

SUBGROUP OF INTERVAL EXCHANGES GENERATED BY TORSION ELEMENTS AND ROTATIONS

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ABSTRACT. Denote by G the group of interval exchange transformations (IETs) on the unit interval. Let $G_{per} \subset G$ be the subgroup generated by torsion elements in G (periodic IETs), and let $G_{rot} \subset G$ be the subset of 2-IETs (rotations).

The elements of the subgroup $H = \langle G_{per}, G_{rot} \rangle \subset G$ (generated by the sets G_{per} and G_{rot}) are characterized constructively in terms of their Sah-Arnoux-Fathi (SAF) invariant. The characterization implies that a non-rotation type 3-IET lies in H if and only if the lengths of its exchanged intervals are linearly dependent over \mathbb{Q} . In particular, $H \subsetneq G$.

The main tools used in the paper are the SAF invariant and a recent result by Y. Vorobets that G_{per} coincides with the commutator subgroup of G .

1. A GROUP OF IETs

Denote by $\mathbb{R}, \mathbb{Q}, \mathbb{N}$ the sets of real, rational and natural numbers. By a *standard interval* we mean a finite interval of the form $X = [a, b) \subset \mathbb{R}$ (left closed - right open). We write $|X| = b - a$ for its length.

By an IET (interval exchange transformation) we mean a pair (X, f) where $X = [a, b)$ is a standard interval and f is a right continuous bijection $f: X \rightarrow X$ with a finite set D of discontinuities and such that the translation function $\gamma(x) = f(x) - x$ is piecewise constant.

The map f itself is referred to as an IET, and then $X = \text{domain}(f)$ and $D = \text{disc}(f)$ denote the domain (also the range) of f and the discontinuity set of f , respectively.

Given an IET $f: X \rightarrow X$, the set $\text{disc}(f)$ partitions X into a finite number of subintervals X_k in such a way that f restricted to each X_k is a translation

$$(1.1) \quad f|_{X_k}: x \rightarrow x + \gamma_k,$$

so that the action of f reduces to a rearrangement of the intervals X_k . The number r of exchanged intervals X_k can be specified by calling f an r -IET.

Denote by \mathcal{G} the set of IETs. Then

$$(1.2) \quad \mathcal{G} = \bigcup_{r \geq 1} \mathcal{G}_r,$$

where \mathcal{G}_r stands for the set of r -IETs:

$$(1.3) \quad \mathcal{G}_r = \{f \in \mathcal{G} \mid \text{card}(\text{disc}(f)) = r - 1\}.$$

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For a standard interval $X = [a, b)$, the subset

$$(1.4) \quad G(X) = \{f \in \mathcal{G} \mid \text{domain}(f) = X\}$$

forms a group under composition (of bijections of X). Its identity is $\mathbf{1}_{G(X)} \in \mathcal{G}_1$, the identity map on X . Given two standard intervals X and Y , there is a canonical isomorphism

$$(1.5) \quad \phi_{X,Y} : G(X) \rightarrow G(Y)$$

defined by the formula

$$(1.6) \quad \phi_{X,Y}(f) = l \circ f \circ l^{-1} \in G(Y), \quad \text{for } f \in G(X),$$

where $l = l_{X,Y}$ stands for the unique affine order preserving bijection $X \rightarrow Y$.

By the group of IETs we mean the group $G := G([0, 1))$. (It is isomorphic to $G(X)$, for any standard interval X .)

The interval exchange transformations have been a popular subject of study in ergodic theory. (We refer the reader to the book [12] by Marcelo Viana which may serve as a nice introduction and survey reference in the subject.) Most papers on IETs study these as dynamical systems; they concern specific dynamical properties (like minimality, ergodicity, mixing properties, etc.) the IETs may satisfy.

The focus of the present paper is different; we address certain questions on the group-theoretical structure of the group G of IETs. (For recent results on this general area see [13] and [7].) In particular, we discuss possible generator subsets of the group G .

It was known for a while that the subgroup G_{per} generated by periodic IETs forms a proper subgroup of G ; in particular, G_{per} contains no irrational rotations. (The SAF invariant introduced in the next section vanishes on G_{per} but has non-zero value on irrational rotations.) On the other hand, the set G_{per} contains some uniquely ergodic, even pseudo-Anosov (self-similar) IETs (see [2]).

We show that the subgroup $H = \langle G_{per}, G_{rot} \rangle$ generated by G_{per} and the set of rotations $G_{rot} \subset G$ is still a proper subgroup of G . On the other hand, H is large enough to contain all rank 2 IETs (see Section 4), in particular the IETs over quadratic number fields.

We present a constructive criterion (in terms of the SAF invariant) for a given IET to lie in H . It follows from this criterion that a 3-IET lies in H if and only if the lengths of its exchanged subintervals are linearly independent. Note that 3-IETs generate the whole group of IETs. (More precisely, $\mathcal{G}_3 \cap G$ is a generating set for the group G .)

2. THE SAF INVARIANT AND SUBGROUPS OF $G(X)$

Throughout the paper (whenever vector spaces or linear dependence/independence are discussed) the implied field (if not specified) is always meant to be \mathbb{Q} , the field of rationals.

Denote by \mathbb{T} the tensor product of two copies of \mathbb{R} viewed as vector spaces (over \mathbb{Q}). Denote by \mathbb{K} the skew symmetric tensor product of two copies of reals:

$$\mathbb{T} := \mathbb{R} \otimes_{\mathbb{Q}} \mathbb{R}; \quad \mathbb{K} := \mathbb{R} \wedge_{\mathbb{Q}} \mathbb{R} \subset \mathbb{T}.$$

Recall that \mathbb{K} is the vector subspace of \mathbb{T} spanned by the wedge products

$$u \wedge v := u \otimes v - v \otimes u, \quad u, v \in \mathbb{R}.$$

The *Sah-Arnoux-Fathi (SAF) invariant* (sometimes also called the *scissors congruence invariant*) of $f \in \mathcal{G}_r$ is defined by the formula

$$(2.1) \quad \text{SAF}(f) := \sum_{k=1}^r \lambda_k \otimes \gamma_k \in \mathbb{T},$$

where the vectors $\vec{\lambda} = (\lambda_1, \lambda_2, \dots, \lambda_r)$, $\vec{\gamma} = (\gamma_1, \gamma_2, \dots, \gamma_r) \in \mathbb{R}^r$ encode the lengths $\lambda_k = |X_k|$ of exchanged intervals X_k and the corresponding translation constants γ_k , respectively (see (1.1)).

The SAF invariant was introduced independently by Sah [9] and Arnoux and Fathi [1]. The following lemma makes this invariant a useful tool in the study of IETs.

Lemma 1. *Let X be a standard interval. Then*

- (a) $\text{SAF}: G(X) \rightarrow \mathbb{T}$ is a group homomorphism. \checkmark
- (b) $\text{SAF}: G(X) \rightarrow \mathbb{K}$ is a surjective group homomorphism: $\text{SAF}(G(X)) = \mathbb{K} \subset \mathbb{T}$. \checkmark
- (c) If $f \circ h = h \circ g$ holds for $f, g, h \in G(X)$, then $\text{SAF}(f) = \text{SAF}(g)$. (The SAF invariant does not distinguish between conjugate IETs.)

Clearly, (c) follows from (b) because both \mathbb{T} and \mathbb{K} are Abelian groups.

2.1. Subgroups of $G(X)$. Let X be a standard interval. An IET $f \in G(X)$ is called periodic if f is an element of finite order in the group $G(X)$ (defined in (1.4)). Set

$$(2.2) \quad \mathcal{G}_r(X) := \mathcal{G}_r \cap G(X), \quad r \geq 1,$$

(see notation (1.2)). Note that $\mathcal{G}_1(X) = \{\mathbf{1}_{G(X)}\}$ is a singleton.

Consider the following subgroups of $G(X)$:

- $G'(X) = [G(X), G(X)]$ is the commutator subgroup of G (generated by the commutators $f^{-1}g^{-1}fg$, with $f, g \in G(X)$); \checkmark
- $G_{\text{per}}(X)$ is the subgroup of $G(X)$ generated by periodic IETs $f \in G(X)$; \checkmark
- $G_0(X) = \{f \in G(X) \mid \text{SAF}(f) = 0\}$ (see (2.1)); \checkmark
- $G_{\text{rot}}(X) = \mathcal{G}_1(X) \cup \mathcal{G}_2(X) = \mathcal{G}_2(X) \cup \{\mathbf{1}_{G(X)}\}$ is the group of rotations on X . (It contains all IETs $f \in G(X)$ with at most one discontinuity.)

Observe that (a) in Lemma 1 implies immediately the inclusions

$$G'(X) \subset G_0(X); \quad G_{\text{per}}(X) \subset G_0(X),$$

as well as the fact that the set $G_0(X)$ forms a subgroup of $G(X)$.

An unpublished theorem of Sah [9] (mentioned by Veech in [11]) contains Lemma 1 and the equality $G'(X) = G_0(X)$. This equality has been recently extended by Vorobets [13] to also include $G_{\text{per}}(X)$ as an additional set:

$$(2.3) \quad G'(X) = G_0(X) = G_{\text{per}}(X).$$

We refer to Vorobets' paper [13] for a nice self-contained introduction to the SAF invariant. In particular, the paper contains the proof of Lemma 1 and of the equality (2.3).

The results of the present paper concern the subgroup

$$(2.4) \quad H(X) = \langle G_{\text{per}}(X), G_{\text{rot}}(X) \rangle \subset G(X)$$

generated by periodic IETs and the rotations in $G(X)$. The elements of $H(X)$ are classified in terms of their SAF invariant; see Theorem 2. It is shown that “most” 3-IETs do not lie in $H(X)$ (Lemma 5 and Theorem 4). In particular, it follows that $H(X) \neq G(X)$.

3. SUBGROUP H AND ITS SAF INVARIANT CHARACTERIZATION

For $u \in \mathbb{R}$, denote

$$\mathbb{K}(u) = \{u \wedge v \mid v \in \mathbb{R}\} \subset \mathbb{K} = \mathbb{R} \wedge_{\mathbb{Q}} \mathbb{R}.$$

$\mathbb{K}(u)$ forms a vector subspace of \mathbb{K} . If $u \neq 0$, $\mathbb{K}(u)$ is isomorphic to \mathbb{R}/\mathbb{Q} .

In the next lemma we compute the SAF invariant for 2-IETs. This is known and follows immediately from the definition (2.1), but is included for completeness.

In what follows X denotes a standard interval. Recall that $\mathcal{G}_r(X) = \mathcal{G}_r \cap G(X)$ stands for the set of r -IETs on X .

Lemma 2. *Assume that $f \in \mathcal{G}_2(X)$ exchanges two subintervals of lengths λ_1 and λ_2 (with $\lambda_1 + \lambda_2 = |X|$). Then*

$$\text{SAF}(f) = |X| \wedge \lambda_1 \in \mathbb{K}(|X|).$$

Proof. For such f the traslation constants are $\gamma_1 = -\lambda_2$ and $\gamma_2 = -\lambda_2 + 1 = \lambda_1$ (see (1.1)). It follows that

$$\begin{aligned} \text{SAF}(f) &= \lambda_1 \otimes \gamma_1 + \lambda_2 \otimes \gamma_2 = \lambda_1 \otimes (-\lambda_2) + \lambda_2 \otimes \lambda_1 \\ &= \lambda_2 \wedge \lambda_1 = (\lambda_2 + \lambda_1) \wedge \lambda_1 = |X| \wedge \lambda_1. \end{aligned}$$

□

Lemma 3. *Let $\beta \in \mathbb{K}(|X|)$. Then there exists $f \in \mathcal{G}_2(X)$ such that $\text{SAF}(F) = \beta$.*

Proof. Let $\beta = |X| \wedge t$. Select a rational $r \in \mathbb{Q}$ such that $0 < r|X| + t < |X|$. Set $\lambda_1 = r|X| + t$ and $\lambda_2 = |X| - \lambda_1$. Take $f \in \mathcal{G}_2(X)$ which exchanges two intervals of lengths λ_1 and λ_2 . Then, by Lemma 2,

$$\text{SAF}(f) = |X| \wedge \lambda_1 = |X| \wedge (r|X| + t) = |X| \wedge t,$$

completing the proof. □

Corollary 1. $\text{SAF}(G_{\text{rot}}(X)) = \text{SAF}(\mathcal{G}_2(X)) = \mathbb{K}(|X|)$.

Proof. Follows from Lemmas 2 and 3. □

Theorem 1. *Let $f \in G(X)$. Assume that $\text{SAF}(f) \in \mathbb{K}(|X|)$. Then there exists a rotation $g \in \mathcal{G}_2(X)$ and $h_1, h_2 \in G_{\text{per}}(X)$ such that*

$$f = g \circ h_2 = h_1 \circ g.$$

Note that in the above theorem $G_{\text{per}}(X)$ can be replaced by $G'(X)$ or $G_0(X)$ (see (2.3)).

Proof of Theorem 1. By Lemma 3 there exists $g \in \mathcal{G}_2(X)$ such that $\text{SAF}(g) = \text{SAF}(f) \in \mathbb{K}(|X|)$. Take $h_1 = f \circ g^{-1}$, $h_2 = g^{-1} \circ f$. Then $h_1, h_2 \in G_0(X) = G_{\text{per}}(X)$ in view of Lemma 1. □

Theorem 2. *Let $f \in G(X)$. Then*

$$f \in H(X) \iff \text{SAF}(f) \in \mathbb{K}(|X|).$$

Proof. Direction \Rightarrow . In view of (2.4), it is enough to show the following two inclusions:

$$S_1 = \text{SAF}(G_{\text{rot}}(X)) \in \mathbb{K}(|X|); \quad S_2 = \text{SAF}(G_0(X)) \in \mathbb{K}(|X|).$$

Both are immediate: $S_1 = \mathbb{K}(|X|)$ by Corollary 1, and $S_2 = 0$.

Direction \Leftarrow . Follows from Theorem 1. \square

4. RANK 2 IETs LIE IN H

By the span of a subset $A \subset \mathbb{R}$ (notation: $\mathbf{span}(A)$) we mean the minimal vector subspace of \mathbb{R} (over \mathbb{Q}) containing A .

By the rank of an IET f (notation $\mathbf{rank}(f)$) we mean the dimension of the span of the set the lengths of the intervals exchanged by f :

$$\mathbf{rank}(f) := \dim_{\mathbb{Q}}(L(f)),$$

where

$$(4.1) \quad L(f) := \mathbf{span}(\{\lambda_1, \lambda_2, \dots, \lambda_r\}) \quad (\text{if } f \in \mathcal{G}_r).$$

The following implication is immediate: $\mathbf{rank}(f) = 1 \implies f \in G_{\text{per}} = G_0$.

Theorem 3. *For $f \in G(X)$, the following implication holds:*

$$\mathbf{rank}(f) \leq 2 \implies f \in H(X).$$

Proof. We may assume that $\mathbf{rank}(f) = \dim(L(f)) = 2$. Since all $\lambda_k \in L(f)$, it follows that $|X| = \sum_{k=1}^r \lambda_k \in L(f)$. Let $B = \{|X|, u\}$ be a basis in $L(f)$. Then

$$\begin{aligned} \text{SAF}(f) \in L(f) \wedge_{\mathbb{Q}} L(f) &= \{q|X| \wedge u \mid q \in \mathbb{Q}\} \\ &= \{|X| \wedge qu \mid q \in \mathbb{Q}\} \subset \mathbb{K}(|X|), \end{aligned}$$

whence $f \in H(X)$, in view of Theorem 2. \square

5. CRITERION FOR 3-IETs TO LIE IN H

Let $f \in \mathcal{G}_3(X)$. Then f has 2 discontinuities, and f acts by reversing the order of three subintervals, X_1 , X_2 and X_3 . Set $\lambda_i = |X_i|$. Then $X = \text{domain}(f) = [a, a + \lambda_1 + \lambda_2 + \lambda_3]$, with some $a \in \mathbb{R}$. Set for convenience $a = 0$ so that $X = [0, \lambda_1 + \lambda_1 + \lambda_3]$.

The corresponding translation constants are easily computed:

$$\begin{aligned} \gamma_1 &= f(0) - 0 = \lambda_2 + \lambda_3 = |X| - \lambda_1; \\ \gamma_2 &= f(\lambda_1) - \lambda_1 = \lambda_3 - \lambda_1; \\ \gamma_3 &= f(\lambda_1 + \lambda_2) - (\lambda_1 + \lambda_2) = 0 - (\lambda_1 + \lambda_2) = \lambda_3 - |X|. \end{aligned}$$

(The above short computation follows general receipt for computing the translation constants γ_k in terms of the constants λ_k and the permutation specifying the way intervals X_k are rearranged; see e.g. [10, Section 0]. The permutation here must be (321).)

Since $\lambda_2 = |X| - \lambda_1 - \lambda_3$, we can compute $\text{SAF}(X)$ in terms of linear combinations of the wedge products involving only X , λ_1 and λ_3 :

$$(5.1) \quad \text{SAF}(X) = \sum_{k=1}^3 \lambda_k \otimes \gamma_k = |X| \wedge (\lambda_1 - \lambda_3) - \lambda_1 \wedge \lambda_3.$$

We need the following known basic fact (see e.g. [13, Lemma 3.1]).

Lemma 4. *If v_1, v_2, \dots, v_n are $n \geq 2$ linearly independent real numbers, then the $\frac{n(n-1)}{2}$ wedge products $v_i \wedge v_j$, $1 \leq i < j \leq n$, are linearly independent.*

Corollary 2. *Let $v_1, v_2, v_3 \in \mathbb{R}$ be linearly independent. Then $v_1 \wedge v_2 \neq v_3 \wedge u$ for all $u \in \mathbb{R}$.*

Proof. Assume to the contrary that

$$v_1 \wedge v_2 = v_3 \wedge u$$

for some $u \in \mathbb{R}$. If $u \notin \text{span}(\{v_1, v_2, v_3\})$, then the set $\{u, v_1, v_2, v_3\}$ is linearly independent, contradicting Lemma 4.

And if $u \in \text{span}(\{v_1, v_2, v_3\})$, then $u = q_1 v_1 + q_2 v_2 + q_3 v_3$ with some $q_i \in \mathbb{Q}$ whence

$$v_1 \wedge v_2 = q_1 v_3 \wedge v_1 + q_2 v_3 \wedge v_2,$$

a contradiction with Lemma 4 again. \square

Lemma 5. *Let $f: X \rightarrow X$ be a 3-IET and assume that $\text{rank}(f) = 3$. (Equivalently, the set $\{\lambda_1, \lambda_2, \lambda_3\}$ is linearly independent.) Then $f \notin H(X)$.*

Proof. Assume to the contrary that $f \in H(X)$. Then, by Theorem 2, $\text{SAF}(f) \in \mathbb{K}(|X|)$. It follows from (5.1) that $\lambda_1 \wedge \lambda_3 \in \mathbb{K}(|X|)$, i.e., that

$$(5.2) \quad \lambda_1 \wedge \lambda_3 = |X| \wedge u,$$

for some $u \in \mathbb{R}$. Since the numbers λ_1, λ_3 and $|X| = \lambda_1 + \lambda_2 + \lambda_3$ are linearly independent, (5.2) contradicts Corollary 2. \square

Theorem 4 (Criterion for a 3-IET to lie in H). *Let $f: X \rightarrow X$ be a 3-IET. Then*

$$f \in H(X) \iff \text{rank}(f) \leq 2.$$

Proof. The direction \Rightarrow is a contrapositive restatement of Lemma 5. The direction \Leftarrow is given by Theorem 3. \square

6. VALIDATION OF THE MEMBERSHIP IN CLASSES $G_0(X)$ AND $H(X)$

Given $f \in G(X)$, we describe constructive procedures to decide whether $f \in G_{\text{per}}(X)$ and whether $f \in H(X)$.

6.1. Does the inclusion $f \in G_{\text{per}}(X)$ hold? Since $G_{\text{per}}(X) = G_0(X)$ (see (2.3)), one only has to test the equality

$$\text{SAF}(f) := \sum_{k=1}^r \lambda_k \otimes \gamma_k = 0.$$

We assume that the *linear structure* of f is known. By the linear structure of f we mean:

(a) a basis $B = \{v_1, v_2, \dots, v_n\}$ of the finite dimensional space

$$L(f) := \text{span}(\{\lambda_1, \lambda_2, \dots, \lambda_r\});$$

(b) the (unique) linear representations $\lambda_k = \sum_{i=1}^n q_{k,i} v_i$, $1 \leq k \leq r$, with all $q_{k,i} \in \mathbb{Q}$.

Observe that all translation constants γ_k also lie in $L(f)$, and their linear representation in terms of basis B can be computed. This way one can get the representation

$$(6.1) \quad \text{SAF}(f) = \sum_{1 \leq i < j \leq n} p_{i,j} v_i \wedge v_j,$$

with known $p_{i,j} \in \mathbb{Q}$. By Lemma 4, $\text{SAF}(f) = 0$ if and only if all the constants $p_{i,j}$ vanish. Then

$$f \in G_{\text{per}}(X) = G_0(X) \iff p_{i,j} = 0, \text{ for all } i, j.$$

6.2. Does the inclusion $f \in H(X)$ hold? Again we assume that the linear structure of f is known. To answer the question, we proceed as follows.

First, we modify the basis B of $L(f)$ to make $v_1 = |X|$. Then we proceed just as before and get (6.1) with known $p_{i,j} \in \mathbb{Q}$. By Theorem 2 and Lemma 4,

$$f \in H(X) \iff \text{SAF}(f) \in \mathbb{K}(|X|) \iff p_{i,j} = 0, \text{ for } 2 \leq i < j \leq n.$$

7. CLASS H IS NOT PRESERVED UNDER INDUCTION

An IET (X, f) is called minimal if its every orbit is dense in X . For a sufficient condition for an IET to be minimal see [5].

Let (X, f) be an r -IET and assume that $Y \subset X$ is a standard subinterval. It is well known (see e.g. [4] or [5]) that the (first return) map $f_Y: Y \rightarrow Y$ induced by f on Y is also an IET (exchanging at most $r+1$ subintervals). It is also known that, under the minimality assumption, the SAF invariant is preserved under induction.

Proposition 1 ([1, Part II, Proposition 2.13]). *Let (X, f) be a minimal IET and assume that $Y \subset X$ is a standard subinterval. Then*

$$\text{SAF}(f) = \text{SAF}(f_Y).$$

Corollary 3. *Let (X, f) be a minimal IET and assume that $Y \subset X$ is a standard subinterval. Then*

$$f \in G_{\text{per}}(X) \iff f_Y \in G_{\text{per}}(Y).$$

Proof. Follows from Proposition 1 and the fact that $G_{\text{per}}(X) = G_0(X)$. \square

Corollary 4. *Let (X, f) be a minimal IET and assume that $Y \subset X$ is a standard subinterval such that $\frac{|Y|}{|X|} \in \mathbb{Q}$. Then*

$$f \in H(X) \iff f_Y \in H(Y).$$

Proof. Follows from Proposition 1 because $\mathbb{K}(|X|) = \mathbb{K}(|Y|)$ assuming that $\frac{|Y|}{|X|} \in \mathbb{Q}$. \square

Theorem 5. *Let (X, f) be a minimal IET. Then the following two assertions are equivalent:*

- (a) *There exists a standard subinterval $Y \subset X$ such that $f_Y \in H(Y)$;*
- (b) *$\text{SAF}(X) = u \wedge v$, for some $u, v \in \mathbb{R}$.*

One can show that if (b) of the above theorem holds and $\text{SAF}(X) \neq 0$, then $u, v \in L(f)$.

Proof. (a) \Rightarrow (b). $\text{SAF}(X) = \text{SAF}(Y) \in \mathbb{K}([Y])$ whence $\text{SAF}(X) = |Y| \wedge v$, for some $v \in \mathbb{R}$.

(b) \Rightarrow (a). Let $\text{SAF}(X) = u \wedge v$, for some $u, v \in \mathbb{R}$. Without loss of generality, both u, v can be selected positive (using the identities $u \wedge v = (-v) \wedge u$ and $1 \wedge 1 = 0$). Select $q \in \mathbb{Q}$ so that $0 < qu < |X|$. Select any standard subinterval $Y \subset X$ of length $|Y| = qu$. Then $\text{SAF}(f_Y) = u \wedge v = |Y| \wedge (q^{-1}v) \in \text{SAF}([Y])$, and hence $f_Y \in H(Y)$. \square

Let $K \subset \mathbb{R}$ be a subfield of reals. An IET f is said to be over K if $L(f) \subset K$ (see (4.1)), i.e., if all (lengths of exchanged intervals) λ_k lie in K .

We complete the paper by the following result.

Theorem 6. *Let K be a real quadratic number field and let (X, f) be a minimal IET over K . Let $Y \subset X$ be a standard subinterval. Then*

$$f_Y \in H(Y) \iff |Y| \in K.$$

Proof. Since $\mathbf{rank}(f) = \dim(L(f)) \leq \dim(F) = 2$, and $\mathbf{rank}(f) \neq 1$ (because f is not periodic), we conclude that $\mathbf{rank}(f) = 2$ and $L(f) = K$.

Proof of the \Leftarrow implication. Select a basis $B = \{|Y|, u\}$ in K . Then

$$\text{SAF}(f_Y) = \text{SAF}(f) \in K \wedge K = \{q|Y| \wedge u \mid q \in \mathbb{Q}\} \subset \mathbb{K}([Y]).$$

By Theorem 2, $f_Y \in H(Y)$.

Proof of the \Rightarrow implication. It has been proved in [3] that minimal rank 2 IETs must be uniquely ergodic. Thus f is uniquely ergodic. By McMullen's result [6, Theorem 2.1], $\text{SAF}(f) \neq 0$. (McMullen uses the "Galois flux" invariant for the IETs over a quadratic number field which, in his setting, is equivalent to the SAF invariant.)

Select a basis $B = \{|X|, u\}$ in K . Then

$$\text{SAF}(f_Y) = \text{SAF}(f) \in K \wedge K = \{q|X| \wedge u \mid q \in \mathbb{Q}\}.$$

Since $\text{SAF}(f) \neq 0$, $\text{SAF}(f_Y) = q|X| \wedge u$, with some $q \in \mathbb{Q}$, $q \neq 0$.

By Theorem 2, $f_Y \in H(Y)$ implies that $q|X| \wedge u = |Y| \wedge v$, for some $v \in \mathbb{R}$. This is incompatible with the assumption $Y \notin K$ in view of Corollary 2, completing the proof. \square

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