

STABILITY OF SEMIGROUPS COMMUTING  
WITH A COMPACT OPERATOR

VŨ QUỐC PHÓNG

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ABSTRACT. It is proved that if  $T(t), S(t)$  are bounded  $C_0$ -semigroups on Banach spaces  $X$  and  $Y$ , resp., and  $C : Y \rightarrow X$ ,  $K : Y \rightarrow Y$  are bounded operators with dense ranges such that  $C$  intertwines  $T(t)$  with  $S(t)$  and  $K$  commutes with  $S(t)$ , then  $T(t)$  is strongly stable provided  $A$ —the generator of  $T(t)$ —does not have eigenvalue on  $i\mathbf{R}$ . An analogous result holds for power-bounded operators.

Below we denote by  $T(t), t \geq 0$ , a bounded, strongly continuous semigroup ( $C_0$ -semigroup) of linear operators on a Banach space  $X$ , with generator  $A$ . We are concerned with the following stability problem: *find conditions which imply that  $T(t)$  is strongly stable*, i.e.  $\|T(t)x\| \rightarrow 0$  as  $t \rightarrow \infty$ , for all  $x$  in  $X$ . In contrast to the case of finite dimensional space, when stability is equivalent to negativity of the real parts of eigenvalues of  $A$ , there is evidently no simple characterization of strong stability for  $C_0$ -semigroups on Banach or Hilbert spaces. However, one can expect that such conditions (preferably in terms of the spectrum of  $A$ ) can be found for particular classes of operators. Among known results which give conditions for strong stability, let us mention a theorem of Sz.-Nagy and Foias [5], that if  $T(t)$  is a completely non-unitary contraction semigroup in a Hilbert space such that  $m(i\sigma(A) \cap \mathbf{R}) = 0$ , then  $T(t)$  is strongly stable (where  $m$  denotes the Lebesgue measure on  $\mathbf{R}$ ). For semigroups on Banach spaces there has been obtained recently the following result, due to Arendt-Batty [1] and Lyubich and the author [4], [6] and now is sometimes known as the ABLP Theorem: If  $\sigma(A) \cap i\mathbf{R}$  is countable and  $P\sigma(A^*) \cap i\mathbf{R}$  is empty, where  $P\sigma(A^*)$  is the point spectrum of  $A^*$ , then  $T(t)$  is strongly stable. Results analogous to the Sz.-Nagy-Foias Theorem and the ABLP Theorem also hold for contractions in Hilbert space, and for power-bounded operators on Banach spaces, respectively.

The purpose of the present note is to show that the stability problem has a simple solution in the class of bounded  $C_0$ -semigroups which commute with a compact operator.

**Theorem A.** *Assume that there is  $t_0 > 0$  such that  $T(t_0)$  commutes with a compact operator with dense range. Then  $T(t)$  is strongly stable if (and only if)  $P\sigma(A) \cap i\mathbf{R} = \emptyset$ .*

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Let  $S(t)$  be another bounded  $C_0$ -semigroup on a Banach space  $Y$ , with generator  $B$ . We say that an operator  $C : Y \rightarrow X$  *intertwines*  $T(t)$  with  $S(t)$  if  $T(t)C = CS(t), \forall t \geq 0$ . Theorem A follows from the following more general result.

**Theorem B.** *Assume that: (i) there exists an operator  $C : Y \rightarrow X$  with dense range which intertwines  $T(t)$  with  $S(t)$ , and (ii) there is  $t_0 > 0$  such that  $S(t_0)$  commutes with a compact operator  $K$  with dense range. Then  $T(t)$  is strongly stable if (and only if)  $P\sigma(A) \cap i\mathbf{R} = \emptyset$ .*

*Proof.* The proof of the “only if” part is obvious. The proof of the “if” part is a simple application of the de Leeuw-Glicksberg Decomposition Theorem for almost periodic semigroups. Recall that a  $C_0$ -semigroup  $T(t)$  is called *almost periodic*, if for every  $x \in X$  the orbit  $\mathcal{O}_T(x) \equiv \{T(t)x : t \geq 0\}$  is relatively compact. According to the de Leeuw-Glicksberg Decomposition Theorem [2] (see also [3]), if  $T(t)$  is almost periodic, then  $X = X_s \dot{+} X_b$ , where  $X_s = \{x \in X : \lim_{t \rightarrow \infty} \|T(t)x\| = 0\}$ ,  $X_b = \overline{\text{span}}\{x \in X : \exists \lambda \in \mathbf{R} \text{ such that } T(t)x = e^{i\lambda t}x, \forall t \geq 0\} = \{x \in \mathcal{D}(A) : \exists \lambda \in \mathbf{R} \text{ such that } Ax = i\lambda x\}$ . From this decomposition it follows that if the semigroup  $T(t)$  is almost periodic and  $P\sigma(A) \cap i\mathbf{R} = \emptyset$ , then  $T(t)$  is strongly stable.

Now since  $T(t)C = CS(t), \forall t \geq 0$ , and  $S(t_0)K = KS(t_0)$ , it follows that  $T(t_0)CK = CKS(t_0)$ , and hence  $T(nt_0)CK = CKS(nt_0), \forall n = 0, 1, 2, \dots$ . Since  $CK$  is compact, it follows that  $\{T(nt_0)x : n = 0, 1, 2, \dots\}$ , and hence  $\mathcal{O}_T(x)$  is relatively compact for every  $x \in CK(Y)$ . Since  $C$  and  $K$  have dense ranges,  $CK(Y)$  is dense in  $X$ , and since  $T(t)$  is a bounded semigroup, it follows that  $\mathcal{O}_T(x)$  is relatively compact for each  $x$  in  $X$ , i.e.  $T(t)$  is almost periodic. Since  $P\sigma(A) \cap i\mathbf{R} = \emptyset$ , the preceding remark concerning the de Leeuw-Glicksberg Theorem implies that  $T(t)$  is strongly stable.  $\square$

*Remarks.* 1. If we do not assume that  $C$  and  $K$  have dense ranges, then from Theorem B it follows that the strong stability holds for the restrictions of the corresponding semigroup to the invariant subspace  $\overline{(CK)Y}$ .

2. It is easy to see that if  $C : Y \rightarrow X$  intertwines  $A$  with  $B$ , i.e.  $C : \mathcal{D}(B) \rightarrow \mathcal{D}(A)$ , and  $ACx = CBx, \forall x \in \mathcal{D}(B)$ , then  $C$  intertwines  $T(t)$  with  $S(t)$ . Similarly, if  $B$  commutes with  $K$ , i.e.  $K : \mathcal{D}(A) \rightarrow \mathcal{D}(A)$ , and  $AKy = KAy, \forall y \in Y$ , then  $S(t)$  commutes with  $K$ .

Results analogous to Theorems A and B also hold for a single operator  $T$ . Recall that an operator  $T$  is called *strongly stable*, if  $\|T^n x\| \rightarrow 0$  as  $n \rightarrow \infty, \forall x \in X$ . Below  $T$  and  $S$  are power-bounded operators on Banach spaces  $X$  and  $Y$ , respectively;  $\mathbf{T}$  will denote the unit circle.

**Theorem C.** *Assume that: (i) there exists an operator  $C : Y \rightarrow X$  with dense range which intertwines  $T$  with  $S$ , and (ii)  $S$  commutes with some compact operator with dense range. Then  $T$  is strongly stable if (and only if)  $P\sigma(T) \cap i\mathbf{T} = \emptyset$ . In particular, if  $T$  commutes with a compact operator with dense range and  $P\sigma(T) \cap \mathbf{T} = \emptyset$ , then  $T$  is strongly stable.*

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DEPARTMENT OF MATHEMATICS, OHIO UNIVERSITY, ATHENS, OHIO 45701  
E-mail address: qvu@bing.math.ohiou.edu