathfrak{a}'$ . Hence,  $\text{rank}(G) \leq \dim \mathfrak{a}$ .

We note that if  $G$  admits de Rham flat factor then  $\text{rank}(G) \leq \dim \mathfrak{a} + \dim \mathfrak{g}_0$  (see [2, Theorem 4.6]).

**Remark 1.4.** This bound for  $\text{rank}(G)$  is the best possible since in the symmetric case ( $\nabla R = 0$ ),  $\text{rank}(G)$  coincides with  $\dim \mathfrak{a}$ . In fact,  $G$  being a symmetric space of noncompact type ( $G$  has no flat factor) it follows from [7, §6, Chapter V] that  $\text{rank}(G)$  is the maximal dimension of a flat Euclidean isometrically imbedded in  $G$  as a complete totally geodesic submanifold. Consequently  $\text{rank}(G) \geq \dim \mathfrak{a}$ , since  $\exp(\mathfrak{a})$  satisfies the above conditions (see [4, §2]). Hence, Theorem 1.3 implies that  $\text{rank}(G) = \dim \mathfrak{a}$ .

**Theorem 1.5.** *Let  $G$  be a solvable and simply connected Lie group with a left invariant metric of nonpositive curvature. If  $G$  satisfies the visibility axiom then  $G$  has rank one.*

*Proof.* It is a direct corollary of Theorem 1.3, since if  $G$  satisfies the visibility axiom then  $G$  has no flat factor and  $\dim \mathfrak{a} = 1$  (see [4, Theorem 2.3]).

**Corollary 1.6.** *If  $H$  is a Riemannian simply connected homogeneous space of nonpositive curvature satisfying the visibility axiom then  $H$  has rank one. In particular, if  $H = G/T$  admits a  $G$ -invariant metric of negative curvature,  $H$  has rank one.*

*Proof.* The first assertion is immediate and the last one follows from [4, Theorem 2.1].

## 2. EXAMPLE

In this section, we exhibit a Lie group  $G$  with a left invariant metric of nonpositive curvature such that  $\text{rank}(G) = 1$  and  $\dim \mathfrak{a} = 2$ , thus showing that strict inequality in Theorem 1.3 occurs. In this example the commutator subalgebra  $\mathfrak{g}'$  is not abelian, in contrast to the case presented in [5, Example 3.3].

First we give a formula for the sectional curvature for a special case.

**Lemma 2.1.** *Let  $\mathfrak{g}$  be a solvable Lie algebra with an inner product  $\langle \cdot, \cdot \rangle$  such that  $\mathfrak{a}$ , the orthogonal complement of  $\mathfrak{g}'$  is abelian. If  $\text{ad}_{H|_{\mathfrak{g}'}}$  is symmetric with respect to  $\langle \cdot, \cdot \rangle$  for all  $H \in \mathfrak{a}$ , then*

$$\begin{aligned} & \langle R(X + H, Y + T)(Y + T), X + H \rangle \\ &= \langle R(X, Y)Y, X \rangle - \|[H, Y] - [T, X]\|^2 - \langle [H, Y] - [T, X], [X, Y] \rangle \\ & \quad + \langle [[H, Y] - [T, X], X], Y \rangle - \langle [[H, Y] - [T, X], Y], X \rangle \end{aligned}$$

for all  $X, Y \in \mathfrak{g}'$ ,  $H, T \in \mathfrak{a}$ .

*Proof.* Let  $X, Y \in \mathcal{g}'$ ,  $H, T \in \mathfrak{a}$ . Since  $R(T, H) = 0$  and  $\nabla_H = 0$  for all  $H, T \in \mathfrak{a}$ , by the definition of  $R$  we have,

$$\begin{aligned} \langle R(X+H, Y+T)(Y+T), X+H \rangle &= \langle R(X, Y)Y, X \rangle + \langle R(X, T)T, X \rangle \\ &\quad + \langle R(H, Y)Y, H \rangle + 2\langle R(H, Y)Y, X \rangle \\ &\quad + 2\langle R(X, T)Y, X \rangle + 2\langle R(H, Y)T, X \rangle \\ &= \langle R(X, Y)Y, X \rangle - \| [T, X] \|^2 \\ &\quad - \| [H, Y] \|^2 - 2\langle \nabla_{[H, Y]} Y, X \rangle \\ &\quad - 2\langle \nabla_{[T, X]} X, Y \rangle - 2\langle \nabla_{[H, Y]} T, X \rangle. \end{aligned}$$

By using the connection formula,

$$\begin{aligned} 2\langle \nabla_{[H, Y]} Y, X \rangle &= \langle [[H, Y], Y], X \rangle - \langle [Y, X], [H, Y] \rangle + \langle [X, [H, Y]], Y \rangle \\ 2\langle \nabla_{[T, X]} X, Y \rangle &= \langle [[T, X], X], Y \rangle - \langle [X, Y], [T, X] \rangle + \langle [Y, [T, X]], X \rangle \\ 2\langle \nabla_{[H, Y]} T, X \rangle &= \langle [[H, Y], T], X \rangle - \langle [T, X], [H, Y] \rangle, \end{aligned}$$

We get,

$$\begin{aligned} \langle R(X+H, Y+T)(Y+T), X+H \rangle &= \langle R(X, Y)Y, X \rangle - \| [T, X] \|^2 - \| [H, Y] \|^2 + 2\langle [T, X], [H, Y] \rangle \\ &\quad - \langle [[H, Y] - [T, X], Y], X \rangle \\ &\quad + \langle [[H, Y] - [T, X], X], Y \rangle - \langle [H, Y] - [T, X], [X, Y] \rangle \end{aligned}$$

and the formula follows.

**Example 2.2.** Let  $\mathcal{g}$  be the Lie algebra of dimension five that is generated by  $\{e_1, e_2, \dots, e_5\}$  and Lie bracket

$$\begin{aligned} [e_1, e_2] &= \varepsilon e_3, \quad \varepsilon > 0 \\ [e_1, e_3] &= [e_2, e_3] = [e_4, e_5] = 0 \\ [e_4, e_1] &= \gamma e_1, \quad [e_4, e_2] = -\gamma e_2, \quad [e_4, e_3] = 0 \\ [e_5, e_1] &= \alpha e_1, \quad [e_5, e_2] = \beta e_2, \quad [e_5, e_3] = (\alpha + \beta) e_3 \end{aligned}$$

where  $\gamma \neq 0$ ,  $\alpha > 0$ ,  $\beta > 0$  are chosen such that  $\gamma^2 - \alpha\beta < 0$ .

Note that  $\mathcal{g}'$  is nonabelian, it is spanned by  $\{e_1, e_2, e_3\}$  and its center  $z$  is  $\mathbb{R}e_3$ .

Let  $\langle \cdot, \cdot \rangle$  be the inner product in  $\mathcal{g}$  such that  $\langle e_i, e_j \rangle = \delta_{ij}$   $i, j = 1 \dots 5$  and observe that  $\text{ad}_{e_4}|_{\mathcal{g}'}$ ,  $\text{ad}_{e_5}|_{\mathcal{g}'}$  are symmetric with respect to  $\langle \cdot, \cdot \rangle$ .

For each  $\varepsilon \geq 0$  let  $(\mathcal{g}_\varepsilon, \langle \cdot, \cdot \rangle)$  denote the Lie algebra with the same inner product as the one in  $\mathcal{g}$  and the same Lie bracket except for  $[e_1, e_2]_\varepsilon = \varepsilon e_3$ . We note that  $\mathcal{g}' = \mathcal{g}'_\varepsilon = \mathcal{g}'_0$  ( $\mathcal{g} = \mathcal{g}_\varepsilon = \mathcal{g}_0$ ) and  $\mathfrak{a} = \mathfrak{a}_\varepsilon$  as vector spaces.

By a straightforward computation, using the connection and the curvature formulas we get

$$(1) \quad \begin{aligned} \nabla_{e_1} e_1 &= \gamma e_4 + \alpha e_5, & \nabla_{e_1} e_2 &= \frac{1}{2} \varepsilon e_3, & \nabla_{e_1} e_3 &= -\frac{1}{2} \varepsilon e_2 \\ \nabla_{e_2} e_2 &= -\gamma e_4 + \beta e_5, & \nabla_{e_2} e_3 &= \frac{1}{2} \varepsilon e_1, & \nabla_{e_2} e_4 &= (\alpha + \beta) e_5 \\ \nabla_{e_1} e_4 &= -\gamma e_1, & \nabla_{e_1} e_5 &= -\alpha e_1, & \nabla_{e_2} e_4 &= \gamma e_2 \\ \nabla_{e_2} e_5 &= -\beta e_2, & \nabla_{e_3} e_4 &= 0, & \nabla_{e_3} e_5 &= -(\alpha + \beta) e_3 \end{aligned}$$

$$(2) \quad \begin{aligned} K_\varepsilon(e_1, e_2) &= -\frac{3}{4} \varepsilon^2 + \gamma^2 - \alpha\beta \\ K_\varepsilon(e_2, e_3) &= \frac{1}{4} \varepsilon^2 - \beta(\alpha + \beta) \\ K_\varepsilon(e_1, e_3) &= \frac{1}{4} \varepsilon^2 - \alpha(\alpha + \beta) \end{aligned}$$

(3) If  $X = ae_1 + be_2$  and  $Y$  in  $z^\perp$  (the orthogonal complement of  $z$  in  $\mathcal{J}'$ ) is independent with  $X$ , up to a positive constant,

$$K_\varepsilon(X, Y + ce_3) = |X \wedge Y|^2 K_\varepsilon(e_1, e_2) + c^2 [a^2 K_\varepsilon(e_1, e_3) + b^2 K_\varepsilon(e_2, e_3)].$$

Now, if  $X, Y \in \mathcal{J}'$ ,  $H, T \in \mathcal{a}$  and  $\{X + H, Y + T\}$  are orthonormal vectors in  $\mathcal{J}$ , it follows from Lemma 2.1 that

$$(4) \quad K_\varepsilon(X + H, Y + T) = \langle R_\varepsilon(X, Y)Y, X \rangle - |[H, Y] - [T, X]|^2 + \varepsilon f(X, Y, H, T)$$

where  $R_\varepsilon$  is the curvature tensor for  $(\mathcal{J}_\varepsilon, \langle \cdot, \cdot \rangle)$  and  $f$  is a continuous function of  $X, Y, H, T$ . Moreover, if  $G_{5,2}(\mathcal{J})$  is the Grassmann manifold of 2-planes of  $\mathcal{J}$ , the curvature function  $(\varepsilon, \pi) \rightarrow K_\varepsilon(\pi)$  is uniformly continuous for  $0 \leq \varepsilon \leq 1$ ,  $\pi \in G_{5,2}(\mathcal{J})$ . In fact; since any 2-plane  $\pi \subset \mathcal{J}$  is spanned by orthonormal vectors  $\{X + H, Y + T\}$  with  $X \in z^\perp$ , by using (3) and (4) it is an easy computation to show that  $K_\varepsilon(\pi)$  is continuous;  $G_{5,2}(\mathcal{J})$  being compact, the assertion follows.

As  $\varepsilon \rightarrow 0$ ,  $(\mathcal{J}_\varepsilon, \langle \cdot, \cdot \rangle)$  tends to a well defined limit algebra  $(\mathcal{J}_0, \langle \cdot, \cdot \rangle)$  such that  $\mathcal{J}'_0$  is abelian. Then,

(i) If  $X, Y \in \mathcal{J}'_0$ ,  $H, T \in \mathcal{a}$  and  $\{X + H, Y + T\}$  are orthonormal vectors,

$$K_0(X + H, Y + T) = \langle R_0(X, Y)Y, X \rangle - |[H, Y] - [T, X]|^2.$$

(ii) If  $X = ae_1 + be_2$  and  $Y \in z^\perp$  is independent with  $X$ , by the formula given in (3), up to a positive constant,

$$K_0(X, Y + ce_3) = |X \wedge Y|^2 (\gamma^2 - \alpha\beta) - (\alpha + \beta)c^2 (a^2 \alpha + b^2 \beta) < 0.$$

In particular,  $(\mathcal{J}_0, \langle \cdot, \cdot \rangle)$  has sectional curvature  $K_0 \leq 0$ .

(iii) Since any 2-plane  $\pi \subset \mathcal{J}'_0$  contains a vector in  $z^\perp$ , we have that there exists a number  $r > 0$  such that  $K_0(\pi) < -r$  for all 2-plane  $\pi \subset \mathcal{J}'_0$ . (The curvature function  $K_0$  is continuous and negative on the Grassmann manifold of 2-planes in  $\mathcal{J}'_0$  which is compact.)

(iv) From the uniform continuity stated above, there exist  $\varepsilon_0 > 0$  such that, if  $\varepsilon \leq \varepsilon_0$  then

$$K_\varepsilon(\pi) < K_0(\pi) + r \quad \text{for all 2-plane } \pi \subset \mathcal{J}.$$

Now, let  $\pi$  be a 2-plane in  $\mathcal{J}$  spanned by orthonormal vectors  $\{X + H, Y + T\}$  with  $X, Y \in \mathcal{J}'$ ,  $H, T \in \mathcal{A}$ . First we assume that  $X, Y$  are independent. Hence, by using (i) and (iii),

$$K_0(\pi) = |X \wedge Y|^2 K_0(X, Y) - |[H, Y] - [T, X]|^2 \leq K_0(X, Y) < -r.$$

Consequently, (iv) implies that,

$$(v) \quad K_\varepsilon(\pi) < K_0(\pi) + r \leq K_0(X, Y) + r < 0 \quad \text{if } \varepsilon \leq \varepsilon_0.$$

If  $Y$  is a multiple of  $X$ ,  $K_\varepsilon(\pi) = -|[H, Y] - [T, X]|^2 \leq 0$  (the other terms in (4) are zero).

Hence, if  $\varepsilon \leq \varepsilon_0$  ( $\mathcal{J}_\varepsilon, \langle \cdot, \cdot \rangle$ ) has sectional curvature  $K_\varepsilon \leq 0$ . Moreover, if  $X = ae_1 + be_2$  with  $a \neq 0$ ,  $b \neq 0$  is a unit vector, then for  $Y \in \mathcal{J}'$ ,  $T \in \mathcal{A}$  such that  $\{X, Y + T\}$  are orthonormal we have, if  $Y \neq 0$ ,  $K_\varepsilon(X, Y + T) < 0$  ((v)) if  $T \neq 0$ ,  $K_\varepsilon(X, T) = -|[X, T]|^2 < 0$ . In fact,  $[X, T] = a\langle T, \gamma e_4 + \alpha e_5 \rangle e_1 + b\langle T, -\gamma e_4 + \beta e_5 \rangle e_2$  is nonzero, since  $a \neq 0$ ,  $b \neq 0$  and  $\gamma e_4 + \alpha e_5, -\gamma e_4 + \beta e_5$  are linearly independent in  $\mathcal{A}$ .

Hence, if  $\varepsilon \leq \varepsilon_0$  and  $G_\varepsilon$  is the simply connected Lie group associated to  $(\mathcal{J}_\varepsilon, \langle \cdot, \cdot \rangle)$ , the geodesic  $\gamma$  in  $G_\varepsilon$  with  $\gamma'(0) = X$  has rank one; therefore,  $\text{rank}(G_\varepsilon) = 1$ .

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