CUBIC RECIPROCITY AND GENERALISED LUCAS-LEHMER TESTS FOR PRIMALITY OF $A \cdot 3^n \pm 1$

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ABSTRACT. Cubic reciprocity is used to derive primality tests analogous to the Lucas-Lehmer test for integers of the form $A \cdot 3^n \pm 1$. The test for $A \cdot 3^n - 1$ is a minor improvement on a test derived by Williams by other means; the test for $A \cdot 3^n + 1$ seems to be new.

The Lucas-Lehmer test for primality of the Mersenne number $2^p - 1$ has been generalised by H. Williams to give primality tests for numbers of the form $A \cdot k^n \pm 1$, for various k , using techniques based on the classical derivation of the Lucas-Lehmer test itself (cf. [W1], [W2], [W3]). In this note, motivated by Rosen's derivation of the Lucas-Lehmer test via quadratic reciprocity (cf. [R]), we show how the theory of the cubic residue symbol leads to a very simple and conceptual derivation of generalised Lucas-Lehmer tests for the numbers $A \cdot 3^n \pm 1$. The resulting test for $A \cdot 3^n - 1$ is a minor improvement on the test of [W1], though the derivation is completely different, while that for $A \cdot 3^n + 1$ is, to our knowledge, new. A different type of test for $A \cdot 3^n + 1$ using cubic reciprocity can be found in [G].

We first fix notation and recall some results for which a general reference is [IR]. Let $\omega = e^{2\pi i/3}$, $R = \mathbf{Z}[\omega]$; we shall denote the norm $\mathbf{Q}(\omega) \rightarrow \mathbf{Q}$ by N , and the trace by Tr . Let χ denote the cubic character on R . Then $\forall a, b \in R$, a prime, $b \not\equiv 0 \pmod{a}$, $\chi_a(b)$ is a cube root of 1 uniquely defined by the condition $\chi_a(b) \equiv b^{\frac{N(a)-1}{3}} \pmod{a}$. If $a, b \in R$ are *primary primes*, then the cubic reciprocity law $\chi_a(b) = \chi_b(a)$ holds. Recall that primary is a normalization condition satisfied by $x \in R$ when $x \equiv 2 \pmod{3}$.

Let $M = A \cdot 3^n \pm 1$, where we assume A is even and not divisible by 3. If $M = A \cdot 3^n + 1$ assume $M \neq (\frac{A}{2} \pm 1)^2$. We first find a small rational prime l such that M is not a cube mod l . This can be done by direct search, since l is small. Note that $l \equiv 1 \pmod{3}$, since if $l \equiv 2 \pmod{3}$, then everything is a cube mod l . Now it is precisely rational primes $\equiv 1 \pmod{3}$ which split in R , so l splits in R and we can write $l = \pi \bar{\pi}$ for some irreducible $\pi \in R$; choosing appropriately among associates, we may assume π to be primary. Let $\tau = \bar{\pi}/\pi$.

Theorem. *Let $\{Q_k\}$ be the sequence defined by*

$$Q_0 = Tr(\tau^A); \quad Q_{k+1} = Q_k^2(Q_k - 3).$$

Suppose $A/2 < 4 \cdot 3^n - 1$. Then M is prime if and only if $Q_{n-1} \equiv -1 \pmod{M}$.

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Proof. We first give the proof for $M = A \cdot 3^n - 1$. Suppose that M is prime. By choice M is not a cube mod l and $l = N(\pi)$. It follows that M is not a cube mod π , whence $\chi_\pi(M)$ evaluates to ω or ω^2 . Since $M \equiv 2 \pmod{3}$, it is a primary prime in R , and applying the cubic reciprocity law, we find $\chi_\pi(M) = \chi_M(\pi)$, so $\chi_M(\pi) = \omega$ or ω^2 also. Then, since

$$\chi_M(\pi) \equiv \pi^{\frac{M^2-1}{3}} \pmod{M},$$

we have

$$\pi^{\frac{M^2-1}{3}} \equiv \omega^i \pmod{M}$$

(where i is 1 or 2) which we can rewrite as

$$(\pi^{M-1})^{\frac{M+1}{3}} \equiv \omega^i \pmod{M};$$

that is,

$$\left(\frac{\pi^M}{\pi}\right)^{\frac{M+1}{3}} \equiv \omega^i \pmod{M}.$$

But $\pi^M \equiv \bar{\pi} \pmod{M}$, as can be seen either directly or by observing that complex conjugation must coincide with the Frobenius $\phi(M)$ of the Galois group of $\mathbf{Q}(\omega)$ over $\mathbf{Q}$. Thus, we obtain

$$\left(\frac{\bar{\pi}}{\pi}\right)^{\frac{M+1}{3}} \equiv \omega^i \pmod{M}$$

and finally

$$(1) \quad \tau^{\frac{M+1}{3}} \equiv \omega^i \pmod{M}.$$

Taking traces in equation (1), we find we have proved that M prime implies

$$\text{Tr}\left(\tau^{\frac{M+1}{3}}\right) = \text{Tr}\left(\tau^{A \cdot 3^{n-1}}\right) \equiv -1 \pmod{M}.$$

Now, set $Q_k = \text{Tr}\left(\tau^{A \cdot 3^k}\right)$. Thus M prime implies $Q_{n-1} \equiv -1 \pmod{M}$. The recurrence $Q_k^3 = Q_{k+1} + 3Q_k$ follows easily from the definition of Q_k , using $N(\tau) = 1$, whence the sequence $\{Q_k\}$ satisfies the recurrence given in the theorem and we are done.

Assume now $Q_{n-1} \equiv -1 \pmod{M}$. We shall show this implies that any prime divisor of M is greater than $\sqrt{M}$ which clearly implies M prime. Suppose that q is a prime divisor of M . Let δ be a prime of R lying over q . Thus $\delta = q$ if $q \equiv 2 \pmod{3}$ and $\delta\bar{\delta} = q$ if $q \equiv 1 \pmod{3}$, and any congruence mod M in R implies the same congruence mod δ . Then $Q_{n-1} = (\text{Tr}(\tau))^{A \cdot 3^{n-1}} \equiv -1 \pmod{\delta}$ and this, together with $N(\tau) = 1$, implies $\tau^{A \cdot 3^{n-1}} \equiv \omega^i \pmod{\delta}$, where $i = 1$ or 2 . It follows that τ^A has order 3^n in the group $(R/\delta R)^*$. This group has order $N(\delta) - 1$ which is $q - 1$ or $q^2 - 1$ according to $q \equiv 1$ or $q \equiv 2 \pmod{3}$. In the first case we get 3^n divides $q - 1$, in the second case we get 3^n divides $(q - 1)(q + 1)$, so we conclude that in all cases 3^n divides either $q + 1$ or $q - 1$. Under the hypothesis $A/2 < 4 \cdot 3^n - 1$, this implies $q > \sqrt{M}$ (cf. [W1], Lemma 1) and the proof of the theorem for $M = A \cdot 3^n - 1$ is complete.

The proof of the theorem for $M = A \cdot 3^n + 1$ is slightly different, since now $M \equiv 1 \pmod{3}$ and therefore splits in R . Assume M is prime, and choose $\theta \in R$ to be a primary prime such that $M = \theta\bar{\theta}$. As before, M is not a cube mod l ,

hence not a cube mod π , so $\chi_\pi(M) = \omega^i$, where $i = 1$ or 2 . Then $\omega^i = \chi_\pi(\theta\bar{\theta}) = \chi_\pi(\theta)\chi_\pi(\bar{\theta}) = \chi_\theta(\pi)\chi_{\bar{\theta}}(\pi)$ (by cubic reciprocity) $= \chi_\theta(\pi)\chi_\theta(\bar{\pi})^{-1} = \chi_\theta(\pi/\bar{\pi})$. (This calculation can be found in [G]. It is reproduced in brief here for the convenience of the reader.) Thus $\chi_\theta(\tau^{-1}) = (\tau^{-1})^{\frac{N(\theta)-1}{3}} = (\tau^{-1})^{\frac{M-1}{3}} \equiv \omega^i \pmod{\theta}$. Taking traces, we obtain $Q_{n-1} \equiv -1 \pmod{\theta}$. Interchanging θ and $\bar{\theta}$ in the calculation we have just made, we also obtain $Q_{n-1} \equiv -1 \pmod{\bar{\theta}}$, whence $Q_{n-1} \equiv -1 \pmod{M}$ as was to be proved. Finally, $Q_{n-1} \equiv -1 \pmod{M}$ implies M prime follows as in the proof of the first case. The hypothesis $M \neq (\frac{A}{2} \pm 1)^2$ is needed here to make the cited lemma of Williams apply in this case.

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