AN APPROACH TO THE REGULARITY FOR STABLE-STATIONARY HARMONIC MAPS

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ABSTRACT. In this paper we investigate the regularity of stable-stationary harmonic maps. By assuming that the target manifolds do not carry any stable harmonic S^2 , we obtain some compactness results and regularity theorems. In particular, we prove that the Hausdorff dimension of the singular set of these maps cannot exceed $n - 3$, and the dimension estimate is optimal.

1. INTRODUCTION

In this paper we are centered on the regularity of stationary harmonic maps. Many important contributions to the regularity theory of minimizing harmonic maps have been made. It has been established by Schoen-Uhlenbeck [15] for general cases, and independently by Giaquinta-Giusti [4] for the case where the image of maps is contained in a single chart, that any minimizing harmonic map in $H^{1,2}(M, N)$ is smooth except for a singular set where the Hausdorff dimension of the singular set is less than or equal to $n - 3$. Recently, inspired by Hélein's beautiful work [6] on weakly harmonic maps from a two-dimensional domain, it was proved by Evans [3] in the case with values into spheres and by Bethuel [2] in general that any stationary harmonic map is smooth away from a singular set Z with $\mathcal{H}^{n-2}(Z) = 0$ where $\mathcal{H}^{n-2}$ denotes $(n - 2)$ -dimensional Hausdorff measure. Another major work in this direction was done by Fanghua Lin [10]; by a technical analysis of the singular set, he found the stratification phenomenon and proved that if the target manifold does not carry any harmonic S^2 , then the singular sets of stationary harmonic maps are $m \leq n - 4$ rectifiable. On the other hand, Rivière [13] constructed a weakly harmonic map which has singularities almost everywhere in M , but it is not stationary. All known examples of stationary weak harmonic maps have singular set Z with $\dim(Z) \leq n - 3$. An interesting open problem is whether one can improve the estimate of the Hausdorff dimension of the singular set for stationary harmonic maps; it was conjectured by R. Hardt, see the survey [11], that the singular sets have the same Hausdorff dimension estimate as in the minimizing harmonic map case. Recently, Hong [7] and Hong and Wang [8] gave a partial answer to this problem. They get the optimal estimate of stationary harmonic maps from M into S^k ($k \geq 4$) under the 'stable' condition or in the stable

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inequality condition. In this paper, we give a different approach to regularity of stationary harmonic maps. In contrast to the work of Hong and Wang [8], we use the stratification method and get a more geometrical condition to ensure compactness of the sequence of stationary harmonic maps, and finally get the optimal estimate of the Hausdorff dimension of the singular set. Our methods here are based on Lin's recent work [10]. Furthermore, in another paper [5] we get a similar result for the regularity of k -indexed stationary harmonic maps.

We assume that n, m are integers with $n \geq 3$, Ω is a domain of $\mathbf{R}^n$ with smooth boundary, and N denotes an m -dimensional compact Riemannian manifold which may be considered as embedded in $\mathbf{R}^l$ by Nash's theorem. We define the space $H^{1,2}(\Omega, N)$ by

$$H^{1,2}(\Omega, N) = \{u \mid u \in H^{1,2}(\Omega, \mathbf{R}^l), u(x) \in N, \text{ for a.e. } x \in \Omega\}$$

and define the Dirichlet energy of $u \in H^{1,2}(\Omega, N)$ by $E(u, \Omega) = \frac{1}{2} \int_{\Omega} |\nabla u|^2 dx$, where ∇u is the gradient of u .

We recall that $u : \Omega \rightarrow N$ is a weakly harmonic map if u belongs to $H^{1,2}(\Omega, N)$ and satisfies

$$(1.1) \quad -\Delta u = A(\nabla u, \nabla u)$$

in the sense of distribution, where $A(\cdot, \cdot)$ denotes the second fundamental form of N embedded in $\mathbf{R}^l$.

We say that a harmonic map u is stable if the second variation of $E(u, \Omega)$ is nonnegative, i.e.

$$(1.2) \quad \left. \frac{d^2}{dt^2} \right|_{t=0} E(\Phi_t) = I(V, V) = \int_{\Omega} \left[|\nabla V|^2 + \langle (R^N \cdot u)(V, du_-)V, du \rangle \right] dy \geq 0,$$

for any $V \in \Gamma(u^{-1}(TN))$ with compact support, where $\Phi_t : \Omega \rightarrow N$ with $\Phi_0 = u$, $V = \left. \frac{\partial}{\partial t} \right|_{t=0} \Phi_t$, and

$$\langle R^N \cdot \Phi(V, du_-)V, du \rangle = - \sum_i g_{u(y)}^N (R_{u(y)}(\partial_i u(y), V(y))V(y), \partial_i u(y)).$$

The index of a harmonic map u (denoted by $Ind(u)$) is the maximal dimension of a linear subspace of $\Gamma(u^{-1}(TN))$ on which the second variation form of $E(u, \Omega)$ is negative definite. The nullity of u is the dimension of the subspace of Jacobi fields, an element $U \in \Gamma(u^{-1}(TN))$ being called a Jacobi field if

$$I(U, V) = 0, \quad \text{for all } V \in \Gamma(u^{-1}(TN)).$$

We say that a harmonic map u is stationary if for each vector field $\eta \in C^1(\Omega, \mathbf{R}^n)$ having compact support in Ω , setting $u^t = u(x + t\eta(x))$, we have

$$\left. \frac{d}{dt} \right|_{t=0} E(u^t, \Omega) = 0,$$

or in other words,

$$\int_{\Omega} \sum_{i,j=1}^n \left[\delta_{i,j} |\nabla u|^2 - 2D_i u D_j u \right] D_i \eta^j dx = 0.$$

We give the definition of a stable-stationary weakly harmonic map.

Definition 1.1. A function $u \in H^{1,2}(\Omega, N)$ is a stable-stationary weakly harmonic map if u is a weakly harmonic map, stationary and stable.

Our main result is:

Theorem 1.2. *Let $u \in H^{1,2}(\Omega, N)$ be a stable-stationary weakly harmonic map. Then if the target manifold N does not carry any stable harmonic map from S^2 to N , the Hausdorff dimension of the singular set of u is less than or equal to $n - 3$.*

2. A COMPACTNESS LEMMA AND PROOF OF THE THEOREM

In this section, we give the proof of Theorem 2. We need the following result for stationary harmonic maps, which can be found in the work of Lin [10].

Lemma 2.1. *Let $u_i \in H^{1,2}(\Omega, N)$ be a sequence of stationary harmonic maps, with $\int_{\Omega} |\nabla u_i|^2$ uniformly bounded. Denote*

$$\Sigma = \bigcap_{r>0} \left\{ x \in \Omega : \liminf_{i \rightarrow \infty} r^{2-m} \int_{B_r(x)} |\nabla u_i|^2 \geq \varepsilon_0^2 \right\},$$

where ε_0 is the constant in the small energy regularity ([15], Theorem 2.2; see also [2], Theorem I.4). Then if $\mathcal{H}^{n-2}(\Sigma) > 0$, there exists a nonconstant, smooth harmonic map from S^2 into N .

We call this map the blowing-up harmonic map and denote it by u_b . Now, we are ready to prove the following key lemma.

Lemma 2.2. *Let $u_i \in H^{1,2}(\Omega, N)$ be stable-stationary harmonic maps, with $\int_{\Omega} |\nabla u_i|^2$ uniformly bounded. Then if the target manifold does not carry any stable harmonic map from S^2 into N , there exists a subsequence of $\{u_i\}$ converging strongly to a stable-stationary harmonic map in $H_{loc}^{1,2}(\Omega, N)$.*

The similar compactness lemma for a stable-stationary harmonic map sequence was obtained in Hong and Wang [8] by assuming stable inequality. To prove Lemma 2.2, we need to prove the following lemma.

Lemma 2.3. *Let $u_i \in H^{1,2}(\Omega, N)$ be a sequence of stationary harmonic maps, with $\int_{\Omega} |\nabla u_i|^2$ uniformly bounded. Then if the u_i are stable harmonic maps for each i and $\mathcal{H}^{n-2}(\Sigma) > 0$, then the blowing-up harmonic map u_b from S^2 into N must be stable.*

Proof. We can always assume that $u_i \rightharpoonup u_0$ weakly in $H^{1,2}(\Omega, N)$ and that $\mu_i = |\nabla u_i|^2 \rightharpoonup \mu = |\nabla u_0|^2 + \nu$ in the sense of measure as $i \rightarrow \infty$. Because $\mathcal{H}^{n-2}(\Sigma) > 0$, the dimension reduction and Lin's theorem ([10], Theorem C) imply that Σ is a closed $\mathcal{H}^{n-2}$ -rectifiable set. Then by a lemma in Lin's work ([10], Lemma 2.1) we conclude that there exist r_k, x_k with $r_k \rightarrow 0$ as $k \rightarrow \infty$ and $x_k \rightarrow x_0 \in \Sigma$, such that $\mu_{r_k, x_k} \rightharpoonup \mu_*$ in the sense of measure. Here the Radon measure $\mu_* = C_0 \mathcal{H}^{n-2} \llcorner \Sigma_*$ and $\Sigma_* = \mathbf{R}^{n-2} \times \{0\}$, $\mu_{r_k, x_k}(A) = \mu(x_k + r_k A) r_k^{n-2}$. Denoting $u_i^k(x) = u_i(x_k + r_k x)$, by the diagonal subsequence argument, we can get a subsequence of $u_i^k(x)$ which we denote by $u_i^i(x)$ such that $|\nabla u_i^i(x)|^2 \rightharpoonup \mu_* = C_0 \mathcal{H}^{n-2} \llcorner \Sigma_*$ in the sense of measure. Because $u_i(x)$ are stationary harmonic maps, so $u_i^i(x)$ are also stationary harmonic maps; and if $u_i(x)$ are stable harmonic maps, then $u_i^i(x)$ are also stable. Set

$$(2.1) \quad F_i(x) = \int_{B_{\frac{1}{2}} \times \{0\}} \sum_{k=1}^{n-2} \left| \frac{\partial u_i^i}{\partial x_k} \right|^2(x, x') dx'$$

for $x \in \Sigma_* \cap B_2^n(0) = B_2^n(0) \times \{0\}$. Here and in what follows we denote by $B_r^n(y)$ the metric ball centered at y with radius r in $\mathbf{R}^n$, $B_r^1(y)$ the metric ball centered at y with radius r in $\mathbf{R}^{n-2}$ and $B_r^2(y)$ the metric ball centered at y with radius r in $\mathbf{R}^2$. Then as in the proof of Lemma 2.1 in Lin's work ([10], Lemma 3) or by Lemma 2.2 ([9], Lemma 2.2), we get

$$(2.2) \quad F_i(x) \rightarrow 0, \quad \text{as } i \rightarrow \infty.$$

We consider the Hardy-Littlewood function $M_{F_i}(x)$ of $F_i(x)$, which is defined by

$$M_{F_i}(x) = \sup_{0 < r < 1} r^{2-n} \int_{B_r^1(x)} F_i(x) dx.$$

By the weak type $(1, 1)$ inequality for $M_{F_i}(x)$, we have

$$\mathcal{H}^{n-2} \{x \in B_2^1(0) \mid M_{F_i}(x) \geq \lambda\} \leq \frac{C}{\lambda} \int_{B_2^1(0) \times B_2^2(0)} \sum_{k=1}^{n-2} \left| \frac{\partial u_i^k}{\partial x_k} \right|^2 dx dx'$$

for any $\lambda > 0$. So from (2.2) we have

$$(2.3) \quad \lim_{i \rightarrow \infty} \mathcal{H}^{n-2} \{x \in B_2^1(0) \mid M_{F_i}(x) \geq \lambda\} = 0.$$

As in the proof of Lemma 3.1 of Lin's work ([10], Lemma 3.1), by the partial regularity theorem of Bethuel [2] for stationary harmonic maps and (2.3), we can find a sequence of points $\{x_i^1\}$, $i = 1, 2, \dots$, $x_i^1 \in B_1^1(0)$, such that

$$(2.4) \quad u_i^i(x, x') \text{ is smooth near all } (x_i^1, x') \in B_1^1(0) \times B_1^2(0),$$

and that

$$(2.5) \quad \sup_{0 < r \leq 1} r^{2-n} \int_{B_r^1(x_i^1)} F_i(x) dx \rightarrow 0, \quad \text{as } i \rightarrow \infty.$$

Also for all i sufficiently large, we may find x_i' and δ_i with $x_i' \in B_{\frac{1}{4}}^2(0)$ and $\delta_i \in (0, \frac{1}{2})$, which satisfy that the maximum

$$(2.6) \quad \max_{x' \in B_{\frac{1}{2}}^2(0)} \delta_i^{2-n} \int_{B_{\delta_i}^1(x_i^1) \times B_{\delta_i}^2(x')} |\nabla u_i^i|^2 dx dx' = \frac{\varepsilon_0}{2^{n+3}}$$

is achieved at x_i' , and $\delta_i \rightarrow 0$, as $i \rightarrow \infty$ (for the details of how to get the existence of x_i' and δ_i one may see the proof of Lemma 3.1 in Lin's work [10]).

Set

$$v_i(y) = u_i^i(p_i + \delta_i y) = u_i(q_i + \gamma_i x), \quad p_i = (x_i^1, x_i'),$$

for some $q_i \in \Omega$, and $\gamma_i \rightarrow 0$, as $i \rightarrow \infty$. From the assumption of the lemma we know that if $u_i(x)$ are stable harmonic maps, then $v_i(y)$ are also stable harmonic maps. That is,

$$(2.7) \quad \int_{\Omega_i} \left[|\nabla V|^2 + \langle (R^N \cdot u)(V, dv_{i-})V, dv_i \rangle \right] dy \geq 0,$$

for any $V \in \Gamma(v_i^{-1}(TN))$ with compact support (here $\Omega_i = \frac{1}{\gamma_i}(\Omega - q_i)$). Furthermore, the $v_i(y)$ are stationary harmonic maps defined on

$$B_{R_i}^1(0) \times B_{R_i}^2(0) \supset B_3^1(0) \times B_{R_i}^2(0),$$

where $R_i = \frac{1}{4\delta_i} \rightarrow \infty$, as $i \rightarrow \infty$. From (2.5), (2.6) and energy monotonicity for both v_i and u_i^t , we have the following properties of v_i :

$$(2.8) \quad \int_{B_{R_i}^1(0) \times B_{R_i}^2(0)} \sum_{k=1}^{n-2} \left| \frac{\partial v_i}{\partial y_k} \right|^2 dy \rightarrow 0 \quad \text{as } i \rightarrow \infty,$$

$$(2.9) \quad \begin{aligned} \frac{\varepsilon_0}{2^{n+3}} &= \int_{B_1^1(0) \times B_1^2(0)} |\nabla v_i|^2 dy \\ &= \max_{x' \in B_{R_i-1}^2} \left\{ \int_{B_1^1(0) \times B_1^2(x')} |\nabla v_i|^2 dy \right\}, \end{aligned}$$

$$(2.10) \quad \sup_i \left\{ \int_{B_R^1(0) \times B_R^2(0)} |\nabla v_i|^2 dy \right\} \leq CR^{n-2}$$

for $0 < R < R_i$. Moreover, by Allard's Strong Constancy Lemma ([1], pp. 3-5) as in Lin's work [10], one may get

$$(2.11) \quad \int_{B_2^1(0) \times B_2^2(0)} |\nabla v_i|^2 (x^1, x' + b) dx^1 dx' \leq \varepsilon_0,$$

for all $b \in B_{R_i-2}^2(0)$. Therefore by the small energy regularity theorem [2], we have $v_i \rightarrow u_b$ (we also denote by v_i , one may take a subsequence if necessary) in

$$C^{1,\alpha} \left(B_{\frac{1}{2}}^1(0) \times B_{R_i-1}^2(0) \right) \quad \text{as } i \rightarrow \infty.$$

The limiting map u_b is a smooth harmonic map defined on $B_{\frac{1}{2}}^1(0) \times \mathbf{R}^2$ such that

$$(2.12) \quad \begin{aligned} \int_{B_1^1(0) \times B_1^2(0)} |\nabla u_b|^2 dx^1 dx' &= \frac{\varepsilon_0}{2^{n+3}}, \\ \int_{\mathbf{R}^{n-2} \times \mathbf{R}^2} \sum_{k=1}^{n-2} \left| \frac{\partial u_b}{\partial y_k} \right|^2 dy &= 0, \end{aligned}$$

and

$$(2.13) \quad \int_{B_R^1(0) \times B_R^2(0)} |\nabla u_b|^2 dy \leq CR^{n-2}.$$

Moreover from (2.12) and (2.13), by the strong convergence of $v_i \rightarrow u_b$, we have

$$(2.14) \quad \int_{\mathbf{R}^2} \left[|\nabla V|^2 + \langle (R^N \cdot u)(V, du_b) V, du_b \rangle \right] dy \geq 0,$$

for any $V \in \Gamma(u_b^{-1}(TN))$ with compact support. Therefore we get a smooth, nonconstant harmonic map $u_b : \mathbf{R}^2 \rightarrow N$ of finite energy which satisfies (2.14). Hence by Sacks-Uhlenbeck's [14] theorem, u_b is a smooth nonconstant harmonic map from S^2 to N which is stable. This completes the proof of the lemma. $\square$

Lemma 2.2 is obvious from Lemma 2.3.

Remark 2.4. Along the same line as the proof of Lemma 2.3, we can prove the following result.

If $u_i \in H^{1,2}(\Omega, N)$ is a sequence of stationary harmonic maps, with a uniformly bounded energy satisfying

$$(2.15) \quad \int_{\Omega} |\nabla \varphi|^2 \geq A \int_{\Omega} |\nabla u_i|^2 |\varphi|^2, \quad \forall \varphi \in C_0^1(\Omega, \mathbf{R}), \forall i,$$

for some positive constant A , and $\mathcal{H}^{n-2}(\Sigma) > 0$, then the blowing-up harmonic map u_b also satisfies the stable inequality

$$(2.16) \quad \int_{\mathbf{R}^2} |\nabla \varphi|^2 \geq A \int_{\mathbf{R}^2} |\nabla u_b|^2 |\varphi|^2, \quad \forall \varphi \in C_0^1(S^2, \mathbf{R}).$$

The following Liouville-type result governs the occurrence of blowing-up harmonic maps, which also illustrates some connection between the stable condition and stable inequality.

Proposition 2.5. *If a harmonic map u_b from $\mathbf{R}^2$ into N satisfies the stable inequality (2.16), then u_b must be constant.*

Proof. We choose $\varphi_t(x')$ to be

$$\varphi_t(x') = \begin{cases} 1, & \text{on } B_t^2(0), \\ \frac{\ln(t^2/|x'|)}{\ln t}, & \text{on } B_{t^2}^2(0) \setminus B_t^2(0), \\ 0, & |x'| > t^2. \end{cases}$$

Substituting $\varphi_t(x')$ into (2.16), we have

$$\begin{aligned} A \int_{B_t(0)} |\nabla u_b|^2 |\varphi_t|^2 &\leq \int_{B_{t^2}^2(0) \setminus B_t^2(0)} \frac{1}{(\ln t)^2 |x'|^2} dx' \\ &\leq C \frac{1}{(\ln t)}. \end{aligned}$$

Letting t tends to $+\infty$, we conclude that u_b must be constant. $\square$

For the further relation between the stable inequality and the stable condition one may see Wei's work [16].

Combining the above remark and proposition, we conclude that $H^{n-2}(\Sigma) = 0$, and hence we give a different proof of the compactness lemma in Hong and Wang's work [8], which is a key step in their paper.

Proof of Theorem 2. Without loss of generality, assume that u is a stable-stationary weakly harmonic map from Ω into N where N does not carry any stable harmonic maps S^2 , and Z is the singular set of u . Let $0 \leq s < n-2$ be such that $\mathcal{H}^s(Z) > 0$. Then by the well-known density results, we have

$$(2.17) \quad \limsup_{r \rightarrow 0} r^{-s} \mathcal{H}^s(Z \cap B_r^n(x)) \geq c > 0$$

for a sequence $r \rightarrow 0$. Assume the origin is one of the singular points and rescaling $u(x)$ as $u_\lambda(x) = u(\lambda x)$. By the well-known monotonicity inequality and Lemma 4, there exists a subsequence λ_i of λ such that $u_{\lambda_i}(x)$ converges to u_0 strongly in $H^{1,2}(B_{\frac{1}{2}}, N)$ where u_0 is a tangent harmonic map, i.e., $\frac{\partial}{\partial r} u_0 = 0$ a.e. in B_1 . Let

Z_0 and Z_i be the singular sets of $u_0(x)$ and $u_{\lambda_i}(x)$. By Lemma 5.3 of Schoen-Uhlenbeck [15] and (2.17), we obtain

$$(2.18) \quad \mathcal{H}^s \left(Z_0 \cap B_{\frac{1}{2}} \right) \geq \lim_{i \rightarrow \infty} \mathcal{H}^s \left(Z_i \cap B_{\frac{1}{2}} \right) = \lim_{i \rightarrow \infty} \lambda_i^s \mathcal{H}^s \left(Z \cap B_{\frac{\lambda_i}{2}} \right) > 0.$$

Since $\frac{\partial u_0}{\partial r} = 0$, we have $\lambda Z_0 \subset Z_0$ for any $\lambda > 0$. So we repeat the Federer reducing dimension argument (see Corollary 1.10 in [10]). As in [15], we find an integer m and harmonic map $u_j \in H^{1,2}(\mathbf{R}^n, S^k)$ for $j = 1, \dots, m$ such that the u_j are stable-stationary, $\frac{\partial u_j}{\partial x_\alpha} = 0$ for $\alpha = 1, \dots, j$ and $\mathcal{H}^s(Z_j \cap B_1) > 0$ where Z_j is the singular set of u_j . We repeat the argument until $s - m \leq 0$. Since $s \leq n - 2$, we then have $m \leq n - 2$. It is impossible for $m = n - 2$ since $\mathcal{H}^{n-2}(Z) = 0$. Therefore we have $s \leq n - 3$. This shows that the Hausdorff dimension of the singular set Z is less than or equal to $n - 3$. $\square$

To get the extent of the manifold N which does not carry stable harmonic S^2 , let us recall a famous index estimate result by Micallef and Moore [12].

Proposition 2.6. *Let N be an m -dimensional Riemannian manifold with positive curvature on totally isotropic two-planes, Then any nonconstant conformal harmonic map $u : S^2 \rightarrow N$ has index at least $\left(\frac{m}{2}\right) - \left(\frac{3}{2}\right)$, $\text{ind}(u) \geq \left(\frac{m}{2}\right) - \left(\frac{3}{2}\right)$. Furthermore, if N had positive curvature operators or $(2, 2)$ -positive curvature operators instead of positive curvature on totally isotropic two-planes, then $\text{ind}(u) \geq m - 2$.*

Here $\text{ind}(u)$ denotes the Morse index of the harmonic map u . For the conditions: (1): positive curvature operators, (2): $(2, 2)$ -positive curvature operators and (3): positive curvature on totally isotropic two-planes, we refer to the paper [12].

Hence combining the above proposition with Theorem 1.2, we have

Theorem 2.7. *Let $u \in H^{1,2}(\Omega, N)$ be a stable-stationary harmonic map, $\dim N = m$. If the target manifold N satisfies one of the following:*

- a) N has positive curvature operators or $(2, 2)$ -positive curvature operators, $m > 2$,
- b) N has positive curvature on totally isotropic two-planes, $m > 3$, then the Hausdorff dimension of the singular set of u is less than or equal to $n - 3$.

For the case of a stable-stationary harmonic map, there are many contributions to Liouville-type theorems along these lines, for example, the condition 2-superstrongly unstable manifold introduced by Wei and Yau [17]. At least the above theorem gives other types of results in this direction.

It is an interesting problem to reveal the relation of the regularity of the harmonic map with the curvature condition of the target manifold N when N has positive sectional curvature. Here our theorems gives evidence of these connections.

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