

THE HOLOMORPHIC EXTENSION OF H^p -CR FUNCTIONS ON TUBE SUBMANIFOLDS

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ABSTRACT. We consider the set of CR functions on a connected tube submanifold of C^n satisfying a uniform bound on the L^p -norm in the tube direction. We show that all such CR functions holomorphically extend to H^p functions on the convex hull of the tube ($1 \leq p \leq \infty$). The H^p -norm of the extension is shown to be the same as the uniform L^p -norm in the tube direction of the CR function.

1. DEFINITIONS AND MAIN RESULTS

Recently, Boivin and Dwilewicz [BD] have generalized Bochner's Tube Theorem by showing that continuous CR functions on a tube-submanifold of C^n holomorphically extend to its convex hull. In this manuscript, we show that on a tube-submanifold, CR functions that satisfy a uniform L^p -estimate in the tube direction extend to an H^p function on the tube over the convex hull (here, $1 \leq p \leq \infty$). In addition, we show the H^p -norm on the convex hull of the holomorphic extension is bounded by the H^p -norm of the CR function.

We will be working in $C^n = R^n + iR^n$ with coordinates $x + iy$, $x \in R^n$, $y \in R^n$. Let N be a connected submanifold of R^n and let $M = N + iR^n$ be the (connected) tube over N . For $1 \leq p \leq \infty$, let $\text{CR}^p(M)$ denote the space of CR functions (solutions to the tangential Cauchy-Riemann equations) on M which satisfy

$$\begin{aligned} \|f\|_{p(M)}^p &= \sup_{x \in N} \int |f(x + iy)|^p dy \leq A_p < \infty \text{ if } 1 \leq p < \infty, \\ \|f\|_{\infty(M)} &= \sup_{x \in N} \|f\|_{L^\infty(T_x)} \leq A_\infty < \infty \text{ if } p = \infty, \end{aligned}$$

where $T_x = \{x\} + iR^n$ (the tube over x).

If $1 \leq p \leq \infty$ and T is any tube of the form $T = U + iR^n$ with U an open set in R^n , then $H^p(T)$ will denote the usual space of H^p -functions on the tube T with the usual H^p -norm (defined as above with N replaced by U).

Our main theorem is the following.

Theorem 1 (Extension Theorem). *Suppose N is a connected submanifold of R^n , and let $M = N + iR^n$ be the tube over N . Let \widehat{N} and $\widehat{M} = \widehat{N} + iR^n$ denote the interior of the convex hull of N and M , respectively. If \widehat{M} is nonempty and if $1 \leq$*

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$p \leq \infty$, then each element $f \in CR^p(M)$ extends to a unique element $F \in H^p(\widehat{M})$ with $\|F\|_{p(\widehat{M})} = \|f\|_{p(M)}$. If $1 \leq p < \infty$, then for each $x_0 \in N$ and each closed, convex simplex $S \subset \widehat{N}$ with x_0 as a vertex

$$\lim_{x \mapsto x_0, x \in S} \int |F(x + iy) - f(x_0 + iy)|^p dy = 0.$$

If $p = \infty$, then for each $x_0 \in N$ and almost every $y \in R^n$

$$\lim_{x \mapsto x_0, x \in S} F(x + iy) = f(x_0 + iy).$$

Remark 1. If f is continuous and bounded, then the above convergence result for $p = \infty$ is true for every $y \in R^n$. This result is contained in [BD]. An earlier result along these lines is contained in [Kaz]. Microlocal results for the tube case are contained in [BTa], [K] and [T].

Remark 2. Since the extension, F , in this theorem is an element of $H^p(\widehat{M})$, all the boundary value results from H^p -Theory also apply. In particular, the pointwise, non-tangential boundary values within convex simplicies contained in \widehat{M} exist at every $x \in N$ and almost every $y \in R^n$. For more details on these results see Stein and Weiss [SW].

A key result that is used in the proof of the above theorem is the following global H^p -version of Baouendi and Treves' Approximation Theorem for CR functions on tubes.

Theorem 2 (Approximation Theorem [BT]). *Let N be a connected submanifold of R^n and let $M = N + iR^n$ be the tube over N . If f is an element of $CR^p(M)$, then there exists a sequence of entire functions F_ϵ on C^n such that for each $x_0 \in N$*

$$\lim_{\epsilon \mapsto 0} \int |F_\epsilon(x_0 + iy) - f(x_0 + iy)|^p dy = 0 \quad \text{for } 1 \leq p < \infty.$$

If $p = \infty$, then for each $x_0 \in N$, $\lim_{\epsilon \mapsto 0} F_\epsilon(x_0 + iy) = f(x_0 + iy)$ for almost every $y \in R^n$. For $1 \leq p \leq \infty$, $\|F_\epsilon\|_{p(M)} \leq \|f\|_{p(M)}$ for each $\epsilon > 0$.

Remark. If f is continuous, then the proof given below can be modified to show that the approximating sequence converges uniformly on the compact subsets of M .

2. PROOF OF THE APPROXIMATION THEOREM

The following proof is based on ideas set forth in [BT].

For any $z = x_0 + iy_0$, let

$$T_z = \{x_0 + it; t \in R^n\}$$

(i.e. the tube over the point x_0 passing through z). For $z = x_0 + iy_0 \in M$, let

$$G_\epsilon(z) = \frac{1}{\epsilon^n (\pi)^{n/2}} \int_{\zeta \in T_z} f(\zeta) e^{\epsilon^{-2}[\zeta - z]^2} d\zeta$$

where $d\zeta = d\zeta_1 \wedge \cdots \wedge d\zeta_n$ and where for $w \in C^n$, $[w]^2 = w_1^2 + \cdots + w_n^2$. Another description of G_ϵ is given by

$$G_\epsilon(z) = \frac{1}{\epsilon^n (\pi)^{n/2}} \int_{t \in R^n} f(x_0 + it) e^{-\epsilon^{-2}|t - y_0|^2} dt.$$

Viewed this way, G_ϵ is the convolution of f in the tube-direction with an approximation to the identity (given by the spatial slices of the heat kernel). The following lemma can easily be established using standard techniques.

Lemma 1. *For each fixed $x_0 \in N$*

$$\lim_{\epsilon \rightarrow 0} \int |G_\epsilon(x_0 + iy) - f(x_0 + iy)|^p dy = 0 \quad \text{for } 1 \leq p < \infty.$$

If $p = \infty$, then for each $x_0 \in N$, $\lim_{\epsilon \rightarrow 0} G_\epsilon(x_0 + iy) = f(x_0 + iy)$ for almost every $y \in \mathbb{R}^n$. For $1 \leq p \leq \infty$, $\|G_\epsilon\|_{p(M)} \leq \|f\|_{p(M)}$ for each $\epsilon > 0$.

Since the domain of integration defining $G_\epsilon(z)$ depends on z , this function is not, in general, analytic in z . However, if f is CR on M , then the domain of integration can be made independent of z as the next lemma shows. By a translation, assume that the origin 0 belongs to N .

Lemma 2. *For $z \in C^n$, let*

$$F_\epsilon(z) = \frac{1}{\epsilon^n (\pi)^{n/2}} \int_{\zeta \in T_0} f(\zeta) e^{\epsilon^{-2}[\zeta - z]^2} d\zeta.$$

For each $\epsilon > 0$, F_ϵ is entire. If f is CR on M , then $F_\epsilon(z) = G_\epsilon(z)$ for $z \in M$.

Proof. $F_\epsilon(z)$ is analytic in z in view of the following observations: the domain of integration, $T_0 = \{0 + it; t \in \mathbb{R}^n\}$, is independent of z ; the kernel $e^{\epsilon^{-2}[it - z]^2}$ is analytic in z and has exponential decay in t uniformly in z belonging to a compact set in C^n ; and the function $t \mapsto f(0 + it)$ belongs to $L^p(\mathbb{R}^n)$.

Now assume f is CR on M . We will show that $F_\epsilon(z) = G_\epsilon(z)$ for $z = x + iy \in M$. For $R > 0$, let $g_R(x + it)$ be a smooth function which is independent of x , equal to one on the set $\{t \in \mathbb{R}^n; |t| \leq R\}$ and supported in the set $\{t \in \mathbb{R}^n; |t| \leq R + 1\}$. Let

$$F_\epsilon^R(z) = \frac{1}{\epsilon^n (\pi)^{n/2}} \int_{\zeta \in T_0} g_R(\zeta) f(\zeta) e^{\epsilon^{-2}[\zeta - z]^2} d\zeta.$$

Define G_ϵ^R analogously (replacing T_0 with T_z). For each fixed $z \in M$, clearly $\lim_{R \rightarrow \infty} F_\epsilon^R(z) = F_\epsilon(z)$ and $\lim_{R \rightarrow \infty} G_\epsilon^R(z) = G_\epsilon(z)$.

Let $\gamma : [0, 1] \mapsto N$ be a smooth path which connects $0 = \gamma(0)$ to $x = \operatorname{Re}(z) = \gamma(1)$ (recall, by assumption that N is connected). Let

$$\tilde{T}_z = \{\gamma(u) + it; t \in \mathbb{R}^n, 0 \leq u \leq 1\}.$$

The (manifold) boundary of \tilde{T}_z is $T_z - T_0$. So by Stokes theorem

$$F_\epsilon^R(z) = G_\epsilon^R(z) + \frac{1}{\epsilon^n (\pi)^{n/2}} \int_{\zeta \in \tilde{T}_z} d_\zeta \{g_R(\zeta) f(\zeta) e^{\epsilon^{-2}[\zeta - z]^2} d\zeta\}.$$

We must show the integral on the right converges to zero as $R \mapsto \infty$. In view of the presence of $d\zeta = d\zeta_1 \wedge \cdots \wedge d\zeta_n$, the d_ζ reduces to $\bar{\partial}_\zeta$ in the last integral. Since f is CR, the $\bar{\partial}_\zeta$ only applies to $g_R(\zeta)$ which has support in the set $R \leq |\operatorname{Im}\zeta| \leq R + 1$. In view of the exponential decay of $e^{\epsilon^{-2}[\zeta - z]^2}$ as $|\operatorname{Im}\zeta| \mapsto \infty$ and the fact that $f(x + it)$ is an L^p -function in t , the above integral on the right converges to zero as $R \mapsto \infty$. This completes the proof of the lemma and hence the proof of the Approximation Theorem.

Technically speaking, the proof of the above lemma assumes that f is continuously differentiable for the Stokes theorem step. However, Stokes' theorem applies to currents (in fact Stokes' theorem becomes the definition of the exterior derivative of a current; see [B] for more details) and so the above argument can be dualized and applied to our context where f is assumed to be a distribution given by a locally integrable function with a uniform bound on its L^p norm over tube-slices.

3. PROOF OF THE EXTENSION THEOREM

Suppose f is an element of $\text{CR}^p(M)$. To extend f to an analytic function in \widehat{M} , the interior of the convex hull of M , we first show that this set can be realized as the set of centers of analytic discs with boundaries in M . Then we show, by a subaveraging technique on the boundaries of these discs, that the sequence of entire functions that converges to f on M (from the Approximation Theorem) also converges uniformly on compact subsets of \widehat{M} . We carry out this outline in a series of lemmas.

An *analytic disc* is an analytic map $A : D = \{\zeta \in \mathbb{C}; |\zeta| < 1\} \mapsto C^n$ with boundary values $A|_{\{|\zeta|=1\}}$ in $L^2(\{|\zeta|=1\})$.

Lemma 3. *Suppose e_0, \dots, e_m are vectors in N that span a convex simplex S with nonempty interior in \mathbb{R}^n , ($m \geq n$). Then, each point $z = x + iy$ with $x \in S$ and $y \in \mathbb{R}^n$ can be realized as the center of an analytic disc, $z = A(\zeta = 0)$, whose boundary is contained in M . If*

$$x = \sum_{j=0}^m \lambda_j e_j \in S \quad \text{with } \lambda_j \geq 0 \text{ and } \sum_j \lambda_j = 1$$

then the boundary of the analytic disc $A(\cdot) = A(\lambda, y)(\cdot)$ depends continuously on $\lambda = (\lambda_0, \dots, \lambda_m)$ and y in the $L^2(\{|\zeta|=1\})$ -norm.

Proof. To establish this lemma, we will specify the desired analytic disc $A = u + iv : D \mapsto C^n$, by specifying A on the boundary $\{e^{2\pi it}; 0 \leq t < 1\}$ which we identify with the unit interval $I = [0, 1)$. Partition the unit interval I into a disjoint union of intervals, I_j , of length λ_j , $j = 0, \dots, m$ where $I_0 = [0, \lambda_0)$, $I_1 = [\lambda_0, \lambda_0 + \lambda_1)$, etc. Let χ_{I_j} be the characteristic function on the interval I_j (one on I_j , zero everywhere else). Define $u : I \mapsto N$ by

$$u(t) = \sum_{j=0}^m e_j \chi_{I_j}(t).$$

Since the length of I_j is λ_j , $u = u(\lambda)$ depends continuously on $\lambda = (\lambda_0, \dots, \lambda_m)$ in the $L^2(I)$ -norm.

For $y \in \mathbb{R}^n$, let

$$v = v(\lambda, y) = T(u(\lambda)) + y$$

where T is the Hilbert transform. Since $T : L^2(I) \mapsto L^2(I)$ is continuous, $v(\lambda, y)$ depends continuously on λ and y in the $L^2(I)$ -norm. Note, however, that T is not continuous in the sup-norm and so even though u is bounded, Tu is unbounded (in fact Tu grows logarithmically at the endpoints of the I_j , where u is discontinuous).

Now let $A(\lambda, y)(e^{2\pi it}) = u(\lambda)(t) + iv(\lambda, y)(t)$. $A(\lambda, y)(\cdot)$ extends analytically to the unit disc D (by the definition of the Hilbert transform). Its boundary lies in M since $\text{Re} A = u$ takes values in N . We claim $A(\zeta = 0) = x + iy$, where $x = \sum_j \lambda_j e_j$.

Since $v = T(u) + y$ and since the Hilbert transform produces the unique harmonic conjugate which vanishes at the origin, clearly $\text{Im}(A)(\zeta = 0) = y$. The real part, $\text{Re}A(0)$, is given by averaging its boundary values.

$$\begin{aligned} \text{Re}(A)(\zeta = 0) &= \int_0^1 u(\lambda)(t) dt \\ &= \sum_{j=0}^m \int_0^1 e_j \chi_{I_j} dt \\ &= \sum_{j=0}^m \lambda_j e_j \\ &= x. \end{aligned}$$

This completes the proof of the lemma.

We wish to show that the sequence of entire functions F_ϵ , which converges to our given CR function f on M (in the L^p -norm on tube slices) also converges uniformly on a neighborhood of each point $z_0 = x_0 + iy_0$ with $y_0 \in R^n$ and x_0 in the interior of the convex hull of N . The next lemma contains the key subaveraging step. For a point $x \in R^n$, let $B(x, r)$ denote the open ball in R^n centered at x of radius r .

Lemma 4. *Suppose F is analytic on \widehat{M} (the interior of the convex hull of M) and continuous on \widehat{M} . Let $1 \leq p < \infty$. For a given $z_0 = x_0 + iy_0 \in \widehat{M}$, there exists an $r > 0$, and a constant $C = C(p, r)$ (depending only on r and p) such that for each $\tilde{z} = \tilde{x} + i\tilde{y} \in B(x_0, r) + iB(y_0, r)$*

$$|F(\tilde{z})| \leq C \int_0^1 \int_{\lambda \in S} \int_{|y-y_0| \leq 2r} |F(A(\lambda, y)(e^{2\pi i t}))|^p dy d\lambda dt$$

Proof. Fix $x_0 \in \widehat{N}$. Choose a convex simplex S with vertices $e_0, \dots, e_m \in N$ so that x_0 belongs to the nonempty interior of S . S contains a ball, of radius $2r > 0$ in R^n about x_0 . F is analytic, and so for $\tilde{z} = \tilde{x} + i\tilde{y} \in B(x_0, r) + iB(y_0, r)$

$$\begin{aligned} |F(\tilde{z})| &\leq C(r) \int_{|x-x_0| \leq 2r} \int_{|y-y_0| \leq 2r} |F(x+iy)| dy dx \\ &\leq C(p, r) \left(\int_{|x-x_0| \leq 2r} \int_{|y-y_0| \leq 2r} |F(x+iy)|^p dy dx \right)^{1/p} \end{aligned}$$

where the last inequality follows from Hölder's inequality.

Let $A = A(\lambda, y)$ be the analytic disc given in Lemma 3. The map

$$(\lambda, y) = (\lambda_0, \dots, \lambda_m, y) \mapsto A(\lambda, y)(\zeta = 0) = \sum_{j=0}^m \lambda_j e_j + iy \in S + iR^n$$

is a linear map whose image contains all of $S + iR^n$ which in turn contains

$$\{|x - x_0| \leq 2r\} + iR^n.$$

So

$$|F(\tilde{z})|^p \leq C \int_{\lambda \in S} \int_{|y-y_0| \leq 2r} |F(A(\lambda, y)(\zeta = 0))|^p dy d\lambda$$

for all $\tilde{z} \in B(x_0, r) + iB(y_0, r)$.

The proof of the lemma is now completed by using the following inequality which is a consequence of the fact that $|F(A(\lambda, y)(\zeta))|^p$ is subharmonic in ζ for $|\zeta| < 1$ and continuous up to $|\zeta| = 1$

$$|F(A(\lambda, y)(\zeta = 0))|^p \leq \int_0^1 |F(A(\lambda, y)(e^{2\pi it}))|^p dt.$$

Lemma 5. *For $1 \leq p \leq \infty$, the sequence F_ϵ from the Approximation Theorem converges uniformly on the compact subsets of \widehat{M} (the interior of the convex hull of the tube M) to an analytic function F with $\|F\|_{p(\widehat{M})} \leq \|f\|_{p(M)}$. In addition, for each $x \in \widehat{N}$*

$$(1) \quad \lim_{\epsilon \rightarrow 0} \int_{y \in R^n} |F_\epsilon(x + iy) - F(x + iy)|^p dy = 0 \quad \text{if } 1 \leq p < \infty.$$

Proof. First assume $1 \leq p < \infty$. Choose any $z_0 = x_0 + iy_0 \in \widehat{M}$. Applying Lemma 4 to the entire function $F_{\epsilon_1} - F_{\epsilon_2}$, yields

$$|(F_{\epsilon_1} - F_{\epsilon_2})(\tilde{z})| \leq C \int_0^1 \int_{\lambda \in S} \int_{|y - y_0| \leq 2r} |(F_{\epsilon_1} - F_{\epsilon_2})(A(\lambda, y)(e^{2\pi it}))|^p dy d\lambda dt$$

for $\tilde{z} \in B(x_0, r) + iB(y_0, r)$. The boundary of the real part of the analytic disc A constructed in Lemma 3 is $u(\lambda)(t) = \sum_{j=0}^m e_j \chi_{I_j}$. The imaginary part of A is $T(u(\lambda)) + y$, which is finite everywhere on $[0, 1)$ except the endpoints of the intervals I_j . Therefore for $\tilde{z} \in B(x_0, r) + iB(y_0, r)$

$$\begin{aligned} (2) \quad & |(F_{\epsilon_1} - F_{\epsilon_2})(\tilde{z})| \\ & \leq C \sum_{j=0}^m \int_{\lambda \in S} \int_{t \in I_j} \int_{|y - y_0| \leq 2r} |(F_{\epsilon_1} - F_{\epsilon_2})(e_j + i(T(u(\lambda))(t) + y))|^p dy d\lambda dt \\ (3) \quad & \leq C \sum_{j=0}^m \int_{\lambda \in S} \lambda_j \|F_{\epsilon_1} - F_{\epsilon_2}\|_{L^p(T_{e_j})}^p d\lambda \end{aligned}$$

where T_{e_j} is the tube over e_j . By the Approximation Theorem, the L^p norm of $F_\epsilon - f$ over T_{e_j} converges to zero as $\epsilon \rightarrow 0$. So the right side converges to zero as $\epsilon_1, \epsilon_2 \rightarrow 0$. Therefore $F_\epsilon(z)$ is uniformly Cauchy on $B(x_0, r) + iB(y_0, r)$. Since $x_0 \in \widehat{N}$ was arbitrarily chosen, we conclude that F_ϵ converges uniformly to an analytic function F defined on \widehat{M} .

To prove estimate (1), fix any $x \in \widehat{N}$. Choose S and $\lambda = (\lambda_0, \dots, \lambda_m) \in S$ as in the proof of Lemma 4 with $A(\lambda, y)(\zeta = 0) = x + iy$. By subaveraging over the boundary of the disc A :

$$(4) \quad |(F_\epsilon - F_\delta)(x + iy)|^p \leq \int_0^1 |(F_\epsilon - F_\delta)(A(\lambda, y)(e^{2\pi it}))|^p dt$$

and then integrating y :

$$\begin{aligned} & \int_{y \in R^n} |(F_\epsilon - F_\delta)(x + iy)|^p dy \\ & \leq \sum_{j=0}^m \int_{t \in I_j} \int_{y \in R^n} |(F_\epsilon - F_\delta)(e_j + i(T(u(\lambda))(t) + y))|^p dy dt \\ & \leq \sum_{j=0}^m \lambda_j \|F_\epsilon - F_\delta\|_{L^p(T_{e_j})}^p. \end{aligned}$$

Equation (1) is now established by letting $\delta \mapsto 0$ and then $\epsilon \mapsto 0$ and by using the Approximation Theorem.

The estimate on $\|F\|_{p(M)}$ is established in a similar manner by first showing

$$(5) \quad |F_\epsilon(x + iy)|^p \leq \int_0^1 |F_\epsilon(A(\lambda, y)(e^{2\pi it}))|^p dt$$

and then integrating y

$$\int_{y \in R^n} |F_\epsilon(x + iy)|^p dy \leq \sum_{j=0}^m \int_{t \in I_j} \int_{y \in R^n} |F_\epsilon(e_j + i(T(u(\lambda))(t) + y))|^p dy dt.$$

After taking limits as $\epsilon \mapsto 0$, the above inequality holds with F on the left (in view of (1)) and f on the right (by the Approximation Theorem). The right side is then dominated by

$$\sum_{j=0}^m \lambda_j \|f\|_{p(M)}^p = \|f\|_{p(M)}^p$$

(since $\sum_j \lambda_j = 1$), as desired.

If $p = \infty$, then we use (2) with $p = 1$. The integrand on the right side of (2) is dominated by $2\|f\|_{\infty(M)} < \infty$ by Lemmas 1 and 2. The domain of integration on the right side of (2) is bounded. In view of the Approximation Theorem (for the case $p = \infty$) and the Dominated Convergence Theorem, we conclude that F_ϵ is uniformly Cauchy on $B(x_0, r) + iB(y_0, r)$. The estimate $\|F\|_{p(\widehat{M})} = \|f\|_{p(M)}$ for $p = \infty$ follows easily from (5) with $p = 1$, and the inequality $\|F_\epsilon\|_{\infty(M)} \leq \|f\|_{\infty(M)}$ (Lemmas 1 and 2).

The final step in the proof of the main theorem is the following lemma.

Lemma 6. *Suppose S is the convex hull of the vertices $e_0, \dots, e_m \in N$ and suppose the interior of S is nonempty. Then*

$$\begin{aligned} \lim_{x \mapsto e_0, x \in S} \int_{y \in R^n} |F(x + iy) - f(e_0 + iy)|^p dy &= 0 \quad \text{if } 1 \leq p < \infty, \\ \lim_{x \mapsto e_0, x \in S} F(x + iy) &= f(e_0 + iy) \quad \text{if } p = \infty. \end{aligned}$$

Since S and $e_0 \in N$ are arbitrarily chosen, this lemma will complete the proof of our main theorem.

Proof. First assume $1 \leq p < \infty$. The arguments in the proof of the previous lemma (see (4) or (5)) yield the following estimate:

$$\begin{aligned} & \int_{y \in R^n} |F_\epsilon(x + iy) - F_\epsilon(e_0 + iy)|^p dy \\ & \leq \sum_{j=0}^m \int_{y \in R^n} \int_{t \in I_j} |F_\epsilon(e_j + i(T(u(\lambda))(t) + y)) - F_\epsilon(e_0 + iy)|^p dt dy \end{aligned}$$

for each $x \in \widehat{N}$. In view of (1) and the Approximation Theorem, the above estimate holds in the limit as $\epsilon \mapsto 0$. After separating the integral over I_0 on the right, we obtain

$$\begin{aligned} & \int_{y \in R^n} |F(x + iy) - f(e_0 + iy)|^p dy \\ & \leq \int_{y \in R^n} \int_{t \in I_0} |f(e_0 + i(T(u(\lambda))(t) + y)) - f(e_0 + iy)|^p dy \\ & \quad + 2 \sum_{j=1}^m \lambda_j \|f\|_{p(M)}^p. \end{aligned}$$

We will let $x = \lambda_0 e_0 + \sum_{j=1}^m \lambda_j e_j$ approach e_0 by letting $\lambda_0 \mapsto 1$ and $\sum_{j=1}^m \lambda_j \mapsto 0$. The sum on the right clearly converges to zero as $x \mapsto e_0$. Also, $u(\lambda) \mapsto e_0$, and hence $T(u(\lambda)) \mapsto T(e_0) = 0$ in $L^2([0, 1])$ as $\lambda_0 \mapsto 1$. Since $u(\lambda) = e_0$ on $I_0 = [0, \lambda_0]$ and the kernel for the Hilbert transform has diagonal singularities, the following fact is true: for each fixed $0 < \eta < 1$, $|T(u(\lambda))| \mapsto 0$ *uniformly* on $[0, \eta]$ as $\lambda_0 \mapsto 1$.

The integral (over I_0) on the right side of the last inequality can now be split into two: one over $I_0 \cap [0, \eta]$ and the other over $I_0 \cap (\eta, 1]$. The integral over $I_0 \cap (\eta, 1]$ is dominated by $2(1 - \eta)\|f\|_{p(M)}^p$ which can be made as small as desired by choosing η close to 1. The integral over $[0, \eta]$ converges to zero since $T(u(\lambda)) \mapsto 0$ uniformly on $[0, \eta]$ and because small translates of the L^p function $y \mapsto f(e_0 + iy)$ are close (in L^p -norm) to the function itself.

Thus, $\int_{y \in R^n} |F(x + iy) - f(e_0 + iy)|^p dy \mapsto 0$ as $x \mapsto e_0$. This completes the proof of the lemma and of our Extension Theorem for the case $1 \leq p < \infty$.

For the case $p = \infty$, the same arguments as above can be used to show that $F(x + iy)$ converges to $f(e_0 + iy)$ weakly in $y \in R^n$ as $x \mapsto e_0$, i.e.

$$\lim_{x \mapsto e_0, x \in S} \int_{y \in R^n} |F(x + iy) - f(e_0 + iy)| g(y) dy = 0$$

for each smooth, compactly supported function g . $|F|$ is bounded on \widehat{M} (true when $p = \infty$) and so $|F|$ is non-tangentially bounded. Therefore the non-tangential boundary limits of $F(x + iy)$ exist as $x \mapsto e_0$ for almost every $y \in R^n$ by standard H^p -Theory. This limit clearly must be $f(e_0 + iy)$ in view of the weak limit mentioned above.

4. UNIQUENESS

Of course, the estimate $\|F\|_{p(\widehat{M})} = \|f\|_{p(M)}$ implies that the H^p -extension of $f \in \text{CR}^p(M)$ given in the Extension Theorem is unique. It is also easy to show that there is only one H^p -extension of a given element $f \in \text{CR}^p(M)$ (regardless of whether or not the extension satisfies the estimate $\|F\|_{p(\widehat{M})} \leq \|f\|_{p(M)}$). Indeed,

let x be a point in \widehat{N} and let $A(\lambda, y)(\cdot)$ be the analytic disc given in Lemma 3. Write $A(\lambda, y)(\cdot)$ as $A_0(\lambda)(\cdot) + iy$ where A_0 is independent of y . The image of $A_0(\lambda)(\cdot)$ is contained in the tube over the complex simplex S . For any $F \in H^p(\widehat{M})$ and any $0 < r < 1$

$$\int_{y \in R^n} |F(x + iy)| dy \leq \int_{y \in R^n} \int_0^1 |F(A_0(\lambda)(re^{2\pi it}) + iy)| dt dy$$

by the Subaveraging Principle for subharmonic functions. The inner integral on the right side is a monotonically increasing function of r . Since the boundary of $A_0(\lambda)(\cdot)$ is contained in $M = N + iR^n$, we conclude (by the Monotone Convergence Theorem) that if F vanishes on M , then the right side converges to zero as r increases to 1.

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