

## ON VARIETIES OF ALMOST MINIMAL DEGREE II: A RANK-DEPTH FORMULA

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**ABSTRACT.** Let  $X \subset \mathbb{P}_K^r$  denote a variety of almost minimal degree other than a normal del Pezzo variety. Then  $X$  is the projection of a rational normal scroll  $\tilde{X} \subset \mathbb{P}_K^{r+1}$  from a point  $p \in \mathbb{P}_K^{r+1} \setminus \tilde{X}$ . We show that the arithmetic depth of  $X$  can be expressed in terms of the rank of the matrix  $M'(p)$ , where  $M'$  is the matrix of linear forms whose  $3 \times 3$  minors define the secant variety of  $\tilde{X}$ .

### 1. INTRODUCTION

Let  $X \subset \mathbb{P}_K^r$  denote an irreducible and reduced projective variety over an algebraically closed field  $K$ . In the following we always assume that  $X$  is nondegenerate, i.e. that  $X$  is not contained in any hyperplane. Then it is well known (see for instance [H]) that there is the inequality  $\deg X \geq \operatorname{codim} X + 1$  between the degree and the codimension of  $X$ . Varieties satisfying the equality are called varieties of *minimal degree*. See [H] for a classification of these varieties.

Varieties of almost minimal degree are those for which  $\deg X = \operatorname{codim} X + 2$ . The results of [F1], [F2] and [BS] imply that a variety  $X \subset \mathbb{P}^r$  of almost minimal degree is either a normal del Pezzo variety or a linear projection of a variety of minimal degree  $\tilde{X} \subset \mathbb{P}^{r+1}$  from a point  $p \in \mathbb{P}_K^{r+1} \setminus \tilde{X}$ . In the latter case,  $X \subset \mathbb{P}_K^r$  is either smooth and not linearly normal or else nonnormal, depending on the location of  $p$  with respect to  $\tilde{X}$ . The arithmetic depth of  $X$  is defined as the depth of the coordinate ring of  $A_X$  and is denoted by  $\operatorname{depth} X$ . It is an important homological invariant. In the case of a smooth rational normal scroll  $\tilde{X}$  we have

$$\operatorname{depth} X = \dim \Sigma_p(\tilde{X}) + 2 \leq 4,$$

where  $\Sigma_p(\tilde{X})$  denotes the secant locus of  $\tilde{X}$  with respect to  $p$ ; see [BS, Theorem 7.5].

The secant variety  $\operatorname{Sec} \tilde{X}$  of a smooth rational normal scroll  $\tilde{X} \subset \mathbb{P}_K^{r+1}$  is described (see [C]) as the variety  $V_3(M')$  defined by the ideal generated by the  $3 \times 3$  minors of a certain  $3 \times s$  matrix  $M'$  associated to the matrix defining the scroll  $\tilde{X} \subset \mathbb{P}_K^{r+1}$ . Let  $M'(p)$  denote the matrix  $M'$  with the entries given by  $p \in \mathbb{P}_K^{r+1}$ . Although  $p$  is defined up to a scalar the rank of  $M'(p)$  is well defined.

The particular case that  $X \subset \mathbb{P}_K^r$  and  $\tilde{X} \subset \mathbb{P}_K^{r+1}$  are isomorphic (by means of our projection) holds if and only if  $\operatorname{depth} X = 1$ , i.e. if and only if  $p \notin \operatorname{Sec} \tilde{X}$ . In

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terms of the matrix  $M'$  this means that  $\text{rank } M'(p) = 3$ . The main result of the present paper is an extension of this phenomenon to the general situation.

**Theorem 1.1.** *With the previous notation let  $X \subset \mathbb{P}_K^r$  denote a variety of almost minimal degree obtained as a linear projection of a rational normal scroll  $\tilde{X} \subset \mathbb{P}^{r+1}$  from a point  $p \in \mathbb{P}_K^{r+1} \setminus \tilde{X}$ . Then  $\text{depth } X = 4 - \text{rank } M'(p)$ .*

The advantage of this theorem is an intrinsic description of the arithmetic depth without knowing the secant locus of  $\tilde{X}$  with respect to  $p$ . Our main result is proved in Section 3 of the present paper. It is a consequence of the first two authors' work on the secant stratification of  $\tilde{X}$ ; see [BP]. Theorem 1.1 also admits a straightforward generalization to scrolls which are not necessarily smooth; see Corollary 3.6.

In the following we shall give an illustration of Theorem 1.1.

**Example 1.2.** Let  $\tilde{X} \subset \mathbb{P}_K^8$  be the rational normal scroll defined by the vanishing of the  $2 \times 2$  minors of the matrix

$$M = \left( \begin{array}{c|ccc} x_0 & x_2 & x_4 & x_5 & x_6 & x_7 \\ x_1 & x_3 & x_5 & x_6 & x_7 & x_8 \end{array} \right).$$

We consider the following four points  $p_i \in \mathbb{P}_K^8 \setminus \tilde{X}$ ,  $i = 1, \dots, 4$ :

$$\begin{aligned} p_1 &= (0 : 0 : 0 : 0 : 0 : 0 : 1 : 0 : 0), \quad p_2 = (0 : 0 : 0 : 0 : 0 : 0 : 1 : 0 : 0), \\ p_3 &= (0 : 0 : 0 : 1 : 1 : 0 : 0 : 0 : 0), \quad p_4 = (0 : 1 : 1 : 0 : 0 : 0 : 0 : 0 : 0). \end{aligned}$$

Let  $X_{p_i} \subset \mathbb{P}_K^7$  denote the image of  $\tilde{X} \subset \mathbb{P}_K^8$  under the linear projection map  $\pi_{p_i} : \mathbb{P}_K^8 \setminus \{p_i\} \rightarrow \mathbb{P}_K^7$ .

By [C] the secant variety  $\text{Sec } \tilde{X} \subset \mathbb{P}_K^8$  is given by the vanishing of the determinant of the matrix

$$M' = \left( \begin{array}{ccc} x_4 & x_5 & x_6 \\ x_5 & x_6 & x_7 \\ x_6 & x_7 & x_8 \end{array} \right).$$

By the definition of  $M'(p)$  it is easily seen that  $\text{rank } M'(p_i) = 4 - i$  for  $i = 1, 2, 3, 4$ . Therefore,  $\text{depth } X_{p_i} = i$ ,  $i = 1, 2, 3, 4$ , by Theorem 1.1. So all the possible values for the arithmetic depth of the projection occur.

## 2. PRELIMINARIES

We first fix some notation which we shall keep for the whole paper.

*Notation and Remark 2.1.* (A) Let  $r \geq 2$  be an integer and let  $K$  be an algebraically closed field. Let

$$\tilde{X} = S(\underbrace{1, \dots, 1}_k, \underbrace{2, \dots, 2}_l, a_1, \dots, a_{n-k-l}) = S(\underline{1}, \underline{2}, \underline{a}) \subset \mathbb{P}_K^{r+1}$$

be the smooth rational normal scroll of type  $(1, \dots, 1, 2, \dots, 2, a_1, \dots, a_{n-k-l})$  with  $3 \leq a_1 \leq \dots \leq a_{n-k-l}$ . So, we have

$$\dim(\tilde{X}) = n, \quad \deg(\tilde{X}) = k + 2l + \sum_{j=1}^{n-k-l} a_j = r + 2 - n.$$

(B) We consider the polynomial ring

$$K[\underline{x}, \underline{y}, \underline{z}] = K[\{x_{h,u}\}_{h=1,\dots,k,u=0,1}, \{y_{i,s}\}_{i=1,\dots,l,s=0,1,2}, \{z_{j,t}\}_{j=1,\dots,n-k-l,t=0,\dots,a_j}]$$

and its subbrings  $K[\underline{x}], K[\underline{y}], K[\underline{z}]$  and  $K[\underline{y}, \underline{z}] \subseteq K[\underline{x}, \underline{y}, \underline{z}]$ . We write

$$\mathbb{P}_K^{r+1} = \text{Proj}(K[\underline{x}, \underline{y}, \underline{z}])$$

and consider the four spaces

$$\begin{aligned} \mathbb{P}_K^{2k-1} &= \text{Proj}(K[\underline{x}]), & \mathbb{P}_K^{3l-1} &= \text{Proj}(K[\underline{y}]), \\ \mathbb{P}_K^{r+1-2k-3l} &= \text{Proj}(K[\underline{z}]), & \mathbb{P}_K^{r+1-2k} &= \text{Proj}(K[\underline{y}, \underline{z}]) \end{aligned}$$

canonically as subspaces of  $\mathbb{P}_K^{r+1}$ .

Using this notation we now may define the following subscrolls of  $\tilde{X}$ :

$$\begin{aligned} S(\underline{1}) &= \tilde{X} \cap \text{Proj}(K[\underline{x}]) \subseteq \langle S(\underline{1}) \rangle = \text{Proj}(K[\underline{x}]); \\ S(\underline{2}) &= \tilde{X} \cap \text{Proj}(K[\underline{y}]) \subseteq \langle S(\underline{2}) \rangle = \text{Proj}(K[\underline{y}]); \\ S(\underline{a}) &= \tilde{X} \cap \text{Proj}(K[\underline{z}]) \subseteq \langle S(\underline{a}) \rangle = \text{Proj}(K[\underline{z}]); \\ S(\underline{2}, \underline{a}) &= \tilde{X} \cap \text{Proj}(K[\underline{y}, \underline{z}]) \subseteq \langle S(\underline{2}, \underline{a}) \rangle = \text{Proj}(K[\underline{y}, \underline{z}]). \end{aligned}$$

(C) Let  $\varphi : \tilde{X} \rightarrow \mathbb{P}_K^1$  denote the canonical projection map which turns  $\tilde{X}$  in a variety ruled by the linear subspaces  $\mathbb{P}_K^{n-1} = \mathbb{L}(x) =: \varphi^{-1}(x)$  with  $x \in \mathbb{P}^1$ .

Let  $x = (\lambda : \mu)$ . Then  $\mathbb{L}(x)$  consists precisely of the points given by

$$\begin{aligned} &(\dots : u_a \mu : u_a \lambda : \dots : v_b \mu^2 : v_b \mu \lambda : v_b \lambda^2 : \dots \\ &\dots : w_c \mu^{a_c} : \dots : w_c \mu^{a_c-1} \lambda : \dots : w_c \mu \lambda^{a_c-1} : w_c \lambda^{a_c} : \dots) \end{aligned}$$

with  $(u_1, \dots, u_k, v_1, \dots, v_l, w_1, \dots, w_{n-k-l}) \in K^n \setminus \{0\}$  and integers satisfying  $1 \leq a \leq k, 1 \leq b \leq l, 1 \leq c \leq n-k-l$ .

*Notation and Remark 2.2.* (A) Let  $N$  be an  $(m \times n)$ -matrix whose entries are linear forms in the polynomial ring  $K[\underline{t}] = K[t_0, \dots, t_s]$  and let  $e$  be a nonnegative integer. We then write  $I_e(N)$  for the ideal generated by all  $(e \times e)$ -minors of  $N$  and  $V_e(N) := V(I_e(N)) \subseteq \mathbb{P}_K^s$  for the projective variety defined by these minors.

(B) Consider the  $(2 \times (k+l + \sum_{j=1}^{n-k-l} a_j))$ -matrix

$$\left( \begin{array}{c|c|c|c|c|c|c} \cdots & x_{a,0} & \cdots & y_{b,0} & y_{b,1} & \cdots & z_{c,0} & \cdots & z_{c,a_c-1} & \cdots \\ \cdots & x_{a,1} & \cdots & y_{b,1} & y_{b,2} & \cdots & z_{c,1} & \cdots & z_{c,a_c} & \cdots \end{array} \right)$$

with entries in  $K[\underline{x}, \underline{y}, \underline{z}]$ , so that  $I_2(M) \subseteq K[\underline{x}, \underline{y}, \underline{z}]$  is the homogeneous vanishing ideal of  $\tilde{X}$  and hence

$$\tilde{X} = V_2(M).$$

*Notation and Reminder 2.3.* (A) Keep the previous notation and let  $p \in \mathbb{P}_K^{r+1}$ . We consider a linear projection  $\mathbb{P}^{r+1} \setminus \{p\} \rightarrow \mathbb{P}_K^r$ , denote the image of  $\tilde{X}$  under this projection by  $X_p$  and consider the induced finite morphism  $\pi_p : \tilde{X} \rightarrow X_p$  which is birational. Moreover  $X_p$  is of almost minimal degree, that is,  $\deg X_p = \text{codim } X_p + 2$ .

(B) We introduce the *secant cone* and the *secant locus*

$$\text{Sec}_p(\tilde{X}) = \bigcup_{x \in \tilde{X}, \text{closed}, \#(\langle p, x \rangle \cap \tilde{X}) > 1} \langle p, x \rangle \text{ and } \Sigma_p(\tilde{X}) = \text{Sec}_p(\tilde{X}) \cap \tilde{X}.$$

Then, by [BS, Theorem 3.1] we know that  $\text{Sec}_p(\tilde{X}) = \mathbb{P}_K^{t-1}$  and  $\Sigma_p(\tilde{X}) \subseteq \text{Sec}_p(\tilde{X})$  is a hyperquadric, where  $t = \text{depth } X_p$  is the *arithmetic depth* of  $X_p$ .

As  $\tilde{X}$  is smooth, we also have  $1 \leq \text{depth } X_p \leq 4$  by [BS, Corollary 7.6].

We also consider the submatrices  $M_{\underline{x}}, M_{\underline{y}}, M_{\underline{z}}$  and  $M_{\underline{y}, \underline{z}}$  of  $M$  which consist of the columns whose entries are the indeterminates indicated by the index. Then clearly  $I_2(M_{\underline{x}}) \subseteq K[\underline{x}]$ ,  $I_2(M_{\underline{y}}) \subseteq K[\underline{y}]$ , and so on.

(C) Next, we also consider the  $(3 \times (k + 2l - n + \sum_{j=1}^{n-k-l} a_j))$ -matrix

$$M' := \left( \begin{array}{ccc|ccc|ccc} \cdots & y_{i,0} & \cdots & z_{j,0} & z_{j,1} & \cdots & z_{j,a_j-2} & \cdots \\ \cdots & y_{i,1} & \cdots & z_{j,1} & z_{j,2} & \cdots & z_{j,a_j-1} & \cdots \\ \cdots & y_{i,2} & \cdots & z_{j,2} & z_{j,3} & \cdots & z_{j,a_j} & \cdots \end{array} \right)$$

with entries in  $K[\underline{y}, \underline{z}]_1$ . This matrix allows us to describe the secant variety  $\text{Sec}(\tilde{X})$  of  $\tilde{X}$  by

$$V_3(M') = \text{Sec}(\tilde{X}) := \overline{\bigcup_{p,q \in \tilde{X}, p \neq q} \langle p, q \rangle}$$

(see [C]). Similarly as above we now define the submatrices  $M'_{\underline{y}}$  and  $M'_{\underline{z}}$ .

It is easy to see that

$$I_2(M'_{\underline{y}}) \subseteq I_2(M_{\underline{y}}) \subseteq K[\underline{y}] \text{ and } I_2(M') \subseteq I_2(M_{\underline{y}, \underline{z}}) \subseteq K[\underline{y}, \underline{z}].$$

In particular, in view of the observations made in part (B) we get:

$$S(2, \underline{a}) \subseteq V_2(M') \cap \langle S(2, \underline{a}) \rangle \text{ and } S(\underline{a}) = V_2(M'_{\underline{z}}) \cap \langle S(\underline{a}) \rangle = V_2(M') \cap \langle S(\underline{a}) \rangle.$$

(D) Next, we consider the Segre embedding

$$\begin{aligned} \sigma : \mathbb{P}_K^2 \times \mathbb{P}_K^{l-1} &\hookrightarrow \langle S(2) \rangle = \mathbb{P}_K^{3l-1}, \\ ((u_0 : u_1 : u_2), (v_1 : \dots : v_l)) &\mapsto (\dots : u_i v_j : \dots), i = 0, 1, 2, j = 1, \dots, l, \end{aligned}$$

and set

$$\Delta := \text{Im}(\sigma).$$

Then it is well known that  $\Delta$  is defined by the  $2 \times 2$  minors of  $M'_{\underline{y}}$ ; thus (see [S, §5])

$$\Delta = V_2(M'_{\underline{y}}) \cap \langle S(2) \rangle = V_2(M') \cap \langle S(2) \rangle.$$

### 3. THE RANK-DEPTH FORMULA

We keep the hypotheses and notation of the previous section. Moreover we continue with some further definitions.

*Notation 3.1.* If  $p = [\bar{p}] \in \mathbb{P}_K^{r+1}$  with

$$\bar{p} = (\dots, a_{s,0}, a_{s,1}, \dots, b_{i,0}, b_{i,1}, b_{i,2}, \dots, c_{j,0}, c_{j,1}, \dots, c_{j,a_j}, \dots) \in K^{r+1} \setminus \{0\},$$

we allow ourselves to write

$$M'(p) := \left( \begin{array}{ccc|ccc|ccc} \cdots & b_{i,0} & \cdots & c_{j,0} & c_{j,1} & \cdots & c_{j,a_j-2} & \cdots \\ \cdots & b_{i,1} & \cdots & c_{j,1} & c_{j,2} & \cdots & c_{j,a_j-1} & \cdots \\ \cdots & b_{i,2} & \cdots & c_{j,2} & c_{j,3} & \cdots & c_{j,a_j} & \cdots \end{array} \right),$$

although this matrix is determined by  $p$  only up to a nonzero scalar multiple.

The aim of this section is to relate the rank of the matrix  $M'(p)$  (which is obviously well defined) with the arithmetic depth of the projected image  $X_p$  of  $\tilde{X}$ . We begin with two auxiliary results.

**Lemma 3.2.**  $\text{Join}(S(\underline{1}), \tilde{X}) = \text{Join}(\langle S(\underline{1}) \rangle, S(\underline{2}, \underline{a}))$ .

*Proof.* “ $\subseteq$ ”: This containment is easy to see.

“ $\supseteq$ ”: Let  $z'' \in \langle S(\underline{1}) \rangle$  and  $z' \in S(\underline{2}, \underline{a})$ . It suffices to show that the line  $\langle z', z'' \rangle$  is contained in  $\text{Join}(S(\underline{1}), \tilde{X})$ . So, let  $z \in \langle z', z'' \rangle$ . Let  $x \in \mathbb{P}_K^1 \setminus \varphi(z')$ . Then

$$\mathbb{L}(x) \cap \langle S(\underline{1}) \rangle \text{ and } \mathbb{L}(\varphi(z')) \cap \langle S(\underline{1}) \rangle$$

are two disjoint  $(k-1)$ -dimensional subspaces of  $\langle S(\underline{1}) \rangle = \mathbb{P}_K^{2k-1}$ . So, these two subspaces span  $\langle S(\underline{1}) \rangle$ . Hence there are points  $u \in \mathbb{L}(\varphi(z')) \cap \langle S(\underline{1}) \rangle$  and  $v \in \mathbb{L}(x) \cap \langle S(\underline{1}) \rangle$  such that  $z'' \in \langle u, v \rangle$ .

Observe that  $\langle u, z' \rangle \subseteq \mathbb{L}(\varphi(z')) \subseteq \tilde{X}$  and that  $v \in S(\underline{1})$ . Moreover the four points  $u, v, z, z'$  are coplanar so that the lines  $\langle v, z \rangle$  and  $\langle u, z' \rangle$  intersect and the lines  $\langle v, z \rangle$  and  $\langle u, z' \rangle$  intersect in some point  $\bar{z}$ . It follows that  $z \in \langle v, \bar{z} \rangle \subseteq \text{Join}(S(\underline{1}), \tilde{X})$ .  $\square$

**Lemma 3.3.** Assume that  $k = 0$ . Then  $V_2(M') \setminus \Delta \subseteq S(\underline{2}, \underline{a})$ .

*Proof.* Let  $q \in \mathbb{P}_K^r$  be a point with  $q \in V_2(M') \setminus \Delta$  such that

$$q = (\dots : b_{i,0} : b_{i,1} : b_{i,2} : \dots : c_{j,0} : c_{j,1} : \dots : c_{j,a_j} : \dots).$$

Therefore the matrix  $M'(q) = (B_1 \dots B_l C_1 \dots C_{n-l})$  has rank one, where

$$B_i := \begin{pmatrix} b_{i,0} \\ b_{i,1} \\ b_{i,2} \end{pmatrix} \text{ and } C_j = \begin{pmatrix} c_{j,0} & c_{j,1} & \cdots & c_{j,a_j-2} \\ c_{j,1} & c_{j,2} & \cdots & c_{j,a_j-1} \\ c_{j,2} & c_{j,3} & \cdots & c_{j,a_j} \end{pmatrix}$$

for  $i = 1, \dots, l$ , and  $j = 1, \dots, n-l$ .

Clearly some of the entries  $c_{j,t}$  do not vanish, as otherwise we would have  $q \in \langle S(\underline{2}) \rangle$  in contradiction to  $q \in V_2(M') \cap \langle S(\underline{2}) \rangle = \Delta$  (see Notation and Remark 2.2 (D)). So, we find a largest index  $j$  such that the block matrix  $C_j$  does not vanish.

Assume first that  $c_{j,0} \neq 0$ . Then, the fact that the columns of  $C_j$  are linearly dependent shows that there is some  $\lambda \in K$  such that

$$C_j = \begin{pmatrix} c_{j,0}\lambda^0 & c_{j,0}\lambda^1 & \cdots & c_{j,0}\lambda^{a_j-2} \\ c_{j,0}\lambda^1 & c_{j,0}\lambda^2 & \cdots & c_{j,0}\lambda^{a_j-1} \\ c_{j,0}\lambda^2 & c_{j,0}\lambda^3 & \cdots & c_{j,0}\lambda^{a_j} \end{pmatrix}.$$

Now, by the linear dependence of the columns in  $M'(p)$  the above formula holds for all blocks  $C_j$  with the same element  $\lambda$ , and moreover all columns  $B_i$  have the shape

$$B_i = \begin{pmatrix} b_{i,0}\lambda^0 \\ b_{i,0}\lambda^1 \\ b_{i,0}\lambda^2 \end{pmatrix}.$$

Setting  $b_i := b_{i,0}$  for  $i = 1, \dots, l$  and  $c_j = c_{j,0}$  for  $j = 1, \dots, n-l$  we get

$$b_{i,s} = b_i \lambda^s, \text{ for } i = 1, \dots, l, \text{ and } s = 0, 1, 2,$$

$$c_{j,t} = c_j \lambda^t, \text{ for } j = 1, \dots, n-l, \text{ and } t = 0, 1, \dots, a_j.$$

But this implies that  $q \in S(\underline{2}, \underline{a})$ .

Assume now that  $c_{j,0} = 0$ . As  $\text{rank}(C_j) = 1$  it follows immediately that

$$C_j = \begin{pmatrix} 0 & \cdots & \cdots & 0 \\ \vdots & & & \vdots \\ \vdots & & & 0 \\ 0 & \cdots & 0 & c_{j,a_j} \end{pmatrix}$$

with  $c_{j,a_j} \neq 0$ . By the linear dependence of columns in  $M'(q)$  it follows easily that all blocks  $C_j$  must have this shape (with  $c_{j,a_j} = 0$ , possibly) and that all columns  $B_i$  have the shape

$$B_i = \begin{pmatrix} 0 \\ 0 \\ b_{i,2} \end{pmatrix}.$$

This implies again that  $p \in S(\underline{2}, \underline{a})$ . This completes the proof.  $\square$

**Theorem 3.4.** *For each point  $p \in \mathbb{P}_K^{r+1} \setminus \tilde{X}$  it follows that*

$$\text{depth}(X_p) = \dim(\Sigma_p(\tilde{X})) + 2 = 4 - \text{rank}(M'(p)).$$

*Proof.* The first equality follows by the observations made in Notation and Remark 2.3 (B).

Now, set

$$A := \langle S(\underline{1}) \rangle, B := \text{Join}(S(\underline{1}), \tilde{X}) \text{ and } U := \text{Join}(A, \Delta).$$

Then, by the secant stratification of  $\tilde{X}$  (see [BP, Theorem 4.2]) we have the following four cases:

- 1:  $\dim \Sigma_p(\tilde{X}) = 2$  if and only if  $p \in A \setminus \tilde{X}$ .
- 2:  $\dim \Sigma_p(\tilde{X}) = 1$  if and only if  $p \in (U \cup B) \setminus (A \cup \tilde{X})$ .
- 3:  $\dim \Sigma_p(\tilde{X}) = 0$  if and only if  $p \in \text{Sec}(\tilde{X}) \setminus (U \cup B)$ .
- 4:  $\dim \Sigma_p(\tilde{X}) = -1$  if and only if  $p \in \mathbb{P}_K^{r+1} \setminus \text{Sec}(\tilde{X})$ .

Clearly  $p \in A \setminus \tilde{X}$  is equivalent to  $M'(p) = 0$ , whereas  $p \in \mathbb{P}_K^{r+1} \setminus \text{Sec}(\tilde{X})$  is equivalent to  $p \notin V_3(M')$  (see Notation and Remark 2.2 (C)), whence to the fact that  $\text{rank}(M'(p)) = 3$ . So, we are in case 1 precisely if the matrix  $M'(p)$  has rank 0 and in case 4 precisely if this matrix has rank 3. It remains to show that we are in case 2 precisely if  $M'(p)$  is of rank 1 and in case 3 precisely if  $M'(p)$  is of rank 2. By exclusion, it suffices to prove the first of these equivalences. It thus remains to show that for our point  $p \in \mathbb{P}_K^{r+1} \setminus \tilde{X}$  we have  $\text{rank}(M'(p)) = 1$  if and only if  $p \in (U \cup B) \setminus A$ .

Assume first that  $\text{rank}(M'(p)) = 1$ . Then  $p \in V_2(M')$  and  $p \notin A$ . Now suppose first that  $p \in \langle S(\underline{2}, \underline{a}) \rangle$ . Assume for the moment that  $p \notin \Delta$ . Then, by Lemma 3.3 applied to the scroll  $S(\underline{2}, \underline{a}) = \tilde{X} \cap \langle S(\underline{2}, \underline{a}) \rangle \subset \langle S(\underline{2}, \underline{a}) \rangle$  we get the contradiction that  $p \in S(\underline{2}, \underline{a}) \subset \tilde{X}$ . Therefore we must have  $p \in \Delta$  and hence  $p \in U$  in this case.

Suppose now that  $p \notin \langle S(\underline{2}, \underline{a}) \rangle$ . As  $M'(p) \neq 0$  we cannot have  $p \in A$  (see Notation 3.1). Therefore we can write  $p \in \langle t, q \rangle$  with  $t \in A$  and  $q \in \langle S(\underline{2}, \underline{a}) \rangle$ . Observe that by definition of  $M'$ , the matrix  $M'(q)$  must be a nontrivial scalar multiple of the matrix  $M'(p)$  (see Notation 3.1), so that  $q \in V_2(M')$ . Since  $q \in S(\underline{2}, \underline{a})$  we have  $p \in \text{Join}(A, S(\underline{2}, \underline{a})) = B$  (see Lemma 3.2). So, if  $\text{rank}(M'(p)) = 1$ , we have indeed  $p \in (U \cup B) \setminus A$ .

Assume now conversely that  $p \in (U \cup B) \setminus A$ . As  $p \notin A$  we must then have  $\text{rank}(M'(p)) \geq 1$ . If  $p \in U$ , we write  $p = \langle t, q \rangle$  with  $t \in A$  and  $q \in \Delta \subseteq \langle S(\underline{2}) \rangle$ . In view of Notation and Remark 2.2 (D) it follows that  $q \in V_2(M')$ , whence  $p \in V_2(M')$  so that  $\text{rank}(M'(p)) = 1$ . If  $p \in B = \text{Join}(A, S(\underline{2}, \underline{a}))$ , we write  $p \in \langle t, q \rangle$  with  $t \in A$  and  $q \in S(\underline{2}, \underline{a})$ . By Notation and Remark 2.2 (C) it follows that  $q \in V_2(M')$ , whence  $p \in V_2(M')$  so that  $\text{rank}(M'(p)) = 1$ .  $\square$

Finally, we wish to extend our rank-depth formula to the case of possibly singular scrolls. We first give a few preparatory remarks.

*Notation and Reminder 3.5.* (A) Let  $h$  be an integer  $\geq -1$ . Consider the polynomial ring  $K[\underline{w}, \underline{x}, \underline{y}, \underline{z}] = K[w_0, \dots, w_h, \underline{x}, \underline{y}, \underline{z}]$  and the possibly singular scroll

$$\begin{aligned}\tilde{X} &= S(0, \dots, 0, 1, \dots, 1, 2, \dots, 2, a_1, \dots, a_{n-k-l}) \\ &= S(\underline{0}, \underline{1}, \underline{2}, \underline{a}) \subset \mathbb{P}_K^{r+h+2} = \text{Proj}(K[\underline{w}, \underline{x}, \underline{y}, \underline{z}]).\end{aligned}$$

Define the matrix  $M'$  as in Notation and Reminder 2.3 (A).

(B) Observe that  $\tilde{X}$  is a cone with vertex

$$\text{Vert}(\tilde{X}) = \mathbb{P}_K^h = \text{Proj}(K[\underline{w}, \underline{x}, \underline{y}, \underline{z}]/(\underline{x}, \underline{y}, \underline{z})) = \text{Proj}(K[\underline{w}])$$

over the smooth rational normal scroll

$$\tilde{X}_0 = S(\underline{1}, \underline{2}, \underline{a}) \subset \mathbb{P}_K^{r+1} = \text{Proj}(K[\underline{w}, \underline{x}, \underline{y}, \underline{z}]/(\underline{w})) = \text{Proj}(K[\underline{x}, \underline{y}, \underline{z}])$$

defined in Notation and Remark 2.1 (A).

Now, let  $p \in \mathbb{P}_K^{r+h+2} \setminus \tilde{X}$  and let  $p_0$  be the point obtained by projecting  $p$  from  $\text{Vert}(\tilde{X}) = \mathbb{P}_K^h$  to the span  $\langle \tilde{X}_0 \rangle = \mathbb{P}_K^{r+1}$ . Then  $p_0 \in \mathbb{P}_K^{r+1} \setminus \tilde{X}_0$ . Moreover if  $X_p \subset \mathbb{P}_K^{r+h+1}$  and  $(X_0)_p \subset \mathbb{P}_K^r$  respectively are projections of  $\tilde{X}$  from  $p$  and of  $\tilde{X}_0$  from  $p_0$ , we have (see [BP, Remark 5.4]) that

$$\text{depth}(X_p) = \dim(\Sigma_p(\tilde{X})) + 2 = \dim(\Sigma_p(\tilde{X}_0)) + h + 2.$$

Now, in the previous notation we obtain:

**Corollary 3.6.** *For each point  $p \in \mathbb{P}_K^{r+h+2} \setminus \tilde{X}$  it follows that*

$$\text{depth } X_p = \dim(\Sigma_p(\tilde{X})) + 2 = 5 + h - \text{rank } M'(p).$$

*Proof.* The claim is immediate by Theorem 3.4 and Notation and Reminder 3.5.  $\square$

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