# ON NILPOTENCY OF THE SEPARATING IDEAL OF A DERIVATION

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ABSTRACT. We prove that the separating ideal S(D) of any derivation D on a commutative unital algebra B is nilpotent if and only if  $S(D) \cap (\bigcap R^n)$  is a nil ideal, where R is the Jacobson radical of B. Also we show that any derivation D on a commutative unital semiprime Banach algebra B is continuous if and only if  $\bigcap (S(D))^n = \{0\}$ . Further we show that the set of all nilpotent elements of S(D) is equal to  $\bigcap (S(D) \cap P)$ , where the intersection runs over all nonclosed prime ideals of B not containing S(D). As a consequence, we show that if a commutative unital Banach algebra has only countably many nonclosed prime ideals then the separating ideal of a derivation is nilpotent.

### 1. Introduction

In [9] Singer and Wermer proved that the range of a continuous derivation on a commutative Banach algebra is contained in the Jacobson radical. In the same paper they conjectured that the assumption of continuity is not necessary. In [10] Thomas proved the Singer-Wermer conjecture. Still, the answers to the following problems seem to be open.

- (Q1) Is the separating ideal of a derivation on a commutative unital Banach algebra nilpotent?
- (Q2) Are derivations continuous on a commutative unital semiprime Banach algebra?
- (Q3) Are derivations continuous on integral domains?

It is straightforward to notice that the above questions are equivalent (see



P of B not containing S(D). As a corollary, we prove that S(D) is nilpotent if the Banach algebra has only countably many nonclosed prime ideals.

Throughout the following we suppose that B is a commutative unital Banach algebra. R and N will denote, respectively, the Jacobson and nil radicals of B. N is also called the prime radical of B and consists of all nilpotent elements of B. N is also equal to the intersection of all prime ideals of B. Recall that B is said to be an integral domain if  $\{0\}$  is a prime ideal. B is said to be semiprime if it has no nonzero nilpotent elements. For any derivation D on a commutative unital Banach algebra B, let  $S(D) = \{x \in B :$  there is  $x_n \to 0$  with  $Dx_n \to x\}$  be the separating ideal of B. It is easy to see that S(D) is a closed ideal of B, and by the closed graph theorem it follows that D is continuous on B if and only if  $S(D) = \{0\}$ . For any ideal I, let

$$(I:D) = \{x \in I: D^n(x) \in I \text{ for each } n \ge 1\}.$$

For any prime ideal P of B it is easy to verify that (P:D) is also a prime ideal. An ideal I of B is said to be nil if each element of I is nilpotent. If I is an ideal of B, let  $I^n$  denote the ideal of B that is the linear span of n-fold products of elements of I. An ideal I of B is said to be nilpotent if  $I^n = \{0\}$  for some positive integer n. It is known that every closed nil ideal is nilpotent [6].

## 2. Preliminaries

**Lemma 2.1.** Suppose B is a commutative Banach algebra that is also an integral domain. Further suppose that there exists a sequence of nonzero ideals  $\{I_n, n \geq 1\}$  such that  $\bigcap_{n=1}^{\infty} I_n = \{0\}$ . Then every derivation on B is continuous.

*Proof.* Suppose the result is false. Since B is an integral domain, by Remark 3.1 of [5], we may assume that there exists a discontinuous derivation D such that S(D) is the smallest nonzero closed ideal of B. Let  $\{I_n, n \geq 1\}$  be a sequence of nonzero ideals in B such that  $\bigcap_{n=1}^{\infty} I_n = \{0\}$ . Since B is an integral domain,  $I_n \cap S(D)$  (which contains  $I_n S(D)$ ) is a nonzero ideal for each n. Since S(D) is the smallest closed ideal of B,  $I_n \cap S(D)$  is dense in S(D) for each n. Let  $I_n = I_n \cap S(D)$  for each  $n \geq 1$ . Obviously,  $\bigcap_{n=1}^{\infty} I_n = \{0\}$ .

For the remainder of the proof, the argument is similar to the proof of Proposition 3.1 of [4]. Let x be a nonzero element in S(D) such that ||x|| = 1. Since  $\overline{xJ_n} = S(D)$  for each  $n \ge 1$ , there exists a sequence  $\{t_n\}$  (with  $0 \ne t_n \in J_n$ ) such that  $||t_1x - x|| < 4^{-1}$  and

$$||t_n x - x|| < 4^{-n} (1 + ||t_1||)^{-1} \cdots (1 + ||t_{n-1}||)^{-1}$$
 for all  $n \ge 2$ .

Put, for each  $k \ge 1$ ,  $n \ge k$ ,  $c_{k,n} = t_k \cdots t_n x$ . Then for each  $k \ge 1$ ,  $n \ge k$ ,

$$||c_{k+n}-c_{k+1+n}|| \le ||t_k\cdots t_n|| \, ||x-xt_{n+1}|| < 4^{-n-1}.$$

So the sequence is Cauchy and hence convergent. Let  $s_k = \lim_{n \to \infty} c_{k,n}$ . Since  $c_{k,n} = t_k c_{k+1,n}$  for  $n \ge k+1$ , we have  $s_k = t_k s_{k+1}$ . Hence  $s_1 \in \bigcap_{n=1}^{\infty} J_n$ . Since  $\bigcap_{n=1}^{\infty} J_n = \{0\}$ , it follows that  $s_1 = 0$ .

Since 
$$\sum_{k=1}^{\infty} (c_{k+1,1} - c_{k,1}) + c_{1,1} = 0$$
, we have

$$||x|| = ||-x|| = \left| |c_{1,1} + \sum_{k=1}^{\infty} (c_{k+1,1} - c_{k,1}) - x \right|$$

$$\leq ||c_{1,1} - x|| + \sum_{k=1}^{\infty} ||c_{k+1,1} - c_{k,1}||$$

$$< 4^{-1} + \sum_{k=1}^{\infty} 4^{-k-1} < 1.$$

This is a contradiction to the fact that ||x|| = 1. Q.E.D.

**Lemma 2.2.** Let D be a derivation on a commutative unital Banach algebra B such that the separating ideal S(D) of D is not nilpotent. Then there exists a closed prime ideal P satisfying

- (i) P = (P : D), i.e., P is invariant under D.
- (ii) P does not contain either the Jacobson radical R or S(D).

Remark 2.3. By Thomas's theorem [10], since D(B) is contained in R, it clearly follows that S(D) is contained in R. In the following proof of the above lemma we offer arguments without invoking such a powerful result.

Proof of Lemma 2.2. Since S(D) is not nilpotent by Theorem 2.5 of [1], there exists finitely many minimal prime ideals not containing S(D) such that  $S(D) \cap N = P_1 \cap P_2 \cap \cdots \cap P_k \cap S(D)$ , where N is the nil radical of B. Suppose R is contained in  $P_i$  for each i. Then  $R \cap S(D)$  is contained in N. Since  $R \cap S(D)$  is a closed ideal,  $R \cap S(D)$  is a nilpotent ideal (see [6]). Hence by Lemma 2.1 of [5], S(D) is a nilpotent ideal. This is false. Hence there exists a minimal prime ideal say P, which does not contain either R or S(D). Since (P:D) is also a prime ideal contained in P and P being minimal, it follows that P = (P:D). This completes the proof of the lemma.

# **Proposition 2.4.** The following statements are equivalent:

- (i) The separating ideal of a derivation on a commutative unital Banach algebra is always nilpotent.
- (ii) Derivations are continuous on commutative unital semiprime Banach algebras.
- (iii) Derivations are continuous on commutative unital Banach algebras that are integral domains.

**Proof.** For the equivalence of (ii) and (iii) refer to Theorem 3.1 of [5]. Obviously (i) implies (ii). Suppose (ii) is true and (i) is false. Let B be a commutative Banach algebra and  $D: B \to B$  be a derivation such that S(D) is not nilpotent. Then by Lemma 2.2 there is a closed prime ideal P invariant under D and not containing S(D). Hence  $\delta: B/P \to B/P$ , defined by  $\delta(x+P) = D(x) + P$ , is a well-defined derivation. Since P is a closed prime ideal, B/P is an integral domain. Since every integral domain is semiprime, by our supposition  $\delta$  is continuous on B/P. That is, the separating ideal  $S(\delta)$  of

 $\delta$  is the zero ideal in B/P. This implies that S(D) is contained in P, which is a contradiction. This completes the proof of the proposition.

### 3. Main results

Thomas's theorem [10] implies that the separating ideal of a derivation is contained in the Jacobson radical of the algebra. Hence, if the Jacobson radical is nilpotent then so is the separating ideal of a derivation on the algebra. In [2] Dales constructed a Banach algebra with nilpotent Jacobson radical and a discontinuous derivation using a divisible submodule that is contained in the Jacobson radical (especially, refer to [2, Lemmas 1 and 5 and proof of Theorem 2 in §3]). Also the known examples of discontinuous derivations that map the algebra into a nil divisible ideal contained in  $\bigcap_{n=1}^{\infty} R^n$ , where R is the Jacobson radical of the algebra, have nilpotent separating ideals (refer to [8, §8]; in particular, Example 8.8, Theorem 8.9, and Remark 8.9). This is not a coincidence as we show in the following that the separating ideal of a derivation is nilpotent if it intersects  $\bigcap_{n=1}^{\infty} R^n$  in a nil ideal.

**Theorem 3.1.** Let R be the Jacobson radical of the commutative unital Banach algebra B. Let D be any derivation on B. Then the separating ideal S(D) is nilpotent if and only if  $(S(D) \cap (\bigcap_{n=1}^{\infty} R^n))$  is a nil ideal.

*Proof.* Let N be the nil radical of B. One way implication is obvious, so suppose that  $(S(D) \cap (\bigcap_{n=1}^{\infty} R^n))$  is a nil ideal and S(D) is not nilpotent. By Cusack's theorem [1, Theorem 2.5] there are finitely many closed prime ideals  $P_1, P_2, \ldots, P_k$  of B that are minimal and do not contain S(D) such that

$$S(D) \cap N = S(D) \cap P_1 \cap P_2 \cap \cdots \cap P_k$$
.

Since each  $P_i$  is closed,  $S(D) \cap N$  is closed. Let x be an element of S(D) that is not nilpotent. Standard automatic continuity theory shows that there is a positive integer m = m(x) such that for each  $n \ge m$ ,  $\overline{x^nS(D)} = \overline{x^mS(D)}$ . The Mittag-Leffler theorem implies that  $\bigcap_{n=1}^{\infty} x^nS(D)$  is dense in  $\overline{x^mS(D)}$ . It is also clear that  $x^nS(D) \subseteq R^n$  and that  $x^nS(D) \subseteq S(D)$  for each  $n \ge 1$ . Therefore we see that

$$\bigcap_{n=1}^{\infty} x^n S(D) \subseteq \left( S(D) \cap \left( \bigcap_{n=1}^{\infty} R^n \right) \right) \subseteq S(D) \cap N.$$

Since  $\bigcap_{n=1}^{\infty} x^n S(D)$  is dense in  $\overline{x^m S(D)}$  and  $S(D) \cap N$  is closed, it follows that  $x^m S(D) \subseteq S(D) \cap N$ . Therefore we see that  $x^m S(D) \subseteq P_i$  for  $i=1,2,\ldots,k$ . But each  $P_i$  is a prime ideal not containing S(D). This forces x to be in each  $P_i$  and, therefore,  $x \in S(D) \cap N$ . This implies x is nilpotent, which is a contradiction. Q.E.D.

**Theorem 3.2.** Let D be a derivation on a commutative unital semiprime Banach algebra B. Then D is continuous if and only if  $\bigcap_{n=1}^{\infty} (S(D))^n = \{0\}$ .

*Proof.* One implication is obvious, so suppose that  $\bigcap_{n=1}^{\infty} (S(D))^n = \{0\}$ . Let x be any element of S(D). Standard automatic continuity theory again shows

that there is positive integer m=m(x) such that for each  $n \ge m$ ,  $\overline{x^nS(D)}=\frac{\overline{x^mS(D)}}{\overline{x^mS(D)}}$ . The Mittag-Leffler theorem implies that  $\bigcap_{n=1}^{\infty} x^nS(D)$  is dense in  $\overline{x^mS(D)}$ . Since  $x^nS(D)\subseteq (S(D))^{n+1}$  for each  $n\ge 1$ , we see that

$$\bigcap_{n=1}^{\infty} x^n S(D) \subseteq \bigcap_{n=1}^{\infty} (S(D))^{n+1} = \{0\}.$$

The only valid conclusion is that  $\overline{x^mS(D)} = \{0\}$  and, in particular,  $x^{m+1} = 0$ . Since B is assumed to be semiprime, this forces x = 0. Since x was arbitrary in S(D), we have shown that  $S(D) = \{0\}$ , and this ends the proof of the theorem.

As mentioned in the proof of Lemma 2.2 and elsewhere, in [1] Cusack showed that for any derivation D on B, there exists finitely many minimal prime ideals, say  $P_1$ ,  $P_2$ , ...,  $P_k$  not containing S(D) such that  $S(D) \cap N = S(D) \cap P_1 \cap \cdots \cap P_k$ , where N is the nil radical of B. Also in the same paper it is noted that all these  $P_i$ 's are closed. In the following, we prove a similar result involving nonclosed prime ideals of B.

**Theorem 3.3.** Let S(D) be the separating ideal of a derivation D on B. Let N be the nil radical of B. Then  $S(D) \cap N = \bigcap (S(D) \cap P)$ , where the intersection on the right-hand side of the equality runs over all nonclosed prime ideals P of B not containing S(D).

*Proof.* Let  $J = \bigcap (S(D) \cap P)$ , where the intersection is taken over all nonclosed prime ideals P of B. Since N is the intersection of all prime ideals of B, obviously  $S(D) \cap N$  is contained in J. Suppose  $S(D) \cap N \neq J$ . Let x be a nonzero element of J that is not nilpotent. Since S(D) is contained in the Jacobson radical R (by Thomas's theorem [10])  $x \in R$ . By [7, Theorem 2.2, p. 378], there exists a prime ideal Q that is maximal in the set of all ideals of B that are disjoint from the set  $S = \{x^n, n \geq 1\}$ . Since x is in every nonclosed prime ideal of B, Q:D is a prime ideal contained in Q, and it follows that Q:D is a closed prime ideal of B.

Claim 1.  $\bigcap_{n=1}^{\infty}(x^n)$  is contained in (Q:D), where  $(x^n)$  is the principal ideal generated by  $x^n$ .

Proof of Claim 1. Since  $\bigcap_{n=1}^{\infty}(x^n)$  is invariant under D, if the claim is false then it is not contained in Q. Then  $Q+(x^n)$  is an ideal that contains Q properly. By our selection of Q,  $S\cap (Q+\bigcap_{n=1}^{\infty}(x^n))$  is not empty. That is, there is an integer  $m\geq 1$  such that  $x^m$  belongs to  $Q+\bigcap_{n=1}^{\infty}(x^n)$ . This implies that there is an element t in B such that  $(x^m-tx^{m+1})$  belongs to Q. Since Q is a prime ideal not containing x, it follows that (1-tx) belongs to Q. This is false because (1-tx) is a unit. Hence our claim is established.

If  $(Q:D) = \{0\}$  then B is an integral domain. Also by Claim 1,  $\bigcap_{n=1}^{\infty} (x^n) = \{0\}$ . Hence by Lemma 2.1, it follows that D is continuous. Therefore  $S(D) = \{0\}$  and the theorem follows immediately. Thus we may assume that (Q:D) is a nonzero ideal.

Since (Q:D) is a closed ideal invariant under D,  $\partial: B/(Q:D) \to B/(Q:D)$  defined by  $\partial(x+(Q:D))=D(x)+(Q:D)$  is a well-defined derivation. Since (Q:D) is a prime ideal, B/(Q:D) is an integral domain. Let

$$I_n = ((Q:D) + (x^n))/(Q:D)$$
 for each  $n \ge 1$ .

Since x does not belong to (Q:D),  $I_n$  is a nonzero ideal in B/(Q:D) for each n.

We now show that  $\bigcap_{n=1}^{\infty} I_n = \{0\}$  in B/(Q:D). Let  $t + (Q:D) \in \bigcap_{n=1}^{\infty} I_n$ . For each  $n \ge 1$ , there exists a  $c_n \in B$  and  $q_n \in (Q:D)$  such that

$$(*) t = c_n x^n + q_n.$$

It is enough to show that  $t \in (Q:D)$ .

Claim 2.  $t \in Q$ .

Proof of Claim 2. Suppose it is not true. Since Q is properly contained in Q+(t), where (t) is the principal ideal generated by t. By the selection of Q,  $(Q+(t))\cap S\neq \varnothing$ . That is, there exists a positive integer m such that  $x^m\in (Q+(t))$ . This implies for some c in B and q in Q that  $x^m=ct+q$ . Since t is also equal to  $c_{m+1}x^{m+1}+q_{m+1}$ , we have

$$x^{m} = c(c_{m+1}x^{m+1} + q_{m+1}) + q.$$

Hence,

$$x^{m}(1-cc_{m+1}x)=(cq_{m+1}+q)\in Q.$$

Since Q is a prime ideal and x does not belong to Q, it follows that  $1-cc_{m+1}x$  belongs to Q. Since x is in the Jacobson radical,  $1-cc_{m+1}x$  is a unit. This is a contradiction. Hence  $t \in Q$ .

Claim 3.  $D^n(t) \in Q$  for each  $n \ge 1$ .

Proof of Claim 3. Fix an integer  $n \ge 1$ . We show that  $D^n(t)$  belongs to Q. Suppose it is not true. Since  $Q + (D^n(t))$  properly contains Q, where  $(D^n(t))$  is the principal ideal generated by  $D^n(t)$ , there exists positive integer k such that  $x^k$  belongs to  $(Q + (D^n(t)))$ . That is, there is q in Q and c in B such that  $x^k = q + cD^n(t)$ . Now select a positive integer i large enough so that  $D^n(c_ix^i) = bx^{k+1}$  for some  $b \in B$ .

Since by (\*)  $t = c_i x^i + q_i$ , we have

$$x^{k} = q + cD^{n}(t) = q + cD^{n}(c_{i}x^{i} + q_{i})$$
  
=  $q + cD^{n}(c_{i}x^{i}) + cD^{n}(q_{i}) = q + bx^{k+1} + cD^{n}(q_{i}).$ 

Hence,

$$x^k(1 - bx) = q + cD^n(q_i).$$

Since  $q_i \in (Q:D)$ , it follows that  $D^n(q_i) \in Q$ . Therefore, by the above equation, it follows that  $x^k(1-bx) \in Q$ . Since x is in the Jacobson radical

of B, (1 - bx) is a unit. Since Q is a prime ideal, this implies  $x \in Q$ , which is false. This completes the proof of the claim.

Therefore it follows from Claims 2 and 3 that t belongs to (Q:D). Hence  $\bigcap_{n=1}^{\infty} I_n = \{0\}$  in B/(Q:D). Hence by Lemma 2.1, it follows that  $\partial$  is a continuous derivation on B/(Q:D). Hence  $S(\partial)$ , the separating ideal of  $\partial$ , is zero in B/(Q:D). This implies S(D) is contained in (Q:D), and hence, in particular, S(D) is contained in Q. Since  $x \in S(D)$ , we get that  $x \in Q$ . This is a contradiction to the selection of Q. Q.E.D.

For any commutative Banach algebra B, let  $\mathscr{P}' = \mathscr{P}'(B)$  be the set of all nonclosed prime ideals of B. In [5, Theorem 3.2] we showed that if  $\mathscr{P}'$  is empty for a commutative unital semiprime Banach algebra then every derivation on the algebra is continuous. In the following we show that if the cardinality of  $\mathscr{P}'$  is countable, then the separating ideal of every derivation on the algebra (not necessarily semiprime) is nilpotent. As a consequence, it follows that if  $\mathscr{P}'$  is empty then the separating ideal of every derivation on the algebra is nilpotent. An example of nonsemisimple Banach algebra with  $\mathscr{P}'$  being empty is a power series algebra

$$K = K((\omega_n)) = \left\{ a = \sum_{n=0}^{\infty} a_n X^n \colon ||a|| = \sum_{n=0}^{\infty} |a_n| \omega_n < \infty \right\}$$

in one indeterminate X with complex coefficients where  $\{\omega_n : n = 0, 1, 2, ...\}$  is a sequence in  $(0, \infty)$  such that  $\omega_0 = 1$ ,  $\omega_{n+m} \le \omega_n \omega_m$ , and  $\lim(\omega_n)^{1/n} = 0$  (refer to [3, p. 145]). In fact, K has a unique maximal ideal (say)  $M = \{\sum_{n=0}^{\infty} a_n X^n : a_0 = 0\}$ . If  $\{\omega_n\}$  is chosen properly, then the only prime ideals of K are  $\{0\}$  and M. Finally, it is interesting to find some nontrivial examples of Banach algebras with cardinality of  $\mathscr{P}'$  being countable.

**Theorem 3.4.** Suppose B is a commutative unital Banach algebra with only countably many nonclosed prime ideals. Then the separating ideal of any derivation on B is nilpotent.

*Proof.* Suppose S(D) is not nilpotent. By Theorem 2.5 of [1] we have  $S(D) \cap N = S(D) \cap P_1 \cap P_2 \cap \cdots \cap P_n$ , where  $P_i$ 's are minimal prime ideals of B not containing S(D) and N is the nil radical of B. Also, the  $P_i$ 's are closed. Let  $P = P_1$ . Since P is a closed ideal invariant under D, D lifts to a derivation  $\partial$  of B/P. Since B has only countably many nonclosed prime ideals and there is a one-to-one correspondence between the prime ideals of B containing P and the prime ideals of B/P, it follows that B/P has only countably many nonclosed prime ideals. Let  $\{J_n, n \ge 1\}$  be the sequence of all nonclosed prime ideals of B/P. Since B/P is an integral domain, by Theorem 3.3 it follows that  $\bigcap_{n=1}^{\infty} (S(\partial) \cap J_n) = \{0\}$  in B/P. Hence by Lemma 2.1, it follows that  $\partial$  is continuous on B/P. This implies that S(D) is contained in P. This is a contradiction that completes the proof of the theorem.

**Corollary 3.5.** If every prime ideal is closed in B then S(D) is nilpotent.

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