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ON SPACES OF POLYNOMIAL GROWTH WITH NO CONJUGATE POINTS

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ABSTRACT. The following generalization of the Hopf conjecture is proved: if the fundamental group of an *n*-dimensional compact polyhedral space M without boundary and with no conjugate points has polynomial growth, then there exists a finite covering of M by a flat torus.

§1. INTRODUCTION

By an *n*-dimensional polyhedral space we mean a metric space M (with an inner metric) covered by *n*-simplexes; each simplex is endowed with a smooth Riemannian metric, and these metrics coincide on the common (n-1)-faces of the *n*-simplexes. The precise definition is given at the end of this section. In the definitions below, it is assumed that we deal with a fixed triangulation.

A polyhedral pseudomanifold is an n-dimensional polyhedral space in which the (n-1)simplexes of the triangulation are adjacent to at most two n-simplexes. The boundary of a polyhedral space is the union of the (n-1)-simplexes of the triangulation that are adjacent to only one n-simplex. We say that M has no conjugate points if any two points in the universal covering space of M are connected by a unique geodesic. All polyhedral spaces considered in this paper are assumed to be connected.

Let M be a compact polyhedral space without boundary and with no conjugate points. It is well known that M is isometric to the quotient space \widetilde{M}/Γ , where \widetilde{M} is the universal covering space of M, and Γ is a subgroup of the group of isometries of \widetilde{M} ; recall that Γ is isomorphic to $\pi_1(M)$.

Our aim in this paper is to prove the following two theorems.

Theorem 1. Let M be an n-dimensional compact polyhedral space without boundary and with no conjugate points. If the fundamental group $\pi_1(M)$ of M is nilpotent, then M is a flat torus.

Theorem 2. Let M be an n-dimensional compact polyhedral space without boundary and with no conjugate points. If the fundamental group $\pi_1(M)$ of M is of polynomial growth, then there exists a finite covering of M by a flat torus.

Theorem 2 can be derived from Theorem 1. Indeed, let M satisfy the assumptions of Theorem 1. Then $\pi_1(M)$ is of polynomial growth. The well-known result by Gromov (see [G2]) says that $\pi_1(M)$ is virtually nilpotent, i.e., $\pi_1(M)$ contains a nilpotent subgroup G of finite index. Consequently, there exists a finite covering $\overline{M} \to M$ such that $\pi_1(\overline{M}) = G$.

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Since \overline{M} is a compact polyhedral space without boundary and with no conjugate points, \overline{M} is flat by Theorem 1. In the remaining part of the paper we prove Theorem 1. The proof is organized as follows.

In §2 we prove that M^n is a pseudomanifold and that it is homotopy equivalent to an *n*-dimensional torus.

In §3 we construct a map $f: M \to T^n$, where T^n is a flat torus. We show that f is a local isometry on the complement of the (n-2)-skeleton of M. This step of the proof is similar to a version of the proof of the Hopf conjecture (see [I]). For the first time, the Hopf conjecture was proved by D. Burago and S. Ivanov in [BI].

In §4 we prove that the map $f: M \to T^n$ is an isometry. In contrast to the case of Riemannian manifolds considered in [I], this step is not trivial for Riemannian polyhedra. Now we explain more precisely what we mean by polyhedral spaces.

An *n*-dimensional Riemannian simplex is an *n*-simplex in \mathbb{R}^n equipped with a smooth Riemannian metric (as usual, we assume that the metric is defined in a neighborhood of this simplex), as well as any metric space isometric to such a simplex.

An *n*-dimensional polyhedral space is a connected metric space that can be obtained by gluing together *n*-dimensional Riemannian simplexes along some isometries between their faces.

§2. Homotopy type of M

In the proof of Theorem 1 we use the following results obtained earlier (see [L1, L2]).

Claim 1 ([L1]). Let M be a compact locally simply connected space without conjugate points. Then every nilpotent subgroup of the fundamental group of M is Abelian and torsion free.

Claim 2 ([L2]). Let M be an n-dimensional compact polyhedral space without boundary and with no conjugate points. If the triangulation of M contains three n-simplexes with a common (n-1)-face, then the fundamental group $\pi_1(M)$ is of exponential growth.

Our aim in this section is to prove the following auxiliary statement.

Lemma 1. Let M be as in Theorem 1. Then M is a pseudomanifold that is homotopy equivalent to an n-dimensional torus.

Proof. Since the fundamental group of a compact metric space with intrinsic metric is finitely generated, from Claim 1 it follows that $\pi_1(M) = \mathbb{Z}^m$ for some m. Applying Claim 2, we see that at most two *n*-simplexes of M may have a common (n-1)-face, i.e., M is a pseudomanifold. Since the universal covering space of M is contractible, the fundamental group of M determines the homotopy type of M. Hence, M is homotopy equivalent to an m-torus T^m . It follows that $H_k(M, \cdot) = H_k(T^m, \cdot)$ for every k.

We prove that m = n, where n is the dimension of M.

Suppose that n > m. Since M is a pseudomanifold, we have $H_n(M, \mathbb{Z}_2) = \mathbb{Z}_2$. This contradicts the relation $H_n(T^m, \mathbb{Z}_2) = 0$.

Suppose n < m; then $H_m(M, \mathbb{Z}) = 0$. This contradicts the relation $H_m(T^m, \mathbb{Z}) = \mathbb{Z}$. Thus, $\pi_1(M) = \mathbb{Z}^n$.

§3. Constructing a local isometry

We denote by M' the complement of the (n-2)-skeleton of M; then M' is an open dense subset of M. In this section we shall prove the following statement.

Proposition 1. Under the assumptions of Theorem 1, there exists a map $f : M \to T^n$, where T^n is a flat n-torus, with the following properties:

(1) $f|_{M'}$ is a local isometry on M', i.e., $f|_{M'}$ is an open map preserving distances;

(2) f is Lipschitz;

(3) f induces an isomorphism between the corresponding fundamental groups.

We start with several lemmas.

Let SM denote the space of all unit tangent vectors of M. A canonical measure μ_L on the space SM is defined in a standard way as the product of two measures: the normalized Riemannian volume on M and the normalized Riemannian volume on the unit (n-1)-sphere. This measure is called the *Liouville measure*.

Since for almost every unit vector $e \in SM$ there exists a unique generic geodesic γ with $\gamma'(0) = e$ (see [L1]), the geodesic flow transformation is well defined almost everywhere on SM, and it is known that the Liouville measure is invariant with respect to this transformation (see [L1]).

We recall that M is isometric to the quotient space \widetilde{M}/Γ , where \widetilde{M} is the universal covering space of M and Γ is a deck transformation group isomorphic to $\pi_1(M) = \mathbb{Z}^n$ and acting by isometries on \widetilde{M} .

Consider the vector space $V = \Gamma \otimes \mathbb{R}$; it is isomorphic to \mathbb{R}^n . There exists a canonical immersion of $\Gamma = \mathbb{Z}^n \hookrightarrow V$, and its image is an integral lattice in $V = \mathbb{R}^n$. Below we shall denote elements of Γ and the corresponding points of the lattice by the same symbol. Fix a point $x_0 \in \widetilde{M}$. The orbit of Γ is a lattice in \widetilde{M} ; there is a one-to-one correspondence between the points of the lattice and the elements of Γ . For $k \in \Gamma$ and $x \in \widetilde{M}$, we denote by x + k the image of x under the isometry k. When studying distances between remote points, it is convenient to approximate points of \widetilde{M} by elements of the lattice. We define a map $\overline{k} : \widetilde{M} \to \Gamma$ commuting with Γ . For this, we fix a bounded fundamental domain Fcontaining the point x_0 . For an arbitrary $x \in \widetilde{M}$, we put $\overline{k}(x) = k$, where k is a unique element of Γ such that $x \in F + k$.

Consider the function $\|\cdot\|$: $\Gamma \to [0,\infty)$ given by the formula

$$||k|| = \lim_{n \to \infty} \frac{\widetilde{\rho}(x_0, x_0 + nk)}{n},$$

where $\tilde{\rho}$ is the lift of the metric ρ . The function $\|\cdot\|$ is well known to be a norm on Γ ; therefore, it extends to a norm on V, called the stable norm. For a linear function $L: V \to \mathbb{R}$ we set $\|L\| = \max\{L(x) | \|x\| = 1\}$.

Lemma 2. Let $L: V \to \mathbb{R}$ be a linear function with ||L|| = 1. There exists a function $\widetilde{B}_L: \widetilde{M} \to \mathbb{R}$ such that

1) \widetilde{B}_L is Lipschitz with Lipschitz constant 1;

2) $\widetilde{B}_L(x+k) = \widetilde{B}_L(x) + L(k)$ for every $x \in \widetilde{M}, k \in \Gamma$.

Proof. Indeed, let

$$\widetilde{B}_L(x) = \inf_{k \in \Gamma} (L(k) + \rho(x, x_0 + k))$$

We prove that the function B_L is well defined. Since ||L|| = 1, from the definition of the stable norm it follows that

$$-\rho(x_0 + k, x_0) \le -\|k\| \le L(k),$$

whence

$$L(k) + \rho(x, x_0 + k) \ge -\rho(x_0 + k, x_0) + \rho(x, x_0 + k) \ge -\rho(x, x_0).$$

The required properties of \tilde{B}_L immediately follow from the definition.

For a linear function $L: V \to \mathbb{R}$, let \widetilde{B}_L denote the function constructed in Lemma 2. Since \widetilde{B}_L is Lipschitz, it has a gradient almost everywhere; this gradient will be denoted by \widetilde{v}_L .

For $\widetilde{v} \in \widetilde{SM}$, let $\widetilde{\gamma} : \mathbb{R} \to \widetilde{M}$ be a geodesic with $\gamma'(0) = \widetilde{v}$. We define the direction at infinity $\widetilde{R}(\widetilde{v}) = \widetilde{R}(\widetilde{\gamma}) \in V$ by

$$\widetilde{R}(\widetilde{v}) = \lim_{T \to \infty} \frac{\overline{k}(\widetilde{\gamma}(T)) - \overline{k}(\widetilde{\gamma}(0))}{T}.$$

By definition, for $v \in SM$ we put $R(v) = \widetilde{R}(\widetilde{v})$, where \widetilde{v} is a lifting of v. Since M has no conjugate points, it is clear that ||R(v)|| = 1.

Lemma 3. The functions R and \tilde{R} are defined almost everywhere on SM and \tilde{SM} , respectively.

Proof. Let $\phi : M \to V/\Gamma \simeq \mathbb{R}^n/\mathbb{Z}^n$ be a homotopy equivalence; we may assume that ϕ is simplicial. Since ϕ induces an isomorphism between fundamental groups, the lifting function $\tilde{\phi} : \widetilde{M} \to V$ commutes with Γ .

Since the functions $\tilde{\phi}$ and \overline{k} commute with Γ , we have $\|\tilde{\phi} - \overline{k}\| \leq \text{const.}$ Thus, in the definition of \widetilde{R} we can replace \overline{k} by $\tilde{\phi}$. Since the differential $d\tilde{\phi}$ is defined almost everywhere on $T\widetilde{M}$ and is Γ -invariant, it is the lift of some measurable function ω : $TM \to V$. For a geodesic γ in M and its lifting $\tilde{\gamma}$, we have

$$\widetilde{\phi}(\widetilde{\gamma}(T)) - \widetilde{\phi}(\widetilde{\gamma}(0)) = \int_0^T d\widetilde{\phi}(\widetilde{\gamma}') = \int_0^T \omega(\gamma').$$

Thus, R(v) is equal to the average of ω along γ . The Birkhoff ergodic theorem shows that R(v) is defined for almost all $v \in SM$.

Lemma 4. Let $L: V \to R$ be a linear function with ||L|| = 1. Recall that \tilde{v}_L denotes the gradient field of B_L . Then

$$\lim_{T \to \infty} \frac{1}{T} \int_0^T \langle \gamma', \tilde{v}_L \rangle = L(R(\gamma))$$

if both sides are well defined.

Proof. Since $B_L \circ \gamma$ is Lipschitz, the Newton–Leibniz formula yields

$$\int_0^T \langle \gamma', \widetilde{v}_L \rangle = \int_0^T (B_L \circ \gamma)' = B_L(\gamma(T)) - B_L(\gamma(0)).$$

Since the function $B_L(x) - L(\overline{k}(x))$ is bounded on the fundamental domain and periodic, it is bounded. This implies that $B_L(\gamma(T)) - B_L(\gamma(0))$ differs from $L(\overline{k}(\gamma(T))) - L(\overline{k}(\gamma(0)))$ by a constant. So, we have

$$\lim_{T \to \infty} \frac{1}{T} \int_0^T \langle \gamma', \widetilde{v}_L \rangle = \lim_{T \to \infty} L\left(\frac{\overline{k}(\gamma(T)) - \overline{k}(\gamma(0))}{T}\right) = L(R(\gamma)). \qquad \Box$$

Let F denote the unit sphere of the norm $\|\cdot\|$, and let m be the measure on F that is the image of μ_L under $R: SM \to F$.

Lemma 5. If $L: V \to R$ is a linear function with ||L|| = 1, then

$$\int_F L^2 \, dm \le \frac{1}{n}$$

Equality occurs if and only if $\langle \widetilde{v}_L, w \rangle = L(\widetilde{R}(w))$ for almost every $w \in S\widetilde{M}$.

Proof. Consider the average of $\langle v_L, \cdot \rangle$ along geodesics. By Lemma 3, we have

$$\lim_{T \to \infty} \frac{1}{T} \int_0^T \langle \gamma', v_L \rangle = L \circ R$$

By the Schwartz inequality,

$$\lim_{T \to \infty} \frac{1}{T} \int_0^T \langle \gamma', v_L \rangle^2 \ge (L \circ R)^2.$$

Since R is constant on every trajectory of the geodesic flow, we have

$$\lim_{T \to \infty} \frac{1}{T} \int_0^T \langle v_L, w \rangle^2 = (L \circ R)^2 + \lim_{T \to \infty} \frac{1}{T} \int_0^T (\langle v_L, \cdot \rangle - L \circ R)^2.$$

Integrating and using the Birkhoff ergodic theorem, we obtain

$$\int_{SM} \langle v_L, \cdot \rangle^2 \, d\mu_L = \int_{SM} (L \circ R)^2 \, d\mu_L + \int_{SM} (\langle v_L, \cdot \rangle - L \circ R)^2 \, d\mu_L.$$

From the inequality $|v_L| < 1$ it follows that $\int_{SM} \langle v_L, \cdot \rangle^2 d\mu_L \leq 1/n$. Consequently,

$$\int_F L^2 dm = \int_{SM} (L \circ R)^2 d\mu_L \le \frac{1}{n} - \int_{SM} (\langle v_L, \cdot \rangle - L \circ R)^2 d\mu_L.$$

The integral on the right is nonnegative, and it vanishes if and only if $\langle v_L, w \rangle = L(R(w))$ for almost every $w \in SM$. The lemma is proved.

We use the following known result (for its proof, see, e.g., [BI]).

Lemma 6. Let $(V, \|\cdot\|)$ be an n-dimensional Banach space, let F be the unit sphere of the norm $\|\cdot\|$, and let F^* be the set of linear functions L such that $\|L\| = 1$. Then there exists an ("inscribed") quadratic form $Q: V \to \mathbb{R}$ representable as a finite sum

$$Q = \sum_{i=1}^{n} a_i L_i^2, \quad L_i \in F^*, \ a_i > 0, \ \sum_{i=1}^{n} a_i = n,$$

and such that $Q(x) \ge ||x||^2$ for every $x \in V$. In particular, Q is positive.

Remark 1. The unit ball of Q is the ellipsoid of maximal volume inscribed in F.

Let $Q = \sum a_i L_i^2$ be the corresponding (inscribed) quadratic form for the stable norm $\|\cdot\|$ associated with $\tilde{\rho}$. We denote by B_i the functions constructed as in Lemma 2 for the linear functions L_i , and by \tilde{v}_i their gradients.

Lemma 7. For all i, we have

(1)
$$\langle \widetilde{v}_i, w \rangle = L_i(R(w))$$

for almost every $w \in S\widetilde{M}$.

Proof. Applying Lemma 5 to L_i , we obtain

$$\int_{F} Q \, dm = \sum a_i \int_{F} L_i^2 \, dm \le \frac{1}{n} \sum a_i = 1.$$

But $Q|_F \ge 1$ on F. Therefore, $\int_F Q \, dm = 1$, so that $\int_F L_i^2 \, dm = \frac{1}{n}$ for every i. By Lemma 5, it follows that $\langle \tilde{v}_i, w \rangle = L_i(\tilde{R}(w))$ for almost every $w \in \widetilde{SM}$.

The lemma just proved implies that (1) is true almost everywhere for almost every trajectory of the geodesic flow; this means that for almost every $w \in S\widetilde{M}$, if γ is a geodesic with $\gamma'(0) = w$, then the function $\langle \widetilde{v}_i, \gamma' \rangle = (B_i \circ \gamma)'$ is defined almost everywhere. Moreover it is equal to the constant $L_i(R(\gamma))$. Since this function is Lipschitz, it is linear. Thus,

(2)
$$(B_i \circ \gamma)' \equiv L_i(R(\gamma)), \quad t \in \mathbb{R}$$

Since $Q|_F \ge 1$, the relation $\int_F Q \, dm = 1$ implies that *m*-almost everywhere on *F* we have Q = 1. By the definition of *m*, this means that

for almost all $w \in \widetilde{SM}$. Since Q is nondegenerate, there is no loss of generality in assuming that L_1, \ldots, L_n are linearly independent.

Consider the map

$$\widetilde{f} = (\widetilde{B}_1, \dots, \widetilde{B}_n) : \widetilde{M} \to \mathbb{R}^n.$$

We endow \mathbb{R}^n with the Euclidean structure corresponding to the quadratic form Q under the isomorphism

$$I = (L_1, \ldots, L_n) : V \to \mathbb{R}^n$$

For almost every geodesic $\gamma:\mathbb{R}\to\widetilde{M}$ we obtain

$$(\widetilde{f} \circ \gamma)' = (L_1(\widetilde{R}(\gamma)), \dots, L_n(\widetilde{R}(\gamma))) = I(\widetilde{R}(\gamma)).$$

Since for almost every geodesic γ the vector $I(\tilde{R}(\gamma))$ is a unit vector with respect to the new Euclidean structure, the image $\tilde{f}(\gamma)$ is a straight line with constant unit velocity.

Now we prove Proposition 1.

Proof. Since \tilde{f} commutes with the group Γ of integral translations on \widetilde{M} and \mathbb{R}^n , \tilde{f} induces a map $f: M \to T^n$, where T^n is a flat torus. The homomorphism of fundamental groups induced by f is an isomorphism, which implies statement (3) of Proposition 1. The map f is Lipschitz because so is \tilde{f} .

Recall that M' denotes the complement of the (n-2)-skeleton of M.

We show that $f|_{M'} \to T^n$ is a local isometry. Consider a convex neighborhood $U \in M'$ and fix two points $x, y \in U$. For any neighborhoods $U_x, U_y \subset U$ of x and y, let $V(U_x, U_y)$ be the set of initial velocity vectors of all shortest paths starting in U_x and ending in U_y . Since for almost every geodesic $\gamma : [a, b] \to M$ the image $f \circ \gamma$ is a straight line with a constant unit speed and $\mu_L V(U_x, U_y) > 0$, there exist two points $x' \in U_x$ and $y' \in U_y$ such that f preserves the distance between them. Since U_x and U_y are arbitrary and fis continuous, f preserves the distance between x and y. Thus, $f|_U$ preserves distances.

Since M' and T^n are *n*-dimensional manifolds, and $f|_{M'}$ preserves the distances, for any $x \in M'$ the image of some neighborhood of x is a neighborhood of f(x), and we see that f is an open map.

§4. f is an isometry

The following Lemma 8 is an obvious consequence of Proposition 1(1).

Lemma 8. $f|_{M'}$ preserves the lengths of curves.

Lemma 9. The map $f|_{M'}: M' \to f(M')$ is bijective, and $f: M \to T^n$ is surjective. As a consequence (because $f|_{M'}$ is a local isometry), the map $(f|_{M'})^{-1}$ is well defined, is continuous, and preserves the lengths of curves.

Proof. Recall that M is homotopy equivalent to an n-dimensional torus. Consequently, the n-homology group of M is isomorphic to \mathbb{Z} . We fix an isomorphism between $H_n(T^n)$ and \mathbb{Z} and choose a generator of $H_n(M)$. The induced homomorphism $f_*: H_n(M) \to$ $H_n(T^n) = \mathbb{Z}$ takes the generator of $H_n(M)$ to some integer; this integer is called the degree of f. We show that the degree of f is ± 1 . Since the universal covering space of M is contractible, the induced homomorphism f_* determines the homotopy type of f. Proposition 1(3) shows that f_* is an isomorphism; then f is a homotopy equivalence. Thus, the degree of f is ± 1 .

The choice of generators of the homology group fixes orientations of the manifolds $M' \subset M$ and T^n . We define the degree of f at $x \in M'$ to be equal to 1 if $d_x f$ preserves the orientations of the tangent spaces at x, and to -1 if $d_x f$ reverses the orientations. Suppose $y \in T^n$ is a regular point, i.e., the preimage $f^{-1}(y) = x_1, \ldots, x_l$ is contained in M'. As in the case of Riemannian manifolds, it can be proved that the degree of f is the sum of the degrees of f at the points x_1, \ldots, x_l . Hence, f is surjective.

Since M is a pseudomanifold that is homotopy equivalent to an n-dimensional torus, the space M' is connected. Indeed, assume the contrary; then the group $H_n(M, \mathbb{Z}_2)$ contains two nonzero elements. Since $f|_{M'}$ is a local isometry, it preserves the orientation of tangent spaces everywhere, or it reverses these orientations. Consequently, the degree of f is constant at the points x_1, \ldots, x_l . Since the degree of f is 1, this means that each regular point has a unique preimage. By the definition of a regular point, it follows that all points having two or more preimages are contained in $f^{-1}(f(M \setminus M'))$. We put $J = f^{-1}(f(M \setminus M'))$. Observe that the dimension of J does not exceed n - 2.

Suppose that $f|_{M'}$ is not injective. Let $y \in f(M')$ be a point with more than one preimage in M', and let x_1, x_2 be two such preimages. Let $D_{r_0}(x_1), D_{r_0}(x_2)$ be balls centered at x_1 and x_2 and such that the restriction of f to these balls is an isometry. Since the dimension of J is at most n-2, there exists a point $x_3 \in D_{r_0}(x_1) \in M \setminus J$. The image of this point coincides with an image of some point contained in $D_{r_0}(x_2)$, which contradicts the fact that f is injective on $M \setminus J$ ($x_3 \in M \setminus J$).

We complete the proof of Theorem 1 by the following statement.

Lemma 10. The map $f: M \to T^n$ is an isometry.

Proof. We show that f is noncontracting and nonexpanding. Every path in M can be approximated by a piecewise differentiable path of almost the same length. We can move each of the corresponding pieces to the interior of an appropriate n-simplex, leaving the endpoints fixed and almost length preserving.

The map f preserves the lengths of these pieces (see Lemma 8). Therefore, the map is nonexpanding.

Now we show that f is noncontracting. Let $x, y \in M$ be arbitrary points. Given $\varepsilon > 0$, we let $x', y' \in M'$ be points such that $\rho(x, x') < \varepsilon$ and $\rho(y, y') < \varepsilon$. Since f is nonexpanding, we have $|(f(x), f(x'))| < \varepsilon$ and $|(f(y), f(y'))| < \varepsilon$, where $|(\cdot, \cdot)|$ denotes the metric on the flat torus.

Since f is Lipschitz and surjective, the Hausdorff dimension of the set $T^n \setminus f(M')$ does not exceed n-2. Therefore, the shortest path $[f(x'), f(y')] \in T^n$ can be approximated by a path in f(M') with almost the same length and the same endpoints. Let $s : [a, b] \to$ f(M') be a path that joins f(x') and f(y') and such that the length of s differs from |f(x'), f(y')| by less than ε . Since $(f|_{M'})^{-1}$ preserves distances, the length of the path $s \circ (f|_{M'})^{-1} : [a, b] \to M'$, which joins x' and y', differs from |f(x'), f(y')| by less than ε . Thus,

$$\rho(x,y) < \rho(x',y') + 2\varepsilon < |f(x'), f(y')| + 3\varepsilon < |f(x), f(y)| + 5\varepsilon.$$

Therefore, f is noncontracting.

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