DETERMINACY OF COMPLEX ANALYTIC FOLIATION GERMS WITHOUT INTEGRATING FACTORS

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ABSTRACT. It is shown that for a codimension 1 foliation germ without formal integrating factors, the finiteness of the dimension of the space of isomorphism classes of first order unfoldings implies its local finite determinacy. An effective estimate of the order of determinacy is given for general foliation germs in dimension 2. A simple proof for the stability of a foliation germ defined by a simple form in dimension 3 is also included.

A codim 1 foliation germ F is defined by a germ of 1-form ω satisfying the integrability condition $d\omega \wedge \omega = 0$, and an unfolding of $F = (\omega)$ is a codim 1 foliation germ on an enlarged space that reduces to the original one when restricted to the original space. In [6, 8] it is proved that an infinitesimally versal unfolding is versal (versality theorems), and in [7] it is proved that if F is infinitesimally k -determined, then it is locally k -determined. This article contains some applications of these results. Especially we give some determinacy results for foliation germs without integrating factors.

In §1 we recall definitions and results concerning the unfolding theory and determinacy of codim 1 complex analytic foliation germs. In §2 we prove that for a foliation germ $F = (\omega)$ without formal integrating factors, the finiteness of the dimension of the space $I(\omega)/J(\omega)$ of isomorphism classes of first order unfoldings implies its local finite determinacy ((2.1) Theorem). We also give a sufficient condition for the finite dimensionality of $I(\omega)/J(\omega)$ in terms of the singular sets of ω and of $d\omega$. The singular set of $d\omega$ is also related to the unfolding property of $F = (\omega)$. In §3 we give, as an application of the versality theorem, a simple proof of a theorem of Camacho and Lins Neto asserting that in dimension 3, if the singular set of $d\omega$ is isolated, then F is stable; i.e., every unfolding of F is trivial. In §4 we give an effective estimate of the order of determinacy for general foliation germs in dimension 2.

1. PRELIMINARIES

We recall a theorem of local finite determinacy for codim 1 foliation germs proved in [7]. For this we need some language from the unfolding theory for such germs [6–9].

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Let $\mathcal{O}_n$ denote the ring of germs of holomorphic functions at the origin 0 in $\mathbb{C}^n = \{(x_1, \dots, x_n)\}$, and let Ω_n and Θ_n denote, respectively, the $\mathcal{O}_n$ -modules of germs of holomorphic 1-forms and of holomorphic vector fields at 0 in $\mathbb{C}^n$. A codim 1 foliation germ at 0 in $\mathbb{C}^n$ is a rank 1 free sub- $\mathcal{O}_n$ -module $F = (\omega)$ of Ω_n with a generator ω satisfying the integrability condition $d\omega \wedge \omega = 0$. The germ at 0 of the analytic set $\{x | \omega(x) = 0\}$ is denoted by $S(\omega)$ or $S(F)$ and is called the singular set of F . We always assume that $\text{codim } S(F) \geq 2$.

An unfolding of $F = (\omega)$ is a codim 1 foliation germ $\mathcal{F} = (\tilde{\omega})$ at 0 in $\mathbb{C}^n \times \mathbb{C}^m$, for some m , with a generator $\tilde{\omega}$ such that $\iota^* \tilde{\omega} = \omega$, where ι denotes the embedding of $\mathbb{C}^n = \{x\}$ into $\mathbb{C}^n \times \mathbb{C}^m = \{(x, t)\}$ given by $\iota(x) = (x, 0)$. We call $\mathbb{C}^m$ the parameter space of $\mathcal{F}$. If we also denote by ι_t the embedding of $\mathbb{C}^n$ into $\mathbb{C}^n \times \mathbb{C}^m$ given by $\iota_t(x) = (x, t)$ for t near 0, we may think of $\omega_t = \iota_t^* \tilde{\omega}$ as a deformation of ω . For the notions of a morphism and an *RL*-morphism, we refer to [7, (2.1) Definition] or [9, (2.1) Definition]. For a codim 1 foliation germ $F = (\omega)$, we associate the following algebraic objects [7, p. 432]; [8, p. 601]:

$$I(\omega) = \{h \in \mathcal{O}_n | hd\omega = \eta \wedge \omega \text{ for some } \eta \text{ in } \Omega_n\},$$

$$J(\omega) = \{h \in \mathcal{O}_n | h = i_X \omega \text{ for some } X \text{ in } \Theta_n\},$$

$$K(\omega) = \{h \in \mathcal{O}_n | hd\omega = dh \wedge \omega\},$$

where i_X denotes the interior product with respect to the vector field X . Also, for a positive integer k , we set

$$I^{(k)}(\omega) = \{h \in \mathcal{O}_n | hd\omega + (\theta - dh) \wedge \omega = 0 \text{ for some } \theta \text{ in } \mathfrak{m}^{k-1} \Omega_n\},$$

where $\mathfrak{m}$ denotes the maximal ideal in $\mathcal{O}_n$. Note that $I(\omega)$ is an ideal in $\mathcal{O}_n$, and $J(\omega)$ is a subideal of $I(\omega)$ [7, (2.8) Corollary]. They are independent of the choice of the generator ω of F . By setting $I^{(\infty)}(\omega) = K(\omega)$, which is the set of integrating factors of ω , we have a decreasing sequence of $\mathbb{C}$ -vector subspaces of $I(\omega)$:

$$I(\omega) = I^{(1)}(\omega) \supset I^{(2)}(\omega) \supset \dots \supset I^{(k)}(\omega) \supset \dots \supset I^{(\infty)}(\omega) = K(\omega).$$

If ω' is another generator of F , then $\omega' = u\omega$ for some unit u in $\mathcal{O}_n$. The correspondence $h \mapsto uh$ gives an isomorphism of $I^{(k)}(\omega)$ onto $I^{(k)}(\omega')$ for each $k = 1, 2, \dots, \infty$. The quotients $I(\omega)/J(\omega)$ and $I(\omega)/J(\omega) + K(\omega)$ are interpreted, respectively, as the sets of isomorphism classes and of *RL*-isomorphism classes of first order unfoldings of $F = (\omega)$ [8, (1.4) Proposition].

For a germ g in $\mathcal{O}_n$, we denote by $j^k g$ the k -jet of g , and for a germ $\theta = \sum_{i=1}^n g_i dx_i$ in Ω_n , we define the k -jet $j^k \theta$ of θ by

$$j^k \theta = \sum_{i=1}^n j^{k-1} g_i \cdot dx_i.$$

(1.1) **Definition.** An unfolding $\mathcal{F}$ of a codim 1 foliation germ $F = (\omega)$ with parameter space $\mathbb{C}^m = \{t\}$ is k -trivial if it has a generator $\tilde{\omega}$ such that $\iota^* \tilde{\omega} = \omega$ and that $j^k \omega_t = j^k \omega$ for all t near 0 in $\mathbb{C}^m$.

We say that two germs ω and ω' in Ω_n are holomorphically equivalent and write $\omega \sim \omega'$ if there exist a germ at 0 of biholomorphic map φ of $\mathbb{C}^n$ into itself with $\varphi(0) = 0$ and a unit u in $\mathcal{O}_n$ such that $\omega' = u\varphi^*\omega$. If ω is integrable and if $\omega' \sim \omega$, ω' is also integrable, and ω and ω' define isomorphic foliations.

(1.2) **Definition.** A codim 1 foliation germ $F(\omega)$ is locally k -determined if for every k -trivial unfolding $\mathcal{F} = (\tilde{\omega})$ of F , we have $\omega_t \sim \omega$ for all t near 0.

(1.3) **Definition.** A codim 1 foliation germ $F = (\omega)$ is infinitesimally k -determined if

$$I^{(k+1)}(\omega) \subset \mathfrak{m}J(\omega) + K(\omega).$$

For the geometric meaning of the above condition, see [7, p. 437]. We quote the following:

(1.4) **Theorem [7].** Let $F = (\omega)$ be a codim 1 foliation germ with

$$(*) \quad \dim K(\omega)/\mathfrak{m}J(\omega) \cap K(\omega) < +\infty.$$

If F is infinitesimally k -determined, then it is locally k -determined.

(1.5) **Remark.** Actually, in [7] it is shown that under the assumption $(*)$, if F is infinitesimally k -determined, then every k -trivial (one parameter) unfolding of F is (strongly) RL -trivial. Moreover, when $K(\omega) = 0$, every k -trivial (one parameter) unfolding of F is (strongly) trivial.

2. DETERMINACY OF FOLIATION GERMS WITHOUT FORMAL INTEGRATING FACTORS

A formal integrating factor of an integrable 1-form ω is a formal power series $\hat{h}$ in $x = (x_1, \dots, x_n)$ such that $\hat{h}d\omega = d\hat{h} \wedge \omega$. We say that a codim 1 foliation germ $F = (\omega)$ is locally finitely determined if it is locally k -determined for some k .

(2.1) **Theorem.** Let $F = (\omega)$ be a codim 1 foliation germ at 0 in $\mathbb{C}^n$ without (nonzero) formal integrating factors. If the $\mathbb{C}$ -vector space $I(\omega)/J(\omega)$ is finite dimensional, then F is locally finitely determined.

To prove this, we first prove the following:

(2.2) **Lemma.** Let $F = (\omega)$ be a codim 1 foliation germ without formal integrating factors. For every positive integer k , there exists another k' such that

$$I^{(k')}(\omega) \subset \mathfrak{m}^k \cap I(\omega).$$

Proof. If $I^{(k)}(\omega) = \{0\}$ for some k , then there is nothing to prove. Then we assume that $I^{(k)}(\omega) \neq \{0\}$ for all k . For each k , we set

$$n(k) = \min\{\nu(h) | h \in I^{(k)}(\omega)\},$$

where $\nu(h)$ denotes the order of h . We have an increasing sequence $\{n(k)\}$ of nonnegative integers. For our purpose, it suffices to show that

$$\lim_{k \rightarrow \infty} n(k) = +\infty.$$

Suppose the sequence is bounded, and let n_0 be an integer such that $n(k) \leq n_0$ for all k . Then $j^{n_0} I^{(k)}(\omega) \neq \{0\}$ for all k . We have a decreasing sequence of finite-dimensional vector spaces

$$\cdots \supset j^{n_0} I^{(k)}(\omega) \supset j^{n_0} I^{(k+1)}(\omega) \supset \cdots \supsetneq \{0\}.$$

Thus, there exists k_0 such that for all $k \geq k_0$,

$$j^{n_0} I^{(k)}(\omega) = j^{n_0} I^{(k_0)}(\omega).$$

We fix a germ H in $I^{(k_0)}(\omega)$ with $j^{n_0} H \neq 0$. Then for all k , there exists h in $I^{(k)}(\omega)$ such that $j^{n_0} h = j^{n_0} H$. We claim that there exists a nonzero formal power series $\hat{h}$ in x such that for all n , we have

$$(2.3)_n \quad \text{for all } k, \text{ there exist } h \text{ in } I^{(k)}(\omega) \text{ with } j^n h = j^n \hat{h}.$$

We determine the n -jet of $\hat{h}$ for every n by induction. If we let the n_0 -jet of $\hat{h}$ be equal to $j^{n_0} H$, then we have $(2.3)_n$ for $n \leq n_0$. Suppose we have determined the n -jet of $\hat{h}$ so that $(2.3)_n$ is satisfied. We set

$$I^{(k)}(\omega)_n = \{h \in I^{(k)}(\omega) \mid j^n h = j^n \hat{h}\},$$

which is a (nonempty) affine subspace of $I(\omega)$. We have a decreasing sequence of finite-dimensional affine spaces:

$$\cdots \supset j^{n+1} I^{(k)}(\omega)_n \supset j^{n+1} I^{(k+1)}(\omega)_n \supset \cdots.$$

Thus, there exists k_1 such that for all $k \geq k_1$,

$$j^{n+1} I^{(k)}(\omega)_n = j^{n+1} I^{(k_1)}(\omega)_n.$$

Hence there exists a homogeneous polynomial h_{n+1} of degree $n+1$ such that $j^n \hat{h} + h_{n+1}$ is in $j^{n+1} I^{(k)}(\omega)$ for all k . If we let the $n+1$ jet of $\hat{h}$ be equal to $j^n \hat{h} + h_{n+1}$, then we have $(2.3)_{n+1}$.

Clearly the formal power series $\hat{h}$ thus constructed is a nonzero formal integrating factor of ω .

Proof of (2.1) Theorem. By (1.4) Theorem, it suffices to show that $I^{(k)}(\omega) \subset \mathfrak{m}J(\omega)$ for some k . First by the finite dimensionality of $I(\omega)/J(\omega)$, we have

$$\mathfrak{m}^k I(\omega) \subset \mathfrak{m}J(\omega)$$

for some k . Second by Artin-Rees theorem (e.g. [5]), we have

$$\mathfrak{m}^{k'} \cap I(\omega) \subset \mathfrak{m}^k \cdot I(\omega)$$

for some k' . Finally by (2.2) Lemma, we have

$$I^{(k'')}(\omega) \subset \mathfrak{m}^{k'} \cap I(\omega)$$

for some k'' . Q.E.D.

When $n = 2$, by the assumption $\text{codim } S(\omega) \geq 2$, $\mathcal{O}_2/J(\omega)$ is always finite dimensional. Thus we have:

(2.4) **Corollary.** *Every codim 1 foliation germ at 0 in $\mathbb{C}^2$ without formal integrating factors is locally finitely determined.*

(2.5) *Remarks.* 1°. Let $F = (\omega)$ be a codim 1 foliation germ without formal integrating factors. The above arguments show that if $I(\omega)/J(\omega)$ is finite dimensional, then F is infinitesimally finitely determined. The converse is also true, since $K(\omega) = \{0\}$ in this case (see [7, (4.3) Remark]).

2°. Let $F = (\omega)$ be a codim 1 foliation germ at 0 in $\mathbb{C}^2$. If ω is “nonreal” (cf. [3, p. 136]) and if $K(\omega) = 0$, then ω has no nonzero formal integrating factor [3, p. 136, Proposition 3.2]. Note that for general ω , we have $K(\omega) = 0$ ((4.6) Remark).

3°. Let $F = (\omega)$ be a codim 1 foliation germ at 0 in $\mathbb{C}^n$. If $K(\omega) \neq 0$, then ω admits a multiform first integral [3, Théorème d'intégration].

4°. As for the determinacy problem for multiform functions see [3, Sixième partie; 7, §5].

Here is a case when we have the finite dimensionality of $I(\omega)/J(\omega)$. Let $F = (\omega)$ be a codim 1 foliation germ. If we let $S(d\omega)$ be (the germ at 0 of) the zero set of $d\omega$, we easily see that $S(\omega) \cap S(d\omega)$ does not depend on the choice of the generator ω of F .

(2.6) **Proposition.** *Let $F = (\omega)$ be a codim 1 foliation germ. If $S(\omega) \cap S(d\omega) \subset \{0\}$, then the vector space $I(\omega)/J(\omega)$ is finite dimensional.*

Proof. The finite dimensionality of $I(\omega)/J(\omega)$ is equivalent to saying that the support of the coherent sheaf $I(\omega)/J(\omega)$ is contained in $\{0\}$. Take a point $x \neq 0$ near 0. By the assumption we have either $\omega(x) \neq 0$ or $d\omega(x) \neq 0$. Suppose $\omega(x) \neq 0$. Then $J(\omega)_x = I(\omega)_x = \mathcal{O}_x$. Suppose $d\omega(x) \neq 0$. For a germ h in $I(\omega)_x$, we have $hd\omega = \eta \wedge \omega$ for some η in Ω_x . Since $d\omega(x) \neq 0$, h is in $J(\omega)_x$.

3. STABILITY OF SIMPLE FORMS IN $\mathbb{C}^3$

Let ω be a germ of integrable 1-form. As we noted in the previous section, the size of the zero set of $d\omega$ seems to have some effect on the determinacy of ω . It is also related to the unfolding property of ω . In Camacho–Lins Neto [1], a germ ω at 0 in $\mathbb{C}^3$ is called simple if $S(d\omega) \subset \{0\}$ and it is proved that a simple form ω is stable; i.e., every unfolding of ω is trivial [1 Theorem 4]. In this section, we give a simple proof of this result as a direct application of the versality theorem in [6].

(3.1) **Theorem.** *Let ω be a germ of integrable 1-form at 0 in $\mathbb{C}^3$. If $S(d\omega) \subset \{0\}$, then $I(\omega)/J(\omega) = 0$. Thus every unfolding of the foliation $F = (\omega)$ is trivial.*

Proof. First, we proceed as in the proof of Theorem 1 in [1]. Thus, denoting by (x, y, z) coordinates of $\mathbb{C}^3$, we let X be the vector field germ such that

$$d\omega = i_X(dx \wedge dy \wedge dz).$$

From the integrability of ω , we easily see that $i_X\omega = 0$. Hence by the assumption on $S(d\omega)$, we may write $\omega = i_X\tau$ for some 2-form τ . If we let Y be the vector field such that $\tau = i_Y(dz \wedge dy \wedge dz)$, we get

$$\omega = i_X i_Y(dx \wedge dy \wedge dz).$$

Next, we let $F = (\omega)$ be the $\mathcal{O}$ -module generated by ω , and let F^a be the annihilator of F in Θ :

$$F^a = \{Z \in \Theta \mid i_Z\omega = 0\}.$$

Obviously the vector fields X and Y are in F^a and generate F^a outside of $S(\omega)$. Since $\text{codim } S(\omega) \geq 2$, they also generate F^a across $S(\omega)$.

Now we take a germ h in $I(\omega)$. Then $hd\omega = \eta \wedge \omega$ for some η in Ω . By assumption, for any point $x (\neq 0)$ near 0, $d\omega(x) \neq 0$. Hence the germ h_x of h at x is in $J(\omega)_x$. We take a small Stein open neighborhood U of 0 in $\mathbb{C}^3$. There is a covering $\mathcal{U} = \{U_\alpha\}$ of $U \setminus \{0\}$ by small open sets U_α such that on each U_α , we may write

$$h = i_{X_\alpha}\omega$$

for some vector field X_α on U_α . In the intersection $U_\alpha \cap U_\beta$, we have $i_{X_\alpha - X_\beta}(\omega) = 0$. Hence $X_\alpha - X_\beta$ is in F^a and we may write

$$X_\alpha - X_\beta = f_{\alpha\beta}X + g_{\alpha\beta}Y$$

for holomorphic functions $f_{\alpha\beta}$ and $g_{\alpha\beta}$ on $U_\alpha \cap U_\beta$. We have the cocycles $\{f_{\alpha\beta}\}$ and $\{g_{\alpha\beta}\}$, which determine cohomology classes in $H^1(\mathcal{U}, \mathcal{O}) = H^1(U \setminus \{0\}, \mathcal{O})$. Since this cohomology group vanishes, we may write

$$f_{\alpha\beta} = f_\beta - f_\alpha \quad \text{and} \quad g_{\alpha\beta} = g_\beta - g_\alpha$$

for holomorphic functions f_α and g_α on U_α . Thus we have a vector field Z on $U \setminus \{0\}$, which is given by

$$Z = X_\alpha + f_\alpha X + g_\alpha Y \quad \text{on } U_\alpha.$$

We have

$$(3.2) \quad h = i_Z\omega$$

on $U \setminus \{0\}$. The vector field Z extends across 0, and we have (3.2) in $\mathcal{O}$. Hence h is in $J(\omega)$, and we proved that $I(\omega)/J(\omega) = 0$. By [6, (4.1) Corollary], every unfolding of $F = (\omega)$ is trivial. Q.E.D.

4. EFFECTIVE ESTIMATE OF THE ORDER OF DETERMINACY

In this section, we consider foliation germs at 0 in $\mathbb{C}^2 = \{(x, y)\}$. We denote by Ω_k^p the vector space of homogeneous p -forms of degree k on $\mathbb{C}^2$. The space Ω_k^0 of homogeneous polynomials of degree k in (x, y) is especially denoted by $\mathcal{O}_k$. Thus, we may write $\Omega_k^1 = \mathcal{O}_{k-1}dx \oplus \mathcal{O}_{k-1}dy$ and $\Omega_k^2 = \mathcal{O}_{k-2}dx \wedge dy$. Note that our definition of the degree of a form is different from the conventional one.

Let ω_{k_0} be an element in $\Omega_{k_0}^1$. Denoting by R the radial vector field:

$$R = x \partial / \partial x + y \partial / \partial y,$$

we set

$$P_{k_0} = i_R \omega_{k_0}.$$

Hereafter we only consider the case $P_{k_0} \neq 0$; i.e., ω_{k_0} is nondicritic. We easily see that P_{k_0} is an integrating factor of ω_{k_0} .

(4.1) **Definition** ([3, p. 134]; Cf. also [4, 2]). We say that ω_{k_0} is generic if

- (a) $S(\omega_{k_0}) \subset \{0\}$.
- (b) P_{k_0} is reduced.
- (c) ω_{k_0} does not admit meromorphic first integrals, i.e., there are no non-constant meromorphic function germs φ with $d\varphi \wedge \omega_{k_0} = 0$.

Suppose ω_{k_0} is generic. Since the quotient of two integrating factors of ω_{k_0} is a meromorphic first integral of ω_{k_0} , the condition (c) implies that

$$(4.2) \quad K(\omega_{k_0}) = \mathbb{C}P_{k_0}.$$

We set

$$V(\omega_{k_0}) = \{\theta \in \Omega_{k_0+1}^1 \mid P_{k_0} d\theta - dP_{k_0} \wedge \theta = df \wedge \omega_{k_0} - f d\omega_{k_0} \text{ for some } f \text{ in } \mathcal{O}_{k_0+1}\}.$$

(4.3) **Lemma.** The set $V(\omega_{k_0})$ is a subvector space of $\Omega_{k_0+1}^1$ of codimension at least $2k_0 - \min\{2k_0, k_0 + 2\}$.

Proof. We consider two linear maps

$$\lambda: \Omega_{k_0+1}^1 \rightarrow \Omega_{2k_0+1}^2 \quad \text{and} \quad \mu: \mathcal{O}_{k_0+1} \rightarrow \Omega_{2k_0+1}^2$$

defined by $\lambda(\theta) = P_{k_0} d\theta - dP_{k_0} \wedge \theta$ for θ in $\Omega_{k_0+1}^1$ and by $\mu(f) = df \wedge \omega_{k_0} - f d\omega_{k_0}$ for f in $\mathcal{O}_{k_0+1}$. By [3, Théorème d'intégration], we see that

$$\text{Ker } \lambda = \{\theta \in \Omega_{k_0+1}^1 \mid \theta = P_{k_0} dL \text{ for some } L \text{ in } \mathcal{O}_1\}.$$

Hence $\text{rank } \lambda = 2(k_0 + 1) - 2 = 2k_0$. On the other hand, by (4.2), $\text{Ker } \mu = 0$. Hence $\text{rank } \mu = k_0 + 2$. Thus we have

$$\begin{aligned} \dim V(\omega_{k_0}) &= \dim \text{Ker } \lambda + \dim(\text{Im } \lambda \cap \text{Im } \mu) \\ &\leq 2 + \min\{2k_0, k_0 + 2\}. \end{aligned}$$

(4.4) **Theorem.** Let ω be a germ of integrable 1-form at 0 in $\mathbb{C}^2$ and let ω_{k_0} be the first nonzero jet of ω . Further, we let $\omega_{k_0+1} = j^{k_0+1}\omega - \omega_{k_0}$. Suppose $k_0 \geq 3$, ω_{k_0} is generic and ω_{k_0+1} is not in $V(\omega_{k_0})$. Then

$$I^{(k)}(\omega) = \mathfrak{m}^k \cap I(\omega)$$

for $k > k_0 + 1$.

Proof. For a germ of holomorphic function h and a germ of holomorphic 1-form θ , we set $h_k = j^k h - j^{k-1}h$ and $\theta_k = j^k \theta - j^{k-1}\theta$. In what follows, we fix f with $k > k_0 + 1$. If h is a germ in $I^{(k)}(\omega)$, we have

$$(4.5) \quad hd\omega + (\theta - dh) \wedge \omega = 0$$

for some θ with $j^{k-1}\theta = 0$. From this, we easily see that

$$j^{k_0-1} = 0 \quad \text{and} \quad h_{k_0} \in K(\omega_{k_0}).$$

Thus by (4.2), we may write $h_{k_0} = cP_{k_0}$ for some c in $\mathbb{C}$. Comparing the terms of degree $2k_0 + 1$ in (4.5), we get

$$c(P_{k_0}d\omega_{k_0+1} - dP_{k_0} \wedge \omega_{k_0+1}) = dh_{k_0+1} \wedge \omega_{k_0} - h_{k_0+1}d\omega_{k_0}.$$

Since ω_{k_0+1} is not in $V(\omega_{k_0})$, we have $c = 0$. Thus $j^{k_0}h = 0$. Then, by (4.5) and (4.2), we see that

$$j^{k_0+1}h = \dots = j^{k-1}h = 0.$$

Hence we have $I^{(k)}(\omega) \subset \mathfrak{m}^k \cap I(\omega)$. Conversely, let h be a germ in $\mathfrak{m}^k \cap I(\omega)$. We have the identity (4.5) for some θ in Ω . By condition (a) in (4.1), if $\eta \wedge \omega_{k_0} = 0$ for η in Ω , then $\eta = g\omega_{k_0}$ for some g in $\mathcal{O}$. Thus from (4.5), we see that

$$j^{k_0-1}\theta = 0.$$

Comparing the terms of degrees from $2k_0$ to $k_0 + k - 1$, we see that for each l with $0 \leq l \leq k - k_0 - 1$, there is an element g_l in $\mathcal{O}_l$ such that

$$\theta_l = \sum_{l'=k_0}^l g_{l-l'} \omega_{l'}$$

for l with $k_0 \leq l \leq k - 1$. If we set $g = \sum_{l=0}^{k-k_0-1} g_l$ and $\theta' = \theta - g\omega$, then we have

$$hd\omega + (\theta' - dh) \wedge \omega = 0 \quad \text{and} \quad j^{k-1}\theta' = 0.$$

Hence h is in $I^{(k)}(\omega)$.

(4.6) *Remark.* The above theorem holds also for $k = \infty$; i.e., for a germ ω as in (4.4) Theorem, $K(\omega) = 0$. We also have $K(j^k\omega) = 0$ for $k > k_0$.

(4.7) **Corollary.** *Let ω be as in (4.4) Theorem. If*

$$\mathfrak{m}^{k+1} \cap I(\omega) \subset \mathfrak{m}J(\omega)$$

for some $k > k_0$, then $F = (\omega)$ is locally k -determined.

(4.8) **Remark.** By the Artin–Rees theorem, there is always k for which the condition in (4.7) is satisfied.

(4.9) **Example.** Let ω be as in (4.4) Theorem and assume $k_0 = 3$. Then we easily see that $\mathfrak{m}^3 \subset J(\omega_s)$. On the other hand, by the Nakayama lemma, we have $J(\omega) = J(\omega_s)$. Hence

$$\mathfrak{m}^{4+1} \subset \mathfrak{m}^4 \subset \mathfrak{m}J(\omega).$$

Thus $F = (\omega)$ is locally 4-determined.

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