

## A MARCINKIEWICZ MAXIMAL-MULTIPLIER THEOREM

RICHARD OBERLIN

(Communicated by Michael T. Lacey)

ABSTRACT. For  $r < 2$ , we prove the boundedness of a maximal operator formed by applying all multipliers  $m$  with  $\|m\|_{V^r} \leq 1$  to a given function.

### 1. INTRODUCTION

Given an exponent  $r$  and a function  $f$  defined on  $\mathbb{R}$ , consider the  $r$ -variation norm

$$\|f\|_{V^r} = \|f\|_{L^\infty} + \sup_{N, \xi_0 < \dots < \xi_N} \left( \sum_{i=1}^N |f(\xi_i) - f(\xi_{i-1})|^r \right)^{1/r},$$

where the supremum is over all strictly increasing finite length sequences of real numbers.

The classical Marcinkiewicz multiplier theorem states that if  $r = 1$  and a function  $m$  is of bounded  $r$ -variation uniformly on dyadic shells, then  $m$  is an  $L^p$  multiplier for  $1 < p < \infty$  and

$$(1.1) \quad \|(mf)^\wedge\|_{L^p} \leq C_{p,r} \sup_{k \in \mathbb{Z}} \|1_{D_k} m\|_{V^r} \|f\|_{L^p},$$

where  $D_k = [-2^{k+1}, -2^k) \cup (2^k, 2^{k+1}]$  and  $\wedge, \vee$  denote the Fourier-transform and its inverse. Later, Coifman, Rubio de Francia, and Semmes [2] (see also [8]) showed that the requirement of bounded 1-variation can be relaxed to allow for functions of bounded 2-variation, and in fact (1.1) holds whenever  $r \geq 2$  and  $|\frac{1}{p} - \frac{1}{2}| < \frac{1}{r}$ .

The estimate [2] does not discriminate between multipliers of bounded 2-variation and those of bounded  $r$ -variation where  $r < 2$ , and so one might ask whether there is anything to be gained by controlling the variation norm of multipliers in the latter range of exponents.

Defining the maximal-multiplier operator

$$(1.2) \quad \mathcal{M}_r[f](x) = \sup_{m: \|m\|_{V^r} \leq 1} |(mf)^\wedge(x)|,$$

where the supremum is over all functions in the  $V^r$  unit ball, we have

**Theorem 1.1.** *Suppose  $1 \leq r < 2$  and  $r < p < \infty$ . Then*

$$(1.3) \quad \|\mathcal{M}_r[f]\|_{L^p} \leq C_{p,r} \|f\|_{L^p}.$$

---

Received by the editors October 4, 2011.

2010 *Mathematics Subject Classification.* Primary 42A45; Secondary 42A20.

The author is supported in part by NSF Grant DMS-1068523.

©2013 American Mathematical Society  
 Reverts to public domain 28 years from publication

The case  $r = 1$  was observed independently by Lacey [4].

Note that in the definition of  $\mathcal{M}_r$ , each  $m$  is required to have finite  $r$ -variation on all of  $\mathbb{R}$  rather than simply on each dyadic shell as in (1.1). This is necessary for boundedness, as can be seen from the counterexamples of Christ, Grafakos, Honzik and Seeger [1].

Although the maximal operator (1.2) would seem to be fairly strong, we do not yet know of an application for the bound above. We will, however, quickly illustrate a strategy for its use that falls an (important)  $\epsilon$  short of success. Let  $\Psi$  be (say) a Schwartz function, and for each  $\xi, x \in \mathbb{R}$  and  $k \in \mathbb{Z}$ , consider the  $2^k$ -truncated partial Fourier integral

$$\mathcal{S}_k[f](\xi, x) = p.v. \int f(x-t)e^{2\pi i \xi t} \Psi(2^{-k}t) \frac{1}{t} dt.$$

It was proven by Demeter, Lacey, Tao, and Thiele [3] that for  $q = 2$  and  $1 < p < \infty$ ,

$$(1.4) \quad \left\| \sup_{\|g\|_{L^q}=1} \left\| \sup_k |(\mathcal{S}_k[f](\cdot, x)\hat{g})^\vee| \right\|_{L^q} \right\|_{L^p_x} \leq C_{p,q} \|f\|_{L^p}.$$

If we had the bound

$$(1.5) \quad \|\mathcal{S}_k[f](\xi, x)\|_{L^p_x(\ell^\infty_k(V_\xi^r))} \leq C_{p,r} \|f\|_{L^p}$$

for some  $r < 2$ , then an application of Theorem 1.1 would give (1.4) for  $q > r$  by rather different means than [3]. In fact, one can see by applying the method in Appendix D of [6] that (1.5) holds for  $r > 2$  and  $p > r'$ . Unfortunately, it does fail for  $r \leq 2$ .

## 2. PROOF OF THEOREM 1.1

The following lemma was proven in [2]; see also [5].

**Lemma 2.1.** *Let  $m$  be a compactly supported function on  $\mathbb{R}$  of bounded  $r$ -variation for some  $1 \leq r < \infty$ . Then for each integer  $j \geq 0$ , one can find a collection  $\Upsilon_j$  of pairwise disjoint subintervals of  $\mathbb{R}$  and coefficients  $\{b_v\}_{v \in \Upsilon_j} \subset \mathbb{R}$  so that  $|\Upsilon_j| \leq 2^j$ ,  $|b_v| \leq 2^{-j/r} \|m\|_{V_r}$ , and*

$$(2.1) \quad m = \sum_{j \geq 0} \sum_{v \in \Upsilon_j} b_v 1_v,$$

where the sum in  $j$  converges uniformly.

The lemma above was applied in concert with Rubio de Francia's square function estimate [7] to obtain (1.1). Here, we will argue similarly, exploiting the analogy between the Rubio de Francia square function estimate and the variation-norm Carleson theorem.

It was proven in [7] that for  $p \geq 2$ ,

$$\sup_{\mathcal{I}} \left\| \left( \sum_{I \in \mathcal{I}} |(1_I \hat{f})^\vee|^2 \right)^{1/2} \right\|_{L^p} \leq C_p \|f\|_{L^p},$$

where the supremum above is over all collections of pairwise disjoint subintervals of  $\mathbb{R}$ . Consider the partial Fourier integral

$$\mathcal{S}[f](\xi, x) = (1_{(\infty, \xi]} \hat{f})^\vee(x).$$

It was proven in [6] that for  $s > 2$  and  $p > s'$ ,

$$\|\mathcal{S}[f](\xi, x)\|_{L^p_x(V_\xi^s)} \leq C_{p,s} \|f\|_{L^p}$$

or, equivalently,

$$(2.2) \quad \left\| \sup_{\mathcal{I}} \left( \sum_{I \in \mathcal{I}} |(1_I \hat{f})^\sim|^s \right)^{1/s} \right\|_{L^p} \leq C_{p,s} \|f\|_{L^p}.$$

Note that by standardizing limiting arguments, taking the supremum in (1.2) to be over all compactly supported  $m$  such that  $\|m\|_{V^r} \leq 1$  does not change the definition of  $\mathcal{M}_r$ . Applying the decomposition (2.1), we see that for any compactly supported  $m$  with  $\|m\|_{V^r} \leq 1$  we have

$$\begin{aligned} |(m\hat{f})^\sim(x)| &\leq \sum_{j \geq 0} \sum_{v \in \Upsilon_j} |b_v(1_v \hat{f})^\sim(x)| \\ &\leq \sum_{j \geq 0} \sup_{v \in \Upsilon_j} |b_v| |\Upsilon_j|^{\frac{1}{s'}} \left( \sum_{v \in \Upsilon_j} |(1_v \hat{f})^\sim(x)|^s \right)^{1/s} \\ &\leq C_{r,s} \sup_{\mathcal{I}} \left( \sum_{I \in \mathcal{I}} |(1_I \hat{f})^\sim(x)|^s \right)^{1/s}, \end{aligned}$$

where, for the last inequality, we require  $s < r'$ .

Provided that  $r < 2$  and  $p > r$  we can choose an  $s < r'$  with  $s > 2$  and  $p > s'$ , giving (2.2) and hence (1.3).

The argument of Lacey [4] for  $r = 1$  follows a similar pattern, except with Marcinkiewicz's method in place of [2] and the standard Carleson-Hunt theorem in place of [6].

## REFERENCES

1. Michael Christ, Loukas Grafakos, Petr Honzík, and Andreas Seeger, *Maximal functions associated with Fourier multipliers of Mihlin-Hörmander type*, Math. Z. **249** (2005), no. 1, 223–240. MR2106977 (2005h:42024)
2. Ronald Coifman, José Luis Rubio de Francia, and Stephen Semmes, *Multiplicateurs de Fourier de  $L^p(\mathbf{R})$  et estimations quadratiques*, C. R. Acad. Sci. Paris Sér. I Math. **306** (1988), no. 8, 351–354. MR934617 (89e:42009)
3. Ciprian Demeter, Michael T. Lacey, Terence Tao, and Christoph Thiele, *Breaking the duality in the return times theorem*, Duke Math. J. **143** (2008), no. 2, 281–355. MR2420509
4. Michael T. Lacey, personal communication.
5. ———, *Issues related to Rubio de Francia's Littlewood-Paley inequality*, NYJM Monographs, vol. 2, State University of New York, University at Albany, Albany, NY, 2007. MR2293255 (2007k:42048)
6. Richard Oberlin, Andreas Seeger, Terence Tao, Christoph Thiele, and James Wright, *A variation norm Carleson theorem*, J. Eur. Math. Soc. **14** (2012), no. 2, 421–464. MR2881301
7. José L. Rubio de Francia, *A Littlewood-Paley inequality for arbitrary intervals*, Rev. Mat. Iberoamericana **1** (1985), no. 2, 1–14. MR850681 (87j:42057)
8. Terence Tao and James Wright, *Endpoint multiplier theorems of Marcinkiewicz type*, Rev. Mat. Iberoamericana **17** (2001), no. 3, 521–558. MR1900894 (2003e:42014)

DEPARTMENT OF MATHEMATICS, LOUISIANA STATE UNIVERSITY, BATON ROUGE, LOUISIANA 70803-4918

*E-mail address:* oberlin@math.lsu.edu