A SUFFICIENT AND NECESSARY CONDITION FOR HALPERN-TYPE STRONG CONVERGENCE TO FIXED POINTS OF NONEXPANSIVE MAPPINGS

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ABSTRACT. In this paper, we prove a Halpern-type strong convergence theorem for nonexpansive mappings in a Banach space whose norm is uniformly Gâteaux differentiable. Also, we discuss the sufficient and necessary condition about this theorem. This is a partial answer of the problem raised by Reich in 1983.

1. INTRODUCTION

Let C be a closed convex subset of a Banach space E . A mapping T on C is called a *nonexpansive mapping* if $\|Tx - Ty\| \leq \|x - y\|$ for all $x, y \in C$. We denote by $F(T)$ the set of fixed points of T . We know that $F(T)$ is nonempty in the case when E is uniformly smooth and C is bounded; see Baillon [1]. See also [3, 4, 10, 13] and others. Fix $u \in C$. Then for each $t \in (0, 1)$, there exists a unique point z_t in C satisfying $z_t = tu + (1 - t)Tx_t$ because the mapping $x \mapsto tu + (1 - t)Tx$ is contractive; see [2]. In 1967, Browder [5] proved the following strong convergence theorem.

Theorem 1 (Browder [5]). *Let C be a bounded closed convex subset of a Hilbert space E and let T be a nonexpansive mapping on C . Fix $u \in C$ and define $z_t \in C$ as $z_t = tu + (1 - t)Tx_t$ for $t \in (0, 1)$. Then as t tends to $+0$, $\{z_t\}$ converges strongly to the element of $F(T)$ nearest to u .*

Reich extended this theorem to uniformly smooth Banach spaces in [15]. As motivated by Theorem 1, Halpern [11] considered the following explicit iteration:

$$(1) \quad x_{n+1} = \alpha_n u + (1 - \alpha_n)Tx_n$$

and proved the following.

Theorem 2 (Halpern [11]). *Let E , C , T , u be as in Theorem 1. Define a real sequence $\{\alpha_n\}$ in $[0, 1]$ by $\alpha_n = n^{-\theta}$, where $\theta \in (0, 1)$. Define a sequence $\{x_n\}$ in C by $x_1 \in C$ and (1). Then $\{x_n\}$ converges strongly to the element of $F(T)$ nearest to u .*

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Lions [14] improved Theorem 2. In [14], our assumption is

- (C1) $\lim_n \alpha_n = 0$;
- (C2) $\sum_{n=1}^{\infty} \alpha_n = \infty$;
- (C3) $\lim_n (\alpha_{n+1} - \alpha_n) / \alpha_{n+1}^2 = 0$.

Several authors have studied condition (C3). Wittmann [23] proved a strong convergence theorem under the assumption of (C1), (C2) and

- (C4) $\sum_{n=1}^{\infty} |\alpha_{n+1} - \alpha_n| < \infty$.

Xu [24, 25] considered the conditions (C1), (C2) and

- (C5) $\lim_n (\alpha_{n+1} - \alpha_n) / \alpha_{n+1} = 0$.

Very recently, Cho, Kang and Zhou [9] considered (C1), (C2) and

- (C6) $|\alpha_{n+1} - \alpha_n| \leq o(\alpha_{n+1}) + \sigma_n$ and $\sum_{n=1}^{\infty} \sigma_n < \infty$.

On the other hand, Shioji and Takahashi [17] proved a convergence theorem in Banach spaces under the assumption of (C1), (C2) and (C4). See also [8].

In this paper, we introduce a new explicit iteration similar to (1), and prove strong convergence theorems under the assumption of only (C1) and (C2). We also show that the conjunction of (C1) and (C2) is the necessary and sufficient condition on our iteration. This is a partial answer of Problem 6 in Reich [16].

2. PRELIMINARIES

Throughout this paper, we denote by $\mathbb{N}$ the set of all positive integers.

Let $\{x_n\}$ be a sequence in a topological space X . By the Axiom of Choice, there exist a directed set $(D, \leq)$ and a *universal subnet* $\{x_{f(\nu)} : \nu \in D\}$ of $\{x_n\}$, i.e.,

- (i) f is a mapping from D into $\mathbb{N}$ such that for each $n \in \mathbb{N}$ there exists $\nu_0 \in D$ such that $\nu \geq \nu_0$ implies $f(\nu) \geq n$; and
- (ii) for each subset A of X , there exists $\nu_0 \in D$ such that either $\{x_{f(\nu)} : \nu \geq \nu_0\} \subset A$ or $\{x_{f(\nu)} : \nu \geq \nu_0\} \subset X \setminus A$ holds.

We know that if a net $\{x_\nu\}$ is universal and g is a mapping from X into an arbitrary set Y , then $\{g(x_\nu)\}$ is also universal. We also know that if X is compact, then a universal net $\{x_\nu\}$ always converges. See [12] for details.

Let E be a real Banach space. We denote by E^* the dual of E . E is said to be *smooth* or said to have a *Gâteaux differentiable norm* if the limit

$$\lim_{t \rightarrow 0} \frac{\|x + ty\| - \|x\|}{t}$$

exists for each $x, y \in E$ with $\|x\| = \|y\| = 1$. E is said to have a *uniformly Gâteaux differentiable norm* if for each $y \in E$ with $\|y\| = 1$, the limit is attained uniformly in $x \in E$ with $\|x\| = 1$. E is said to be *uniformly smooth* or said to have a *uniformly Fréchet differentiable norm* if the limit is attained uniformly in $x, y \in E$ with $\|x\| = \|y\| = 1$.

Let E be a smooth Banach space. The *duality mapping* J from E into E^* is defined as

$$\langle x, J(x) \rangle = \|x\|^2 = \|J(x)\|^2$$

for all $x \in E$. We note that

$$\|x + y\|^2 \geq \|x\|^2 + 2 \langle y, J(x) \rangle$$

for all $x, y \in E$ because J is the subdifferential of $x \mapsto \frac{1}{2}\|x\|^2$. We also note that when the norm of E is uniformly Gâteaux differentiable, the duality mapping is

uniformly continuous on bounded subsets of E from the strong topology of E into the weak* topology of E^* .

Let C and K be subsets of a Banach space E . A mapping P from C into K is called *sunny* [6] if

$$P(Px + t(x - Px)) = Px$$

for $x \in C$ with $Px + t(x - Px) \in C$ and $t \geq 0$.

The following is proved in [21]. See also [18], [20], [22].

Lemma 1 ([21]). *Let $\{x_n\}$ and $\{y_n\}$ be bounded sequences in a Banach space E and let $\{\beta_n\}$ be a sequence in $[0, 1]$ with $0 < \liminf_n \beta_n \leq \limsup_n \beta_n < 1$. Suppose that $x_{n+1} = \beta_n y_n + (1 - \beta_n)x_n$ for all $n \in \mathbb{N}$ and*

$$\limsup_{n \rightarrow \infty} (\|y_{n+1} - y_n\| - \|x_{n+1} - x_n\|) \leq 0.$$

Then $\lim_n \|y_n - x_n\| = 0$.

The following lemma is well known. For example, see Lemma 2.1 in [24].

Lemma 2. *Let $\{\alpha_n\}$, $\{\beta_n\}$ and $\{\gamma_n\}$ be nonnegative real sequences satisfying*

$$\sum_{n=1}^{\infty} \alpha_n = \infty, \quad \lim_{n \rightarrow \infty} \beta_n = 0, \quad \alpha_n \leq 1, \quad \text{and} \quad \gamma_{n+1} \leq (1 - \alpha_n) \gamma_n + \alpha_n \beta_n$$

for $n \in \mathbb{N}$. Then $\{\gamma_n\}$ converges to 0.

Bruck [7] essentially proved the following lemma. See also [19].

Lemma 3 (Bruck [7]). *Let C be a closed convex subset of a strictly convex Banach space E . Let $\{T_n : n \in \mathbb{N}\}$ be a sequence of nonexpansive mappings on C . Suppose $\bigcap_{n=1}^{\infty} F(T_n)$ is nonempty. Let $\{\lambda_n\}$ be a sequence of positive numbers with $\sum_{n=1}^{\infty} \lambda_n = 1$. Then a mapping S on C defined by*

$$Sx = \sum_{n=1}^{\infty} \lambda_n T_n x$$

for $x \in C$ is well defined, nonexpansive and $F(S) = \bigcap_{n=1}^{\infty} F(T_n)$ holds.

3. SUFFICIENCY

In this section, proving the following theorem, we show that the conjunction of (C1) and (C2) is a sufficient condition on our iteration.

Theorem 3. *Let E be a Banach space whose norm is uniformly Gâteaux differentiable and let T be a nonexpansive mapping on a closed convex subset C of E . Fix $u \in C$ and define a sequence $\{x_n\}$ in C by $x_1 \in C$ and*

$$(2) \quad x_{n+1} = \alpha_n u + (1 - \alpha_n) (\lambda T x_n + (1 - \lambda) x_n)$$

for $n \in \mathbb{N}$, where $\lambda \in (0, 1)$ and $\{\alpha_n\}$ is a sequence in $[0, 1]$ with (C1) and (C2). Assume that $\{z_t\}$ converges strongly to some point $z \in C$ as t tends to $+\infty$, where z_t is the unique element of C with $z_t = t u + (1 - t) T z_t$ for every $t \in (0, 1)$. Then $\{x_n\}$ converges strongly to z .

Remark. It is obvious that z is a fixed point of T .

Proof. Put $M = \|x_1 - z\| + \|u - z\|$ and

$$y_n = \frac{\alpha_n u + (1 - \alpha_n) \lambda T x_n}{\alpha_n + (1 - \alpha_n) \lambda}$$

for every $n \in \mathbb{N}$. Then we can write

$$x_{n+1} = (\alpha_n + (1 - \alpha_n) \lambda) y_n + (1 - \alpha_n - (1 - \alpha_n) \lambda) x_n$$

for $n \in \mathbb{N}$. We note that

$$\lim_{n \rightarrow \infty} (\alpha_n + (1 - \alpha_n) \lambda) = \lambda \in (0, 1).$$

$\|x_1 - z\| \leq M$ is clear. Since

$$\begin{aligned} \|x_{n+1} - z\| &\leq \alpha_n \|u - z\| + (1 - \alpha_n) \lambda \|T x_n - z\| + (1 - \alpha_n) (1 - \lambda) \|x_n - z\| \\ &\leq \alpha_n \|u - z\| + (1 - \alpha_n) \lambda \|x_n - z\| + (1 - \alpha_n) (1 - \lambda) \|x_n - z\| \\ &= \alpha_n \|u - z\| + (1 - \alpha_n) \|x_n - z\| \end{aligned}$$

for $n \in \mathbb{N}$, we have $\|x_n - z\| \leq M$ for all $n \in \mathbb{N}$ by induction. We also have

$$\begin{aligned} \|y_n - z\| &\leq \frac{\alpha_n \|u - z\| + (1 - \alpha_n) \lambda \|T x_n - z\|}{\alpha_n + (1 - \alpha_n) \lambda} \\ &\leq \frac{\alpha_n \|u - z\| + (1 - \alpha_n) \lambda \|x_n - z\|}{\alpha_n + (1 - \alpha_n) \lambda} \\ &\leq \frac{\alpha_n M + (1 - \alpha_n) \lambda M}{\alpha_n + (1 - \alpha_n) \lambda} \\ &= M < \infty. \end{aligned}$$

Hence, $\{x_n\}$ and $\{y_n\}$ are bounded sequences in C . Besides, we have

$$\begin{aligned} &\limsup_{n \rightarrow \infty} (\|y_{n+1} - y_n\| - \|x_{n+1} - x_n\|) \\ &= \limsup_{n \rightarrow \infty} \left(\left\| \frac{\alpha_{n+1} u + (1 - \alpha_{n+1}) \lambda T x_{n+1}}{\alpha_{n+1} + (1 - \alpha_{n+1}) \lambda} - \frac{\alpha_n u + (1 - \alpha_n) \lambda T x_n}{\alpha_n + (1 - \alpha_n) \lambda} \right\| \right. \\ &\quad \left. - \|x_{n+1} - x_n\| \right) \\ &\leq \limsup_{n \rightarrow \infty} \left(\left| \frac{\alpha_{n+1}}{\alpha_{n+1} + (1 - \alpha_{n+1}) \lambda} - \frac{\alpha_n}{\alpha_n + (1 - \alpha_n) \lambda} \right| \|u\| \right. \\ &\quad + \frac{(1 - \alpha_{n+1}) \lambda}{\alpha_{n+1} + (1 - \alpha_{n+1}) \lambda} \|T x_{n+1} - T x_n\| \\ &\quad + \left| \frac{(1 - \alpha_{n+1}) \lambda}{\alpha_{n+1} + (1 - \alpha_{n+1}) \lambda} - \frac{(1 - \alpha_n) \lambda}{\alpha_n + (1 - \alpha_n) \lambda} \right| \|T x_n\| \\ &\quad \left. - \|x_{n+1} - x_n\| \right) \\ &\leq \limsup_{n \rightarrow \infty} \left(\left| \frac{\alpha_{n+1}}{\alpha_{n+1} + (1 - \alpha_{n+1}) \lambda} - \frac{\alpha_n}{\alpha_n + (1 - \alpha_n) \lambda} \right| \|u\| \right. \\ &\quad + \left(\frac{(1 - \alpha_{n+1}) \lambda}{\alpha_{n+1} + (1 - \alpha_{n+1}) \lambda} - 1 \right) \|x_{n+1} - x_n\| \\ &\quad + \left| \frac{(1 - \alpha_{n+1}) \lambda}{\alpha_{n+1} + (1 - \alpha_{n+1}) \lambda} - \frac{(1 - \alpha_n) \lambda}{\alpha_n + (1 - \alpha_n) \lambda} \right| (M + \|z\|) \Big) \\ &= 0. \end{aligned}$$

Thus, by Lemma 1, we obtain $\lim_n \|y_n - x_n\| = 0$. Therefore

$$(3) \quad \lim_{n \rightarrow \infty} \|x_{n+1} - x_n\| = \lim_{n \rightarrow \infty} (\alpha_n + (1 - \alpha_n)\lambda) \|y_n - x_n\| = 0.$$

We next show

$$(4) \quad \limsup_{n \rightarrow \infty} \langle u - z, J(x_n - z) \rangle \leq 0.$$

We choose a universal subnet $\{x_{f(\nu)} : \nu \in D\}$ of $\{x_n\}$ with

$$\lim_{\nu \in D} \langle u - z, J(x_{f(\nu)} - z) \rangle = \limsup_{n \rightarrow \infty} \langle u - z, J(x_n - z) \rangle.$$

Then we have

$$\begin{aligned} \|x_n - Tz_t\| &\leq \|x_n - x_{n+1}\| + \|x_{n+1} - Tz_t\| \\ &\leq \|x_n - x_{n+1}\| + \alpha_n \|u - Tz_t\| + (1 - \alpha_n)\lambda \|Tx_n - Tz_t\| \\ &\quad + (1 - \alpha_n)(1 - \lambda) \|x_n - Tz_t\| \\ &\leq \|x_n - x_{n+1}\| + \alpha_n \|u - Tz_t\| + (1 - \alpha_n)\lambda \|x_n - z_t\| \\ &\quad + (1 - \alpha_n)(1 - \lambda) \|x_n - Tz_t\| \end{aligned}$$

for $n \in \mathbb{N}$ and $t \in (0, 1)$, and hence

$$\lim_{\nu \in D} \|x_{f(\nu)} - Tz_t\| \leq \lim_{\nu \in D} (\lambda \|x_{f(\nu)} - z_t\| + (1 - \lambda) \|x_{f(\nu)} - Tz_t\|)$$

by (3). Thus we obtain

$$\lim_{\nu \in D} \|x_{f(\nu)} - Tz_t\| \leq \lim_{\nu \in D} \|x_{f(\nu)} - z_t\|$$

for $t \in (0, 1)$. From the definition of $\{z_t\}$, we have

$$\begin{aligned} &\lim_{\nu \in D} \|x_{f(\nu)} - z_t\|^2 \\ &\geq \lim_{\nu \in D} \|x_{f(\nu)} - Tz_t\|^2 \\ &= \lim_{\nu \in D} \left\| (x_{f(\nu)} - z_t) + \frac{t}{1-t}(u - z_t) \right\|^2 \\ &\geq \lim_{\nu \in D} \left(\|x_{f(\nu)} - z_t\|^2 + \frac{2t}{1-t} \langle u - z_t, J(x_{f(\nu)} - z_t) \rangle \right) \end{aligned}$$

for $t \in (0, 1)$ and hence

$$\lim_{\nu \in D} \langle u - z_t, J(x_{f(\nu)} - z_t) \rangle \leq 0.$$

We note that $\{\langle u - z_t, J(x_n - z_t) \rangle\}$ converges uniformly to $\langle u - z, J(x_n - z) \rangle$ as t tends to $+0$ because the norm of E is Gâteaux uniformly differentiable. Thus we obtain

$$\lim_{\nu \in D} \langle u - z, J(x_{f(\nu)} - z) \rangle \leq 0.$$

This implies (4). Since z is a fixed point of T , we have

$$\begin{aligned} (1 - \alpha_n) \|x_n - z\|^2 &\geq (1 - \alpha_n)^2 \|x_n - z\|^2 \\ &\geq (1 - \alpha_n)^2 \|\lambda Tx_n + (1 - \lambda)x_n - z\|^2 \\ &= \|(x_{n+1} - z) - \alpha_n(u - z)\|^2 \\ &\geq \|x_{n+1} - z\|^2 - 2\alpha_n \langle u - z, J(x_{n+1} - z) \rangle \end{aligned}$$

and hence

$$\|x_{n+1} - z\|^2 \leq (1 - \alpha_n) \|x_n - z\|^2 + \alpha_n \max \{0, 2 \langle u - z, J(x_{n+1} - z) \rangle\}$$

for $n \in \mathbb{N}$. By Lemma 2 and (4), $\{x_n\}$ converges strongly to z . This completes the proof. $\square$

As direct consequences of Theorem 3, we obtain the following.

Corollary 1. *Let E be a uniformly smooth Banach space. Let $C, T, u, \lambda, \{\alpha_n\}, \{x_n\}$ be as in Theorem 3. Assume that C is bounded. Then $\{x_n\}$ converges strongly to Pu , where P is the unique sunny nonexpansive retraction from C onto $F(T)$.*

Corollary 2. *Let E be a Hilbert space. Let $C, T, u, \lambda, \{\alpha_n\}, \{x_n\}$ be as in Theorem 3. Assume that C is bounded. Then $\{x_n\}$ converges strongly to the element of $F(T)$ nearest to u .*

Using Theorem 3 and Lemma 3, we obtain the following.

Theorem 4. *Let E be a strictly convex Banach space whose norm is uniformly Gâteaux differentiable. Let $\{T_n : n \in \mathbb{N}\}$ be a sequence of nonexpansive mappings on a closed convex subset C of E . Suppose that $\bigcap_{n=1}^{\infty} F(T_n)$ is nonempty. Define a nonexpansive mapping S on C by*

$$Sx = \frac{1}{\lambda} \sum_{n=1}^{\infty} \lambda_n T_n x$$

for $x \in C$, where $\{\lambda_n\}$ is a sequence of positive numbers with $\sum_{n=1}^{\infty} \lambda_n < 1$ and $\lambda = \sum_{n=1}^{\infty} \lambda_n$. Fix $u \in C$ and define a sequence $\{x_n\}$ in C by $x_1 \in C$ and

$$x_{n+1} = \alpha_n u + (1 - \alpha_n) (\lambda Sx_n + (1 - \lambda) x_n)$$

for $n \in \mathbb{N}$, where $\{\alpha_n\}$ is a sequence in $[0, 1]$ with (C1) and (C2). Assume that $\{z_t\}$ converges strongly to some point $z \in C$ as t tends to $+0$, where z_t is the unique element of C with $z_t = tu + (1 - t)Sz_t$ for every $t \in (0, 1)$. Then $\{x_n\}$ converges strongly to z .

Remark. (i) The assumption of $\bigcap_{n=1}^{\infty} F(T_n) \neq \emptyset$ is needed.

(ii) It is obvious that z is a common fixed point of $\{T_n : n \in \mathbb{N}\}$ by Lemma 3.

4. NECESSITY

In this section, we show that the conjunction of (C1) and (C2) is a necessary condition on our iteration. That is, the conjunction of (C1) and (C2) is the sufficient and necessary condition. We note that the proof of Theorem 2 in Halpern [11] is not valid in our discussion.

Example 1. Let E be the two-dimensional real Hilbert space and put a bounded closed convex subset C of E by

$$C = \{x \in E : x(1) \geq 0, x(2) \geq 0, x(1)^2 + x(2)^2 \leq 1\}.$$

Define a nonexpansive mapping T on C by

$$Tx = (0, \sqrt{x(1)^2 + x(2)^2})$$

for all $x \in C$. Let $\{\alpha_n\}$ be a real sequence in $[0, 1]$, let $\lambda \in (0, 1)$ and put $u = (1, 0)$. Define $\{x_n\}$ in C by $x_1 = u$ and (2), and assume $\{x_n\}$ converges to $(0, 0)$. Then $\{\alpha_n\}$ satisfies (C1) and (C2).

Proof. We first note that the element of $F(T)$ nearest to u is $(0, 0)$. We have

$$x_{n+1} = \begin{bmatrix} \frac{\alpha_n + (1 - \alpha_n)(1 - \lambda)x_n(1)}{(1 - \alpha_n)\lambda\sqrt{x_n(1)^2 + x_n(2)^2} + (1 - \alpha_n)(1 - \lambda)x_n(2)} \\ \frac{\alpha_n + (1 - \alpha_n)(1 - \lambda)x_n(2)}{(1 - \alpha_n)\lambda\sqrt{x_n(1)^2 + x_n(2)^2} + (1 - \alpha_n)(1 - \lambda)x_n(2)} \end{bmatrix}$$

for $n \in \mathbb{N}$. By induction, we can show $x_n \neq (0, 0)$ for all $n \in \mathbb{N}$. Since $x_{n+1}(1) \geq \alpha_n$ for $n \in \mathbb{N}$ and $\lim_n x_n(1) = 0$, $\{\alpha_n\}$ satisfies (C1). So we can choose $\ell \in \mathbb{N}$ satisfying $\alpha_n < 1$ for all $n \geq \ell$. Then we note $x_{\ell+1}(2) > 0$. Since $x_{n+1}(2) \geq (1 - \alpha_n)x_n(2)$ for $n \in \mathbb{N}$, we have $x_n(2) \geq (\prod_{k=\ell+1}^{n-1} (1 - \alpha_k)) x_{\ell+1}(2)$ for all $n > \ell + 1$. Since $\lim_n x_n(2) = 0$, we obtain $\prod_{k=\ell+1}^{n-1} (1 - \alpha_k) = 0$. Thus, $\{\alpha_n\}$ satisfies (C2). $\square$

Therefore we have shown the following.

Proposition 1. *Let $\{\alpha_n\}$ be a real sequence in $[0, 1]$. Then the following are equivalent:*

- (i) $\{\alpha_n\}$ satisfies (C1) and (C2).
- (ii) *If T is a nonexpansive mapping on a bounded closed convex subset C of a Hilbert space E , $u \in C$, $\lambda \in (0, 1)$, and $\{x_n\}$ is a sequence in C defined by $x_1 \in C$ and (2), then $\{x_n\}$ converges strongly to the element of $F(T)$ nearest to u .*

Remark. This is a partial answer of Problem 6 in Reich [16] because for $\lambda \in (0, 1)$ and a nonexpansive mapping T , a mapping $x \mapsto \lambda Tx + (1 - \lambda)x$ is nonexpansive, and the two sets of fixed points of such mapping and T coincide.

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