THE GEOGRAPHY OF SYMPLECTIC 4-MANIFOLDS WITH AN ARBITRARY FUNDAMENTAL GROUP

JONGIL PARK

(Communicated by Daniel Ruberman)

ABSTRACT. In this article, for each finitely presented group G , we construct a family of minimal symplectic 4-manifolds with $\pi_1 = G$ which cover most lattice points $(x, \mathbf{c})$ with x large in the region $0 \leq \mathbf{c} < 9x$. Furthermore, we show that all these 4-manifolds admit infinitely many distinct smooth structures.

1. INTRODUCTION

Applications of gauge theory to symplectic 4-manifolds have led to many remarkable results. For example, C. Taubes proved that every minimal symplectic 4-manifold with $b_2^+ > 1$ satisfies $c_1^2 \geq 0$ [T]. Topologists were able to prove that most lattice points $(x, \mathbf{c})$ satisfying $0 \leq \mathbf{c} < 9x$ are realized as chern numbers (χ, c_1^2) of simply connected minimal symplectic 4-manifolds, and most known simply connected minimal symplectic 4-manifolds with b_2^+ large enough admit infinitely many distinct symplectic structures ([FS], [GS], [P1]-[P3]). Note that $\chi = \frac{\sigma+e}{4}$ and $c_1^2 = 3\sigma + 2e$, where σ and e denote the signature and the Euler characteristic of a given 4-manifold. But little is known in the non-simply connected case.

The aim of this paper is to extend the results above partially to the non-simply connected case. Explicitly we have

Theorem 1.1. *For each finitely generated group G , there are constants r_G and t_G such that every lattice point $(x, \mathbf{c})$ satisfying $0 \leq \mathbf{c} \leq r_G x$ and $x \geq t_G$ is realized as (χ, c_1^2) of a minimal symplectic 4-manifold with $\pi_1 = G$ which admits infinitely many distinct smooth structures. Furthermore, the constant r_G can be chosen so that it is close to 9.*

Remarks 1. R. Gompf first constructed a new family of symplectic 4-manifolds with any prescribed fundamental group [G], and recently S. Baldridge and P. Kirk constructed a family of symplectic 4-manifolds with an arbitrary fundamental group near the Bogomolov-Miyaoka-Yau line [BK2]. Theorem 1.1 above addresses the uniqueness of smooth structures on such 4-manifolds as well as the existence of such 4-manifolds.

Received by the editors March 23, 2006.

2000 *Mathematics Subject Classification.* Primary 57R17, 57R57; Secondary 57N13.

Key words and phrases. Fiber-sum, geography problem, knot surgery, symplectic 4-manifolds.

This work was supported by Korea Research Foundation Grant (KRF-2004-013-C00002) and R14-2002-007-01002-0.

©2007 American Mathematical Society
 Reverts to public domain 28 years from publication

Remarks 2. The key ingredient in the proof of Theorem 1.1 above is to use S. Boyer's result on simply connected 4-manifolds with a given boundary [B].

2. THE MAIN CONSTRUCTION

The main tactic in proving that many simply connected symplectic 4-manifolds admit infinitely many distinct smooth structures was to show that a family of simply connected homeomorphic 4-manifolds obtained by some topological surgeries have mutually different Seiberg-Witten invariants. We use the same idea in the non-simply connected case. We first briefly review such topological surgeries — called a fiber sum and a knot surgery — and state related theorems.

Definition. For $i=1, 2$, let X_i be a symplectic 4-manifold containing a symplectic (or Lagrangian) genus g surface Σ_g of square zero. Suppose that $X_i^0 = X_i - \nu_i(\Sigma_g)$ is a complement of a tubular neighborhood $\nu_i(\Sigma_g)$ of Σ_g in X_i . Then, by choosing an orientation-reversing, fiber-preserving diffeomorphism $\varphi : \nu_1(\Sigma_g) \rightarrow \nu_2(\Sigma_g)$ and by gluing X_1^0 to X_2^0 along their boundaries via the diffeomorphism $\varphi| : \partial\nu_1(\Sigma_g) \rightarrow \partial\nu_2(\Sigma_g)$, one can get a new symplectic 4-manifold $X_1 \#_{\Sigma_g} X_2$, called a (*symplectic*) *fiber sum* of X_1 and X_2 along Σ_g .

Remarks 1. The Euler characteristic and the signature of a fiber sum 4-manifold $X_1 \#_{\Sigma_g} X_2$ are easily computed, so that it has

$$\chi(X_1 \#_{\Sigma_g} X_2) = \chi(X_1) + \chi(X_2) + (g-1) \text{ and } c_1^2(X_1 \#_{\Sigma_g} X_2) = c_1^2(X_1) + c_1^2(X_2) + 8(g-1).$$

Remarks 2. The minimality is preserved under a symplectic fiber sum operation. That is, if both X_1 and X_2 are minimal symplectic 4-manifolds, so is $X_1 \#_{\Sigma_g} X_2$ (Theorem 2.5 in [P1]).

Remarks 3. The Seiberg-Witten invariants of a fiber sum 4-manifold can be completely computed under certain cases. For example, the following product formula is widely known to the experts ([FS], [Pd]).

Theorem 2.1 ([Pd], Corollary 15 and Corollary 20). *Suppose X_1 and X_2 are closed symplectic 4-manifolds which contain a symplectic torus T in a cusp neighborhood. Then the Seiberg-Witten invariant of $X_1 \#_T X_2$ is given by*

$$SW_{X_1 \#_T X_2} = SW_{X_1} \cdot SW_{X_2} \cdot (\exp([T]) - \exp(-[T]))^2.$$

Definition. Suppose K is a fibered knot in S^3 . Let M_K be a 3-manifold obtained by performing 0-framed surgery on K . Then the 3-manifold M_K can be considered as a fiber bundle over circle, so that $M_K \times S^1$ fibers over torus T . If X is a symplectic 4-manifold with a symplectically embedded torus T of square 0, then one can get a new symplectic 4-manifold $X_K := X \#_T (M_K \times S^1)$, obtained by taking a (symplectic) fiber sum along T ; we call this a *knot surgery* with a knot K . R. Fintushel and R. Stern proved that X_K is homotopy equivalent to X under a mild condition on X and they also computed the Seiberg-Witten invariant of X_K .

Theorem 2.2 ([FS]). *Suppose X is a simply connected symplectic 4-manifold which contains a symplectic torus T of square 0 in a cusp neighborhood with $\pi_1(X \setminus T) = 1$. If K is a fibered knot in S^3 , then X_K is a symplectic 4-manifold which is homeomorphic to X and whose Seiberg-Witten invariant is*

$$SW_{X_K} = SW_X \cdot \Delta_K(t),$$

where $\Delta_K(t)$ is the Alexander polynomial of a knot K and $t = \exp(2[T])$.

Next, we introduce some basic symplectic 4-manifolds which will serve as building blocks of our construction ([G], [GS] for details).

Building Block 1. Let $Q := Z_1 \#_{\psi} Z_2$ be a symplectic 4-manifold constructed as follows: First, consider a Thurston's manifold $Z := \mathbb{R}^4/G$, where G is a discrete subgroup of symplectomorphisms generated by unit translations parallel to the x^1 -, x^2 -, and x^3 -axes, together with the map $(x^1, \dots, x^4) \mapsto (x^1 + x^2, x^2, x^3, x^4 + 1)$. Note that projection onto the last two coordinates induces a bundle structure $\pi : Z \rightarrow \mathbb{T}^2$ with symplectic torus fibers. Next, using two copies, $\pi_i : Z_i \rightarrow \mathbb{T}^2$ ($i=1, 2$) of Thurston's manifold and using an orientation-reversing bundle map ψ induced from 90° rotation $\psi_o : \pi_1^{-1}(0) \rightarrow \pi_2^{-1}(0)$ defined by $\psi_o(x^1, x^2) = (-x^2, x^1)$, one obtains a symplectic fiber sum $Q := Z_1 \#_{\psi} Z_2$. This is a torus bundle over a genus 2 surface $\mathbb{T}^2 \# \mathbb{T}^2$ and has a symplectic section Σ_2 of square 0 given by sections in Z_1 and Z_2 such that $\pi_1(Q - \Sigma_2)/\pi_1(\Sigma_2'') = 1$, where Σ_2'' is a parallel copy of Σ_2 . Similarly, there is also a Lagrangian torus $T \subset Q$ of square 0, disjoint from Σ_2 , in Q . For example, one obtains such a torus T by setting $x^1 = x^4 = 1/2$ in Z_1 .

Building Block 2. Let $E(n)$ be a simply connected elliptic surface with no multiple fibers and holomorphic Euler characteristic n . Then $E(n)$ can be obtained as an algebraic surface $B(2, 3, 6n-1) \cup_{\Sigma(2,3,6n-1)} C(n)$, where $B(2, 3, 6n-1)$ is a Brieskorn manifold and $C(n)$, usually called a *Gompf nucleus*, is the neighborhood of a cusp fiber and a section which is an embedded 2-sphere of square $-n$. By performing a knot surgery with a fibered knot $K \subset S^3$ in $C(n)$, one obtains a simply connected symplectic 4-manifold $E(n)_K$ which is homeomorphic, but not diffeomorphic, to $E(n)$. Since a Brieskorn manifold $B(2, 3, 6n-1)$ with $n \geq 2$ contains a Lagrangian torus T of square 0, which intersects 2-sphere transversely at a single point, lying in another Gompf nucleus, we conclude that $E(n)_K$ also contains a Lagrangian torus T in a Gompf nucleus.

Using the building blocks above together with a Lefschetz fibration lying on the BMY-line [S], we constructed various families of simply connected, minimal, symplectic 4-manifolds which solve many geography problems in the simply connected case. Among them, we quote the following basic constructions.

Lemma 2.1 ([P2], Lemma 2.1). *For each integer k , $10 \leq k \leq 18$, there exists a simply connected, minimal, symplectic 4-manifold $X_{3,k}$ with $\chi = 2$ and $c_1^2 = 19 - k$ which contains a symplectic genus 2 surface Σ_2 of square 0 and a symplectic torus T of square 0, disjoint from Σ_2 , in a fishtail neighborhood, and $\pi_1(X_{3,k} - \Sigma_2) = \pi_1(X_{3,k} - T) = 1$.*

Proposition 2.1 ([P3], Proposition 2.1). *For each odd integer $m \geq 1$ and $10 \leq k \leq 18$, there exists a simply connected, minimal, symplectic 4-manifold $Z_{m,k}$ which contains a symplectic genus 2 surface Σ_2 of square 0 and a torus T of square 0, disjoint from Σ_2 , in a fishtail neighborhood which satisfies*

$$\pi_1(Z_{m,k} - \Sigma_2) = \pi_1(Z_{m,k} - T) = 1.$$

Furthermore, it has $\chi(Z_{m,k}) = 25m^2 + 31m + 5$ and $c_1^2(Z_{m,k}) = 225m^2 + 248m + 35 - k$.

Building Block 3. Let G be a finitely presented group with g generators $\{x_1, \dots, x_g\}$ and r relations $\{w_1, \dots, w_r\}$. Let F be an oriented genus g Riemann surface with an oriented circles $\{\alpha_1, \dots, \alpha_g, \beta_1, \dots, \beta_g\}$ representing a standard symplectic basis

for $H_1(F)$. For $i = 1, \dots, r$, let γ_i be a smoothly immersed, oriented circle in F representing the word w_i in this group, and, for $i = 1, \dots, g$, let $\gamma_{r+i} = \beta_i$ and identifying $x_i = \alpha_i$, we have $\pi_1(F)/\langle \gamma_1, \dots, \gamma_{g+r} \rangle \cong G$. By forming connected sums of F with a copy of torus T and perturbing and rearranging γ_i , R. Gompf constructed a collection of symplectic tori T_i corresponding to $\gamma_i \times \alpha$ in $F \times \mathbb{T}$, where α is one of the oriented circles representing a standard basis of $H_1(\mathbb{T})$. Let $M = (F \times \mathbb{T}) \#_{T_i \cup_i E(1)} \#_{\{pt\} \times \mathbb{T}} E(1)$ be a symplectic 4-manifold obtained by symplectically fiber summing $F \times \mathbb{T}$ with $E(1)$'s along each T_i and $\{pt\} \times \mathbb{T}$. Then, since $E(1) - T$ is simply connected, $\pi_1(M) \cong G$. Furthermore, M contains a symplectic torus $T = \{pt'\} \times \mathbb{T}$ such that $\pi_1(M)/\pi_1(T) \cong \pi_1(M) = G$. Recently, by modifying the construction above, S. Baldridge and P. Kirk constructed a similar symplectic 4-manifold with $\pi_1 = G$.

Theorem 2.3 ([BK1], Theorem 6). *Let G have a presentation with g generators $\{x_1, \dots, x_g\}$ and r relations $\{w_1, \dots, w_r\}$. Then there exists a closed symplectic 4-manifold M with $\pi_1(M) = G$ which has $\chi(M) = g + r + 1$ and $c_1^2(M) = 0$.*

Remark 1. The symplectic 4-manifold M constructed in Theorem 2.3 above also contains a symplectic torus T of square 0 such that the inclusion $i : T \rightarrow M$ induces a zero map $i_* \equiv 0 : \pi_1(T) \rightarrow \pi_1(M) = G$. In fact, since $E(1) - T$ is simply connected, the inclusion $i : T'' \rightarrow M - T$ also induces a zero map $i_* \equiv 0 : \pi_1(T'') \rightarrow \pi_1(M - T)$, where T'' is a parallel copy of T .

Suppose $X_{3,k}$, $Z_{m,k}$ and M are symplectic 4-manifolds constructed in Lemma 2.1, Proposition 2.1 and Theorem 2.3 respectively. Since $X_{3,k}$ contains a symplectic surface Σ_2 of square 0, taking a fiber sum l times along Σ_2 in $X_{3,k}$ with l copies of Q , we get a symplectic 4-manifold $X_{3,k} \#_{\Sigma_2} lQ := (\cdots ((X_{3,k} \#_{\Sigma_2} Q) \#_{\Sigma_2} Q) \#_{\Sigma_2} \cdots \#_{\Sigma_2} Q)$. Again, since Q contains a Lagrangian torus T' of square 0 disjoint from Σ_2 , we can also construct a symplectic 4-manifold $(X_{3,k} \#_{\Sigma_2} lQ) \#_{T'} E(2)$ by taking a fiber sum along T' in Q and $C(2) \subset E(2)$. Furthermore, there is another symplectic torus T lying in M and $X_{3,k} - \Sigma_2$. Hence, by taking a fiber sum along T , we obtain a new symplectic 4-manifold

$$X_{3,k,l} := M \#_T ((X_{3,k} \#_{\Sigma_2} lQ) \#_{T'} E(2)).$$

Similarly, using $Z_{m,k}$ instead of $X_{3,k}$, we obtain a symplectic 4-manifold

$$Y_{m,k,l} := M \#_T ((Z_{m,k} \#_{\Sigma_2} lQ) \#_{T'} E(2)).$$

Then we have

Corollary 2.1. *For each integer k and l , $10 \leq k \leq 18$ and $l \geq 0$, $X_{3,k,l}$ is a minimal symplectic 4-manifold with $\pi_1(X_{3,k,l}) \cong \pi_1(M) = G$ which satisfies $\chi(X_{3,k,l}) = l + g + r + 5$ and $c_1^2(X_{3,k,l}) = 8l + 19 - k$.*

Proof. It suffices to prove that $\pi_1(X_{3,k,l}) \cong \pi_1(M)$. For this, we first show that $\pi_1((X_{3,k} - T) \#_{\Sigma_2} lQ) = 1$: Note that the Van-Kampen theorem on $\pi_1(X_{3,k} - T) = 1$ implies that $\pi_1(\Sigma_2'') \rightarrow \pi_1((X_{3,k} - T) - \Sigma_2)$ is a zero map and $\pi_1(\partial\nu(\Sigma_2)) \rightarrow \pi_1((X_{3,k} - T) - \Sigma_2)$ is surjective, where Σ_2'' is a parallel copy of Σ_2 . Since $\pi_1(Q - \Sigma_2)/\pi_1(\Sigma_2'') = 1$, we have

$$\begin{aligned} \pi_1((X_{3,k} - T) \#_{\Sigma_2} Q) &= \pi_1((X_{3,k} - T) - \nu(\Sigma_2)) * \pi_1(Q - \nu(\Sigma_2)) / \langle \pi_1(\partial\nu(\Sigma_2)) \rangle \\ &\cong \pi_1((X_{3,k} - T) - \nu(\Sigma_2)) / \langle \pi_1(\partial\nu(\Sigma_2)) \rangle \\ &\cong 1. \end{aligned}$$

Now, by induction on l , we conclude that $\pi_1((X_{3,k} - T) \#_{\Sigma_2} lQ) = 1$.

Next, since both $(X_{3,k} - T) \#_{\Sigma_2} lQ$ and $E(2) - T'$ are simply connected, the fiber sum 4-manifold $((X_{3,k} - T) \#_{\Sigma_2} lQ) \#_{T'} E(2) \simeq ((X_{3,k} - \nu(T)) \#_{\Sigma_2} lQ) \#_{T'} E(2)$ is also simply connected.

Finally, since the inclusion $i : T'' \rightarrow M - T$ induces a zero map $i_* \equiv 0 : \pi_1(T'') \rightarrow \pi_1(M - T) \cong \pi_1(M - \nu(T))$ (see Remark 1 above), the Van-Kampen theorem implies that

$$\begin{aligned} \pi_1(X_{3,k,l}) &= \pi_1(M - \nu(T)) * \pi_1(((X_{3,k} \#_{\Sigma_2} lQ) \#_{T'} E(2)) - \nu(T)) / \langle \pi_1(\partial \nu(T)) \rangle \\ &= \pi_1(M - \nu(T)) * \pi_1(((X_{3,k} - \nu(T)) \#_{\Sigma_2} lQ) \#_{T'} E(2)) / \langle \pi_1(\partial \nu(T)) \rangle \\ &\cong \pi_1(M - \nu(T)) / \langle \pi_1(\partial \nu(T)) \rangle \\ &\cong \pi_1(M - \nu(T)) * \pi_1(\nu(T)) / \langle \pi_1(\partial \nu(T)) \rangle \\ &\cong \pi_1(M). \end{aligned} \quad \square$$

Corollary 2.2. *For each odd integer $m \geq 1$, $10 \leq k \leq 18$ and $l \geq 0$, $Y_{m,k,l}$ is a minimal symplectic 4-manifold with $\pi_1(Y_{m,k,l}) \cong \pi_1(M) = G$ which satisfies $\chi(Y_{m,k,l}) = 25m^2 + 31m + l + g + r + 8$ and $c_1^2(Y_{m,k,l}) = 225m^2 + 248m + 8l + 35 - k$.*

Proof. Similar to the proof of Corollary 2.1 above, $\pi_1(Z_{m,k} - T) = 1$ implies that $\pi_1(((Z_{m,k} - \nu(T)) \#_{\Sigma_2} lQ) \#_{T'} E(2)) = 1$. Hence Van-Kampen theorem again induces

$$\begin{aligned} \pi_1(Y_{m,k,l}) &= \pi_1(M - \nu(T)) * \pi_1(((Z_{m,k} \#_{\Sigma_2} lQ) \#_{T'} E(2)) - \nu(T)) / \langle \pi_1(\partial \nu(T)) \rangle \\ &= \pi_1(M - \nu(T)) * \pi_1(((Z_{m,k} - \nu(T)) \#_{\Sigma_2} lQ) \#_{T'} E(2)) / \langle \pi_1(\partial \nu(T)) \rangle \\ &\cong \pi_1(M - \nu(T)) / \langle \pi_1(\partial \nu(T)) \rangle \\ &\cong \pi_1(M). \end{aligned} \quad \square$$

Next, we introduce S. Boyer's result on simply connected 4-manifolds with a given boundary [B], which will be a key ingredient in proving our result.

Let V_1 and V_2 be simply connected, compact, oriented 4-manifolds with connected boundary $\partial V_1 = \partial V_2$ and suppose that $f : \partial V_1 \rightarrow \partial V_2$ is an orientation preserving homeomorphism. Then there are two obstructions to extending f to a homeomorphism $F : V_1 \rightarrow V_2$: The first is to find an isometry $\Lambda : (H_2(V_1; \mathbf{Z}), Q_{V_1}) \rightarrow (H_2(V_2; \mathbf{Z}), Q_{V_2})$ for which the following diagram commutes:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & H_2(\partial V_1) & \longrightarrow & H_2(V_1) & \longrightarrow & H_2(V_1, \partial V_1) & \longrightarrow & H_1(\partial V_1) & \longrightarrow & 0 \\ & & \downarrow f_* & & \downarrow \Lambda & & \downarrow \Lambda^* & & \downarrow f_* & & \\ 0 & \longrightarrow & H_2(\partial V_2) & \longrightarrow & H_2(V_2) & \longrightarrow & H_2(V_2, \partial V_2) & \longrightarrow & H_1(\partial V_2) & \longrightarrow & 0 \end{array}$$

Here an isometry means an isomorphism $\Lambda : H_2(V_1; \mathbf{Z}) \rightarrow H_2(V_2; \mathbf{Z})$ which preserves an intersection form Q_{V_i} , and Λ^* is the adjoint of Λ with respect to the identification of $H_2(V_i, \partial V_i)$ with $\text{Hom}(H_2(V_i; \mathbf{Z}), \mathbf{Z})$ arising from Lefschetz duality. We call such a pair (f, Λ) a *morphism* and denote it symbolically as $(f, \Lambda) : V_1 \rightarrow V_2$. The second obstruction encountered is to realize a given morphism (f, Λ) *geometrically*, i.e. to find a homeomorphism $F : V_1 \rightarrow V_2$ such that $(f, \Lambda) = (F|_{\partial V_1}, F_*)$. S. Boyer showed when a given morphism could be realized geometrically. Explicitly, he proved

Theorem 2.4 ([B], Theorem 0.7 and Proposition 0.8). *If $(f, \Lambda) : V_1 \rightarrow V_2$ is a morphism between two simply connected smooth 4-manifolds V_1 and V_2 with boundary $\partial V_1 = \partial V_2$, there is an obstruction $\theta(f, \Lambda) \in I^1(\partial V_1)$ such that (f, Λ) is realized*

geometrically if and only if $\theta(f, \Lambda) = 0$. Furthermore, if $H_1(\partial V_1; \mathbf{Q}) = 0$, then $\theta(f, \Lambda) = 0$.

Corollary 2.3. *Suppose that $\partial V_1 = \partial V_2$ is a homology 3-sphere. Then, for any morphism $(f, \Lambda) : V_1 \rightarrow V_2$ between two simply connected smooth 4-manifolds V_1 and V_2 , there is a homeomorphism $F : V_1 \rightarrow V_2$ such that $(f, \Lambda) = (F|_{\partial V_1}, F_*)$.*

Finally, we state and prove a key proposition.

Proposition 2.2. *Suppose X is a symplectic 4-manifold which contains a symplectic torus T lying in a cusp neighborhood. Then, for each integer $n \geq 2$, a family of symplectic 4-manifolds $\{X \sharp_T E(n)_K \mid K \text{ is a fibered knot in } S^3\}$ are mutually non-diffeomorphic, but all homeomorphic. Furthermore, $\pi_1(X \sharp_T E(n)_K) \cong \pi_1(X)$.*

Proof. Since $E(n)_K$ contains a Gompf nucleus, say C_n , embedded in $B(2, 3, 6n-1)$ (Building Block 2), we can decompose $E(n)_K$ into $C_n \cup_\Sigma E(n)_K^\circ$, where Σ is a homology 3-sphere and $E(n)_K^\circ$ denotes a complement of C_n in $E(n)_K$. Note that the Gompf nucleus C_n is independent of K , i.e. C_n is a common submanifold of codimension zero in all $E(n)_K$'s. Hence, after taking a symplectic fiber sum of X with $E(n)_K$ along a Lagrangian torus $T \subset C_n$, we get a decomposition

$$X \sharp_T E(n)_K = (X \sharp_T C_n) \cup_\Sigma E(n)_K^\circ.$$

In order to show that $X \sharp_T E(n)_K$ is homeomorphic to $X \sharp_T E(n)_{K'}$ for any fibered knots K and K' in S^3 , we first choose an identity map $f = id : X \sharp_T C_n \rightarrow X \sharp_T C_n$ and an isomorphism $\Lambda : H_2(E(n)_K^\circ; \mathbf{Z}) \rightarrow H_2(E(n)_{K'}^\circ; \mathbf{Z})$. In particular, since both intersection forms $Q_{E(n)_K^\circ}$ and $Q_{E(n)_{K'}^\circ}$ are unimodular and indefinite, they are uniquely determined by rank, signature and type, so that they are isomorphic. (The reason is following: Since $E(n)_K$ and $E(n)_{K'}$ are homeomorphic, their intersection forms have the same rank, signature and type. Hence $Q_{E(n)_K^\circ}$ and $Q_{E(n)_{K'}^\circ}$ also have the same rank, signature and type.) Hence we may choose Λ to be an isometry, i.e. $\Lambda : (H_2(E(n)_K^\circ; Q_{E(n)_K^\circ}) \rightarrow (H_2(E(n)_{K'}^\circ; Q_{E(n)_{K'}^\circ}))$ is an isomorphism which preserves the intersection forms. Then, applying Corollary 2.3 with the fact that Σ is a homology 3-sphere, we conclude that there exists a homeomorphism $F : E(n)_K^\circ \rightarrow E(n)_{K'}^\circ$ such that $(f, \Lambda) = (F|_\Sigma, F_*)$. Thus there is a homeomorphism between two symplectic 4-manifolds $X \sharp_T E(n)_K$ and $X \sharp_T E(n)_{K'}$.

Next, applying Theorem 2.1 and Theorem 2.2 above, we also conclude that $X \sharp_T E(n)_K$ and $X \sharp_T E(n)_{K'}$ have different Seiberg-Witten invariants as long as $\Delta_K(t) \neq \Delta_{K'}(t)$, i.e. $X \sharp_T E(n)_K$ is not diffeomorphic to $X \sharp_T E(n)_{K'}$.

The last statement follows from the Van-Kampen theorem using the fact that T is a regular fiber in a cusp neighborhood and $\pi_1(E(n)_K - T) = 1$:

$$\begin{aligned} \pi_1(X \sharp_T E(n)_K) &= \pi_1(X - \nu(T)) * \pi_1(E(n)_K - \nu(T)) / \langle \pi_1(\partial \nu(T)) \rangle \\ &\cong \pi_1(X - \nu(T)) / \langle \pi_1(\partial \nu(T)) \rangle \\ &\cong \pi_1(X - \nu(T)) * \pi_1(\nu(T)) / \langle \pi_1(\partial \nu(T)) \rangle \\ &\cong \pi_1(X). \end{aligned} \quad \square$$

Proof of Theorem 1.1. Let $\{X_{3,k,l}\}$ and $\{Y_{m,k,l}\}$ be minimal symplectic 4-manifolds appeared in Corollary 2.1 and Corollary 2.2 above. For each odd integer $m \geq 1$ with a finitely presented group G fixed (so that g and r are also fixed), define two

numbers $r_{G,m}$ and $t_{G,m}$ by

$$\begin{cases} r_{G,m} := \frac{c_1^2(Y_{m,10,112.5m+173.5})}{\chi(Y_{m,10,112.5m+173.5})} = \frac{225m^2+1148m+1413}{25m^2+143.5m+181.5+g+r}, \\ t_{G,m} := 25m^2 + 143.5m + 181.5 + g + r. \end{cases}$$

Then $\{r_{G,m}\}$ is an increasing sequence converging to 9 and any lattice point $(x, \mathbf{c})$ satisfying $0 \leq \mathbf{c} \leq r_{G,m}x$ and $x \geq t_{G,m}$ is realized as chern numbers (χ, c_1^2) of a minimal symplectic 4-manifold

$$X_{3,k,l} \#_T E(n)_K \quad \text{or} \quad Y_{m,k',l'} \#_T E(n)_K$$

for some integers m, k, k', l, l' and n . Finally, since $X_{3,k,l}$ and $Y_{m,k',l'}$ contain a symplectic torus T in a Gompf nucleus $\subset E(2)$, we conclude from Proposition 2.2 above that both $X_{3,k,l} \#_T E(n)_K$ and $Y_{m,k',l'} \#_T E(n)_K$ admit infinitely many distinct smooth structures and they have $\pi_1 = G$. $\square$

REFERENCES

- [BK1] S. Baldridge and P. Kirk, On symplectic 4-manifolds with prescribed fundamental group, to appear in *Commentarii Math. Helv.* **82** (2007)
- [BK2] S. Baldridge and P. Kirk, Symplectic 4-manifolds with arbitrary fundamental group near the Bogomolov-Miyaoka-Yau line, to appear in *Jour. of Symplectic Geometry* **4** (2006)
- [B] S. Boyer, Simply connected 4-manifolds with a given boundary, *Trans. Amer. Math. Soc.* **298** (1986), 331–357 MR0857447 (88b:57023)
- [FS] R. Fintushel and R. Stern, Knots, links and 4-manifolds, *Invent. Math.* **134** (1998), 363–400 MR1650308 (99j:57033)
- [G] R. Gompf, A new construction of symplectic manifolds, *Annals of Math.* **142** (1995), 527–595 MR1356781 (96j:57025)
- [GS] R. Gompf and A. Stipsicz, 4-manifolds and Kirby calculus, *Graduate Studies in Mathematics* **20** (1999), AMS MR1707327 (2000h:57038)
- [Pd] D. Park, A gluing formula for the Seiberg-Witten invariant along T^3 , *Michigan Math. Jour.* **50** (2002), 593–611 MR1935154 (2003i:57051)
- [P1] J. Park, The geography of irreducible 4-manifolds, *Proc. Amer. Math. Soc.* **126** (1998), 2493–2503 MR1487335 (98j:57034)
- [P2] J. Park, Exotic smooth structures on 4-manifolds, *Forum Math.* **14** (2002), 915–929 MR1932526 (2003i:57046)
- [P3] J. Park, Exotic smooth structures on 4-manifolds II, *Topology and its Appl.* **132** (2003), 195–202 MR1991809 (2004d:57033)
- [S] A. Stipsicz, Simply connected symplectic 4-manifolds with positive signature, *Turkish J. Math.* **23** (1999), 145–150 MR1701643 (2001e:57029)
- [T] C. Taubes, The Seiberg-Witten invariants and symplectic forms, *Math. Res. Lett.* **1** (1994), 809–822 MR1306023 (95j:57039)

DEPARTMENT OF MATHEMATICAL SCIENCES, SEOUL NATIONAL UNIVERSITY, SAN 56-1 SILLIM-DONG, GWANAK-GU, SEOUL 151-747, KOREA

E-mail address: jipark@math.snu.ac.kr