

**ULTRAFILTERS THROUGHOUT MATHEMATICS**  
**BY ISAAC GOLDBRING**  
**ERRATA AND UPDATES**

- In the proof of Theorem 7.3.1, it should read that  $[t_R]_U^n, [t_S]_U^n = 0$  and  $[t_R]_U^{n-1}, [t_S]_U^{n-1} \neq 0$ .
- In the statement of Corollary 7.3.17, the polynomials should satisfy the same degree constraint as in Fact 7.3.16.
- The statements of Theorem 7.3.20, Conjecture 7.3.21, Theorem 7.3.22, and Corollary 7.3.23 should all be about *nontrivial* zeros; the polynomials in question, all being homogeneous, always have the trivial (zero) solution.
- In Theorem 7.3.22 and the statement preceding it,  $\mathbb{F}_p[T]$  should be replaced by  $\mathbb{F}_p[[T]]$ .
- The conclusion of Proposition 8.1.13 should read:  $\mathcal{M}$  is  $\kappa^+$ -saturated if and only if: for every  $A \subseteq M$  with  $|A| \leq \kappa$ ,  $\mathcal{M}_A$  is  $\kappa^+$ -universal. Without this reformulation, the proof of Theorem 8.4.17 (in particular, the implication (3) implies (4)) is unclear.
- In the proof of Theorem 8.3.9, one should replace any mention of the set  $I$  by the cardinal  $\kappa$ .
- In Section 8.4, in the paragraph introducing the notion of an anti-monotonic function, it is stated that the concern function  $C$  satisfies  $C(v) \subseteq C(u)$  when  $u \subseteq v$ . This does not technically follow from the conditions imposed on  $C$  earlier in the section but rather follows from the heuristic that  $C(u)$  captures those indices  $i \in I$  which should be concerned about satisfying the formulae in  $u$ . Consequently, if  $i$  is concerned with satisfying the formulae in the larger set  $v$ , then it is also concerned with satisfying the formulae in the smaller set  $u$ .
- After Definition 8.4.4, it is incorrectly stated that every refinement of a distribution is once again a distribution. The correct statement is that every anti-monotonic refinement of a distribution is once again a distribution.

- In the proof of Theorem 8.4.16, the partial types  $\Gamma_i(y_j)$  should demand that the witnesses  $x$  to the existential statements be *nonempty*. More precisely, the formulae in the first portion of  $\Gamma_i$  should read

$$\exists x \left( \bigwedge_{l \leq k} x \subseteq y_{j_l} \wedge \exists z (z \subseteq x \wedge z \neq \emptyset) \right)$$

and similiary for the formulae in the second portion of  $\Gamma_i$ . Likewise, in the definition of  $\varphi_j(x)$ , one should add a conjunct stating that  $x$  is nonempty.

- In the proof of Corollary 8.4.21, the assertion that  $\mathcal{U} \times \mathcal{V}$  is not  $\aleph_2$ -good is true but not entirely justified based on earlier material. Indeed, the intended proof was to use Exercise 8.4.20; however, this exercise does not apply since no ultrafilter on  $\omega$  can be  $\aleph_1$ -regular. Instead, one needs to use the following elementary fact: if  $\mathcal{U}$  and  $\mathcal{V}$  are ultrafilters on sets  $I$  and  $J$  respectively for which  $\mathcal{U} \times \mathcal{V}$  is  $\kappa^+$ -good, then  $\mathcal{V}$  is also  $\kappa^+$ -good. To see this, suppose that  $\mathcal{U} \times \mathcal{V}$  is  $\kappa^+$ -good and fix an anti-monotonic function  $f : \mathcal{P}_f(\kappa) \rightarrow \mathcal{V}$ ; we seek a multiplicative refinement for  $f$ . Define  $f' : \mathcal{P}_f(\kappa) \rightarrow \mathcal{P}(I \times J)$  by  $f'(u) = I \times f(u)$ . Note that  $f'(u) \in \mathcal{U} \times \mathcal{V}$  since  $f(u) \in \mathcal{V}$  and  $f'$  is anti-monotonic since  $f$  is anti-monotonic. Since  $\mathcal{U} \times \mathcal{V}$  is  $\kappa^+$ -good, there is a multiplicative  $g' : \mathcal{P}_f(\kappa) \rightarrow \mathcal{U} \times \mathcal{V}$  refining  $f'$ . We define  $g : \mathcal{P}_f(\kappa) \rightarrow \mathcal{P}(J)$  by setting  $g(u) := \{j \in J : g'(u)_j \in \mathcal{U}\}$ . Since  $g'$  takes values in  $\mathcal{U} \times \mathcal{V}$ , we see that  $g$  takes values in  $\mathcal{V}$ . Also, if  $j \in g(u)$ , then  $g'(u)_j \in \mathcal{U}$ , whence  $f'(u)_j \in \mathcal{U}$ ; in particular,  $f'(u)_j \neq \emptyset$ , whence  $j \in f(u)$ . It remains to see that  $g$  is multiplicative. To see this, note that

$$j \in g(u \cup v) \Leftrightarrow g'(u \cup v)_j \in \mathcal{U} \Leftrightarrow g'(u)_j \cap g'(v)_j \in \mathcal{U} \Leftrightarrow j \in g(u) \cap g(v).$$

- In the statement of Corollary 8.4.23, the assumption that  $\mathcal{U}$  is countably incomplete is missing.
- In the proof of Proposition 8.6.10, one should replace any mention of the set  $I$  by the cardinal  $\kappa$ .
- In the statement of Theorem 8.6.12, the conclusion should be “ $\mathcal{M}^{\mathcal{U}}$  is  $\lambda$ -saturated”, not “ $\mathcal{M}$  is  $\lambda$ -saturated.”
- In the proof of Theorem 8.6.12, in the statement “ $\mathcal{M} \models \varphi_{\Delta, n}(a_\alpha)$  if and only if  $w_\alpha$  is a  $\Delta$ - $n$ -indiscernible set”  $\mathcal{M}$  should be replaced with  $\mathcal{M}'$ .
- In Question 9.8.4, it was asked if one can prove in ZFC that Hausdorff ultrafilters exist. The question is now known to have a negative answer as shown by J. Cancino-Manríquez in *Every maximal ideal may be Katetov above of all  $F_\sigma$  ideals*, Transactions of the American Mathematical Society, Volume 375 (2022), 1861-1881.
- In Exercise 15.3.8, the sequence  $(a_n)_{n \in \mathbb{N}}$  should be assumed to come from  $\mathcal{M}^{\mathcal{U}}$ .

- In the proof of Theorem 15.3.1, the reference to Upward Löwenheim-Skolem should be to Downward Löwenheim-Skolem.
- In the proof of Theorem 15.3.1, the  $B_1$ -independent set  $D$  in the second paragraph should be assumed to consist of realizations of the type  $p$ .
- In the notes for Chapter 15, Theorem 15.2.14 is correctly attributed to Alan Dow but the wrong paper of Dow's is referenced. Instead, it should refer to A. S. Dow, *On ultrapowers of Boolean algebras*, in *Topology Proc.* 9 (1984), no. 2, 269–291; MR0828984. (Thanks to K.P. Hart for pointing this out in his MathSciNet review of the book.)
- In Appendix A, in the line directly after Definition A.1.1, “authers” should be “authors.”