PROCEEDINGS OF THE AMERICAN MATHEMATICAL SOCIETY Volume 137, Number 5, May 2009, Pages 1631–1639 S 0002-9939(08)09752-9 Article electronically published on November 26, 2008

# THREEFOLDS CONTAINING BORDIGA SURFACES AS AMPLE DIVISORS

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(Communicated by Ted Chinburg)

ABSTRACT. Let L be an ample line bundle on a smooth complex projective variety X of dimension three such that there exists a smooth member Z of |L|. When the restriction  $L_Z$  of L to Z is very ample and  $(Z, L_Z)$  is a Bordiga surface, it is proved that there exists an ample vector bundle  $\mathcal{E}$  of rank two on  $\mathbb{P}^2$  with  $c_1(\mathcal{E}) = 4$  and  $3 \leq c_2(\mathcal{E}) \leq 10$  such that  $(X, L) = (\mathbb{P}_{\mathbb{P}^2}(\mathcal{E}), H(\mathcal{E}))$ , where  $H(\mathcal{E})$  is the tautological line bundle on the projective space bundle  $\mathbb{P}_{\mathbb{P}^2}(\mathcal{E})$  associated to  $\mathcal{E}$ .

# INTRODUCTION

In this paper varieties are always assumed to be defined over the field  $\mathbb C$  of complex numbers.

Given a smooth projective variety Z, the classification of smooth projective varieties X containing Z as an ample divisor occupies an extremely important position in the theory of polarized varieties, and it is well-known that the structure of Z imposes severe restrictions on that of X. Inspired by this philosophy, we set up the following condition (\*):

(\*) L is an ample line bundle on a smooth projective variety X such that there exists a smooth member Z of |L|.

In this paper we treat Bordiga surfaces  $(Z, L_Z)$  under the assumption (\*) when the restriction  $L_Z$  of L to Z is very ample. Here  $(Z, L_Z)$  with  $L_Z$  very ample is called a Bordiga surface if Z is a smooth projective surface obtained by the blowingup  $\sigma : Z \to \mathbb{P}^2$  of  $\mathbb{P}^2$  at k distinct points  $p_1, \ldots, p_k$  in general position  $(0 \le k \le 10)$ and  $L_Z = \sigma^* \mathcal{O}_{\mathbb{P}^2}(4) - \mathcal{O}_Z(\sum_{i=1}^k e_i)$ , where  $e_i = \sigma^{-1}(p_i)$  for  $i = 1, \ldots, k$ . When L itself is very ample, if  $(Z, L_Z)$  is a Bordiga surface, then it follows from [I, Theorem 4.2 and Proposition 4.7] and [LM2, Lemma 4] that there exists a very ample vector bundle  $\mathcal{E}$  of rank two on  $\mathbb{P}^2$  with  $c_1(\mathcal{E}) = 4$  and  $3 \le c_2(\mathcal{E}) \le 10$  such that  $(X, L) = (\mathbb{P}_{\mathbb{P}^2}(\mathcal{E}), H(\mathcal{E}))$ , where  $H(\mathcal{E})$  is the tautological line bundle on the projective space bundle  $\mathbb{P}_{\mathbb{P}^2}(\mathcal{E})$  associated to  $\mathcal{E}$ . The purpose of this paper is to generalize the above result when L is simply supposed to be ample. The precise statement of our result is as follows:

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Received by the editors November 23, 2007, and, in revised form, July 16, 2008.

<sup>2000</sup> Mathematics Subject Classification. Primary 14J25; Secondary 14J30, 14J60.

Key words and phrases. Ample line bundle, Bordiga surface.

**Theorem.** Let L be an ample line bundle on a smooth projective variety X of dimension three such that there exists a smooth member Z of |L|. Assume that the restriction  $L_Z$  of L to Z is very ample and that  $(Z, L_Z)$  is a Bordiga surface. Then there exists an ample vector bundle  $\mathcal{E}$  of rank two on  $\mathbb{P}^2$  with  $c_1(\mathcal{E}) = 4$  and  $3 \leq c_2(\mathcal{E}) \leq 10$  such that  $(X, L) = (\mathbb{P}_{\mathbb{P}^2}(\mathcal{E}), H(\mathcal{E})).$ 

This paper is organized as follows. In Section 1 we collect necessary material that will be used later. Sections 2 and 3 are devoted to the proof of the theorem. Concretely, in Section 2, under the assumption in the theorem we prove that there exists an ample vector bundle  $\mathcal{E}$  of rank two on  $\mathbb{P}^2$  with  $c_1(\mathcal{E}) = 4$  and  $1 \leq c_2(\mathcal{E}) \leq 10$  such that  $(X, L) = (\mathbb{P}_{\mathbb{P}^2}(\mathcal{E}), H(\mathcal{E}))$ . In Section 3 we show that  $c_2(\mathcal{E}) \geq 3$ .

When  $\mathcal{E}$  is an ample vector bundle of rank  $n-2 \geq 2$  on a smooth projective variety X of dimension n such that there exists a global section s of  $\mathcal{E}$  whose zero locus  $Z = (s)_0$  is a smooth surface on X and H is an ample line bundle on X such that  $H_Z$  is very ample, the triplets  $(X, \mathcal{E}, H)$  are completely classified in [LM1] and [LM2] under the assumption that  $(Z, H_Z)$  is a Bordiga surface. Consequently the theorem is regarded as a result when n = 3 and  $\mathcal{E} = H$ .

Thanks are due to the referee for useful remarks.

### 1. Preliminaries

We use the standard notation from algebraic geometry. The tensor products of line bundles are denoted additively. The pullback  $i^* \mathcal{E}$  of a vector bundle  $\mathcal{E}$  on X by an embedding  $i: Y \hookrightarrow X$  is denoted by  $\mathcal{E}_Y$ . In particular, for a closed subvariety V of  $\mathbb{P}^N$ ,  $(\mathcal{O}_{\mathbb{P}^N}(1))_V$  is denoted by  $\mathcal{O}_V(1)$ . For a vector bundle  $\mathcal{E}$  on a projective variety X, the tautological line bundle on the projective space bundle  $\mathbb{P}_X(\mathcal{E})$  associated to  $\mathcal{E}$ is denoted by  $H(\mathcal{E})$ . A vector bundle  $\mathcal{E}$  on a projective variety X is said to be *ample* (respectively very ample) if  $H(\mathcal{E})$  is ample (respectively very ample). We denote by  $K_X$  the canonical bundle of a smooth variety X. A polarized manifold is a pair (X, L) consisting of a smooth projective variety X and an ample line bundle L on X. The sectional genus g(X, L) of a polarized manifold (X, L) is defined by the formula  $2g(X,L)-2 = (K_X + (n-1)L)L^{n-1}$ , where  $n = \dim X$ . A polarized manifold (X,L)is called a *scroll* over a smooth projective variety W if  $(X, L) = (\mathbb{P}_W(\mathcal{E}), H(\mathcal{E}))$  for some ample vector bundle  $\mathcal{E}$  on W. A polarized manifold (X, L) is called a *Del* Pezzo manifold if  $K_X + (\dim X - 1)L = \mathcal{O}_X$ . A pair (X, L) with L very ample is called a *Bordiga surface* if X is a smooth projective surface obtained by the blowing-up  $\sigma: X \to \mathbb{P}^2$  of  $\mathbb{P}^2$  at k distinct points  $p_1, \ldots, p_k$  in general position  $(0 \le k \le 10)$ and  $L = \sigma^* \mathcal{O}_{\mathbb{P}^2}(4) - \mathcal{O}_X(\sum_{i=1}^k e_i)$ , where  $e_i = \sigma^{-1}(p_i)$  for  $i = 1, \dots, k$ .

First let us recall some numerical properties of adjoint bundles.

**Lemma 1.** Let L be an ample line bundle on a smooth projective variety X of dimension  $n \ge 1$ .

- (i) If  $t \ge n+1$ , then  $K_X + tL$  is always nef.
- (ii) If  $K_X + nL$  is not nef, then  $(X, L) = (\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1)).$
- (iii) Assume that  $K_X + nL$  is nef and that  $n \ge 2$ . If  $K_X + (n-1)L$  is not nef, then (X, L) is one of the following:
- (iii-1) X is a quadric hypersurface  $\mathbb{Q}^n$  in  $\mathbb{P}^{n+1}$ , and  $L = \mathcal{O}_{\mathbb{Q}^n}(1)$ ;
- (iii-2)  $(X, L) = (\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(2));$
- (iii-3) (X, L) is a scroll over a smooth projective curve.

- (iv) Assume that  $K_X + (n-1)L$  is nef and that  $n \ge 3$ . If  $K_X + (n-2)L$  is not nef, then (X, L) is one of the following:
- (iv-1) there exists an effective divisor E on X such that  $(E, L_E, (\mathcal{O}_X(E))_E) =$  $(\mathbb{P}^{n-1}, \mathcal{O}_{\mathbb{P}^{n-1}}(1), \mathcal{O}_{\mathbb{P}^{n-1}}(-1));$
- (iv-2) (X, L) is a Del Pezzo manifold;
- (iv-3)  $(X, L) = (\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3));$
- (iv-4)  $(X, L) = (\mathbb{P}^4, \mathcal{O}_{\mathbb{P}^4}(2));$
- (iv-5)  $(X, L) = (\mathbb{Q}^3, \mathcal{O}_{\mathbb{Q}^3}(2));$
- (iv-6) X is a  $\mathbb{P}^2$ -bundle over a smooth projective curve C, and  $L_F = \mathcal{O}_{\mathbb{P}^2}(2)$  for any fiber F of the bundle projection  $X \to C$ ;
- (iv-7) there exists a surjective morphism  $\pi: X \to C$  onto a smooth projective curve C with Picard number  $\rho(C) = \rho(X) - 1$  such that any fiber D of  $\pi$  is a quadric hypersurface in  $\mathbb{P}^n$  with  $L_D = \mathcal{O}_D(1)$ ;
- (iv-8) (X, L) is a scroll over a smooth projective surface.

*Proof.* We refer the reader to [F, Theorems 11.2, 11.7 and 11.8].

Second we need the following:

**Lemma 2.** Assume that  $(X, L) = (\mathbb{P}_C(\mathcal{E}), H(\mathcal{E}))$  for some (not necessarily ample) vector bundle  $\mathcal{E}$  of rank n on a smooth projective curve C. Then q(X,L) = q(C), where q(C) is the genus of C.

*Proof.* Let  $\pi: X \to C$  be the bundle projection. Then  $K_X + nL = \pi^*(K_C + \det \mathcal{E})$ . Furthermore, the Wu-Chern relation tells us that  $L^n - L^{n-1}\pi^*(\det \mathcal{E}) = 0$ . Thus

$$2g(X,L) - 2 = (K_X + (n-1)L)L^{n-1} = (-L + \pi^*(K_C + \det \mathcal{E}))L^{n-1}$$
  
=  $-L^n + L^{n-1}\pi^*(K_C + \det \mathcal{E}) = -L^{n-1}\pi^*(\det \mathcal{E}) + L^{n-1}\pi^*(K_C + \det \mathcal{E})$   
=  $L^{n-1}\pi^*K_C = \deg K_C = 2g(C) - 2,$   
 $g(X,L) = g(C).$ 

i.e., g(X, L) = g(C).

Let (X, L) be a Bordiga surface, that is to say, L is a very ample line bundle on a smooth projective surface X obtained by the blowing-up  $\sigma : X \to \mathbb{P}^2$  of  $\mathbb{P}^2$  at k distinct points  $p_1, \ldots, p_k$  in general position  $(0 \leq k \leq 10)$ , and L = $\sigma^* \mathcal{O}_{\mathbb{P}^2}(4) - \mathcal{O}_X(\sum_{i=1}^k e_i)$ , where  $e_i = \sigma^{-1}(p_i)$  for  $i = 1, \ldots, k$ . For  $k \ge 1$ , the (-1)-curves  $e_i$  satisfy  $Le_i = 1$ . Conversely, we also need the following:

**Lemma 3.** Let (X, L) be a Bordiga surface as above, and let l be a (-1)-curve on X with Ll = 1. Then  $l = e_i$  for some i.

*Proof.* We refer the reader to [LM1, Proposition 0.2]. 

In addition, we quote the following from [LM1].

**Lemma 4.** Let (X,L) be a Bordiga surface as above, let  $\rho : X \to \mathbb{P}^1$  be a  $\mathbb{P}^1$ fibration, and let f be a fiber of  $\rho$ . Then  $f \in |\sigma^* \mathcal{O}_{\mathbb{P}^2}(d) - \mathcal{O}_X(\sum_{i=1}^k m_i e_i)|$  for some d > 0 and for some  $m_i \ge 0$ . Moreover,

(1.1) 
$$\sum_{i=1}^{k} m_i^2 = d^2 \quad and \quad \sum_{i=1}^{k} m_i = 3d - 2.$$

*Proof.* We refer the reader to [LM1, Lemma 0.3].

Finally we prove the following:

**Lemma 5.** Let  $\mathcal{E}$  be an ample vector bundle of rank r on a smooth projective variety X of dimension  $n \geq 2$ . Assume that  $r \geq n$ . If  $K_X + \det \mathcal{E}$  is not ample, then either  $K_X + \det \mathcal{E} = \mathcal{O}_{\mathbb{P}^n}(-1)$  or  $(K_X + \det \mathcal{E})^n = 0$ .

*Proof.* If  $K_X + \det \mathcal{E}$  is not ample, then it follows from [F, Theorems 20.1 and 20.8] that  $(X, \mathcal{E})$  is one of the following:

- (1)  $(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1)^{\oplus (n+1)});$
- (2)  $(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1)^{\oplus n});$
- (3) there exists a vector bundle  $\mathcal{F}$  of rank n on a smooth projective curve C such that  $X = \mathbb{P}_C(\mathcal{F})$ , and  $\mathcal{E}_F = \mathcal{O}_{\mathbb{P}^{n-1}}(1)^{\oplus n}$  for any fiber F of the bundle projection;
- (4)  $(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(2) \oplus \mathcal{O}_{\mathbb{P}^n}(1)^{\oplus (n-1)});$
- (5)  $(\mathbb{P}^n, T_{\mathbb{P}^n})$ , where  $T_{\mathbb{P}^n}$  is the tangent bundle of  $\mathbb{P}^n$ ;
- (6)  $(\mathbb{Q}^n, \mathcal{O}_{\mathbb{Q}^n}(1)^{\oplus n}).$

In cases (1), (4), (5) and (6) we get  $K_X + \det \mathcal{E} = \mathcal{O}_X$ . In case (2) we obtain  $K_X + \det \mathcal{E} = \mathcal{O}_{\mathbb{P}^n}(-1)$ . Suppose that case (3) holds. Then there exists a vector bundle  $\mathcal{G}$  of rank n on C such that  $\mathcal{E} = H(\mathcal{F}) \otimes \rho^* \mathcal{G}$ , where  $\rho : X \to C$  is the bundle projection. We have  $K_X = -nH(\mathcal{F}) + \rho^*(K_C + \det \mathcal{F})$  and  $\det \mathcal{E} = nH(\mathcal{F}) + \rho^*(\det \mathcal{G})$ , so that  $K_X + \det \mathcal{E} = \rho^*(K_C + \det \mathcal{F} + \det \mathcal{G})$ . Hence  $(K_X + \det \mathcal{E})^n = 0$ , and the result is proved.

## 2. Proof of the theorem: Part I

Let  $(Z, L_Z)$  be a Bordiga surface. Then Z is a smooth projective surface obtained by the blowing-up  $\sigma : Z \to \mathbb{P}^2$  of  $\mathbb{P}^2$  at k distinct points  $p_1, \ldots, p_k$  in general position  $(0 \le k \le 10)$ , and  $L_Z = \sigma^* \mathcal{O}_{\mathbb{P}^2}(4) - \mathcal{O}_Z(\sum_{i=1}^k e_i)$ , where  $e_i = \sigma^{-1}(p_i)$  for  $i = 1, \ldots, k$ . Since  $(K_X + L)_Z = K_Z$  and  $K_Z$  is not nef, we see that  $K_X + L$  itself is not nef. Thus it follows from Lemma 1 that (X, L) is one of the following:

- (1)  $(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(1));$
- (2)  $(\mathbb{Q}^3, \mathcal{O}_{\mathbb{Q}^3}(1));$
- (3) (X, L) is a scroll over a smooth projective curve C;
- (4) there exists an effective divisor E on X such that  $(E, L_E, (\mathcal{O}_X(E))_E) = (\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(1), \mathcal{O}_{\mathbb{P}^2}(-1));$
- (5) (X, L) is a Del Pezzo manifold;
- (6)  $(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3));$
- (7)  $(\mathbb{Q}^3, \mathcal{O}_{\mathbb{Q}^3}(2));$
- (8) X is a  $\mathbb{P}^2$ -bundle over a smooth projective curve C, and  $L_F = \mathcal{O}_{\mathbb{P}^2}(2)$  for any fiber F of the bundle projection  $X \to C$ ;
- (9) there exists a surjective morphism  $\pi : X \to C$  onto a smooth projective curve C with Picard number  $\rho(C) = \rho(X) 1$  such that any fiber D of  $\pi$  is a quadric surface in  $\mathbb{P}^3$  with  $L_D = \mathcal{O}_D(1)$ ;
- (10) (X, L) is a scroll over a smooth projective surface S.

Furthermore, we have  $K_Z + L_Z = (\sigma^* \mathcal{O}_{\mathbb{P}^2}(-3) + \mathcal{O}_Z(\sum_{i=1}^k e_i)) + (\sigma^* \mathcal{O}_{\mathbb{P}^2}(4) - \mathcal{O}_Z(\sum_{i=1}^k e_i)) = \sigma^* \mathcal{O}_{\mathbb{P}^2}(1)$ , so that  $2g(Z, L_Z) - 2 = (K_Z + L_Z)L_Z = (\sigma^* \mathcal{O}_{\mathbb{P}^2}(1))(\sigma^* \mathcal{O}_{\mathbb{P}^2}(4) - \mathcal{O}_Z(\sum_{i=1}^k e_i)) = 4$ . Hence  $g(Z, L_Z) = 3$ , and we conclude

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that g(X,L) = 3. Moreover, the Lefschetz theorem tells us that  $h^1(X, \mathcal{O}_X) = h^1(Z, \mathcal{O}_Z) = 0$ . Set  $Z = (s)_0$  for some global section s of L. Now let us deal with each of the cases (1)–(10) separately.

In case (1) we have  $2g(X,L)-2 = (K_X+2L)L^2 = (\mathcal{O}_{\mathbb{P}^3}(-4)+\mathcal{O}_{\mathbb{P}^3}(2))\mathcal{O}_{\mathbb{P}^3}(1)^2 = -2$ , so that g(X,L) = 0, which contradicts the fact that g(X,L) = 3.

In case (2) we obtain  $2g(X, L) - 2 = (K_X + 2L)L^2 = (\mathcal{O}_{\mathbb{Q}^3}(-3) + \mathcal{O}_{\mathbb{Q}^3}(2))\mathcal{O}_{\mathbb{Q}^3}(1)^2 = -2$ , and then g(X, L) = 0. This is also impossible.

Assume that case (3) holds. Then  $h^1(C, \mathcal{O}_C) = h^1(X, \mathcal{O}_X) = 0$ , i.e.,  $C = \mathbb{P}^1$ . Combining this with Lemma 2, we have g(X, L) = g(C) = 0. This is absurd because g(X, L) = 3.

We treat case (4) after case (9). In case (5) we get  $2g(X, L) - 2 = (K_X + 2L)L^2 = 0$ , so that g(X, L) = 1, which is also impossible.

In case (6) we have  $2g(X, L) - 2 = (K_X + 2L)L^2 = (\mathcal{O}_{\mathbb{P}^3}(-4) + \mathcal{O}_{\mathbb{P}^3}(6))\mathcal{O}_{\mathbb{P}^3}(3)^2 = 18$ , and hence g(X, L) = 10. This is absurd.

In case (7) we obtain  $2g(X,L)-2 = (K_X+2L)L^2 = (\mathcal{O}_{\mathbb{Q}^3}(-3)+\mathcal{O}_{\mathbb{Q}^3}(4))\mathcal{O}_{\mathbb{Q}^3}(2)^2 = 8$ , and so g(X,L) = 5. This is also absurd.

Now we consider case (8). Then  $h^1(C, \mathcal{O}_C) = h^1(X, \mathcal{O}_X) = 0$ . Thus  $C = \mathbb{P}^1$ . This directly indicates that  $\operatorname{Pic}(X) = \mathbb{Z} \oplus \mathbb{Z}$ , and the Lefschetz theorem tells us that  $k \geq 1$ . We can write  $X = \mathbb{P}_{\mathbb{P}^1}(\mathcal{E})$ , where  $\mathcal{E} = \mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(a_1) \oplus \mathcal{O}_{\mathbb{P}^1}(a_2)$  with  $a_1, a_2 \geq 0$ . Let  $\rho : X \to \mathbb{P}^1$  be the bundle projection, and let H denote the tautological line bundle  $H(\mathcal{E})$  on X. Then H is spanned. Since  $L_F = \mathcal{O}_{\mathbb{P}^2}(2)$  for any fiber F of  $\rho$ , we have  $L = 2H + b\rho^* \mathcal{O}_{\mathbb{P}^1}(1)$  for some b. Combining [BS, Lemma 3.2.4] with the ampleness of L gives b > 0. We have  $1 = L_Z e_1 = (2H_Z + b\rho_Z^*\mathcal{O}_{\mathbb{P}^1}(1))e_1 = 2H_Z e_1 + b(\rho_Z^*\mathcal{O}_{\mathbb{P}^1}(1))e_1$ . Since  $H_Z$  and  $\rho_Z^*\mathcal{O}_{\mathbb{P}^1}(1)$  are spanned, we obtain  $H_Z e_1 = 0$  and  $b = (\rho_Z^*\mathcal{O}_{\mathbb{P}^1}(1))e_1 = 1$ . Therefore  $L = 2H + \rho^*\mathcal{O}_{\mathbb{P}^1}(1)$ . Let us compute the sectional genus g(X, L). Since  $K_X = -3H + \rho^*\mathcal{O}_{\mathbb{P}^1}(c_1(\mathcal{E}) - 2)$ , we get  $4 = 2g(X, L) - 2 = (K_X + 2L)L^2 = (-3H + \rho^*\mathcal{O}_{\mathbb{P}^1}(c_1(\mathcal{E}) - 2) + 4H + \rho^*\mathcal{O}_{\mathbb{P}^1}(2))(2H + \rho^*\mathcal{O}_{\mathbb{P}^1}(1))^2 = (H + \rho^*\mathcal{O}_{\mathbb{P}^1}(c_1(\mathcal{E})))(4H^2 + 4H\rho^*\mathcal{O}_{\mathbb{P}^1}(1)) = 4H^3 + 4 + 4c_1(\mathcal{E}) = 8c_1(\mathcal{E}) + 4$ . Hence  $c_1(\mathcal{E}) = 0$ , i.e.,  $a_1 = a_2 = 0$ , so that  $\mathcal{E} = \mathcal{O}_{\mathbb{P}^1}^{\oplus 3}$ . Consequently  $X = \mathbb{P}^2 \times \mathbb{P}^1$  and  $L = \mathcal{O}(2, 1)$ . However, we can regard (X, L) as  $(\mathbb{P}_{\mathbb{P}^2}(\mathcal{O}_{\mathbb{P}^2}(2)^{\oplus 2}), H(\mathcal{O}_{\mathbb{P}^2}(2)^{\oplus 2}))$ . From this, case (8) is included in case (10).

Suppose that (X, L) is as in case (9). Then  $h^1(C, \mathcal{O}_C) \leq h^1(X, \mathcal{O}_X) = 0$ , so that  $C = \mathbb{P}^1$ . Hence  $\rho(X) = 2$ . By the Lefschetz theorem, the restriction homomorphism  $\operatorname{Pic}(X) \to \operatorname{Pic}(Z)$  is injective. Moreover,  $\operatorname{Pic}(Z)$  is torsion free because Z is rational. Thus  $\operatorname{Pic}(X) = \mathbb{Z} \oplus \mathbb{Z}$ , and hence  $k \ge 1$ . Set  $N = -(K_X + L)$ . Then  $N_F = -(K_F + L_F) = \mathcal{O}_{\mathbb{Q}^2}(1)$  for a general fiber  $F = \mathbb{Q}^2$  of  $\pi$ , and so  $\operatorname{Pic}(X)$  is generated by N and  $\pi^* \mathcal{O}_{\mathbb{P}^1}(1)$ . Set  $L = aN + b\pi^* \mathcal{O}_{\mathbb{P}^1}(1)$  for some integers a, b. Then, since  $L_D = \mathcal{O}_D(1)$  for any fiber D of  $\pi$ , we obtain a = 1, so that  $L = N + b\pi^* \mathcal{O}_{\mathbb{P}^1}(1)$ . We know that  $Z = (s)_0$  for some global section s of L. Let  $s_F$  denote the restriction of s to a general fiber F. Then  $s_F \in \Gamma(\mathbb{Q}^2, \mathcal{O}_{\mathbb{Q}^2}(1))$ , so that  $Z \cap F = (s_F)_0 \neq \emptyset$ . This implies that the restriction  $\pi_Z : Z \to \mathbb{P}^1$  of  $\pi$  to Z is surjective. Now  $Z \cap F$  is a conic in  $\mathbb{P}^2$  for a general F, which indicates that  $\pi_Z$  is a  $\mathbb{P}^1$ -fibration. Set  $f = Z \cap F$ for a general F. Then  $L_Z = N_Z + b\pi_Z^* \mathcal{O}_{\mathbb{P}^1}(1) = -K_Z + b\pi_Z^* \mathcal{O}_{\mathbb{P}^1}(1) = -K_Z + b\pi_Z^* \mathcal{O}_{\mathbb{P}^1}(1)$  $b\mathcal{O}_Z(f)$ . In addition, by Lemma 4 we know that  $f \in |\sigma^*\mathcal{O}_{\mathbb{P}^2}(d) - \mathcal{O}_Z(\sum_{i=1}^k m_i e_i)|$ for some d > 0 and for some  $m_i \ge 0$ . Since  $-K_Z = \sigma^* \mathcal{O}_{\mathbb{P}^2}(3) - \mathcal{O}_Z(\sum_{i=1}^k e_i),$ we obtain  $L_Z = -K_Z + b\mathcal{O}_Z(f) = (\sigma^*\mathcal{O}_{\mathbb{P}^2}(3) - \mathcal{O}_Z(\sum_{i=1}^k e_i)) + b(\sigma^*\mathcal{O}_{\mathbb{P}^2}(d) - \mathcal{O}_Z(\sum_{i=1}^k e_i)) + b(\sigma^*\mathcal{O}_Z(\sum_{i=1}^k e_i)) + b(\sigma^*\mathcal{O}_Z(\sum_{i=1}^k$  $\mathcal{O}_Z(\sum_{i=1}^k m_i e_i)) = \sigma^* \mathcal{O}_{\mathbb{P}^2}(3+bd) - \mathcal{O}_Z(\sum_{i=1}^k (1+bm_i)e_i).$  On the other hand,

 $L_Z = \sigma^* \mathcal{O}_{\mathbb{P}^2}(4) - \mathcal{O}_Z(\sum_{i=1}^k e_i)$ . Consequently 3 + bd = 4 and  $1 + bm_i = 1$  for any i, so that bd = 1 and  $bm_i = 0$  for every i. Therefore b = d = 1 and  $m_i = 0$  for any i. But then, since d = 1, it follows from (1.1) that  $m_i = 1$  for some i. This is a contradiction.

Let us consider case (4). Let  $f: X \to X'$  be the blowing-down of E to a point  $p \in X'$ . Then there exists a line bundle L' on X' such that  $L = f^*L' - \mathcal{O}_X(E)$ . It follows from [F, Lemma 7.16] that L' is ample on X'. Set  $l = (s_E)_0 = Z \cap E$ . Since  $s_E \in \Gamma(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(1))$ , l is a linear subspace of E with dim  $l \ge 1$ . If  $Z \cap E = Z$ , then  $Z = \mathbb{P}^2$ , so that  $L_Z = (L_E)_Z = \mathcal{O}_{\mathbb{P}^2}(1)$ . Thus  $K_Z + L_Z = \mathcal{O}_{\mathbb{P}^2}(-2)$ , which contradicts the nefness of  $K_Z + L_Z = \sigma^* \mathcal{O}_{\mathbb{P}^2}(1)$ . Hence  $l = Z \cap E \subsetneq Z$ , and the irreducibility of Z gives dim  $l \leq 1$ . Therefore dim l = 1, i.e.,  $l = \mathbb{P}^1$ . Moreover,  $(\mathcal{O}_{\mathcal{X}}(l))_l = ((\mathcal{O}_{\mathcal{X}}(E))_{\mathcal{Z}})_l = ((\mathcal{O}_{\mathcal{X}}(E))_E)_l = \mathcal{O}_{\mathbb{P}^1}(-1)$ . This directly implies that l is a (-1)-curve on Z. Set Z' = f(Z). Then Z' is a smooth projective surface, and Z' is also a smooth member of |L'|. It should be emphasized that  $L_Z = f_Z^* L'_{Z'} - \mathcal{O}_Z(l)$ , so that  $L_Z l = 1$ . Combining this with Lemma 3 leads us to the conclusion that  $l = e_i$ for some *i*. Consequently  $(Z', L'_{Z'})$  is again a Bordiga surface, and (X', L') satisfies the same assumption as that in the theorem. We have  $K_X + 2L = f^*(K_{X'} + 2L')$ because  $K_X = f^* K_{X'} + 2\mathcal{O}_X(E)$ . Since we are in case (iv-1) of Lemma 1,  $K_X + 2L$ is nef, so that  $K_{X'} + 2L'$  is also nef. Moreover, since  $(Z', L'_{Z'})$  is a Bordiga surface, we see that  $K_{X'} + L'$  is not nef. Therefore (X', L') is as in cases (4)–(10). However, we know that cases (5)–(9) do not occur when  $(Z', L'_{Z'})$  is a Bordiga surface (we should keep in mind that case (8) is included in case (10)). Suppose that (X', L')is as in case (10). Then there exists a smooth rational curve C on X' passing through p such that L'C = 1. Let  $\tilde{C}$  be the strict transform of C by f. Then  $L\widetilde{C} = (f^*L' - \mathcal{O}_X(E))\widetilde{C} = L'C - \mathcal{O}_X(E)\widetilde{C} = 0$ , which contradicts the ampleness of L. Thus (X', L') must be as in case (4) again. We apply the same argument as above to X', L' and Z', and continue in this manner. This procedure must come to an end after a finite number of repetitions, and we obtain (X, L) satisfying the same assumption as in the theorem such that  $K_{\widetilde{X}} + \widetilde{L}$  is nef. For the corresponding smooth projective surface  $\widetilde{Z}$ ,  $K_{\widetilde{Z}}$  is nef. This contradicts the fact that  $\widetilde{Z}$  is rational, and case (4) does not occur.

Finally we consider case (10). Let  $\rho : X \to S$  be the scroll projection, and let F be an arbitrary fiber of  $\rho$ . Then  $L_F = \mathcal{O}_{\mathbb{P}^1}(1)$ . This indicates that  $Z \cap F$ is either a point or all of F. In particular,  $\rho_Z : Z \to S$  is surjective, so that  $\rho_Z$  is generically finite. Hence  $\rho_Z$  is birational. The Lefschetz theorem tells us that the restriction homomorphism  $\operatorname{Pic}(X) \to \operatorname{Pic}(Z)$  is injective, so that  $\rho_Z$  is not an isomorphism. Thus there exists a positive dimensional fiber e of  $\rho_Z$ . Since  $e = Z \cap F$  for some fiber F of  $\rho$  and  $Z \cap F$  is all of F, we have  $e = \mathbb{P}^1$ . We can write  $(X, L) = (\mathbb{P}_S(\mathcal{E}), H(\mathcal{E}))$  for some ample vector bundle  $\mathcal{E}$  of rank 2 on S, and we obtain  $K_X + 2L = \rho^*(K_S + \det \mathcal{E})$ , so that  $K_Z + L_Z = \rho^*_Z(K_S + \det \mathcal{E})$ . Therefore  $0 = (\rho_Z^*(K_S + \det \mathcal{E}))e = K_Z e + L_Z e = K_Z e + L_F e = K_Z e + 1$ , i.e.,  $K_Z e = -1$ . This directly implies that e is a (-1)-curve on Z. Moreover, since  $L_Z e = 1$ , it follows from Lemma 3 that  $e = e_i$  for some *i*. From this, we can conclude that S is also a smooth projective surface with the Bordiga polarization, so that  $\sigma$  factors through  $\rho_Z$ . Let us recall that  $K_Z + L_Z = \rho_Z^*(K_S + \det \mathcal{E})$ . Since  $K_Z + L_Z = \sigma^* \mathcal{O}_{\mathbb{P}^2}(1)$  is nef and big, we see that  $K_S + \det \mathcal{E}$  is also nef and big. Hence by Lemma 5,  $K_S + \det \mathcal{E}$  is ample. We get  $(K_Z + L_Z)e_i = 0$  for any i because  $K_Z + L_Z = \sigma^* \mathcal{O}_{\mathbb{P}^2}(1)$ , so that  $0 = (K_Z + L_Z)e_i = (\rho_Z^*(K_S + \det \mathcal{E}))e_i$ 

for any *i*. Combining this with the ampleness of  $K_S + \det \mathcal{E}$  implies that  $\rho_Z(e_i)$ is a point of *S* for every *i*. Therefore  $S = \mathbb{P}^2$  and  $\rho_Z = \sigma$ . Thus  $K_X + 2L = \rho^*(\mathcal{O}_{\mathbb{P}^2}(-3) + \det \mathcal{E})$ , which indicates that  $K_Z + L_Z = \rho^*_Z(\mathcal{O}_{\mathbb{P}^2}(-3) + \det \mathcal{E})$ . We know that  $K_Z + L_Z = \sigma^*\mathcal{O}_{\mathbb{P}^2}(1)$ . Hence  $\mathcal{O}_{\mathbb{P}^2}(-3) + \det \mathcal{E} = \mathcal{O}_{\mathbb{P}^2}(1)$ , i.e.,  $\det \mathcal{E} = \mathcal{O}_{\mathbb{P}^2}(4)$ . Thus  $c_1(\mathcal{E}) = 4$ . By the Wu-Chern relation, we have  $L^2 - L\rho^*c_1(\mathcal{E}) + \rho^*c_2(\mathcal{E}) = 0$ , and hence  $L^3 = L^2\rho^*c_1(\mathcal{E}) - L\rho^*c_2(\mathcal{E}) = c_1(\mathcal{E})^2 - c_2(\mathcal{E}) = 16 - c_2(\mathcal{E})$ . On the other hand,  $L^3 = L_Z^2 = (\sigma^*\mathcal{O}_{\mathbb{P}^2}(4) - \mathcal{O}_Z(\sum_{i=1}^k e_i))^2 = 16 - k$ . Consequently  $c_2(\mathcal{E}) = k$ . Since  $\rho_Z = \sigma$  is not an isomorphism, we obtain  $k \geq 1$ , and we conclude that  $1 \leq c_2(\mathcal{E}) \leq 10$ . In Section 3 we show that  $c_2(\mathcal{E}) \geq 3$ .

#### 3. Proof of the theorem: Part II

Let X, L and Z be as in the theorem. Then we know that there exists an ample vector bundle  $\mathcal{E}$  of rank two on  $\mathbb{P}^2$  with  $c_1(\mathcal{E}) = 4$  and  $1 \leq c_2(\mathcal{E}) \leq 10$  such that  $(X, L) = (\mathbb{P}_{\mathbb{P}^2}(\mathcal{E}), H(\mathcal{E}))$ . Let us consider the vector bundle  $\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2)$ . Then  $c_1(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2)) = c_1(\mathcal{E}) + 2c_1(\mathcal{O}_{\mathbb{P}^2}(-2)) = 0$ , so that  $\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2)$  is normalized in the sense of [OSS, p. 165].

First assume that  $\mathcal{E}$  is not semistable. Then [OSS, Chapter II, Lemma 1.2.5] tells us that  $H^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-3)) \neq 0$ . Take a nonzero global section  $t \in H^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-3))$ . If  $(t)_0 = \emptyset$ , then we have an exact sequence  $(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-3))^{\vee} \to \mathcal{O}_{\mathbb{P}^2} \to 0$ , where  $(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-3))^{\vee}$  is the dual of  $\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-3)$ . Hence the sequence  $0 \to \mathcal{O}_{\mathbb{P}^2} \to \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-3) \to \mathcal{O}_{\mathbb{P}^2}(-2) \to 0$  is exact, so that  $0 \to \mathcal{O}_{\mathbb{P}^2}(3) \to \mathcal{E} \to \mathcal{O}_{\mathbb{P}^2}(1) \to 0$ is exact. Now  $\operatorname{Ext}^1(\mathcal{O}_{\mathbb{P}^2}(1), \mathcal{O}_{\mathbb{P}^2}(3)) = \operatorname{Ext}^1(\mathcal{O}_{\mathbb{P}^2}, \mathcal{O}_{\mathbb{P}^2}(2)) = H^1(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(2)) = 0$ . Therefore  $\mathcal{E} = \mathcal{O}_{\mathbb{P}^2}(3) \oplus \mathcal{O}_{\mathbb{P}^2}(1)$ , and  $c_2(\mathcal{E}) = 3$ . On the other hand, when  $(t)_0 \neq \emptyset$ , we take a line l in  $\mathbb{P}^2$  such that  $(t_l)_0 = (t)_0 \cap l$  is a nonempty finite set. Then we can write  $\mathcal{E}_l = \mathcal{O}_{\mathbb{P}^1}(a) \oplus \mathcal{O}_{\mathbb{P}^1}(4-a)$  for some integer a. Taking the ampleness of  $\mathcal{E}$  and the symmetry into account, we can assume that  $a \geq 4 - a \geq 1$ , so that  $2 \leq a \leq 3$ . Now  $(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-3))_l = \mathcal{O}_{\mathbb{P}^1}(a-3) \oplus \mathcal{O}_{\mathbb{P}^1}(1-a)$ . If a = 3, then  $(t_l)_0 = l$ , which is contrary to our assumption. If a = 2, then  $(t_l)_0$  is also l. This is still absurd.

Next assume that  $\mathcal{E}$  is not stable but semistable. Then by [OSS, Chapter II, Lemma 1.2.5] we get  $H^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-3)) = 0$  and  $H^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2)) \neq 0$ . Take a nonzero global section  $t \in H^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2))$ . If  $(t)_0 = \emptyset$ , then we obtain an exact sequence  $(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2))^{\vee} \to \mathcal{O}_{\mathbb{P}^2} \to 0$ , which induces an exact sequence  $0 \to \mathcal{O}_{\mathbb{P}^2} \to \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2) \to \mathcal{O}_{\mathbb{P}^2} \to 0$ . As a consequence, the sequence  $0 \to \mathcal{O}_{\mathbb{P}^2}(2) \to \mathcal{E} \to \mathcal{O}_{\mathbb{P}^2}(2) \to 0$  is exact. We have  $\operatorname{Ext}^1(\mathcal{O}_{\mathbb{P}^2}(2), \mathcal{O}_{\mathbb{P}^2}(2)) = \operatorname{Ext}^1(\mathcal{O}_{\mathbb{P}^2}, \mathcal{O}_{\mathbb{P}^2}) = H^1(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}) = 0$ . Hence  $\mathcal{E} = \mathcal{O}_{\mathbb{P}^2}(2)^{\oplus 2}$  and  $c_2(\mathcal{E}) = 4$ . When  $(t)_0 \neq \emptyset$ , the case where  $\dim(t)_0 = 1$  is impossible because  $H^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-3)) = 0$ . Thus  $\dim(t)_0 = 0$ . Take an arbitrary line l in  $\mathbb{P}^2$  such that  $(t)_0 \cap l \neq \emptyset$ . With the same notation as above we have  $(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2))_l = \mathcal{O}_{\mathbb{P}^1}(a-2) \oplus \mathcal{O}_{\mathbb{P}^1}(2-a)$ . We should keep in mind that  $2 \leq a \leq 3$  by the ampleness of  $\mathcal{E}$ . Thus a = 3, and  $(t)_0 \cap l$  is a single point p of  $\mathbb{P}^2$ . Therefore  $c_2(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2)) = 1$ , and the Koszul complex gives rise to an exact sequence  $0 \to \mathcal{O}_{\mathbb{P}^2} \to (\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2))^{\vee} = \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2) \to \mathcal{I}_p \to 0$ , where  $\mathcal{I}_p$  is the ideal sheaf of p. Consequently the sequence  $0 \to \mathcal{O}_{\mathbb{P}^2}(2) \to \mathcal{E} \to \mathcal{I}_p \otimes \mathcal{O}_{\mathbb{P}^2}(2) \to 0$  is exact, and  $c_2(\mathcal{E}) = 5$ .

Finally we assume that  $\mathcal{E}$  is stable. Then it follows from [OSS, Chapter II, Lemma 1.2.5] that  $H^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2)) = 0$ . We apply the Riemann-Roch theorem to  $\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1)$ . Now  $\det(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1)) = \mathcal{O}_{\mathbb{P}^2}(2)$  and  $c_2(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1)) = c_2(\mathcal{E}) - 3$ . The Riemann-Roch theorem tells us that

$$\chi(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1)) = \frac{1}{2} (\det(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1)) - K_{\mathbb{P}^2}) \det(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1)) - c_2(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1)) + 2\chi(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}) = 10 - c_2(\mathcal{E}).$$

Suppose that  $c_2(\mathcal{E}) \leq 9$ . Then either  $h^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1)) > 0$  or  $h^2(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1))$  $\mathcal{O}_{\mathbb{P}^2}(-1)) > 0$ . By Serre duality, the latter indicates that  $0 < h^0(\mathbb{P}^2, K_{\mathbb{P}^2} \otimes$  $\mathcal{E}^{\vee} \otimes \mathcal{O}_{\mathbb{P}^2}(1)) = h^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-6)).$  However, since we know that  $H^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-6))$  $\mathcal{O}_{\mathbb{P}^2}(-2) = 0$ , we obtain  $h^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-6)) = 0$ . Therefore  $h^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1)) > 0$ 0. Take a nonzero global section  $t \in H^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1))$ . If  $(t)_0 = \emptyset$ , then we get an exact sequence  $(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1))^{\vee} \to \mathcal{O}_{\mathbb{P}^2} \to 0$ . Thus the sequence  $0 \to \mathcal{O}_{\mathbb{P}^2} \to \mathcal{E} \otimes$  $\mathcal{O}_{\mathbb{P}^2}(-1) \to \mathcal{O}_{\mathbb{P}^2}(2) \to 0$  is exact, so that  $0 \to \mathcal{O}_{\mathbb{P}^2}(1) \to \mathcal{E} \to \mathcal{O}_{\mathbb{P}^2}(3) \to 0$  is exact. We have  $\operatorname{Ext}^{1}(\mathcal{O}_{\mathbb{P}^{2}}(3), \mathcal{O}_{\mathbb{P}^{2}}(1)) = \operatorname{Ext}^{1}(\mathcal{O}_{\mathbb{P}^{2}}, \mathcal{O}_{\mathbb{P}^{2}}(-2)) = H^{1}(\mathbb{P}^{2}, \mathcal{O}_{\mathbb{P}^{2}}(-2)) = 0$ , and so  $\mathcal{E} = \mathcal{O}_{\mathbb{P}^{2}}(3) \oplus \mathcal{O}_{\mathbb{P}^{2}}(1)$ . However, we have  $H^{0}(\mathbb{P}^{2}, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^{2}}(-2)) = H^{0}(\mathbb{P}^{2}, \mathcal{O}_{\mathbb{P}^{2}}(1) \oplus$  $\mathcal{O}_{\mathbb{P}^2}(-1) \neq 0$ . This is a contradiction. Moreover, since  $H^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2)) = 0$ , the case where  $\dim(t)_0 = 1$  is also impossible. Thus  $\dim(t)_0 = 0$ . Set  $Y = (t)_0$ . Then deg  $Y = c_2(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1)) = c_2(\mathcal{E}) - 3$ . The Koszul complex induces an exact sequence  $0 \to \mathcal{O}_{\mathbb{P}^2}(-2) \to (\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-1))^{\vee} = \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-3) \to \mathcal{I}_Y \to 0$ , where  $\mathcal{I}_Y$  is the ideal sheaf of Y. Hence the sequence  $0 \to \mathcal{O}_{\mathbb{P}^2}(-1) \to \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2) \to$  $\mathcal{I}_Y \otimes \mathcal{O}_{\mathbb{P}^2}(1) \to 0$  is exact. Since  $H^0(\mathbb{P}^2, \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2)) = 0$  by assumption and  $H^1(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(-1)) = 0$ , we have  $H^0(\mathbb{P}^2, \mathcal{I}_Y \otimes \mathcal{O}_{\mathbb{P}^2}(1)) = 0$ . This means that Y is not contained in a line. Hence deg  $Y \geq 3$ , i.e.,  $c_2(\mathcal{E}) \geq 6$ . Consequently, if  $\mathcal{E}$  is stable, then we see that  $c_2(\mathcal{E}) > 6$ .

Thus we conclude that  $c_2(\mathcal{E}) \geq 3$  when  $\mathcal{E}$  is ample with  $c_1(\mathcal{E}) = 4$ . To sum up, under the assumption in the theorem, there exists an ample vector bundle  $\mathcal{E}$  of rank two on  $\mathbb{P}^2$  with  $c_1(\mathcal{E}) = 4$  and  $3 \leq c_2(\mathcal{E}) \leq 10$  such that  $(X, L) = (\mathbb{P}_{\mathbb{P}^2}(\mathcal{E}), H(\mathcal{E}))$ . We have completed the proof of the theorem.  $\Box$ 

The argument developed in this section enables us to prove the following proposition. Statement (3) was proved in [M] when  $\mathcal{E}$  is very ample.

**Proposition.** Let  $\mathcal{E}$  be an ample vector bundle of rank two on  $\mathbb{P}^2$  with  $c_1(\mathcal{E}) = 4$ . Then

- (1)  $c_2(\mathcal{E}) = 3$  if and only if  $\mathcal{E} = \mathcal{O}_{\mathbb{P}^2}(3) \oplus \mathcal{O}_{\mathbb{P}^2}(1);$
- (2)  $c_2(\mathcal{E}) = 4$  if and only if  $\mathcal{E} = \mathcal{O}_{\mathbb{P}^2}(2)^{\oplus 2}$ ;
- (3)  $c_2(\mathcal{E}) = 6$  if and only if  $\mathcal{E}$  is the cokernel of a bundle monomorphism  $\mathcal{O}_{\mathbb{P}^2}(1)^{\oplus 2} \to T_{\mathbb{P}^2}^{\oplus 2}$ , where  $T_{\mathbb{P}^2}$  is the tangent bundle of  $\mathbb{P}^2$ .

*Proof.* The argument developed in this section implies the following when  $\mathcal{E}$  is an ample vector bundle of rank two on  $\mathbb{P}^2$  with  $c_1(\mathcal{E}) = 4$ :

- (i)  $c_2(\mathcal{E}) = 3$  if and only if  $\mathcal{E}$  is not semistable;
- (ii)  $c_2(\mathcal{E}) = 4$  or 5 if and only if  $\mathcal{E}$  is not stable but semistable;
- (iii)  $c_2(\mathcal{E}) \geq 6$  if and only if  $\mathcal{E}$  is stable.

(1) The "if" part is obvious. Assume that  $c_2(\mathcal{E}) = 3$ . Then  $\mathcal{E}$  is not semistable, so that  $\mathcal{E} = \mathcal{O}_{\mathbb{P}^2}(3) \oplus \mathcal{O}_{\mathbb{P}^2}(1)$ .

(2) The "if" part is also obvious. If  $c_2(\mathcal{E}) = 4$ , then  $\mathcal{E}$  is not stable but semistable. Thus we see that  $\mathcal{E} = \mathcal{O}_{\mathbb{P}^2}(2)^{\oplus 2}$ .

(3) Assume that the sequence  $0 \to \mathcal{O}_{\mathbb{P}^2}(1)^{\oplus 2} \to T^{\oplus 2}_{\mathbb{P}^2} \to \mathcal{E} \to 0$  is exact. Then  $\mathcal{E}$  is ample because  $T_{\mathbb{P}^2}$  is ample. Moreover,  $c_1(\mathcal{E}) = c_1(T^{\oplus 2}_{\mathbb{P}^2}) - c_1(\mathcal{O}_{\mathbb{P}^2}(1)^{\oplus 2}) = 4$ ,

and  $c_2(\mathcal{E}) = c_2(T_{\mathbb{P}^2}^{\oplus 2}) - c_1(\mathcal{E})c_1(\mathcal{O}_{\mathbb{P}^2}(1)^{\oplus 2}) - c_2(\mathcal{O}_{\mathbb{P}^2}(1)^{\oplus 2}) = 6$ . Hence it suffices to prove the "only if" part.

Suppose that  $\mathcal{E}$  is an ample vector bundle of rank two on  $\mathbb{P}^2$  with  $c_1(\mathcal{E}) = 4$ and  $c_2(\mathcal{E}) = 6$ . Then  $\mathcal{E}$  is stable. Let us consider the vector bundle  $\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2)$ , which is also stable. Then  $c_1(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2)) = c_1(\mathcal{E}) + 2c_1(\mathcal{O}_{\mathbb{P}^2}(-2)) = 0$ , and  $c_2(\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2)) = c_2(\mathcal{E}) + c_1(\mathcal{E})c_1(\mathcal{O}_{\mathbb{P}^2}(-2)) + c_1(\mathcal{O}_{\mathbb{P}^2}(-2))^2 = 2$ . It follows from the Beilinson spectral sequence that  $\mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2)$  is the cokernel of a bundle monomorphism  $\mathcal{O}_{\mathbb{P}^2}(-1)^{\oplus 2} \to (\Omega^1_{\mathbb{P}^2} \otimes \mathcal{O}_{\mathbb{P}^2}(1))^{\oplus 2}$  [OSS, Example 2, p. 248]. Since  $\Omega^1_{\mathbb{P}^2} = T_{\mathbb{P}^2} \otimes \mathcal{O}_{\mathbb{P}^2}(-3)$ , the sequence

$$0 \to \mathcal{O}_{\mathbb{P}^2}(-1)^{\oplus 2} \to (T_{\mathbb{P}^2} \otimes \mathcal{O}_{\mathbb{P}^2}(-2))^{\oplus 2} \to \mathcal{E} \otimes \mathcal{O}_{\mathbb{P}^2}(-2) \to 0$$

is exact. This directly leads us to the conclusion that  $\mathcal{E}$  is the cokernel of a bundle monomorphism  $\mathcal{O}_{\mathbb{P}^2}(1)^{\oplus 2} \to T^{\oplus 2}_{\mathbb{P}^2}$ .

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