NEW REPRESENTATIONS OF RAMANUJAN'S TAU FUNCTION

JOHN A. EWELL

(Communicated by Dennis A. Hejhal)

ABSTRACT. Several formulas for Ramanujan's function τ , defined by

$$x \prod_{n=1}^{\infty} (1 - x^n)^{24} = \sum_{n=1}^{\infty} \tau(n) x^n \quad (|x| < 1),$$

are presented. We also present a congruence modulo 3 for some of the function values.

1. INTRODUCTION

Ramanujan's function τ is defined by the expansion

$$(1.1) \quad x \prod_{n=1}^{\infty} (1 - x^n)^{24} = \sum_{n=1}^{\infty} \tau(n) x^n,$$

which is valid for each complex number x such that $|x| < 1$. In this paper we present several formulas for τ , including one congruence involving function values modulo 3. Since these formulas involve several additional functions, we collect these in the following definition.

Definition 1.1. For $\mathbb{N} := \{0, 1, 2, \dots\}$, put $\mathbb{P} := \mathbb{N} - \{0\}$. Then, for each $k \in \mathbb{P}$ and each $n \in \mathbb{N}$,

$$r_k(n) := |\{(x_1, x_2, \dots, x_k) \in \mathbb{Z}^k \mid n = x_1^2 + x_2^2 + \dots + x_k^2\}|.$$

(Of course, $\mathbb{Z} := \{0, \pm 1, \pm 2, \dots\}$.)

For each $n \in \mathbb{P}$, $b(n)$ is the exponent of the exact power of 2 dividing n , and then $Od(n) := n2^{-b(n)}$ is the odd part of n .

For each $k \in \mathbb{N}$ and each $n \in \mathbb{P}$, $\sigma_k(n)$ is the sum of the k th powers of all of the positive divisors of n . For simplicity, $\sigma(n) := \sigma_1(n)$.

We are now prepared to state our main result.

Theorem 1.2. For each $n \in \mathbb{N}$ and each $m \in \mathbb{P}$,

$$(1.2) \quad \tau(4n+2) = -3 \sum_{k=1}^{2n+1} 2^{3b(2k)} \sigma_3(Od(2k)) \sum_{j=0} (-1)^j r_8(4n+2-2k-j) r_8(j);$$

Received by the editors May 13, 1998.

1991 *Mathematics Subject Classification.* Primary 11A25; Secondary 11B75.

Key words and phrases. Ramanujan's tau function.

$$(1.3) \quad \sum_{k=1}^n 2^{3b(2k)} \sigma_3(Od(2k)) \sum_{j=0}^{2n+1-2k} (-1)^j r_8(2n+1-2k-j) r_8(j) = 0;$$

(1.4)

$$\tau(4m) = -2^{11} \tau(m) - 3 \sum_{k=1}^{2m} 2^{3b(2k)} \sigma_3(Od(2k)) \sum_{j=0}^{4m-2k} (-1)^j r_8(4m-2k-j) r_8(j).$$

[Here, the second sums of (1.2), (1.3) and (1.4) have respectively upper limits of summation $4n+2-2k$, $2n+1-2k$ and $4m-2k$.]

In section 2 we prove this theorem, and also present two immediate corollaries of the theorem.

In [6, pp.275-278] there is a good, though not exhaustive, list of references for the function τ . Here cited are almost all of the known properties of the function, including formulas, recurrences and congruences which some values satisfy. Perhaps the best known recursive determination of τ is that of Ramanujan [5, p. 152]. For certain analytic properties of τ , not cited in [6], the reader might consult the paper of Moreno [4].

2. PROOF OF THEOREM 1.2

First of all, we state four identities which we require in our development.

$$(2.1) \quad \prod_1^{\infty} (1 - x^{2n})(1 + tx^{2n-1})(1 + t^{-1}x^{2n-1}) = \sum_{-\infty}^{\infty} x^{n^2} t^n;$$

$$(2.2) \quad \prod_1^{\infty} (1 + x^n)(1 - x^{2n-1}) = 1;$$

$$(2.3) \quad x \prod_1^{\infty} \frac{(1 - x^{2n})^8}{(1 - x^{2n-1})^8} = \sum_1^{\infty} 2^{3b(n)} \sigma_3(Od(n)) x^n;$$

$$(2.4) \quad \prod_1^{\infty} (1 + x^{2n-1})^8 = \prod_1^{\infty} (1 - x^{2n-1})^8 + 16x \prod_1^{\infty} (1 + x^{2n})^8.$$

Identity (2.1), the celebrated triple-product identity, is valid for each pair of complex numbers t, x such that $t \neq 0$ and $|x| < 1$. Each of the identities (2.2), (2.3) and (2.4) is valid for each complex number x such that $|x| < 1$. For proofs of (2.1) and (2.2) see [3, pp. 282-283 and p. 277]. For a proof of (2.3) see [1, pp. 1291-1292]; and, for a proof of (2.4) see [2, pp. 421-422]. Actually, we need only two special cases of (2.1) corresponding to the substitutions $t \rightarrow 1$ and $t \rightarrow -1$. Under the former substitution we observe that the k th power of the right side of the resulting identity generates the sequence $r_k(n), n \in \mathbb{N}$. Similarly, under the latter substitution the sequence $(-1)^n r_k(n), n \in \mathbb{N}$, is generated. We begin our argument by multiplying both sides of (2.4) by the infinite product

$\prod_1^{\infty} (1 - x^{2n})^8$ to get

$$-16x \prod_1^{\infty} (1 - x^{4n})^8 = \prod_1^{\infty} (1 - x^n)^8 - \prod_1^{\infty} (1 - (-x)^n)^8.$$

Then, we raise each side of this identity to the third power, and multiply the resulting identity by x to get

$$(2.5) \quad -2^{12} \sum_1^{\infty} \tau(n) x^{4n} = 2 \sum_1^{\infty} \tau(2n) x^{2n} - 3x \prod_1^{\infty} (1-x^n)^{16} (1-(-x)^n)^8 \\ + 3x \prod_1^{\infty} (1-x^n)^8 (1-(-x)^n)^{16}.$$

Next,

$$-3x \prod_1^{\infty} (1-x^n)^{16} (1-(-x)^n)^8 \\ = 3(-x) \prod_1^{\infty} \frac{(1-x^{2n})^{16} (1-x^{2n-1})^{16} (1-x^{2n})^8 (1+x^{2n-1})^{16}}{(1+x^{2n-1})^8} \\ = 3(-x) \prod_1^{\infty} \frac{(1-x^{2n})^8}{(1+x^{2n-1})^8} \prod_1^{\infty} (1-x^{2n})^8 (1-x^{2n-1})^{16} \prod_1^{\infty} (1-x^{2n})^8 (1+x^{2n-1})^{16} \\ = 3 \sum_1^{\infty} (-1)^h 2^{3b(h)} \sigma_3(Od(h)) x^h \cdot \sum_0^{\infty} (-1)^j r_8(j) x^j \cdot \sum_0^{\infty} r_8(k) x^k.$$

Similarly,

$$3x \prod_1^{\infty} (1-x^n)^8 (1-(-x)^n)^{16} = 3 \sum_1^{\infty} 2^{3b(h)} \sigma_3(Od(h)) x^h \cdot \sum_0^{\infty} (-1)^j r_8(j) x^j \cdot \sum_0^{\infty} r_8(k) x^k.$$

Expanding the two products of three series, substituting the resulting expansions into (2.5), and cancelling a factor of 2, we get

$$-2^{11} \sum_1^{\infty} \tau(n) x^{4n} \\ = \sum_0^{\infty} \tau(4n+2) x^{4n+2} + \sum_1^{\infty} \tau(4n) x^{4n} \\ + \sum_{n=0}^{\infty} x^{2n+1} 3 \sum_{k=1}^n 2^{3b(2k)} \sigma_3(Od(2k)) \sum_{j=0} (-1)^j r_8(2n+1-2k-j) r_8(j) \\ + \sum_{n=0}^{\infty} x^{4n+2} 3 \sum_{k+1}^{2n+1} 2^{3b(2k)} \sigma_3(Od(2k)) \sum_{j=0} (-1)^j r_8(4n+2-2k-j) r_8(j) \\ + \sum_{n=1}^{\infty} x^{4n} 3 \sum_{k=1}^{2n} 2^{3b(2k)} \sigma_3(Od(2k)) \sum_{j=0} (-1)^j r_8(4n-2k-j) r_8(j).$$

Equating coefficients of like powers of x in the foregoing identity we thus prove our theorem.

Corollary 2.1. *For each $n \in \mathbb{N}$,*

$$\tau(2n+1) = \sum_{k=1}^{2n+1} 2^{3\{b(2k)-1\}} \sigma_3(\text{Od}(2k)) \sum_{j=0}^{4n+2-2k} (-1)^j r_8(4n+2-2k-j) r_8(j),$$

where the upper limit of summation of the second sum is $4n+2-2k$.

Proof. By the multiplicativity of τ , $\tau(4n+2) = \tau(2(2n+1)) = \tau(2)\tau(2n+1)$. But, for $n = 0$, (1.2) yields $\tau(2) = -24$. Then, cancellation of -24 in (1.2) proves the corollary. $\square$

Corollary 2.2. *For each $m \in \mathbb{P}$,*

$$\tau(4m) \equiv \tau(m) \pmod{3}.$$

Proof. Part (1.4) of the theorem, and the obvious result $2 \equiv -1 \pmod{3}$. $\square$

Concluding remarks. How could one possibly use Theorem 1.2 to compute the values $\tau(n)$, $n \in \mathbb{P}$? Well, first of all, we'd realize that for $n \in \mathbb{P}$, $r_8(n)$, like $\sigma_3(n)$, can also be expressed in terms of the positive divisors of n . As a matter of fact, for each $n \in \mathbb{P}$,

$$r_8(n) = 16(-1)^n \sum_{d|n} (-1)^d d^3.$$

For example, see [3, p. 314]. Then, we'd use Corollary 2.1 to compute $\tau(n)$ for odd values of n . For $n \equiv 2 \pmod{4}$ we'd compute $\tau(n)$ by (1.2). And, for $n \equiv 0 \pmod{4}$ we'd appeal to (1.4) and induction.

REFERENCES

- [1] J. A. Ewell, *Arithmetical consequences of a sextuple product identity*, Rocky Mountain J. of Math., v. 25 (1995), 1287-1293. MR **97e**:11129
- [2] J. A. Ewell, *A note on a Jacobian identity*, Proc. Amer. Math. Soc., v. 126 (1998), 421-423. MR **98k**:33030
- [3] G. H. Hardy and E. M. Wright, *An introduction to the theory of numbers*, Fourth edition, Clarendon Press, Oxford, 1960.
- [4] C.J. Moreno, *A necessary and sufficient condition for the Riemann hypothesis for Ramanujan's zeta function*, Illinois J. of Math., v. 18 (1974), 107-114. MR **48**:8410
- [5] S. Ramanujan, *Collected papers*, Chelsea, New York, 1962.
- [6] R. Sivaramakrishnan, *Classical theory of arithmetic functions*, Dekker, New York, 1989. MR **90a**:11001

DEPARTMENT OF MATHEMATICAL SCIENCES, NORTHERN ILLINOIS UNIVERSITY, DEKALB, ILLINOIS 60115