

ON THE COVERING AND THE ADDITIVITY NUMBER OF THE REAL LINE

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ABSTRACT. We show that the real line R cannot be covered by k many nowhere dense sets iff whenever $D = \{D_i : i \in k\}$ is a family of dense open sets of R there exists a countable dense set G of R such that $|G \setminus D_i| < \omega$ for all $i \in k$. We also show that the union of k meagre sets of the real line is a meagre set iff for every family $D = \{D_i : i \in k\}$ of dense open sets of R and for every countable dense set G of R there exists a dense set $Q \subseteq G$ such that $|Q \setminus D_i| < \omega$ for all $i \in k$.

1. NOTATION AND TERMINOLOGY

The notation and terminology which we will use is standard and can be found in [8] or [9]. In particular, if A, B are sets and λ any cardinal finite or infinite, then $[A]^\lambda$, $[A]^{<\lambda}$, and $[A]^{\leq \lambda}$ denote the sets of all subsets of A of size λ , $< \lambda$, and $\leq \lambda$ respectively. ω^ω denotes the Baire space, i.e., the set of all functions ω^ω together with the topology, having as a base the collection of all (clopen) sets of the form

$$[p] = \{f \in \omega^\omega : p \subseteq f\}, \quad p \in \omega^{<\omega} = \bigcup \{^n\omega : n \in \omega\}.$$

We say that the family $A \subseteq [\omega]^\omega$ has the *countable π -base property* ($c\pi bp$), iff there exists a $B \in [[\omega]^\omega]^\omega$, call it a *π -base*, such that

$$(\forall a \in A)(\forall b \in B)(\exists d \in B)(d \subseteq a \cap b).$$

In order to avoid confusion, let us remark that the notions of $c\pi bp$ and a *filter* A of $[\omega]^\omega$ having a *countable base* are not the same. B need not be included in A . In fact, B may contain disjoint sets.

$A \subseteq [\omega]^\omega$ has the *strong finite intersection property* (sfp), iff $|\bigcap Q| = \omega$ for every $Q \in [A]^{<\omega}$.

We say that a set $S \in [\omega]^\omega$ is an *infinite pseudointersection* of the family $A \subseteq [\omega]^\omega$ iff $(\forall a \in A)(|S \setminus a| < \omega)$.

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Let k, m be any infinite cardinal numbers less than the power of the continuum \mathfrak{c} . We define the following combinatorial statements:

$\text{PS}(k) \equiv$ Every $A \in [[\omega]^\omega]^{\leq k}$ with the $\mathfrak{c}\pi\mathfrak{b}\mathfrak{p}$ has an infinite pseudointersection.

We remark here that it is consistent with ZFC that there exist sets $A \subseteq [\omega]^\omega$ having the \mathfrak{sfp} but no countable π -base (there are filters having no countable base). Indeed, let (M, \in) be a model of ZFC such that $M \models \mathfrak{p} = \omega_1 + \text{cov}(R) = \omega_2$ (see [9, Theorem 3]), where \mathfrak{p} is the *pseudointersection number* and $\text{cov}(R)$ the *covering number* of the real line. Then there exists a set $A \subseteq [\omega]^\omega$, $|A| = \omega_1$, having the \mathfrak{sfp} but no infinite pseudointersection. If $B = \{b_n : n \in \omega\} \subseteq [\omega]^\omega$ were a π -base for A , then, using $\text{cov}(R) > \omega_1$, one can easily construct a pseudointersection S for A which is a contradiction.

$\text{SPS}(k) \equiv$ For every $A \in [[\omega]^\omega]^{\leq k}$ and for every π -base $B \in [[\omega]^\omega]^\omega$ for A there exists an infinite pseudointersection S of A meeting each member of B is an infinite set.

$\text{Equal}(k) \equiv (\forall F \in [\omega]^\omega)^{\leq k} (\exists h \in {}^\omega \omega) (\forall f \in F) (\exists^\infty n) (f(n) = h(n))$, where $\exists^\infty n$ abbreviates the statement "there are infinitely many".

$\text{Equal}^*(k) \equiv (\forall F \in [\omega]^\omega)^{\leq k} (\exists h_n \in {}^\omega \omega) (\forall f \in F) (\forall^\infty n) (\exists m \in \omega) (f(n) = h_n(n))$, where $\forall^\infty n$ abbreviates the statement "for all but finitely many".

$\text{Bounded}(k) \equiv (\forall F \in [\omega]^\omega)^{\leq k} (\exists h \in {}^\omega \omega) (\forall f \in F) (\forall^\infty n) (f(n) \leq h(n))$.

$\text{Weak Bounded}(k) \equiv (\forall F \in [\omega]^\omega)^{\leq k} (\exists h \in {}^\omega \omega) (\forall f \in F) (\exists^\infty n) (f(n) < h(n))$.

The *bounding number* \mathfrak{b} and the *dominating number* \mathfrak{d} are the least cardinal numbers k for which the statements $\text{Bounded}(k)$ and $\text{Weak Bounded}(k)$ fail respectively.

Let (X, T) be a topological space. A dense subset Q of the poset $(T \setminus \{\emptyset\}, \subseteq)$ is called a π -base for X .

A set $N \subseteq X$ is called *nowhere dense* iff $\text{int}(\overline{N}) = \emptyset$, and a set $A \subseteq X$ is called *meagre* iff A is the union of countable many nowhere dense sets. The *covering number*, $\text{cov}(X)$, and the *additivity number*, $\text{add}(X)$, of the space X are given by:

$\text{cov}(X) \equiv \min\{|D| : D \text{ is a family of dense open sets in } X \text{ with } \bigcap D = \emptyset\}$,

$\text{add}(X) \equiv \min\{|D| : D \text{ is a family of meagre sets of } X \text{ but } \bigcup D \text{ is not a meagre set of } X\}$ respectively.

All undefined terms are used as in [5, 8, 10].

2. INTRODUCTION AND PRELIMINARY RESULTS

The covering number, $\text{cov}(R)$, of the real line may be a singular cardinal number [11]. In this case, it has been shown by A. W. Miller in [13] that $\text{cov}(R)$ has uncountable cofinality, and T. Bartoszynski and J. I. Ihoda have shown in [3] that its cofinality is greater than or equal to $\text{add}(\mathcal{L})$, where $\text{add}(\mathcal{L})$ is the additivity number of the ideal \mathcal{L} of all Lebesgue null sets of the real line. It is an open question (see [3, 4, 15]) whether $\text{cf}(\text{cov}(R))$ can be less than $\text{add}(R)$. Note that if $\text{cov}(R) \leq \mathfrak{b}$, then by Lemma 3 we have $\text{cov}(R) = \text{add}(R)$ and that if $\text{cov}(R) = \mathfrak{d}$, then $\text{cf}(\mathfrak{d}) \geq \mathfrak{b}$ (see [5, Theorem 3.1(d), p. 116]). Thus the above-mentioned question is nontrivial only in case $\mathfrak{b} < \text{cov}(R) < \mathfrak{d}$ (it is known (see [7]) that $\text{cov}(R) \leq \mathfrak{d}$).

The technology that exists in this area seems to be inadequate in answering the above-mentioned question. The aim of this paper is mainly to find new characterisations of the cardinals $\text{cov}(R)$ and $\text{add}(R)$ and then reformulate the

problem in terms of these new characterisations. Such characterisations may be raised from simple combinatorial statements holding true in the presence of the continuum hypothesis **CH**; e.g.,

For every family \mathbf{D} , $|\mathbf{D}| < \mathfrak{c}$, of dense open sets of the real line R there exists a countable dense set $G \subseteq R$ such that $|G \setminus D| < \omega$ for all $D \in \mathbf{D}$.

For every family \mathbf{D} , $|\mathbf{D}| < \mathfrak{c}$, of dense open sets of the real line R and for every countable dense set $Q \subseteq R$ there exists a countable infinite set $G \subseteq Q$ such that $|G \setminus D| < \omega$ for all $D \in \mathbf{D}$.

For every family \mathbf{D} , $|\mathbf{D}| < \mathfrak{c}$, of dense open sets of the real line R and every countable dense set $Q \subseteq R$ there exists a countable dense set $G \subseteq Q$ such that $|G \setminus D| < \omega$ for all $D \in \mathbf{D}$.

Let us recall some characterisations of $\text{cov}(R)$ and $\text{add}(R)$ which we will use in the sequel.

Lemma 1 ([1], Miller-Bartoszynski). $\text{cov}(R) > k$ iff $\text{Equal}(k)$.

Lemma 2 ([6]). $\text{add}(R) > k$ iff for every family $D = \{D_i : i \in k\}$ of dense open sets of R there exists a family $Q = \{Q_n : n \in \omega\}$ of dense open sets of R such that for every $i \in k$ there exists $n \in \omega$ with $Q_n \subseteq D_i$.

Lemma 3 ([14], Miller-Truss). $\text{add}(R) > k$ iff $\text{Bounded}(k)$ and $\text{cov}(R) > k$.

As a corollary to Exercise C4 from [10, p. 242], one can easily establish the following lemma.

Lemma 4. Let (P, \leq) and (Q, \leq) be any two countable nonatomic posets. Then there exist dense sets $P' \subseteq P$ and $Q' \subseteq Q$ such that (P', \leq) and (Q', \leq) are isomorphic as posets. (There exists a bi-injective function $H: P' \rightarrow Q'$ such that $H(p) \leq H(q)$ iff $p \leq q$ for all $p, q \in P'$.)

3. CHARACTERISATIONS OF $\text{cov}(R)$

Theorem 1. The following are equivalent for every cardinal k :

- (1) $\text{cov}(R) > k$.
- (2) $\text{Equal}(k)$.
- (3) For every family \mathbf{D} , $|\mathbf{D}| < k$, of dense open sets of the real line R there exists a countable dense set $G \subseteq R$ such that $|G \setminus D| < \omega$ for all $D \in \mathbf{D}$.
- (4) For every family \mathbf{D} , $|\mathbf{D}| < k$, of dense open sets of the real line R there exists a countable infinite set G such that $|G \setminus D| < \omega$ for all $D \in \mathbf{D}$.
- (5) $\text{Equal}^*(k)$.
- (6) $\text{PS}(k)$.
- (7) $\text{MA}_k(\text{countable})$ (Martin's Axiom restricted to countable posets).
- (8) For every family \mathbf{D} , $|\mathbf{D}| < k$, of dense open sets of the real line R and for every countable dense set $Q \subseteq R$ there exists a countable infinite set $G \subseteq Q$ such that $|G \setminus D| < \omega$ for all $D \in \mathbf{D}$.
- (9) For every T_1 space X of countable π -weight and every family $D = \{D_i : i \in k\}$ of dense open sets in X there exists a countable infinite set $G \subseteq X$ such that $|G \setminus D_i| < \omega$ for all $i \in k$.

Proof. (1) \leftrightarrow (2) and (1) \leftrightarrow (7) are well known. (1) \leftrightarrow (2) is Lemma 1, and (1) \leftrightarrow (7) is a consequence of Lemma 4.

(1) \rightarrow (3) and (3) \rightarrow (4) are straightforward.

(4) \rightarrow (5). Fix $F = \{f_i : i \in k\} \subseteq {}^\omega \omega$. For every $i \in k$, $D_i = \{f \in {}^\omega \omega : f_i(n) = f(n) \text{ for some } n \in \omega\}$ is clearly a dense open subset of ${}^\omega \omega$. Using (4), Lemma 4, and standard density arguments one can easily verify that there exists a countable infinite set $G \subseteq {}^\omega \omega$ such that $|G \setminus D_i| < \omega$ for all $i \in k$. It is not hard to see that G satisfies $\text{Equal}^*(k)$ for the collection F as required.

(5) \rightarrow (2). First we need to show

Claim. $\text{Equal}^*(k) \rightarrow \text{Weak Bounded}(k)$.

Proof. Let $F \subseteq {}^\omega \omega$ be a family of size k . Using the assumption choose a family $\{g_n : n \in \omega\}$ such that

$$(\forall f \in F)(\forall^\infty n)(\exists \kappa)(g_n(\kappa) = f(\kappa)).$$

By passing to a subsequence we can assume that, for every n , $g_n(n)$, $g_{n+1}(n)$, $g_{n+2}(n)$, \dots are all equal or pairwise different.

Subclaim. For every $f \in F$ there exists n and $\kappa \leq 2n$ such that $g_\kappa(n) = f(n)$.

Proof. Suppose not, and let $f \in F$ be such that $g_\kappa(n) \neq f(n)$ for $\kappa \leq 2n$. Find κ_0 and a sequence $\{n_\kappa : \kappa \geq \kappa_0\}$ such that $g_\kappa(n_\kappa) = f(n_\kappa)$ for $\kappa \geq \kappa_0$. By the assumption, $\kappa > 2n_\kappa$. Consider terms $n_{\kappa_0}, n_{\kappa_0+1}, \dots, n_{2\kappa_0}$. Note that all these terms are smaller than κ_0 . Thus, for some $i < j$, $n_{\kappa_0+i} = n_{\kappa_0+j} = n^*$. It follows that

$$g_{\kappa_0+i}(n^*) = g_{\kappa_0+j}(n^*) = f(n^*).$$

In particular, $g_{n^*}(n^*) = f(n^*)$, a contradiction finishing the proof of the subclaim.

To finish the proof of the claim define

$$g(n) = \max\{g_\kappa(n) : \kappa \leq 2n\} + 1 \quad \text{for } n \in \omega.$$

Clearly

$$(\forall f \in F)(\exists n)(f(n) < g(n)).$$

This shows that F cannot be dominating as required.

To complete the proof of (5) \rightarrow (2), fix $F = \{f_i : i \in k\} \subseteq {}^\omega \omega$ and let $A = \{A_j : j \in \omega\} \subseteq [\omega]^\omega$ be a partition of ω . For every $j \in \omega$ let $G_j = \{g(j, n) : n \in \omega\}$ satisfy $\text{Equal}^*(k)$ for the collection $F_j = \{f_i|A_j : i \in k\}$. Define a function $h_j : \omega \rightarrow \omega$ by requiring

$$h_i(j) = \min(\{m : (\forall l \geq m)(\exists u \in A_j)(g(j, l)(u) = f_i(u))\}).$$

Let, by the claim, $h : \omega \rightarrow \omega$ satisfy $\text{Weak Bounded}(k)$ for the collection $H = \{h_i : i \in k\}$, and define a function $g : \omega \rightarrow \omega$ by letting

$$g/A_j = g(j, h(j)).$$

Clearly g satisfies $\text{Equal}(k)$ for the collection F as required.

(7) \rightarrow (6). Fix a set $A = \{A_i : i \in k\} \subseteq [\omega]^\omega$, and let $B = \{b_n : n \in \omega\} \subseteq [\omega]^\omega$ be a π -base for A . Clearly $D_i = \{b \in B : b \subseteq A_i\}$ is dense in (B, \subseteq) for all $i \in k$. Using (7), one can easily verify that there exists a filter $G = \{b_n : n \in \omega\}$ of (B, \subseteq) meeting each D_i nontrivially. Via an easy induction pick for every $n \in \omega$,

$$s_n \in (b_n \setminus \{s_m : m \in n\}).$$

Clearly, $S = \{s_n : n \in \omega\}$ is an infinite pseudointersection for A as required.

(6) \rightarrow (8). Let $D = \{D_i : i \in k\}$, Q , and B be a family of dense open sets, a countable dense set, and a countable base for R , respectively.

Put

$$A_i = D_i \cap Q \text{ for all } i \in k$$

and

$$B_b = b \cap Q \text{ for all } b \in B.$$

Clearly $B^* = \{B_b : b \in B\}$ is a π -base for $A = \{A_i : i \in k\}$. Thus, by (6), there exists an infinite pseudointersection $G \subseteq Q$ for A . This certainly implies that $|G \setminus D_i| < \omega$ for all $i \in k$ as required.

(8) \rightarrow (4) and (6) \rightarrow (9) are straightforward.

To finish the proof of the theorem it suffices to show

(9) \rightarrow (6). Fix $A = \{A_i : i \in k\} \subseteq [\omega]^\omega$, and let $B = \{B_n : n \in \omega\} \subseteq [\omega]^\omega$ be a π -base for A . Without loss of generality we may assume that $\bigcup A = \bigcup B = \omega$. For every x , $n \in \omega$, we let $B_n(x) = B_n \setminus \{x\}$ and put

$$F = \{B_n(x) : n, x \in \omega\}.$$

Clearly the topology T_F which is produced from the subbase F is T_1 and second countable. Furthermore, A is a family of dense open sets in the topological space (ω, T_F) . Thus by (9) there exists a set $G \subseteq X$, $|G| = \omega$, such that $|G \setminus A_i| < \omega$ for all $i \in k$ as required. \square

As an easy corollary of Theorem 1(4) we have $\text{cf}(\text{cov}(R)) > \omega$. In fact, more than that is true. Namely, let s_c denote the least k for which the following statement fails.

For every family $G \subseteq {}^\omega\omega$, $|G| < \mathfrak{d}$, and every family $F = \{f_i : i \in k\} \subseteq {}^\omega\omega$ there exists a family $H = \{h_n : n \in \omega\} \subseteq {}^\omega\omega$ such that for every $g \in G$ if g meets all but less than k many members of F , then g meets all but finitely many members of H

Then, we have:

Corollary 1. *If $\text{add}(R) \leq s_c$, then $\text{cf}(\text{cov}(R)) \geq \text{add}(R)$.*

Proof. Assume on the contrary, and let

$$\text{cf}(\text{cov}(R)) = \lambda < \text{add}(R) \leq s_c.$$

In view of [5, Theorem 3.1(d), p. 116], we may assume that $k = \text{cov}(R) < \mathfrak{d}$. Fix, by Theorem 1, a family

$$G = \{g_i : i \in k\} \subseteq {}^\omega\omega$$

such that

$$(\forall H \in [{}^\omega\omega]^\omega)(\exists i \in k)(\exists^\infty h \in H)(h \cap g_i = \emptyset).$$

Fix $\{\lambda_j : j \in \lambda\}$ a cofinal set in k . For every $j \in \lambda$ let $f_j : \omega \rightarrow \omega$ be such that

$$(\forall i \in \lambda_j)(\exists^\infty n)(g_i(n) = f_j(n)).$$

Put $F = \{f_j : j \in \lambda\}$, and note that

$$(\forall i \in k)((\forall^\infty j \in \lambda)(f_j \cap g_i \neq \emptyset)).$$

Fix, by $s_c > \lambda$, a set $H \in [{}^\omega\omega]^\omega$ satisfying

$$(\forall i \in k)(\forall^\infty h \in H)(h \cap g_i \neq \emptyset).$$

This contradicts the choice of G and finishes the proof. \square

4. CHARACTERISATIONS OF $\text{add}(R)$

Theorem 2. *The following are equivalent for every cardinal k :*

- (1) $\text{add}(R) > k$.
- (2) $\text{SPS}(k)$.
- (3) *For every family \mathbf{D} , $|\mathbf{D}| < k$, of dense open sets of the real line R and every countable dense set $Q \subseteq R$ there exists a countable dense set $G \subseteq Q$ such that $|G \setminus D| < \omega$ for all $D \in \mathbf{D}$.*
- (4) $\text{Equal}^*(k)$ and $\text{Bounded}(k)$.
- (5) $\text{Equal}(k)$ and $\text{Bounded}(k)$.
- (6) $\text{MA}_k(\text{countable})$ and $\text{Bounded}(k)$.
- (7) *For every T_1 space X of countable π -weight, every family $D = \{D_i : i \in k\}$ of dense open sets in X , and every countable dense set $Q \subseteq X$ there exists a dense set $G \subseteq Q$ such that $|G \setminus D_i| < \omega$ for all $i \in k$.*

Proof. (1) \rightarrow (3) follows immediately from Lemma 2.

(3) \rightarrow (4). In view of Theorem 1 and Lemma 3, we only have to show that (3) implies $\text{Bounded}(k)$. Fix $F = \{f_i : i \in k\} \subseteq {}^\omega\omega$. Without loss of generality we may assume that each f_i is a strictly increasing function. It is easy to see that

$$D_i = \{f \in {}^\omega\omega : f(n) > f_i(n) \text{ for some } n \in \omega\}$$

is a dense open set of the Baire space ω^ω . Let

$$G = \{g_n : n \in \omega\} \subseteq {}^\omega\omega,$$

where g_n is eventually equal to zero. Clearly G is dense in ω^ω . By (3), Lemma 4, and standard density arguments, there exists a dense set $Q = \{q_n : n \in \omega\} \subseteq G$ such that

$$|Q \setminus D_i| < \omega \quad \text{for all } i \in k.$$

Choose a subsequence $Q' = \{q_{n_v} : v \in \omega\}$ of Q such that

$$(\forall u \geq n_v)(q_{n_v}(u) = 0) \wedge (\forall v \in \omega)((q_{n_{v+1}} \upharpoonright n_v) = 0).$$

On the basis of Q' we define a function $f : \omega \rightarrow \omega$ by requiring

$$f(u) = \max\{q_{n_v}(t) : v \leq u + 1, t \in \text{Dom}(q_{n_v})\}.$$

f dominates F . Indeed, fix $i \in k$, and let $v' \in \omega$ satisfy

$$(\forall v \geq v')(q_{n_v} \in D_i).$$

This means that

$$(\forall v \geq v')(\exists n \in [n_{v-1}, n_v])(q_{n_v}(n) > f_i(n)).$$

Thus, if $v > v'$, then we have

$$f(u) \geq \max\{q_{n_{u+1}}(n) : n \in [n_u, n_{u+1})\} > f_i(n_u) > f_i(u),$$

and the desired result follows.

Implications (4) \rightarrow (5) \rightarrow (6) \rightarrow (1) are clear (Theorem 1 and Lemma 3).

(6) \rightarrow (2). Fix $A = \{A_i : i \in k\} \subseteq [\omega]^\omega$, and let $B = \{b_n : n \in \omega\} \subseteq [\omega]^\omega$ be a base for A . For every $n \in \omega$, by (6), fix $S_n = \{s(n, m) : m \in \omega\} \subseteq b_n$ an infinite pseudointersection for A . For every $i \in k$ define a function $f_i : \omega \rightarrow \omega$ by requiring

$$f_i(n) = \min\{v : s(n, m) \in D_i \text{ for all } m \geq v\}.$$

Put $F = \{f_i : i \in k\}$. By Lemma 3 ($k < \text{add}(R) \leq \mathfrak{b}$), there exists a function $f: \omega \rightarrow \omega$ such that

$$(\forall i \in k)(\forall^\infty n)(f_i(n) \leq f(n)).$$

On the basis of f we define a set S by letting

$$S = \{s(n, m) : n \in \omega, m \geq f(n)\}.$$

It can be readily verified that S is a pseudointersection of A meeting every member of B in an infinite set as required.

(2) \rightarrow (3). Fix $D = \{D_i : i \in k\}$ and G as in (3). By Lemma 2, there is a family $\mathcal{Q} = \{Q_n : n \in \omega\}$ of dense open sets of R such that

$$(\forall i \in k)(\exists n \in \omega)(Q_n \subseteq D_i).$$

Let $B = \{B_n : n \in \omega\}$ be a base for the topology of R . By induction, choose a set

$$T = \{t_n : n \in \omega\} \subseteq G$$

such that

$$t_n \in \left(\left(B_n \cap \left(\bigcap \{Q_m : m \leq n\} \right) \cap G \right) \setminus \{t_m : m < n\} \right).$$

Clearly T is dense in R , and $|T \setminus Q_n| < \omega$ for all $n \in \omega$. This, when combined with the above, finishes the proof of (2) \rightarrow (3).

(7) \rightarrow (3) is straightforward.

(6) \rightarrow (7). It suffices in view of Theorem 1 to show that $\text{PS}(k)$ and $\text{Bounded}(k)$ together imply (2). This can be established as in (6) \rightarrow (2) of the present theorem. \square

Let $\text{PS}(\omega_1, k)$ be the generalisation of $\text{PS}(k)$ to the next higher cardinal with the additional requirement that B be a countable closed base ($\bigcap Q \in B$ for every $Q \in [B]^\omega$) of size ω_1 . Of course the continuum hypothesis must be assumed here. Also, let $\omega^* = \beta\omega \setminus \omega$ denote the remainder of the Stone-Čech compactification of ω with the discrete topology.

Question 1. Assume CH. Does $\text{PS}(\omega_1, k)$ imply $\text{cov}(\omega^*) > k$?

Question 2. Can \mathfrak{s}_c be strictly less than $\text{add}(R)$?

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