THE DISTANCE FROM THE APOSTOL SPECTRUM

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ABSTRACT. If T is an s -regular operator in a Banach space (i.e. T has closed range and $N(T) \subset R^\infty(T)$) and $\gamma(T)$ is the Kato reduced minimum modulus, then

$$\lim_{n \rightarrow \infty} \gamma(T^n)^{1/n} = \sup\{r : T - \lambda \text{ is } s\text{-regular for } |\lambda| < r\}.$$

Let x be an element of a Banach algebra A . The spectral radius of x is given by the well-known spectral radius formula: $r(x) = \lim_{n \rightarrow \infty} \|x^n\|^{1/n}$.

There are a number of generalizations of this formula. If we set $d(x) = \inf\{\|xy\| : y \in A, \|y\| = 1\}$ and denote by $\tau_l(x) = \{\lambda \in \mathbf{C} : d(x - \lambda) = 0\}$ the left approximate point spectrum of x , then $\text{dist}\{0, \tau_l(x)\} = \lim_{n \rightarrow \infty} d(x^n)^{1/n}$; see [13], [9]. In particular, in the algebra $B(X)$ of all bounded linear operators in a Banach space X this gives formulas for radii of boundedness below or surjectivity:

$$\sup\{r : T - \lambda \text{ is bounded below for } |\lambda| < r\} = \lim_{n \rightarrow \infty} j(T^n)^{1/n}$$

and

$$\sup\{r : T - \lambda \text{ is onto for } |\lambda| < r\} = \lim_{n \rightarrow \infty} k(T^n)^{1/n},$$

where $j(T)$ and $k(T)$ are the moduli of injectivity and surjectivity of T :

$$j(T) = \inf\{\|Tx\| : x \in X, \|x\| = 1\} \quad \text{and} \quad k(T) = \sup\{r : TU_X \supset rU_X\},$$

where U_X is the closed unit ball in X .

For a bounded linear operator T in a Banach space X denote by $N(T)$ and $R(T)$ its kernel and range, respectively. Denote further $R^\infty(T) = \bigcap_{n=1}^\infty R(T^n)$ and $N^\infty(T) = \bigcup_{n=1}^\infty N(T^n)$.

The injectivity and surjectivity moduli of an operator which is bounded below (onto) are special cases of the Kato reduced minimum modulus [7]

$$\gamma(T) = \inf\left\{\frac{\|Tx\|}{\text{dist}\{x, N(T)\}} : x \in X \setminus N(T)\right\}$$

(for $T = 0$ we define formally $\gamma(T) = \infty$).

The existence and the meaning of the limit $\lim_{n \rightarrow \infty} \gamma(T^n)^{1/n}$ in a more general setting were studied by Apostol [1] and Mbekhta [10].

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Definition. Let $T \in B(X)$. We say that T is s-regular (= semi-regular) if $R(T)$ is closed and $N(T) \subset R^\infty(T)$.

The s-regular operators and closely related classes of operators were studied (under various names) by many authors; see [3, 4, 5, 6, 8, 16]. We list some of the most important equivalent conditions for s-regular operators; see [11, 12].

Theorem. Let $T \in B(X)$ be an operator with a close range. The following conditions are equivalent:

- (1) T is s-regular,
- (2) the function $\lambda \mapsto R(T - \lambda)$ is continuous at 0 in the gap topology,
- (3) the function $\lambda \mapsto N(T - \lambda)$ is continuous at 0 in the gap topology,
- (4) the function $\lambda \mapsto \gamma(T - \lambda)$ is continuous at 0,
- (5) $\liminf_{\lambda \rightarrow 0} \gamma(T - \lambda) > 0$,
- (6) $N^\infty(T) \subset R(T)$,
- (7) $N^\infty(T) \subset R^\infty(T)$.

Denote further $\sigma_\gamma(T) = \{\lambda \in \mathbf{C} : T - \lambda \text{ is not s-regular}\}$. The set $\sigma_\gamma(T)$ was studied by Apostol [1], Rakočević [15], Mbekhta and Ouahab [11, 12] and Mbekhta [10]. The terminology is not unified; we suggest to call $\sigma_\gamma(T)$ the Apostol spectrum of T .

The Apostol spectrum $\sigma_\gamma(T)$ is always a non-empty compact subset of the complex plane, $\partial\sigma(T) \subset \sigma_\gamma(T) \subset \sigma(T)$ and $\sigma_\gamma f(T) = f\sigma_\gamma(T)$ for any function f analytic in a neighbourhood of $\sigma(T)$.

If T is an s-regular operator in a Hilbert space, then the limit $\lim_{n \rightarrow \infty} \gamma(T^n)^{1/n}$ exists and

$$(1) \quad \lim_{n \rightarrow \infty} \gamma(T^n)^{1/n} = \text{dist}\{0, \sigma_\gamma(T)\} = \sup\{r : T - \lambda \text{ is s-regular for } |\lambda| < r\};$$

see [1, Theorem 3.2] or [10, Theorem 3.1].

The aim of this paper is to prove equality (1) for operators in Banach spaces. This gives a positive answer to the conjecture of Rakočević [15] and Mbekhta and generalizes the above-mentioned results for radii of injectivity and surjectivity.

Further, we study the essential version of this result.

If T is a semi-Fredholm operator, then the limit $\lim_{n \rightarrow \infty} \gamma(T^n)^{1/n}$ exists by [2] and it is equal to the semi-Fredholm radius of T :

$$\lim_{n \rightarrow \infty} \gamma(T^n)^{1/n} = \sup\{r : T - \lambda \text{ is semi-Fredholm for } |\lambda| < r\};$$

see [17] and [2].

We prove a similar formula for essentially s-regular operators which generalizes the semi-Fredholm case.

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Lemma 1. $T \in B(X)$ is s-regular if and only if there exists a closed subspace $M \subset X$ such that $TM = M$ and the operator $\tilde{T} : X/M \rightarrow X/M$ induced by T is bounded below.

Proof. If T is s-regular, then set $M = R^\infty(T)$. It is well known that M is closed and (see e.g. [4, Theorem 3.4]) that $TM = M$ and $\tilde{T} : X/M \rightarrow X/M$ is bounded from below.

Conversely, let M be the subspace of X with the required properties. Then $TM = M$ implies $M \subset R^\infty(T)$. If $Tx = 0$, then $\tilde{T}(x + M) = 0$ and the injectivity of $\tilde{T}$ implies $x \in M$. Thus $N(T) \subset M \subset R^\infty(T)$.

It remains to prove that T has closed range. Let $\pi : X \rightarrow X/M$ be the canonical projection. We show $R(T) = \pi^{-1}R(\tilde{T})$. If $y \in R(T)$, $y = Tx$ for some $x \in X$, then $\pi y = Tx + M = \tilde{T}(x + M) \in R(\tilde{T})$ so that $R(T) \subset \pi^{-1}R(\tilde{T})$. If $y \in X$ and $\pi y \in R(\tilde{T})$, i.e. $y + M = \tilde{T}(x + M)$ for some $x \in X$, then $y \in R(T)$ since $M \subset R(T)$. Thus $R(T) = \pi^{-1}R(\tilde{T})$, which is closed since $R(\tilde{T})$ is closed and π continuous.

Lemma 2. *Let $T \in B(X)$, and let M be a closed subspace of X such that $TM = M$ and the operator $\tilde{T} : X/M \rightarrow X/M$ induced by T is bounded below. Denote by $T_1 : M \rightarrow M$ the restriction of T to M . Then*

$$\lim_{n \rightarrow \infty} \gamma(T^n)^{1/n} = \min \left\{ \lim_{n \rightarrow \infty} \gamma(T_1^n)^{1/n}, \lim_{n \rightarrow \infty} \gamma(\tilde{T}^n)^{1/n} \right\}.$$

Proof. The limits on the right-hand side exist by [17]. If $T^n x = 0$, then $\tilde{T}^n(x + M) = 0$, i.e. $x \in M$. Thus $N(T^n) \subset M$ and $N(T_1^n) = N(T^n)$. We have

$$\begin{aligned} \gamma(T_1^n) &= \inf \left\{ \frac{\|T_1^n x\|}{\text{dist}\{x, N(T_1^n)\}} : x \in M \setminus N(T_1^n) \right\} \\ &= \inf \left\{ \frac{\|T^n x\|}{\text{dist}\{x, N(T^n)\}} : x \in M \setminus N(T^n) \right\} \geq \gamma(T^n). \end{aligned}$$

Further, since $TM = M$,

$$\begin{aligned} \gamma(\tilde{T}^n) &= \inf \left\{ \frac{\|\tilde{T}^n(x + M)\|}{\|x + M\|} : x \notin M \right\} = \inf \left\{ \frac{\|T^n x + M\|}{\text{dist}\{x, M\}} : x \notin M \right\} \\ &\geq \inf \left\{ \frac{\|T^n x\|}{\text{dist}\{x, M\}} : x \notin M \right\} \geq \inf \left\{ \frac{\|T^n x\|}{\text{dist}\{x, N(T^n)\}} : x \notin M \right\} \geq \gamma(T^n). \end{aligned}$$

Thus $\gamma(T^n) \leq \min\{\gamma(T_1^n), \gamma(\tilde{T}^n)\}$ and

$$\limsup_{n \rightarrow \infty} \gamma(T^n)^{1/n} \leq \min \left\{ \lim_{n \rightarrow \infty} \gamma(T_1^n)^{1/n}, \lim_{n \rightarrow \infty} \gamma(\tilde{T}^n)^{1/n} \right\}.$$

Denote

$$s = \min \left\{ \lim_{n \rightarrow \infty} \gamma(T_1^n)^{1/n}, \lim_{n \rightarrow \infty} \gamma(\tilde{T}^n)^{1/n} \right\}.$$

We prove $\liminf_{n \rightarrow \infty} \gamma(T^n)^{1/n} \geq s$.

Let $n \geq 1$, $x = x_0 \in R(T^n)$, $\|x\| = 1$, and let $s > \varepsilon > 0$. Then $x + M \in R(\tilde{T}^n)$ and

$$\|\tilde{T}^{-i}(x + M)\| \leq \gamma(\tilde{T}^i)^{-1} \|x + M\| \leq \gamma(\tilde{T}^i)^{-1} \quad (i = 1, \dots, n).$$

Thus there exist vectors $x_i \in \tilde{T}^{-i}(x + M)$ such that

$$\|x_i\| \leq \gamma(\tilde{T}^i)^{-1} (1 + \varepsilon) \quad (i = 1, \dots, n).$$

Denote $m_i = T x_{i+1} - x_i$ ($i = 0, \dots, n-1$). Then

$$\|m_i\| \leq \|T\| \|x_{i+1}\| + \|x_i\| \leq (1 + \varepsilon) [\|T\| \gamma(\tilde{T}^{i+1})^{-1} + \gamma(\tilde{T}^i)^{-1}] \quad (i = 0, \dots, n-1).$$

Further, $\tilde{T}^i(m_i + M) = T^{i+1}x_{i+1} - T^i x_i + M = M$ so that $m_i \in M$ for each i . We have

$$\begin{aligned} \sum_{i=0}^{n-1} T^i m_i &= (T^n x_n - T^{n-1} x_{n-1}) + (T^{n-1} x_{n-1} - T^{n-2} x_{n-2}) + \cdots + (T x_1 - x) \\ &= T^n x_n - x. \end{aligned}$$

Since $T_1 M \rightarrow M$ is onto, there exist vectors $m'_i \in M$ such that $T^{n-i} m'_i = m_i$ and $\|m'_i\| \leq (1 + \varepsilon) \gamma(T_1^{n-i})^{-1} \|m_i\|$. Thus

$$T^n \left(x_n - \sum_{i=0}^{n-1} m'_i \right) = T^n x_n - \sum_{i=0}^{n-1} T^i m_i = x$$

and

$$\left\| x_n - \sum_{i=0}^{n-1} m'_i \right\| \leq (1 + \varepsilon) \gamma(\tilde{T}^n)^{-1} + \sum_{i=0}^{n-1} (1 + \varepsilon)^2 \gamma(T_1^{n-i})^{-1} \left[\|T\| \gamma(\tilde{T}^{i+1})^{-1} + \gamma(\tilde{T}^i)^{-1} \right].$$

Thus

$$\gamma(T^n)^{-1} \leq (1 + \varepsilon) \gamma(\tilde{T}^n)^{-1} + \sum_{i=0}^{n-1} (1 + \varepsilon)^2 \gamma(T_1^{n-i})^{-1} \left[\|T\| \gamma(\tilde{T}^{i+1})^{-1} + \gamma(\tilde{T}^i)^{-1} \right].$$

Find n_0 such that

$$\gamma(T_1^i) \geq (s - \varepsilon)^i, \quad \gamma(\tilde{T}^i) \geq (s - \varepsilon)^i \quad (i \geq n_0).$$

Denote

$$K = \max_{1 \leq i \leq n_0+1} \max \{ \gamma(T_1^i)^{-1}, \gamma(\tilde{T}^i)^{-1}, (s - \varepsilon)^{-i} \}.$$

For n large enough we have

$$\begin{aligned} \gamma(T^n)^{-1} &\leq (1 + \varepsilon)^2 \left[(s - \varepsilon)^{-n} + \sum_{i=n_0}^{n-n_0-1} (s - \varepsilon)^{i-n} (\|T\| (s - \varepsilon)^{-i-1} + (s - \varepsilon)^{-i}) \right. \\ &\quad \left. + \sum_{i=0}^{n_0-1} (s - \varepsilon)^{i-n} (\|T\| \cdot K + K) + \sum_{i=n-n_0}^{n-1} K (\|T\| (s - \varepsilon)^{-i-1} + (s - \varepsilon)^{-i}) \right] \\ &\leq (1 + \varepsilon)^2 (s - \varepsilon)^{n_0-n} \left[K + (n - 2n_0)(K \cdot \|T\| + K) + 2n_0 K (\|T\| \cdot K + K) \right] \\ &\leq (1 + \varepsilon)^2 (s - \varepsilon)^{n_0-n} n \cdot K', \end{aligned}$$

where K' is a constant independent of n . Hence

$$\liminf_{n \rightarrow \infty} \gamma(T^n)^{1/n} \geq \liminf_{n \rightarrow \infty} (s - \varepsilon)^{\frac{n-n_0}{n}} = s - \varepsilon.$$

Since $\varepsilon > 0$ was arbitrary, we conclude that $\liminf_{n \rightarrow \infty} \gamma(T^n)^{1/n} \geq s$, so that

$$\lim_{n \rightarrow \infty} \gamma(T^n)^{1/n} = s.$$

Theorem 3. *Let $T \in B(X)$ be s -regular. Then*

$$\text{dist}\{0, \sigma_\gamma(T)\} = \lim_{n \rightarrow \infty} \gamma(T^n)^{1/n}.$$

Proof. Denote $r = \text{dist}\{0, \sigma_\gamma(T)\}$. Let $M = R^\infty(T)$, $T_1 = T|_M$, and let $\tilde{T} : X/M \rightarrow X/M$ be the operator induced by T . If λ is a complex number satisfying

$$|\lambda| < \lim_{n \rightarrow \infty} \gamma(T^n)^{1/n} = \min\left\{\lim_{n \rightarrow \infty} \gamma(T_1^n)^{1/n}, \lim_{n \rightarrow \infty} \gamma(\tilde{T}^n)^{1/n}\right\},$$

then $T_1 - \lambda$ is onto and $\tilde{T} - \lambda$ is bounded below. Thus $T - \lambda$ is s -regular by Lemma 1 and $\lim_{n \rightarrow \infty} \gamma(T^n)^{1/n} \leq r$.

Conversely, it is well known (see e.g. [15, Theorem 5.2]) that $R^\infty(T - \lambda)$ is constant on the component of $\mathbf{C} \setminus \sigma_\gamma(T)$ containing 0, in particular $R^\infty(T - \lambda) = M$ for $|\lambda| < r$. If $|\lambda| < r$, then $(T - \lambda)M = M$ and $\tilde{T} - \lambda = \widetilde{T - \lambda} : X/M \rightarrow X/M$ is bounded below. Thus $\lim_{n \rightarrow \infty} \gamma(T_1^n)^{1/n} \geq r$ and $\lim_{n \rightarrow \infty} \gamma(\tilde{T}^n)^{1/n} \geq r$. Hence $\lim_{n \rightarrow \infty} \gamma(T^n)^{1/n} \geq r$ by Lemma 2.

Remark. It is possible to deduce the inequality $\text{dist}\{0, \sigma_\gamma(T)\} \geq \lim_{n \rightarrow \infty} \gamma(T^n)^{1/n}$ from [11, Theorem 2.10]. We have obtained a new direct proof of this result.

Definition. $T \in B(X)$ is called essentially s -regular if $R(T)$ is closed and there exists a finite-dimensional subspace $F \subset X$ such that $N(T) \subset R^\infty(T) + F$.

Define further $\sigma_{e\gamma}(T) = \{\lambda \in \mathbf{C} : T - \lambda \text{ is not essentially } s\text{-regular}\}$.

For properties of essentially s -regular operators and the set $\sigma_{e\gamma}(T)$ see [14, 15].

Theorem 4. *Let $T \in B(X)$ be essentially s -regular. Then $\lim_{n \rightarrow \infty} \gamma(T^n)^{1/n}$ exists and*

$$\begin{aligned} \lim_{n \rightarrow \infty} \gamma(T^n)^{1/n} &= \max\{r : T - \lambda \text{ is } s\text{-regular for } 0 < |\lambda| < r\} \\ &= \text{dist}\{0, \sigma_\gamma(T) \setminus \{0\}\}. \end{aligned}$$

Proof. By [14, Theorem 3.1] or [15, Theorem 2.1] there exist subspaces $X_1, X_2 \subset X$ such that $X = X_1 \oplus X_2$, $\dim X_1 < \infty$, $TX_1 \subset X_1$, $TX_2 \subset X_2$, $T_1 = T|_{X_1}$ is nilpotent and $T_2 = T|_{X_2}$ is s -regular (the Kato decomposition). By the previous theorem $\text{dist}\{0, \sigma_\gamma(T_2)\} = \lim_{n \rightarrow \infty} \gamma(T_2^n)^{1/n}$. For $n \geq \dim X_1$ we have $T_1^n = 0$ so that $N(T^n) = X_1 \oplus N(T_2^n)$. Let P be the projection with $R(P) = X_2$ and $N(P) = X_1$. Let $x_2 \in X_2$. We have

$$\begin{aligned} \text{dist}\{x_2, N(T^n)\} &= \inf\{\|x_2 - y_2\| : y_2 \in X_2, T_2^n y_2 = 0\} \\ &\leq \|P\| \inf\{\|y_1 \oplus (x_2 - y_2)\| : y_1 \in X_1, y_2 \in X_2, T_2^n y_2 = 0\} \\ &= \|P\| \text{dist}\{x_2, N(T^n)\} \leq \|P\| \text{dist}\{x_2, N(T_2^n)\}. \end{aligned}$$

Then

$$\begin{aligned} \gamma(T_2^n) &= \inf\left\{\frac{\|T_2^n x_2\|}{\text{dist}\{x_2, N(T_2^n)\}} : x_2 \in X_2 \setminus N(T_2^n)\right\} \\ &\leq \inf\left\{\frac{\|T^n x_2\|}{\text{dist}\{x_2, N(T^n)\}} : x_2 \in X_2 \setminus N(T^n)\right\} \\ &= \inf\left\{\frac{\|T^n(x_1 \oplus x_2)\|}{\text{dist}\{x_1 \oplus x_2, N(T^n)\}} : x_1 \oplus x_2 \in X \setminus N(T^n)\right\} = \gamma(T^n) \end{aligned}$$

and

$$\begin{aligned}\gamma(T^n) &\leq \inf \left\{ \frac{\|T_2^n x_2\|}{\text{dist}\{x_2, N(T^n)\}} : x_2 \in X_2 \setminus N(T_2^n) \right\} \\ &\leq \|P\| \inf \left\{ \frac{\|T_2^n x_2\|}{\text{dist}\{x_2, N(T_2^n)\}} : x_2 \in X_2 \setminus N(T_2^n) \right\} = \|P\| \gamma(T_2^n).\end{aligned}$$

Hence $\lim_{n \rightarrow \infty} \gamma(T^n)^{1/n} = \lim_{n \rightarrow \infty} \gamma(T_2^n)^{1/n}$.

If $\lambda \neq 0$, then $T - \lambda$ is s-regular if and only if $T_2 - \lambda$ is s-regular. Then

$$\max\{r : T - \lambda \text{ is s-regular for } 0 < |\lambda| < r\} = \text{dist}\{0, \sigma_\gamma(T_2)\} = \lim_{n \rightarrow \infty} \gamma(T^n)^{1/n}.$$

The following lemma is an analog of Lemma 1 for essentially s-regular operators:

Lemma 5. *$T \in B(X)$ is essentially s-regular if and only if there exists a closed subspace $M \subset X$ such that $TM = M$ and the operator $\tilde{T} : X/M \rightarrow X/M$ induced by T is upper semi-Fredholm.*

Proof. If T is essentially s-regular, then set $M = R^\infty(T)$. If $X = X_1 \oplus X_2$ is the Kato decomposition ($\dim X_1 < \infty, TX_1 \subset X_1, TX_2 \subset X_2, T_1 = T|_{X_1}$ nilpotent and $T_2 = T|_{X_2}$ s-regular), then $M = R^\infty(T_2) \subset X_2$ and $TM = T_2M = M$. If $x = x_1 \oplus x_2$ satisfies $Tx \in M$, then $T_2x_2 \in M$ so that $x_2 \in M$. Thus $x \in X_1 + M$ and $N(\tilde{T}) \subset X_1 + M$. Hence $\dim N(\tilde{T}) < \infty$.

Let $\pi : X \rightarrow X/M$ be the canonical projection. Since $M \subset R(T)$ and $R(\tilde{T}) = \{Tx + M : x \in X\} = \pi R(T)$, the range of $\tilde{T}$ is closed. Thus $\tilde{T}$ is upper semi-Fredholm.

Conversely, let M be a subspace of X with the required properties. We can prove that $R(T)$ is closed in exactly the same way as in Lemma 1.

Further, $M \subset R^\infty(T)$. If $Tx = 0$, then $\tilde{T}(x + M) = 0$, i.e. $\pi x \in N(\tilde{T})$. Thus $N(T) \subset \pi^{-1}N(\tilde{T}) \subset M + F \subset R^\infty(T) + F$ for a finite-dimensional subspace $F \subset X$.

Theorem 6. *Let $T, A \in B(X)$, $TA = AT$, and let A be a quasinilpotent. Then*

- (1) $\sigma_\gamma(T + A) = \sigma_\gamma(T)$,
- (2) $\sigma_{\gamma_e}(T + A) = \sigma_{\gamma_e}(T)$.

Proof. Let T be an essentially s-regular operator, and let A be a quasinilpotent commuting with T . Denote $M = R^\infty(T)$, $T_1 = T|_M$, and let $\tilde{T} : X/M \rightarrow X/M$ be the operator induced by T . Clearly $AM \subset M$ so that we can define operators $A_1 = A|_M$ and $\tilde{A} : X/M \rightarrow X/M$ induced by A . Clearly $r(A_1) = \lim_{n \rightarrow \infty} \|A_1^n\|^{1/n} \leq \lim_{n \rightarrow \infty} \|A^n\|^{1/n} = 0$ and $r(\tilde{A}) = \lim_{n \rightarrow \infty} \|\tilde{A}^n\|^{1/n} \leq \lim_{n \rightarrow \infty} \|A^n\|^{1/n} = 0$ so that $\sigma(A_1) = \{0\}$ and $\sigma(\tilde{A}) = \{0\}$. Further $T_1A_1 = A_1T_1$ and $\tilde{T}\tilde{A} = \tilde{A}\tilde{T}$. Denote by

$$\begin{aligned}\sigma_\delta(T) &= \{\lambda \in \mathbf{C} : T - \lambda \text{ is not onto}\}, \\ \sigma_\pi(T) &= \{\lambda \in \mathbf{C} : T - \lambda \text{ is not bounded below}\}, \\ \sigma_{\pi_e}(T) &= \{\lambda \in \mathbf{C} : T - \lambda \text{ is not upper semi-Fredholm}\}\end{aligned}$$

the defect spectrum, the approximate point spectrum and the essential approximate point spectrum, respectively.

By the spectral mapping property for these spectra we have

$$\sigma_\delta(T_1 + A_1) = \sigma_\delta(T),$$

$$\sigma_\pi(\tilde{T} + \tilde{A}) = \sigma_\pi(\tilde{T}),$$

$$\sigma_{\pi e}(\tilde{T} + \tilde{A}) = \sigma_{\pi e}(\tilde{T}).$$

Thus $0 \notin \sigma_\delta(T + A)$, i.e. $(T + A)M = M$. Similarly $0 \notin \sigma_{\pi e}(\tilde{T} + \tilde{A})$, i.e. $\tilde{T} + \tilde{A}$ is upper semi-Fredholm. By the previous lemma $T + A$ is essentially s-regular. This proves (2).

If T is s-regular and A a quasinilpotent commuting with T , then in the same way $(T + A)M = M$ and $\tilde{T} + \tilde{A}$ is bounded below. Hence $T + A$ is s-regular by Lemma 1.

Remark. Statement (1) for Hilbert space operators was proved in [10, Theorem 4.8]. The second statement gives a positive answer to Question 3 of [15].

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