

# NONLINEAR $p$ -LAPLACIAN PROBLEMS ON UNBOUNDED DOMAINS

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(Communicated by Barbara L. Keyfitz)

ABSTRACT. We consider the  $p$ -Laplacian problem

$$-\operatorname{div}(a(x)|\nabla u|^{p-2}\nabla u) + b(x)|u|^{p-2}u = f(x, u), \\ x \in \Omega, \quad u|_{\partial\Omega} = 0, \quad \lim_{|x| \rightarrow \infty} u = 0,$$

where  $1 < p < n$ ,  $\Omega (\subset R^n)$  is an exterior domain. Under certain conditions, we show the existence of solutions for this problem via critical point theory.

## 1. INTRODUCTION

This paper is devoted to the study of the  $p$ -Laplacian problems

$$(*) \quad \begin{aligned} lu &= f(x, u) \quad \text{in } \Omega, \\ u|_{\partial\Omega} &= 0, \quad \lim_{|x| \rightarrow \infty} u = 0, \end{aligned}$$

where  $\Omega$  is a smooth exterior domain in  $R^n$  (i.e.,  $\Omega$  is the complement of a bounded domain with  $C^{1,\delta}$  boundary,  $0 < \delta < 1$ ),  $lu = -\operatorname{div}(a(x)|\nabla u|^{p-2}\nabla u) + b(x)|u|^{p-2}u$ ,  $1 < p < n$ ,  $0 < a_0 \leq a(x) \in L^\infty(\Omega) \cap C^\delta(\overline{\Omega})$ ,  $0 \leq b(x) \in L^\infty(\Omega) \cap C(\Omega)$ . The objective is to obtain sufficient conditions on  $f$  for  $(*)$  to have positive solutions in the following three prototype cases:

$$\begin{aligned} (1) \quad & f(x, u) = \begin{cases} g(x)u^\alpha, & p-1 < \alpha < p^*-1; \\ h(x)u^\beta, & 0 \leq \beta < p-1; \\ g(x)u^\alpha + h(x)u^\beta, & 0 \leq \beta < p-1 < \alpha < p^*-1, \end{cases} \\ (2) \quad & \\ (3) \quad & \end{aligned}$$

where  $p^* = np/(n-p)$  is the Sobolev critical exponent. When  $p = 2$ ,  $(*)$  is the usual second order elliptic problem, and (1), (2), and (3) correspond to the superlinear, sublinear, and mixed sub-superlinear cases respectively.

Several studies have appeared. For the case of bounded domains, we mention the works of Azorero and Alonso [2], Egnell [5], Guedda and Veron [6], and references therein. As to unbounded domains, we recall the results of Bidaut-Veron [4], Li and Yan [8], and Ni and Serrin [11]. Ni and Serrin [11] studied the radial case  $\operatorname{div}(|\nabla u|^{p-2}u) + u^\alpha = 0$  in  $R^n$  and showed that this equation

Received by the editors July 24, 1990 and, in revised form, January 22, 1991.

1991 *Mathematics Subject Classification*. Primary 35J60; Secondary 35J70.

*Key words and phrases*.  $p$ -Laplacian problems, decaying solutions.

Research supported by NSERC Canada.

admits no positive radial ground state solutions if  $0 < \alpha < p^* - 1$  and, conversely, it does admit one if  $\alpha \geq p^* - 1$ . Li and Yan [8] considered the eigenvalue problem  $\operatorname{div}(|\nabla u|^{p-2} \nabla u) + f(x, u, \lambda) = 0$ ,  $x \in \mathbb{R}^n$ , with  $f(x, u, \lambda) = g(x, u) - \lambda|u|^{p-2}u$  or  $\lambda(g(x, u) - |u|^{p-2}u)$ , assuming  $\lim_{t \rightarrow 0} g(x, t)/t^{p-1} = 0$ , and obtained a decaying solution for  $\lambda = \lambda_0$ . Bidaut-Veron [4] studied the behaviour of solutions of (\*).

No existence theory seems to have been found to date for nonradially symmetric  $p$ -Laplacian problems of type (\*) in the cases (1), (2), and (3). As the problem (\*) has a variational structure, we naturally apply critical point theory to it. We first set up some weighted spaces in which the solutions are to be sought and for which the norm  $\|u\|_l = (\int_{\Omega} a(x)|\nabla u|^p + b(x)|u|^p)^{1/p}$  induced by the operator  $l$  is an equivalent norm. Then we employ Mountain Pass arguments to obtain the existence of solutions. To prove the decay of the solutions, we make use of the estimates of Serrin [13].

## 2. PROBLEM (\*) IN CASE (1)

In this section, we consider problem (\*) in the case (1); that is,  $f$  is of the form  $g(x)t^\alpha$  with  $p-1 < \alpha < p^* - 1$ . We choose the function space  $E$  as the completion of  $C_0^\infty(\Omega)$  under the norm  $\|u\| = (\int_{\Omega} |\nabla u|^p + \omega|u|^p)^{1/p}$ , where  $\omega(x) = \max\{b(x), 1/(1+|x|)^p\}$ . From the definition, it is clear that  $E \sim W_0^{1,p}(\Omega)$  if  $b(x) \geq b_0 > 0$ . Moreover,  $E$  has the following three important properties:

- (a)  $E$  can be embedded into  $W_{\text{loc}}^{1,p}(\Omega)$ ;
- (b) Sobolev Inequality:  $\|u\|_{p^*} \leq A\|\nabla u\|_p$  for all  $u \in E$ , where  $p^* = np/(n-p)$  and

$$A = \frac{n-1}{nv_n^{1/n}} \frac{\Gamma(n/p-1)}{\Gamma(n/p)},$$

the Sobolev embedding constant (see [10, p. 56]), and  $v_n = \operatorname{vol}(B_1(0))$ ;

- (c) The norm  $\|u\|_l = (\int_{\Omega} a|\nabla u|^p + b|u|^p)^{1/p}$  induced by the operator  $l$  is an equivalent norm on  $E$ .

Indeed, (a) and (b) are obvious, while (c) follows from a Hardy-type inequality

$$\int_{\Omega} \frac{1}{(1+|x|)^p} |u|^p \leq C \int_{\Omega} |\nabla u|^p$$

for all  $\varphi \in C_0^\infty(\Omega)$ . This inequality can be obtained by applying the Divergence Theorem and Hölder inequality to the integral

$$\begin{aligned} \int_{\Omega} \frac{1}{(1+|x|)^p} |u|^p &= -\frac{1}{n} \int_{\Omega} x \cdot \nabla \left( \frac{1}{(1+|x|)^p} |u|^p \right) \\ &\leq \frac{p}{n} \int_{\Omega} \left( \frac{1}{(1+|x|)^{p-1}} |u|^{p-1} \right) (|\nabla u|) + \frac{p}{n} \int_{\Omega} \frac{1}{(1+|x|)^p} |u|^p. \end{aligned}$$

We assume that  $f$  satisfies the following conditions:

- (i)  $f \in C^0(\Omega \times \mathbb{R}^+)$ ,  $f(x, t) > 0$  in  $\Omega_0 \times (0, \infty)$  for some nonempty open  $\Omega_0 \subseteq \Omega$ ;
- (ii)  $|f(x, t)| \leq g(x)|t|^\alpha$ ,  $p-1 < \alpha < p^* - 1$ ,  $(0 \neq) g \in L^\infty \cap L^{p_0}(\Omega)$  where  $p_0 = \frac{np}{np - (\alpha+1)(n-p)}$ ;

- (iii) there exists  $\mu > p$  such that  $\mu F(x, t) \leq t f(x, t)$ ,  $(x, t) \in \Omega \times \mathbb{R}^+$ , where  $F(x, t) = \int_0^t f(x, s) ds$ .

Now we define two functionals  $K(u)$  and  $J(u)$  on  $E$ ,

$$(4) \quad K(u) = \int_{\Omega} F(x, u) dx, \quad J(u) = \frac{1}{p} \|u\|_l^p - K(u).$$

$K(u)$  and  $J(u)$  are well defined by assumption (ii) and Sobolev's Inequality. The functional  $K(u)$  has the following basic properties.

**Lemma 1.** Under (i), (ii),

- (a)  $K(u)$  is weakly lower semicontinuous and differentiable on  $E$  with  $K'(u)(\varphi) = \int_{\Omega} f(u, x) \varphi dx$  for  $\varphi \in E$ .  
 (b)  $K'(u)$  is a continuous and compact map from  $E$  to  $E^*$ , the dual of  $E$ .

*Proof.* We select  $\Omega_k = \{x \in \Omega \mid |x| \leq r_k\}$ , where  $r_k$  could be a fixed number or a sequence.

- (a) Let  $u_j \rightarrow u$  weakly in  $E$ . Observe that

$$(5) \quad |K(u_j) - K(u)| \leq \int_{\Omega_k} |F(x, u_j) - F(x, u)| + C \|g\|_{L^{p_0}(\Omega \setminus \Omega_k)} (\|u_j\|_l^{\alpha+1} + \|u\|_l^{\alpha+1}).$$

Since  $\{u_j\}$  is bounded in  $E$ ,  $\{u_j|_{\Omega_k}\}$  is bounded in  $W^{1,p}(\Omega_k)$  for fixed  $k$ . It follows from the compact embedding  $W^{1,p}(\Omega_k) \hookrightarrow L^q(\Omega_k)$  for  $1 \leq q < p^*$  (see, e.g., [1, Theorem 6.2]), that there exists a subsequence of  $\{u_j\}$  that converges to  $u$  in  $L^q(\Omega_k)$ , whence  $u_j \rightarrow u$  in  $L^q(\Omega_k)$ . Further,  $\int_{\Omega_k} F(x, u_k) \rightarrow \int_{\Omega_k} F(x, u)$ , since  $|F(x, t)| \leq \frac{1}{\alpha+1} g(x) |t|^{\alpha+1}$  and  $1 < \alpha + 1 < p^*$ . Therefore,  $K(u_j) \rightarrow K(u)$ , since (5) and  $g \in L^{p_0}(\Omega)$ .

For differentiability of  $K$ , we show that given any  $\varepsilon > 0$ , there exists a  $\delta = \delta(\varepsilon, u) > 0$  such that

$$\left| \int_{\Omega} F(x, u + \varphi) - \int_{\Omega} F(x, u) - \int_{\Omega} f(x, u) \varphi \right| < \varepsilon \|\varphi\|_l$$

for all  $\varphi \in E$  with  $\|\varphi\|_l \leq \delta$ . Observe that  $g \in L^{p_0}(\Omega)$  and

$$\begin{aligned} & \left| \int_{\Omega \setminus \Omega_k} F(x, u + \varphi) - F(x, u) - f(x, u) \varphi \right| \\ & \leq \int_{\Omega \setminus \Omega_k} g \{ (|u| + |\varphi|)^{\alpha} |\varphi| + |u|^{\alpha} |\varphi| \} \\ & \leq C \|g\|_{L^{p_0}(\Omega \setminus \Omega_k)} (\|u\|_l^{\alpha} + \|\varphi\|_l^{\alpha}) \|\varphi\|_l < \frac{\varepsilon}{2} \|\varphi\|_l \end{aligned}$$

for sufficiently large  $r_k$  and  $\|\varphi\|_l \leq 1$ . To estimate the integral on the bounded domain  $\Omega_k$  and obtain

$$\left| \int_{\Omega_k} F(x, u + \varphi) - F(x, u) - f(x, u) \varphi \right| < \frac{\varepsilon}{2} \|\varphi\|_l,$$

we need only follow the arguments in Proposition B10 of [12].

(b) The continuity of  $K'(u)$  follows from the estimate

$$(6) \quad \|K'(u_j) - K'(u)\|_{E^*} \leq C\{\|f(\cdot, u_j) - f(\cdot, u)\|_{L^{np/(n+p)}(\Omega_k)} + \|g\|_{L^{p_0}(\Omega \setminus \Omega_k)}(\|u_j\|_I^\alpha + \|u\|_I^\alpha)\},$$

$1 < \alpha np/(n+p) < p^*$ , and arguments similar to the one above. To show the compactness, we employ the diagonal method. Let  $\{u_j\}$  be a bounded sequence in  $E$ , and let  $r_k \rightarrow \infty$  such that  $\|g\|_{L^{p_0}(\Omega \setminus \Omega_k)} < \frac{1}{k}$ . For each  $k$ , the compactness of the embedding  $W^{1,p}(\Omega_k) \hookrightarrow L^q(\Omega_k)$  ( $1 \leq q < p^*$ ) and the boundedness of  $\{u_j\}$  in  $W^{1,p}(\Omega_k)$  imply that  $\{u_j\}$  has a Cauchy subsequence  $\{u_{jk}\}$  in  $L^q(\Omega_k)$ . Then  $\{K'(u_{jj})\}$  is a Cauchy sequence in  $E^*$ . Indeed, for given  $\varepsilon > 0$ , choose  $k$  such that  $\|g\|_{L^{p_0}(\Omega \setminus \Omega_k)} < \varepsilon$ . On the other hand,  $\{u_{jj}\} (\subset \{u_{jk}\})$  is convergent in  $L^q(\Omega_k)$  ( $1 \leq q < p^*$ ), and  $|f(x, t)|^{np/(n+p)} \leq C|t|^{\alpha np/(n+p)}$  with  $1 < \alpha np/(n+p) < p^*$ , whence for  $j, k$  sufficiently large,  $\|f(\cdot, u_{jj}) - f(\cdot, u_{ii})\|_{L^{np/(n+p)}(\Omega_k)} < \varepsilon$ . The compactness of  $K'$  follows immediately from (6) by replacing  $u_j$  with  $u_{jj}$  and  $u$  with  $u_{ii}$ .

The critical points  $u$  of  $J$ , i.e.,

$$(7) \quad J'(u)(\varphi) = \int_{\Omega} (a|\nabla u|^{p-2} \nabla u \cdot \nabla \varphi + b|u|^{p-2} u \varphi - f(x, u) \varphi) dx = 0$$

for all  $\varphi \in E$  are weak solutions of  $lu = f(x, u)$ .

**Lemma 2.** Let  $u$  be a critical point of  $J$ .

- (a)  $u \in L^q(\Omega)$ ,  $\frac{np}{n-p} \leq q < \infty$ . If  $b(x) \geq b_0 > 0$ , then  $u \in L^q(\Omega)$ ,  $p \leq q < \infty$ ,  
 (b)  $\lim_{|x| \rightarrow \infty} u = 0$ .

*Proof.* (a) Let  $u^+(x) = \max\{u(x), 0\}$ ,  $u^-(x) = \max\{-u(x), 0\}$ . We show that statement (a) is true for  $u^\pm$ . Set  $u_k(x) = \min\{u^\pm(x), k\}$ ,  $k = 1, 2, \dots$ . For any real  $i \geq 1$ ,  $(u_k)^i \in E$ . Substituting  $\varphi = (u_k)^i$  in (7) and using  $-\|g\|_\infty |t|^\alpha \leq f(x, t) \leq \|g\|_\infty |t|^\alpha$ , we obtain

$$i \int_{\Omega} (u_k)^{i-1} |\nabla u_k|^p \leq \frac{\|g\|_\infty}{a_0} \int_{\Omega} (u^\pm)^{\alpha+i}.$$

By the fact that  $(u_k)^{i-1} |\nabla u_k|^p = (\frac{p}{i+p-1})^p |\nabla(u_k)^{(i+p-1)/p}|^p$  and Sobolev's Inequality, we have

$$(8) \quad \left( \int_{\Omega} (u_k)^{\frac{n}{n-p}(i+p-1)} \right)^{\frac{n-p}{n}} \leq C \int_{\Omega} (u^\pm)^{\alpha+i},$$

where  $C = C(n, i, p, \alpha, a_0, \|g\|_\infty)$ . Setting

$$\sigma = p^* - 1 - \alpha, \quad i = i_0 = 1 + \sigma, \\ q_0 = \frac{n}{n-p}(i_0 + p - 1) = \frac{n}{n-p}(p + \sigma),$$

and letting  $k \rightarrow \infty$  in (8), we conclude that  $u^\pm \in L^{q_0}(\Omega)$ . Iterating this process gives

$$(\|u_k\|_{q_{j+1}})^{\frac{n-p}{n}} \leq C \int_{\Omega} (u^\pm)^{q_j},$$

where  $q_j = \frac{n}{n-p}(i_j + p - 1)$ ,  $i_j = 1 + \sigma + \frac{n}{n-2}\sigma + \cdots + (\frac{n}{n-2})^j\sigma$ , and where  $C = C(n, i_j, p, \alpha, a_0, \|g\|_\infty)$ ,  $j = 0, 1, \dots$ . Thus,  $u^\pm \in L^q(\Omega)$ ,  $\frac{np}{n-p} \leq q < \infty$ , and (a) follows. To show (b), we choose  $r_0$  sufficiently large so that  $B_2(x) \subseteq \Omega$  for all  $x$  such that  $|x| \geq r_0$ . Then by Theorem 1 of Serrin [13], for some  $q > \frac{n}{p}$ ,

$$\|u\|_{L^\infty(B_1(x))} \leq C\{\|u\|_{L^{p^*}(B_2(x))} + \|f(x, u)\|_{L^q(B_2(x))}\},$$

where  $C = C(n, p, q)$ . The decay of  $u$  follows.

Now we can employ the Mountain Pass Theorem to obtain a solution of (\*).

**Theorem 1.** *Under conditions (i)–(iii), the problem (\*) has a positive decaying solution  $u \in C^{1,\delta}(\overline{\Omega} \cap B_r(0))$  for any  $r > 0$  and some  $\delta = \delta(r) \in (0, 1)$ .*

*Proof.* Since we seek positive solutions, it is convenient to define  $f(x, t) = 0$  for  $t \leq 0$ . By condition (ii), for small  $r > 0$ , there exists a  $c > 0$  such that

$$J(u) \geq \frac{1}{p}\|u\|_l^p - C\|g\|_{p_0}\|u\|_l^{\alpha+1} \geq c$$

for  $u \in \partial B_r(0)$ . Integrating and using conditions (i) and (iii), we see that  $F(x, t) \geq a_1 t^\mu - a_2$  for  $(x, t) \in \Omega_0 \times R^+$ , some  $a_1, a_2 > 0$ . Let  $\varphi \in C_0^\infty(\Omega_0)$  such that  $\varphi(x) \geq 0$ ,  $\not\equiv 0$ , and let  $s \in R^+$ . For  $s$  large,

$$J(s\varphi) \leq \frac{1}{p}s^p\|\varphi\|_l^p - s^\mu \int_{\Omega_0} a_1 \varphi^\mu + a_2 |\Omega_0| < 0.$$

Thus we obtain the existence of  $e$  with  $J(e) < 0$ . To see that the (PS) condition holds, suppose  $\{u_i\} \subseteq E$  is such that  $J(u_i) \leq C$  and  $J'(u_i)(\cdot) \rightarrow 0$ . Note that the inequality

$$C \geq J(u_i) \geq \frac{1}{p}\|u_i\|_l^p - \frac{1}{\mu} \int_{\Omega} f(x, u_i) u_i \geq \left(\frac{1}{p} - \frac{1}{\mu}\right) \|u_i\|_l^p + \frac{1}{\mu} J'(u_i)(u_i)$$

yields the boundedness of  $\{u_i\}$ . Consequently, it follows from the compactness of  $K'$  that there exists a subsequence of  $\{u_i\}$ , say  $\{u_i\}$  itself, such that  $K'(u_i)$  is Cauchy in  $E^*$ . We claim that  $\{u_i\}$  is a Cauchy sequence in  $E$ . Indeed, we have the inequality

$$(9) \quad |\xi - \eta|^p \leq \begin{cases} (|\xi|^{p-2}\xi - |\eta|^{p-2}\eta) \cdot (\xi - \eta) & \text{if } p \geq 2, \\ [ (|\xi|^{p-2}\xi - |\eta|^{p-2}\eta) \cdot (\xi - \eta) ]^{\frac{p}{2}} [|\xi|^p + |\eta|^p]^{\frac{2-p}{2}} & \text{if } 1 < p < 2 \end{cases}$$

for  $\xi, \eta \in R^n$  (see, e.g., [7, 14]). On the other hand, from (7) we obtain

$$\begin{aligned} & \int_{\Omega} a(|\nabla u_i|^{p-2} \nabla u_i - |\nabla u_j|^{p-2} \nabla u_j) \cdot (\nabla u_i - \nabla u_j) \\ & \quad + b(|u_i|^{p-2} u_i - |u_j|^{p-2} u_j) \cdot (u_i - u_j) \\ (10) \quad & \leq |J'(u_i)(u_i - u_j)| + |J'(u_j)(u_i - u_j)| + \left| \int_{\Omega} (f(x, u_i) - f(x, u_j))(u_i - u_j) \right| \\ & \leq C\{\|J'(u_i)\|_{E^*} + \|J'(u_j)\|_{E^*} + \|K'(u_i) - K'(u_j)\|_{E^*}\}, \end{aligned}$$

where  $C = C(n, p)$ . From (9) and (10), it follows immediately that  $\{u_i\}$  is Cauchy in  $E$ . Thus the (PS) condition holds. The Mountain Pass Theorem guarantees the existence of a nontrivial critical point of  $J$ , say  $u$ . By Lemma 2,  $u$  decays. Letting  $\varphi = u^-$  in (7) implies that  $u \geq 0$  in  $\Omega$ . The positivity  $u(x) > 0$  in  $\Omega$  follows from the Harnack type inequality [15, Theorem 1.1]. Finally, the proof of [9, Theorem 1] implies that  $u \in C^{1,\delta}(\overline{\Omega} \cap B_r(0))$ ,  $r > 0$ .

To see this, let  $r$  be so large that  $\partial\Omega \subset B_r(0)$ . Since  $u|_{\partial\Omega} = 0$ , the boundary regularity arguments of [9, Theorem 1] can be applied in a neighborhood of  $\partial\Omega$  in  $\overline{\Omega} \cap B_r(0)$ , while the interior regularity arguments of [9, Theorem 1] can be applied in the rest part of  $\overline{\Omega} \cap B_r(0)$ .

### 3. OTHER PROBLEMS

We employ the method of the previous section, with some modifications, to consider the problem (\*) in cases (2) and (3)

$$f(x, u) = \begin{cases} h(x)u^\beta, & 0 \leq \beta < p-1, \\ g(x)u^\alpha + h(x)u^\beta, & 0 \leq \beta < p-1 < \alpha < p^*-1. \end{cases}$$

We introduce the following conditions:

$$(iv) \quad 0 \leq f(x, t) \leq h(x)|t|^\beta, \quad 0 \leq \beta < p-1, \quad h \in L^\infty(\Omega) \cap L^{q_0}(\Omega), \quad q_0 = \frac{np}{np - (\beta+1)(n-p)};$$

$$(v) \quad f(x, t) \geq h_0(x)t^{\beta_0} \text{ as } t \rightarrow 0^+, \quad 0 \leq \beta_0 \leq \beta, \quad h_0(x) \geq 0, \neq 0.$$

**Theorem 2.** Under conditions (i), (iv), and (v), problem (\*) has a positive decaying solution  $u \in C^{1,\delta}(\overline{\Omega} \cap B_r(0))$  for any  $r > 0$  and some  $\delta = \delta(r) \in (0, 1)$ .

*Proof.* We assume  $f(x, t) = f(x, 0)$  for  $t \leq 0$  and define the functionals  $K(u)$  and  $J(u)$  on  $E$  as before. By condition (iv), the functional  $J$  is weakly lower semicontinuous differentiable. Moreover,  $J$  is bounded below, since

$$J(u) \geq \frac{1}{p} \|u\|_l^p - C \|h\|_{q_0} \|u\|_l^{\beta+1}.$$

Thus  $J$  has a critical point  $u: J(u) = \inf\{J(v) \mid v \in E\}$ , which is a solution of (\*). We note that  $u$  must be nontrivial since

$$(11) \quad J(s\varphi) \leq \frac{s^p}{p} \|\varphi\|_l^p - \frac{s^{\beta_0+1}}{\beta_0+1} \int_{\Omega} h_0(x) |\varphi|^{\beta_0+1} < 0$$

for some  $\varphi \in C_0^\infty(\Omega)$  and small  $s > 0$ . The arguments of the nonnegativity, regularity, and decay of  $u$  in Theorem 1 work here. Since  $lu \geq 0$ , the weak Harnack inequality [15, Theorem 1.2] yields  $u(x) > 0$  in  $\Omega$ .

**Theorem 3.** Let  $f(x, t) = f_1(x, t) + f_2(x, t)$ . Suppose that  $f_1$  satisfies (i)–(iii) and  $f_2$  satisfies (i), (iv), and (v). Then the problem (\*) has two positive decaying solutions  $u_1, u_2 \in C^{1,\delta}(\overline{\Omega} \cap B_r(0))$  for any  $r > 0$  and some  $\delta = \delta(r) \in (0, 1)$  provided

$$2A^p \|g\|_{p_0}^{\frac{p-\beta-1}{\alpha-\beta}} \cdot \|h\|_{q_0}^{\frac{\alpha+1-p}{\alpha-\beta}} \left[ \frac{1}{\alpha+1} \left( \frac{(\alpha+1)(p-\beta+1)}{(\beta+1)(\alpha+1-p)} \right)^{\frac{\alpha+1-p}{\alpha-\beta}} + \frac{1}{\beta+1} \left( \frac{(\beta+1)(\alpha+1-p)}{(\alpha+1)(p-\beta-1)} \right)^{\frac{p-\beta-1}{\alpha-\beta}} \right] < 1,$$

where  $A = \frac{n-1}{nv_n^{1/n}} \frac{\Gamma(n/p-1)}{\Gamma(n/p)}$ ,  $v_n = \text{vol}(B_1(0))$ .

*Proof.* Once again, we employ Mountain Pass arguments to obtain the first nontrivial critical point of  $J$ . Here we assume  $f(x, t) = f(x, 0)$  for  $t \leq 0$ . In this case,

$$J(u) = \frac{1}{p} \|u\|_l^p - \int_{\Omega} K(u), \quad K(u) = \int_{\Omega} F_1(x, u) + \int_{\Omega} F_2(x, u),$$

where  $F_1(x, u) = \int_0^u f_1(x, s) ds$ ,  $F_2(x, u) = \int_0^u f_2(x, s) ds$ .  $J$  is weakly lower semicontinuous and differentiable, while  $K'$  is compact. Observe that for some  $(0 \leq) \varphi \in C_0^\infty(\Omega_0)$ ,

$$J(s\varphi) \leq \frac{1}{p} s^p \|\varphi\|_l^2 - s^\mu \int_\Omega a_1 \varphi^\mu + a_2 |\Omega_0| < 0$$

for large  $s > 0$  and that

$$\begin{aligned} J(u) &= \frac{1}{p} \|u\|_l^p - \int_\Omega F_1(x, u) - \int_\Omega F_2(x, u) \\ &= \left( \frac{1}{p} - \frac{1}{\mu} \right) \|u\|_l^p + \frac{1}{\mu} J'(u)(u) + \int_\Omega \left( \frac{1}{\mu} f_1(x, u)u - F_1(x, u) \right) \\ &\quad + \int_\Omega \left( \frac{1}{\mu} f_2(x, u)u - F_2(x, u) \right) \\ &\geq \left( \frac{1}{p} - \frac{1}{\mu} \right) \|u\|_l^p + \frac{1}{\mu} J'(u)(u) - C \left( 1 + \frac{1}{\mu} \right) \|h\|_{q_0} \|u\|_l^{\beta+1}. \end{aligned}$$

It follows that any sequence  $\{u_i\}$  such that  $J(u_i) \leq C$  and  $J'(u_i) \rightarrow 0$  is bounded. Thus  $\{u_i\}$  has a convergent subsequence by the compactness of  $K'$  and  $J'(u_i) \rightarrow 0$ . The (PS) condition now follows, but the step  $J(u) \geq a$  for  $u \in \partial B_r(0)$  no longer follows as before. However,

$$\begin{aligned} J(u)|_{\|u\|_l=r} &\geq \left( \frac{1}{p} \|u\|_l^p - \frac{1}{\alpha+1} A^{\alpha+1} \|g\|_{p_0} \|u\|_l^{\alpha+1} - \frac{1}{\beta+1} A^{\beta+1} \|h\|_{q_0} \|u\|_l^{\beta+1} \right) \Big|_{\|u\|_l=r} \\ &= \frac{1}{p} r^p \left( 1 - \frac{p}{\alpha+1} A^{\alpha+1} \|g\|_{p_0} r^{\alpha+1-p} - \frac{p}{\beta+1} A^{\beta+1} \|h\|_{q_0} r^{\beta+1-p} \right) \\ &\equiv \frac{1}{p} r^p H(r). \end{aligned}$$

Elementary differentiation shows that  $H(r)$  has an absolute maximum

$$r_0 = \frac{1}{A} \left[ \frac{(\alpha+1)(p-1-\beta)\|h\|_{q_0}}{(\beta+1)(\alpha+1-p)\|g\|_{p_0}} \right]^{\frac{1}{\alpha-\beta}}.$$

By assumption,  $H(r_0) > 0$ . Hence  $J(u)|_{\|u\|_l=r_0} > 0$ . By the Mountain Pass Theorem,  $J(\cdot)$  has a critical point  $u_1$  with  $J(u_1) > 0$ . Observe  $J(u)|_{\|u\|_l=r_0} > 0$  and

$$J(s\varphi) \leq \frac{s^p}{p} \|\varphi\|_l^p + s^{\alpha+1} C \|g\|_{p_0} \|\varphi\|_l^{\alpha+1} - \frac{s^{\beta_0+1}}{\beta_0+1} \int_\Omega h_0(x) |\varphi|^{\beta_0+1} < 0$$

for some  $\varphi \in C_0^\infty(\Omega)$  and small  $s > 0$ . It follows that  $J(\cdot)$  attains its local minimum at some  $u_2 \in B_{r_0}(0)$ , i.e.,  $J(u_2) = \inf\{J(v) \mid v \in B_{r_0}(0)\} < 0$ . As before, we have  $u_1, u_2 \geq 0$ . Since  $u_1, u_2$  satisfy  $lu \geq f_1(x, u)$ , the positivity of  $u_1, u_2$  follows from [15, Theorem 1.2]. A slightly modified proof of Lemma 2 shows the decay of  $u_1, u_2$ ; in this case the estimate of the proof of Lemma

2(a) proceeds as follows:  $i \geq 1$ ,

$$\begin{aligned} \int_{\Omega} f(x, u) u^i &= \int_{\Omega} f_1(x, u) u^i + \int_{\Omega} f_2(x, u) u^i \\ &\leq \|g\|_{\infty} \int_{\Omega} u^{\alpha+i} + \int_{0 \leq u \leq 1} h u^{\beta+i} + \int_{1 \leq u} h u^{\beta+i} \\ &\leq (\|g\|_{\infty} + \|h\|_{\infty}) \int_{\Omega} u^{\alpha+i} + \int_{\Omega} h u^{\beta+1} \\ &\leq (\|g\|_{\infty} + \|h\|_{\infty}) \int_{\Omega} u^{\alpha+i} + C \|h\|_{q_0} \|u\|_l^{\beta+1}. \end{aligned}$$

The rest is the same. This completes the proof.

Suppose that  $g(x) = O(|x|^{-\nu})$ ,  $h(x) = O(|x|^{-\gamma})$  at  $\infty$ . Then conditions (ii) and (iv) imply that

$$\nu > \frac{np - (\alpha + 1)(n - p)}{p}, \quad \gamma > \frac{np - (\beta + 1)(n - p)}{p}.$$

If  $b(x) \geq b_0 > 0$ , the assumption  $g \in L^{p_0}(\Omega)$  of (ii) could be replaced by  $\|g\|_{L^1(B_1(x))} \rightarrow 0$  as  $|x| \rightarrow \infty$ . In this case, we need only apply the embedding theorem of [3, Theorem 2.3] in the proofs above, where the property that  $\|g\|_{L^{p_0}(\Omega \setminus \Omega_k)}$  can be arbitrarily small is used.

#### ACKNOWLEDGMENTS

The author wishes to thank his supervisor, Professor W. Allegretto, for guidance and encouragement and the referee for valuable suggestions.

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