

# ON THE STRUCTURE OF UNITARY GROUPS

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1. Let  $K$  be an arbitrary sfield with an *involution*  $J$ , that is, a one-to-one mapping  $\xi \rightarrow \xi^J$  of  $K$  onto itself, distinct from the identity, such that  $(\xi + \eta)^J = \xi^J + \eta^J$ ,  $(\xi\eta)^J = \eta^J\xi^J$ , and  $(\xi^J)^J = \xi$ . Let  $E$  be an  $n$ -dimensional right vector space over  $K$  ( $n \geq 2$ ); an *hermitian* (resp. *skew-hermitian*) *form* over  $E$  is a mapping  $(x, y) \rightarrow f(x, y)$  of  $E \times E$  into  $K$  which, for any  $x$ , is linear in  $y$ , and such that  $f(y, x) = (f(x, y))^J$  (resp.  $f(y, x) = -(f(x, y))^J$ ). This implies that  $f(x, y)$  is additive in  $x$  and such that  $f(x\lambda, y) = \lambda^J f(x, y)$ . The values  $f(x, x)$  are always *symmetric* (resp. *skew-symmetric*) elements of  $K$ , that is, elements  $\alpha$  such that  $\alpha^J = \alpha$  (resp.  $\alpha^J = -\alpha$ ). The orthogonality relation  $f(x, y) = 0$  relative to  $f$  is always symmetric.

We shall always suppose that the form  $f$  is *nondegenerate*, or in other words that there is no vector in  $E$  other than 0 orthogonal to the whole space. Moreover, when the characteristic of  $K$  is 2, the distinction between hermitian and skew-hermitian forms disappears, and  $f(x, x)$  is symmetric for every  $x \in E$ ; in that case we shall make the *additional assumption* that  $f(x, x)$  has always the form  $\xi + \xi^J$  ("trace" of  $\xi$ ) for a convenient  $\xi \in K$ ; this assumption is automatically verified when the restriction of  $J$  to the center  $Z$  of  $K$  is not the identity, but not necessarily in the other cases.

A *unitary transformation*  $u$  of  $E$  is a one-to-one linear mapping of  $E$  onto itself such that  $f(u(x), u(y)) = f(x, y)$  identically; these transformations constitute the *unitary group*  $U_n(K, f)$ . In a previous paper [5, pp. 63–82]<sup>(1)</sup>, I have studied the structure of that group in the two simplest cases, namely those in which  $K$  is commutative, or  $K$  is a reflexive sfield and the form  $f$  is hermitian; the present paper is devoted to the study of  $U_n(K, f)$  in the general case.

2. We shall need the following lemma:

LEMMA 1. *If the sfield  $K$  is not commutative, it is generated by the set  $S$  of the symmetric elements, except when  $K$  is a reflexive sfield of characteristic  $\neq 2$ , and  $S$  is identical with the center  $Z$  of  $K$ .*

Let  $L$  be the subfield of  $K$  generated by  $S$ ; we are going to prove that if  $L$  is not contained in  $Z$ , then  $L = K$ . Suppose the contrary, and let  $\alpha$  be an element in  $K$  not belonging to  $L$ ; let  $M$  be the 2-dimensional right vector space over  $L$  having 1 and  $\alpha$  as a basis; we are going to prove that  $M$  is a *sfield*. We first notice that  $L$  is identical with the *subring* of  $K$  generated by  $S$ ;

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(1) Numbers in square brackets refer to the bibliography at the end of the paper.

for if  $\zeta \neq 0$  is an element of that subring, it is clear that  $\zeta^J$  also belongs to it; but  $\zeta\zeta^J = \delta$  is in  $S$ , hence  $\zeta^{-1} = \zeta^J\delta^{-1}$  belongs to the ring generated by  $S$ , which proves that  $L$  is identical with that ring. We next notice that  $\alpha^J + \alpha = \beta \in S \subset L$ , and  $\alpha\alpha^J = -\alpha^2 + \alpha\beta = \gamma \in L$  and therefore  $\alpha^2 = \alpha\beta - \gamma$ . On the other hand, if  $\xi$  is any element in  $S$ ,  $\alpha\xi + (\alpha\xi)^J = \alpha\xi + \xi\alpha^J$  is in  $L$ , and therefore  $\alpha\xi - \xi\alpha$  is in  $L$ ; by induction on  $k$ , it follows that if  $\zeta = \xi_1\xi_2 \cdots \xi_k$ , where  $\xi_i \in S$  for  $1 \leq j \leq k$ , the element  $\alpha\zeta - \zeta\alpha$  is in  $L$ . These remarks prove that  $M$  is a *subring* of  $K$ , invariant by the involution  $J$ , and the same argument as the one made for  $L$  proves that  $M$  is a sfield. Now for any  $\zeta \in L$ ,  $\alpha\zeta + (\alpha\zeta)^J = \alpha\zeta + \zeta^J\alpha^J$  is in  $S \subset L$ , and replacing  $\alpha^J$  by its value shows that  $\alpha\zeta - \zeta^J\alpha$  is in  $L$ ; but as  $\zeta^J\alpha - \alpha\zeta^J$  also belongs to  $L$ , we see that  $\alpha(\zeta - \zeta^J)$  is in  $L$ ; this is of course possible only when  $\zeta = \zeta^J$ . In other words, we come to the conclusion that  $L = S$ ; in particular, if  $\xi$  and  $\eta$  are any two elements of  $S$ ,  $\xi\eta$  is in  $S$ , and therefore  $(\xi\eta)^J = \eta^J\xi^J = \eta\xi$  is equal to  $\xi\eta$ ; this means that  $L$  is a *commutative* field.

To go on with the argument, let us first suppose that the characteristic of  $K$  is  $\neq 2$ ; then, as  $\alpha = (\alpha + \alpha^J)/2 + (\alpha - \alpha^J)/2$ ,  $\alpha - \alpha^J$  is not in  $L$ , and we can replace  $\alpha$  by  $\alpha - \alpha^J$  in the preceding sequence of arguments. We then have  $\alpha^J = -\alpha$ , and  $\alpha^2 = -\gamma \in L$ . The mapping  $\zeta \rightarrow \alpha\zeta - \zeta\alpha$  is a *derivation* of the field  $L$ ; if we put  $D\zeta = \alpha\zeta - \zeta\alpha$ , we have  $D^2\zeta = \alpha^2\zeta - 2\alpha\zeta\alpha + \zeta\alpha^2 \in L$  for every  $\zeta \in L$ , which gives  $\alpha\zeta\alpha \in L$ , since the characteristic of  $L$  is  $\neq 2$ . But we may write  $\alpha\zeta\alpha = \alpha^2\zeta - \alpha \cdot D\zeta$  and as  $\alpha^2 \in L$ , this gives  $\alpha \cdot D\zeta \in L$ , which is possible only if  $D\zeta = 0$  for every  $\zeta \in L$ . This proves that every element  $\alpha \in K$  commutes with every element of  $L$ , in other words, that  $L$  is in the *center* of  $K$ , contrary to assumption.

We next take up the case in which the characteristic of  $K$  is 2. From the relation  $\alpha^3 = \alpha\beta\alpha - \gamma\alpha = \alpha^2\beta - \alpha\gamma$ , one derives immediately  $D\beta = D\gamma = 0$ , in other words,  $\beta$  and  $\gamma$  commute with  $\alpha$ ; replacing  $\alpha$  by  $\beta^{-1}\alpha$ , we can therefore suppose that  $\alpha^2 = \alpha + \gamma$ , with  $D\gamma = 0$ . Let  $N$  be the subfield of  $L$  defined by the equation  $D\xi = 0$  (commuting subfield of  $\alpha$  or center of  $M$ ). The relation  $\alpha^2 = \alpha + \gamma$  implies that  $D^2\xi = D\xi$  for every  $\xi \in L$ , or in other words, that  $\xi + D\xi \in N$  for all  $\xi \in L$ . On the other hand,  $D(\xi^2) = 2\xi \cdot D\xi = 0$  because the characteristic is 2, hence  $\xi^2 \in N$  for  $\xi \in L$ . Now, if  $\zeta = \alpha\xi + \eta$  is any element of  $M$ , with  $\xi \in L$  and  $\eta \in L$ , an easy computation shows that  $\zeta\zeta^J = \gamma\xi^2 + \xi\eta + D(\xi\eta) + \eta^2$  and therefore  $\zeta\zeta^J \in N$ ; on the other hand  $\zeta + \zeta^J = \xi + D\xi$  is also in  $N$ . If  $N \neq L$ , this means that  $M$  is a *reflexive* sfield over its center  $N$  [5, p. 72]. But in a reflexive sfield of characteristic 2, the symmetric elements constitute a 3-dimensional subspace over the center, whilst here they are the elements of  $L$ , which is only 2-dimensional over  $N$ ; the assumption  $N \neq L$  is therefore untenable. But if  $N = L$ ,  $\alpha$  commutes again with every element of  $L$ , in other words,  $L$  is again the center of  $K$ , contrary to assumption.

We have still to examine the exceptional case in which  $S$  is contained in  $Z$ . For every element  $\xi \in K$ ,  $\xi + \xi^J$  and  $\xi^J\xi$  are then in the center  $Z$ , and therefore, as  $\xi^2 - (\xi + \xi^J)\xi + \xi^J\xi = 0$ , every element of  $K$  has degree 2 over the center

$Z$ . It is well known that this is possible only if  $K$  has rank 4 over  $Z$ . Moreover if  $\gamma \in Z$  and  $\zeta$  is not in  $Z$ ,  $\gamma\zeta + (\gamma\zeta)^J = \gamma(\zeta + \zeta^J) + (\gamma^J - \gamma)\zeta^J$  is in  $Z$ , which implies  $\gamma^J = \gamma$ ; this shows that  $K$  is a *reflexive* field [5, p. 72], and  $S = Z$ ; but this is possible only when  $K$  has a characteristic  $\neq 2$  (loc. cit.), and that completes the proof of Lemma 1.

3. From the involution  $J$ , we can deduce other involutions  $T$  of  $K$  by the general process of setting  $\xi^T = p^{-1}\xi^J p$ , where  $p$  is a symmetric or skew-symmetric element of  $K$  (with respect to  $J$ ); if  $p^J = \epsilon p$  ( $\epsilon = 1$  or  $\epsilon = -1$ ), the relation  $\xi^T = \xi$  is then equivalent to  $p\xi = \epsilon(p\xi)^J$ ; in other words, the  $T$ -symmetric elements of  $K$  are of the form  $p^{-1}\eta$ , where  $\eta$  is  $J$ -symmetric if  $\epsilon = 1$  and  $\eta$  is  $J$ -skew-symmetric if  $\epsilon = -1$ . This enables one to reduce to each other the hermitian and skew-hermitian forms, by a change of the involution (when the characteristic of  $K$  is not 2). Indeed, if  $f(y, x) = -(f(x, y))^J$ , consider the form  $g(x, y) = p^{-1}f(x, y)$ , where  $p$  is skew-symmetric; then  $g$  is linear in  $y$ , and one has  $g(y, x) = -p^{-1}(f(x, y))^J = -p^{-1}(pg(x, y))^J = (g(x, y))^T$ . For the sake of convenience, we shall always suppose in the following that the form  $f$  is *skew-hermitian* for  $J$ .

The notions of orthogonal basis, of isotropic vector, of isotropic and totally isotropic subspaces of  $E$  are defined as usual (see [5]); the *index*  $\nu$  of  $f$  is the maximum dimension of the totally isotropic subspaces, and one has  $2\nu \leq n$ . When a plane  $P \subset E$  is not totally isotropic but contains an isotropic vector  $a \neq 0$ , then there exists in  $P$  a second isotropic vector  $b$  such that  $f(a, b) = 1$ ;  $P$  is then said to be a *hyperbolic plane*, and the restrictions of  $f$  to any two hyperbolic planes are equivalent. Moreover, Witt's theorem is still valid (see [6, pp. 8-9]; in the case of characteristic 2, this, as well as the preceding property, is due to the restrictive assumption on  $f$  to be "trace-valued"); we shall formulate it in the following form: *if  $V$  and  $W$  are any two subspaces of  $E$  such that the restrictions of  $f$  to  $V$  and  $W$  are equivalent, then there is a unitary transformation  $u$  such that  $u(V) = W$ .*

4. Let us recall that a *transvection* is a linear transformation of the type  $x \rightarrow x + a\rho(x)$ , where  $\rho$  is a linear form, not identically 0, and such that  $\rho(a) = 0$ . If we write that such a transformation is unitary, we get

$$(\rho(x))^J f(a, y) + f(x, a)\rho(y) + (\rho(x))^J f(a, a)\rho(y) = 0$$

identically in  $x$  and  $y$ ; with  $x = a$  this gives  $f(a, a)\rho(y) = 0$ , hence  $f(a, a) = 0$ , the vector  $a$  must be *isotropic*; then we get

$$(\rho(x))^J f(a, y) + f(x, a)\rho(y) = 0$$

which, for fixed  $x$  such that  $\rho(x) \neq 0$ , shows that  $f(x, a) \neq 0$ , and  $\rho(y) = \lambda f(a, y)$ ; finally, we have

$$(f(a, x))^J \lambda^J f(a, y) + f(x, a)\lambda f(a, y) = 0$$

identically, and as  $f(a, x) = -(f(x, a))^J$ , this yields  $\lambda^J = \lambda$ . In other words,

unitary transvections exist only if  $\nu \geq 1$ , and then are of the form  $x \rightarrow x + a\lambda f(a, x)$ , where  $a$  is an arbitrary isotropic vector, and  $\lambda$  an arbitrary symmetric element in  $K$ ; the hyperplane of points of  $E$  invariant by the transvection is the hyperplane orthogonal to  $a$ .

Let  $H$  be a nonisotropic hyperplane,  $a$  a vector orthogonal to  $H$ . Then every unitary transformation  $u$  leaving invariant every element of  $H$  is such that  $u(a) = a\mu$ , with  $\mu^J a \mu = a$ , where  $\alpha = f(a, a)$ ; we shall say that such a transformation is a *quasi-symmetry*. There always exist quasi-symmetries of hyperplane  $H$ , not reduced to the identity; this is obvious if  $K$  has a characteristic  $\neq 2$ , for then the ordinary symmetry ( $\mu = -1$ ) has that property. If  $K$  has characteristic 2, one has by assumption  $\alpha = \beta + \beta^J$ , with  $\beta \neq \beta^J$ ; then  $\mu = \beta^{-1}\beta^J$  satisfies  $\mu^J a \mu = a$ , and  $\mu \neq 1$ .

These remarks already enable us to determine the center  $Z_n$  of the group  $U_n(K, f)$ . Indeed, a transformation  $v$  belonging to the center must permute with every quasi-symmetry, hence leave invariant every nonisotropic line; and if there are isotropic lines,  $v$  must permute with every unitary transvection, hence leave invariant every isotropic line as well. Therefore  $v$  leaves invariant every line, which means that it is a homothetic mapping  $x \rightarrow x\gamma$ , with  $\gamma$  in the center  $Z$  of  $K$  and  $\neq 0$ ; moreover, in order that such a mapping be unitary, it is necessary and sufficient that  $\gamma^J \gamma = 1$ .

5. From now on, we are going to suppose that  $\nu \geq 1$ . Let  $T_n$  be the subgroup of  $U_n(K, f)$  generated by unitary transvections; as a transform  $vuv^{-1}$  of a transvection  $u$  is again a transvection, it is clear that  $T_n$  is a normal subgroup of  $U_n$ . Let  $W_n$  be the center of  $T_n$  (we shall determine its structure in §11). We shall now prove the following theorem.

**THEOREM 1.** *If the sfield  $K$  has more than 25 elements<sup>(2)</sup>, the group  $T_n/W_n$  is simple for  $n \geq 2$  and  $\nu \geq 1$ .*

Our proof will be modeled after that of [5, Theorem 4, p. 55], and will proceed in several steps.

1°. We first prove that if a normal subgroup  $G$  of  $T_n$  contains all transvections of  $U_n$  having the same vector  $a$ , then  $G = T_n$ . In order to do this, we shall prove the following lemma.

**LEMMA 2.** *If  $a$  and  $b$  are any two noncollinear isotropic vectors, there exists a transformation  $u \in T_n$  such that  $u(a) = b\mu$  for a convenient scalar  $\mu \in K$ .*

If we suppose the lemma proved, and consider an arbitrary transvection  $x \rightarrow v(x) = x + a\alpha f(a, x)$ , it is readily verified that  $uvu^{-1}$  is the transvection  $x \rightarrow x + b\mu\alpha\mu^J f(b, x)$ ; but as  $\alpha$  can take any value in the set  $S$  of symmetric elements, so can  $\mu\alpha\mu^J$ . Therefore  $G$  contains all transvections of  $b$ , and in consequence is identical to  $T_n$ , since  $b$  is an arbitrary isotropic vector.

<sup>(2)</sup> The theorem is still true when  $K$  has at most 25 elements, except when  $K = \mathbf{F}_4$ ,  $n = 2$  and  $n = 3$ , and  $K = \mathbf{F}_9$ ,  $n = 2$  [5, p. 70].

To prove the lemma, let us first suppose that  $f(a, b) \neq 0$ ; then there is a scalar  $\mu \neq 0$  such that  $a + b\mu = c$  is isotropic. Indeed, the relation  $f(a + b\mu, a + b\mu) = 0$  gives the condition  $\mu^J f(b, a) + f(a, b)\mu = 0$  which is satisfied by taking  $\mu = (f(a, b))^{-1}$ , owing to the relation  $f(b, a) = -(f(a, b))^J$ . The transvection  $x \rightarrow u(x) = x + cf(c, x)$  sends then  $a$  into  $-b\mu$ , for  $f(c, a) = \mu^J f(b, a) = -1$ .

Suppose next that  $f(a, b) = 0$ ; this means that the plane containing  $a$  and  $b$  is totally isotropic, hence  $n \geq 3$ . Therefore there exists a vector  $z$  such that  $f(a, z) \neq 0$  and  $f(b, z) \neq 0$ ; the plane containing  $a$  and  $z$  is hyperbolic, and contains therefore a vector  $a_1$  not collinear to  $a$  and isotropic; moreover  $a_1$  cannot be orthogonal to  $b$ , otherwise  $z$  would also be orthogonal to  $b$ ; therefore one has  $f(a, a_1) \neq 0$  and  $f(a_1, b) \neq 0$ ; applying the preceding result, there is a transvection  $u_1$  transforming  $a$  into a scalar multiple of  $a_1$ , and a transvection  $u_2$  transforming  $a_1$  into a scalar multiple of  $b$ ; the transformation  $u = u_2 u_1$  satisfies the conditions of the lemma.

6. Our next step will be to prove that:

2°. *Theorem 1 is true for  $n=2$ ,  $\nu \geq 1$ .* The assumption implies that there is a basis of  $E$  consisting of 2 isotropic vectors  $e_1, e_2$  such that  $f(e_1, e_2) = 1$ . If  $u$  is a unitary transformation,

$$U = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$$

its matrix with respect to the basis  $(e_1, e_2)$ , the elements of  $U$  satisfy the following conditions

$$(1) \quad \alpha^J \gamma - \gamma^J \alpha = 0, \quad \beta^J \delta - \delta^J \beta = 0, \quad \alpha^J \delta - \gamma^J \beta = 1,$$

and conversely, the matrices satisfying these relations are unitary. We observe that from (1) one deduces the following relations

$$(2) \quad \alpha \beta^J - \beta \alpha^J = 0, \quad \gamma \delta^J - \delta \gamma^J = 0.$$

Indeed, let

$$A = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix},$$

and let  $U^*$  be the transposed matrix of  $U^J$ ; then (1) is equivalent to the matrix relation  $U^* A U = A$ , whence  $A^{-1} = U^{-1} A^{-1} (U^*)^{-1}$ , and therefore  $U A^{-1} U^* = A^{-1}$ ; but as  $A^{-1} = -A$ , the last relation implies (2) (this short derivation of (2) from (1) was indicated by the referee). The transvections of vector  $e_2$  have matrices of the type

$$B(\lambda) = \begin{pmatrix} 1 & 0 \\ \lambda & 1 \end{pmatrix}.$$

where  $\lambda \in S$ ; the transvections of vector  $e_1$  have matrices of the type

$$C(\mu) = \begin{pmatrix} 1 & \mu \\ 0 & 1 \end{pmatrix},$$

with  $\mu \in S$ . We want to prove that if a normal subgroup  $G$  of  $T_2$  contains a transformation  $u$  not in the center  $W_2$ , then  $G = T_2$ ; it will be enough, by virtue of part 1°, to show that *all* matrices  $C(\mu)$  belong to  $G$ .

Let us first suppose that the matrix  $U$  is such that  $\beta \neq 0$ . Then the matrix

$$\begin{aligned} (B(\lambda))^{-1}UB(\lambda) &= B(-\lambda)UB(\lambda) \\ &= \begin{pmatrix} \alpha + \beta\lambda & \beta \\ \gamma' & \delta' \end{pmatrix} \end{aligned}$$

belongs to  $G$ , for any  $\lambda \in S$ . It follows from the first relation (2) that  $\beta^{-1}\alpha \in S$ ; taking  $\lambda = -\beta^{-1}\alpha$ , we see that we can always limit ourselves to the case in which  $\alpha = 0$ ; the third relation (1) then yields  $\gamma = -(\beta^{-1})^J$ .

Supposing therefore that  $\alpha = 0$ , we next determine a linear transformation  $v$  of  $E$  such that  $u(v(e_1)) = e_1\xi$ , and  $v(u(e_1)) = e_1\eta$ ,  $\xi$  and  $\eta$  being at first arbitrary elements  $\neq 0$  in  $K$ . An easy computation shows that the matrix of  $v$  with respect to  $e_1, e_2$  is equal to

$$V = \begin{pmatrix} -\gamma^{-1}\delta\beta^{-1}\xi & \eta\gamma^{-1} \\ \beta^{-1}\xi & 0 \end{pmatrix}.$$

We now want  $v$  to be in the group  $T_2$ ; this, by the third condition (1), is possible only if we have

$$(3) \quad (\eta\gamma^{-1})^J\beta^{-1}\xi = -1.$$

Conversely, if  $\xi$  and  $\eta$  satisfy (3) and  $\beta^{-1}\xi \in S$ , then  $v \in T_2$ . To prove this, we first remark that there is  $\sigma \in S$  such that

$$VB(\sigma) = \begin{pmatrix} 0 & -(\zeta^{-1})^J \\ \zeta & 0 \end{pmatrix},$$

with  $\zeta = \beta^{-1}\xi$ ; indeed, this relation is equivalent to  $\sigma = \gamma\eta^{-1}\gamma^{-1}\delta\beta^{-1}\xi$ ; but it follows from the second relation (2) that  $\gamma^{-1}\delta \in S$ , and on the other hand, (3) shows that  $\gamma\eta^{-1} = -(\beta^{-1}\xi)^J$ ; therefore, the element  $\sigma$  is in  $S$ .

Further, we have, for  $\zeta \in S$ ,

$$C(-\zeta^{-1})B(\zeta)C(-\zeta^{-1}) = \begin{pmatrix} 0 & -\zeta^{-1} \\ \zeta & 0 \end{pmatrix},$$

hence  $VB(\sigma)$  is in  $T_2$ , which proves that  $V$  is in  $T_2$ .

The transformation  $u_1 = u^{-1}v^{-1}uv$  is then in  $G$ , and its matrix has the form

$$U_1 = \begin{pmatrix} \rho & \beta' \\ 0 & (\rho^{-1})^J \end{pmatrix},$$

where  $\rho = \beta^J \zeta \beta \zeta$ . Finally the matrix  $W = U_1 C(\theta) U_1^{-1} C(-\theta)$  is in  $G$  for every  $\theta \in S$ , and is equal to

$$\begin{pmatrix} 1 & \rho \theta \rho^J - \theta \\ 0 & 1 \end{pmatrix};$$

in other words, it is a matrix  $C(\mu)$  with  $\mu = \rho \theta \rho^J - \theta$ .

7. We first want to prove that it is possible to choose  $\zeta$  and  $\theta$  in the set  $S$  of symmetric elements such that  $\mu \neq 0$ . This will certainly be the case if  $\rho \rho^J \neq 1$ , with  $\theta = 1$ . We have therefore to show that, under the assumptions of Theorem 1, it is impossible that  $\rho \rho^J = 1$  for every  $\zeta \in S$ . This is immediate if the subfield  $Z_0$  of the center  $Z$ , which consists of the symmetric elements of  $Z$  (and is such that  $Z$  is a separable quadratic extension of  $Z_0$ , or identical to  $Z_0$ ), has more than 5 elements; for if  $\zeta \in Z_0$ , the relation  $\rho \rho^J = 1$  reduces to  $\zeta^4 (\beta^J \beta)^2 = 1$ , which can be verified by at most 4 different elements of  $Z_0$ . We are therefore reduced to the case in which  $Z_0$  has at most 5 elements, which means that  $Z$  has at most 25 elements; moreover, we can suppose that  $K$  is noncommutative, and therefore infinite. In the identity  $\rho \rho^J = 1$ , if we replace  $\zeta$  by 1, we get  $(\beta^J \beta)^2 = 1$ , hence  $\beta^J = \beta^{-1}$  or  $\beta^J = -\beta^{-1}$ ; in any case,  $\beta^J$  and  $\beta$  commute. If  $\beta^J + \beta = 0$ , we have  $\beta^4 = 1$ ; if  $\beta + \beta^J \neq 0$ , we can replace  $\zeta$  by  $\beta + \beta^J$ , and we get  $(\beta + \beta^J)^4 = 1$ . In every case,  $\beta$  is a root of an algebraic equation with coefficients in  $Z$ , and as  $Z$  is finite, so is the commutative field  $Z(\beta)$ . Let  $L$  be the subfield of  $K$  consisting of the elements of  $K$  which commute with  $\beta$ ; as  $Z(\beta)$  has finite degree over  $Z$ ,  $K$  has finite degree over  $L$ , and therefore  $L$  is an infinite sfield [2, p. 104]; moreover, as  $Z(\beta^J) = Z(\beta)$ ,  $L$  is invariant under the involution  $J$ . Now, if we take  $\zeta$  in  $S \cap L$ , the relation  $\rho \rho^J = 1$  reduces to  $\zeta^4 = 1$ , in other words  $\zeta^2 = 1$  or  $\zeta^2 = -1$ . If we apply this to  $\zeta = \xi + \eta$ , where  $\xi$  and  $\eta$  are arbitrary in  $S \cap L$ , we conclude that  $\xi \eta + \eta \xi$  is in the center  $Z$  of  $K$ , from which it immediately follows that the sfield  $M$  generated by  $\xi$  and  $\eta$  over  $Z$  has at most rank 4 over  $Z$ ; as  $Z$  is finite, this sfield must be commutative. In other words, any two elements of  $S \cap L$  commute; it then follows from Lemma 1 that either  $L$  is commutative, or is a reflexive sfield, and then has necessarily an infinite center which is identical to  $S \cap L$ . In any case, the relation  $\zeta^4 = 1$ , valid for  $\zeta \in S \cap L$  (and  $\zeta \neq 0$ ) shows that  $S \cap L$  must be finite; this is possible only when  $L$  is commutative; but then  $S \cap L$  is a subfield of  $L$  such that  $L$  has degree 2 over  $S \cap L$ , and as  $L$  is infinite,  $S \cap L$  would also have to be infinite; we thus have reached a contradiction, which ends this part of the argument.

8. We now have proved that there exists in  $S$  an element  $\mu_0 \neq 0$  such that  $C(\mu_0)$  belongs to  $G$ . We want to show next that  $C(1)$  also belongs to  $G$ . In order to do this, we repeat the whole argument of §§6 and 7, starting with

the matrix  $C(\mu_0)$  instead of  $U$ , and, therefore, this time the element  $\beta = \mu_0$  is symmetric. If we can take  $\zeta$  in the center  $Z$ , we thus get an element  $\rho$  which is symmetric and such that  $\rho^2 \neq 1$ . If not, which is the case only when  $Z_0$  has at most 5 elements, the commutative field  $Z(\beta)$  is either finite or infinite. If it is infinite, we can again take a symmetric  $\zeta$  in  $Z(\beta)$  such that  $\rho$  is symmetric and  $\rho^2 \neq 1$ . If on the contrary  $Z(\beta)$  is finite, an argument similar to that of §7, where  $Z(\beta)$  replaces  $Z$ , proves that in the subfield  $L$  of  $K$  commuting with  $\beta$  it is possible to find a symmetrical element  $\zeta$  such that  $\zeta^4 \beta^4 \neq 1$ , and then  $\rho = \beta^2 \zeta^2$  is again symmetric and such that  $\rho^2 \neq 1$ . Now, in the method of §6, we can take  $\theta = (\rho^2 - 1)^{-1}$ ; then  $\rho$  and  $\theta$  commute, and the matrix we obtain in that way is  $C(1)$ .

Finally, let  $\mu$  be any symmetric element  $\neq 0$ , and consider the subfield  $N$  of  $K$  commuting with  $\mu$ ; we are going to prove that there exists in  $N$  a symmetric element  $\zeta$  such that  $\zeta^4 \neq 1$ . This is certainly the case if the center of  $N$  (which contains the commutative field  $Z(\mu)$ ) is infinite (or has more than 25 elements). On the other hand, if the center of  $N$  is finite and is distinct from  $N$ , in particular  $Z(\mu)$  is finite, and then  $N$  is necessarily infinite; but then the argument of §7 shows that it is impossible that  $\zeta^4 = 1$  for every symmetric element in  $N$ . The symmetric element  $\zeta$  being thus chosen, we apply again the procedure of §6, starting this time from the matrix  $C(1)$  instead of  $U$ ; we take then  $\rho = \zeta^2$ , and  $\rho$  is symmetric and such that  $\rho^2 \neq 1$ . Moreover,  $\rho$  commutes with  $\mu$  and with  $\zeta$  (which commute together); therefore, if we take this time  $\theta = \mu(\zeta^4 - 1)^{-1}$ ,  $\theta$  is symmetric, and we have  $\rho\theta\rho^J - \theta = \mu$ .

9. To end the proof of step 2°, we still have to consider the cases in which  $\beta = 0$  in the matrix  $U$ . Suppose first that  $\gamma \neq 0$ ; then, if

$$Q = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix},$$

we notice that  $Q = C(-1)B(1)C(-1)$  belongs to  $T_2$  and that

$$QUQ^{-1} = \begin{pmatrix} \delta & -\gamma \\ 0 & \alpha \end{pmatrix},$$

and we are reduced to the preceding case. Finally, if  $\beta = \gamma = 0$ , we have  $\delta = (\alpha^{-1})^J$  by the third relation (1); then the matrix  $C(\mu)UC(-\mu)$  belongs to  $G$ , and it is equal to

$$\begin{pmatrix} \alpha & \mu(\alpha^{-1})^J - \alpha\mu \\ 0 & (\alpha^{-1})^J \end{pmatrix}.$$

We are therefore reduced to the former case if there is a symmetric  $\mu$  such that  $\mu(\alpha^{-1})^J - \alpha\mu \neq 0$ . If not,  $U$  commutes with every matrix  $C(\mu)$ , and it is easily verified that it also commutes with every matrix  $B(\lambda)$ . But this is



possible only if  $U$  is in the center  $W_2$  of  $T_2$ , owing to the following lemma:

**LEMMA 3.** *The group  $T_2$  is generated by the transvections  $B(\lambda)$  and  $C(\mu)$ .*

To prove that lemma, consider an arbitrary isotropic vector  $x = e_1\alpha + e_2\beta$  in  $E$ ; one has then  $\alpha^J\beta - \beta^J\alpha = 0$ . Suppose  $\beta \neq 0$ ; then  $\alpha\beta^{-1}$  is a symmetric element. But then the transvection  $C(\mu)$ , with  $\mu = -\alpha\beta^{-1}$ , transforms  $x$  into a vector collinear with  $e_2$ , and this shows that every transvection of vector  $x$  is transformed by  $C(\mu)$  into a transvection of vector  $e_2$ , that is, a transvection  $B(\lambda)$ . This of course proves the lemma, and ends the proof of step 2° of Theorem 1.

10. It is now easy to prove that Theorem 1 is true for any  $n \geq 3$ . Let  $G$  be a normal subgroup of  $T_n$ , and  $u$  a transformation in  $G$  which does not belong to the center  $W_n$ . Then  $u$  does not belong to  $Z_n$ , in other words it is not a homothetic mapping. From that, we shall deduce that there exists an isotropic vector  $x$  such that  $u(x)$  and  $x$  are not collinear. This will be proved if we show that when  $u$  leaves invariant every isotropic line, it leaves invariant every line (and is therefore a homothetic mapping), according to the following lemma:

**LEMMA 4.** *For  $n \geq 3$  and  $v \geq 1$ , every nonisotropic line in  $E$  is the intersection of two hyperbolic planes.*

To prove the lemma, let  $x$  be a nonisotropic vector, and  $y$  an isotropic vector. Let  $z$  be a vector which is orthogonal neither to  $x$  nor to  $y$  and is not in the plane determined by  $x$  and  $y$ . Then the plane  $P$  determined by  $y$  and  $z$  is a hyperbolic plane, and it contains therefore a second isotropic vector  $y_1$  such that  $f(y, y_1) = 1$ . Moreover, any vector  $y_2 = y\alpha + y_1\beta$  is isotropic if  $\alpha^J\beta - \beta^J\alpha = 0$ , and therefore there exists such a vector  $y_2$  which is collinear with neither of  $y$  and  $y_1$  (take for instance  $\alpha = \beta = 1$ ). Among the three isotropic vectors  $y, y_1, y_2$ , two at least are not orthogonal to  $x$ , since  $x$  is not orthogonal to  $P$ . Therefore two of the three planes  $Q, Q_1, Q_2$  determined by  $x$  and the vectors  $y, y_1, y_2$ , respectively, are hyperbolic planes, which proves the lemma.

We can now resume the end of the proof of Theorem 1. Let  $x$  be an isotropic vector such that  $x$  and  $u(x)$  are not collinear. Suppose first that  $f(x, u(x)) = 0$ . Then there exists a vector  $z$  which is orthogonal to  $u(x)$  but not to  $x$ . The plane  $P$  determined by  $x$  and  $z$  is a hyperbolic plane, hence contains an isotropic vector  $y$  which is not collinear to  $x$ . From Lemma 2, there exists a transvection  $v \in T_n$  transforming  $x$  into a scalar multiple  $y\lambda$  of  $y$ ; moreover the vector of that transvection is in  $P$ , hence orthogonal to  $u(x)$ , and therefore  $v(u(x)) = u(x)$ . The transformation  $u_1 = vu^{-1}v^{-1}u$  belongs to  $G$ , and one has  $u_1(x) = y$ . This proves that we can always suppose that  $u \in G$  is such that  $f(x, u(x)) \neq 0$ .

Let then  $w$  be a transvection of vector  $x$ ;  $uwu^{-1}$  is a transvection of vector

$u(x)$ , and as  $x$  and  $u(x)$  are not collinear, these two transvections do not commute. Let  $Q$  be the hyperbolic plane determined by  $x$  and  $u(x)$ ; the transformation  $u_2 = w^{-1}uwu^{-1}$  belongs to  $G$ , and leaves invariant every vector in the subspace  $Q^*$  orthogonal to  $Q$ . It therefore belongs to the subgroup  $\Gamma$  of  $U_n(K, f)$  which leaves invariant every vector of  $Q^*$ , and is obviously isomorphic to the unitary group  $U_2(K, f_1)$ , where  $f_1$  is the restriction of  $f$  to the plane  $Q$ ; we shall identify  $\Gamma$  with that group. Moreover,  $u_2$  is the product of two transvections, hence belongs to the group  $T_2(K, f_1)$ ; finally, it is not in the center of that group, since it does not commute with  $w$ . Now step 2° of the proof shows that  $G$  contains every transformation of  $T_2(K, f_1)$ , in particular every transvection of vector  $x$ . Applying step 1° of the proof, we see that  $G = T_n$ , and Theorem 1 is completely proved.

11. We can supplement Theorem 1 by proving the following theorem.

**THEOREM 2.** *Under the same assumptions as in Theorem 1, the center  $W_n$  of the group  $T_n$  is the intersection  $T_n \cap Z_n$ .*

Indeed, if  $n \geq 3$ , every transformation  $u \in W_n$  must commute with every transvection, hence leave invariant every isotropic line. It then follows from Lemma 4 that  $u$  leaves invariant every line, hence is a homothetic mapping.

For  $n = 2$ , if  $e_1$  and  $e_2$  are two isotropic vectors constituting a basis of  $E$  such that  $f(e_1, e_2) = 1$ , the matrix

$$U = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$$

of  $u$  with respect to that basis must commute with every one of the matrices  $B(\lambda)$  and  $C(\mu)$  (notations of §6); this, as is readily seen, means that

$$U = \begin{pmatrix} \alpha & 0 \\ 0 & (\alpha^{-1})^J \end{pmatrix},$$

where  $\alpha$  is such that  $\alpha\lambda = \lambda(\alpha^{-1})^J$  for every symmetric element  $\lambda \in K$ . Taking  $\lambda = 1$  gives  $\alpha^J = \alpha^{-1}$ , and therefore  $\alpha$  must commute with every symmetric element. From Lemma 1, we deduce therefore that  $\alpha$  is in the center  $Z$  of  $K$  (and therefore that  $u \in T_2 \cap Z_2$ ) with the possible exception of the case in which  $K$  is a reflexive sfield of characteristic  $\neq 2$ , and  $Z$  is identical to the set  $S$  of symmetric elements. But in that case we remark that the matrices  $B(\lambda)$  and  $C(\mu)$  have their elements in  $Z$ , and from Lemma 3 it follows that the same is true for every matrix of the group  $T_2$ ; hence if the matrix  $U$  belongs to  $T_2$ ,  $\alpha$  is again in  $Z$ , and this ends the proof of Theorem 2.

12. The remainder of this paper is devoted to the study of the quotient group  $U_n/T_n$ ; the results we obtained in that direction are far from complete, and part of them are valid only under the additional assumption that the sfield  $K$  has *finite rank* over its center  $Z$ .

We begin by proving a lemma which is valid for any sfield  $K$ . A *plane rotation* is a transformation  $u \in U_n$  which leaves invariant every element of a nonisotropic  $(n-2)$ -dimensional subspace  $Q$ ; the plane  $Q^*$  orthogonal to  $Q$  is then called *the plane of the rotation*  $u$ . A *hyperbolic rotation* is a plane rotation whose plane is hyperbolic. We then prove the following lemma.

LEMMA 5. *For  $\nu \geq 1$ , every unitary transformation is a product of hyperbolic rotations.*

The lemma being obvious for  $n=2$ , we prove it by induction on  $n$ , as in [5, p. 66]. Let  $u$  be any unitary transformation, and let  $x$  be a nonisotropic vector such that the hyperplane  $H$  orthogonal to  $x$  contains isotropic vectors. If  $u(x) = x$ ,  $u$  leaves  $H$  invariant, and we can apply induction to its restriction to  $H$ , since the index of the restriction of the form  $f$  to  $H$  is  $\geq 1$  by assumption; the lemma is then proved. If  $u(x) \neq x$ , there is always a hyperbolic plane  $P$  containing the vector  $u(x) - x$ : indeed, if  $a = u(x) - x$  is not isotropic, there is an isotropic vector  $b$  not orthogonal to  $a$  (Lemma 4), and then the plane  $P$  determined by  $a$  and  $b$  is hyperbolic; if on the contrary  $a$  is isotropic, there is a nonisotropic vector  $c$  not orthogonal to  $a$ , and the plane  $P$  determined by  $a$  and  $c$  is hyperbolic. Now, as  $u(x) - x$  is in  $P$ , we can write  $x = z + y$ ,  $u(x) = z + y'$ , where  $y$  and  $y'$  are in  $P$ , and  $z$  in the  $(n-2)$ -dimensional subspace  $P^*$  orthogonal to  $P$ . Moreover, as  $f(u(x), u(x)) = f(x, x)$ , we have also  $f(y, y) = f(y', y')$ . From Witt's theorem applied to the restriction of  $f$  to the plane  $P$ , it follows that there exists a plane rotation  $v$  of plane  $P$  such that  $v(y) = y'$ , hence also  $v(x) = u(x)$ , since  $v(z) = z$ . But then  $v^{-1}u$  leaves  $x$  invariant, and we are reduced to the first case:  $v^{-1}u$  is thus a product of hyperbolic rotations, and so is therefore  $u$ .

13. We shall use Lemma 5 to prove that in certain cases the subgroup  $T_n$  is identical to  $U_n$ : Lemma 5 shows that this will be done if we can prove that *every hyperbolic rotation is a product of transvections*. In particular, we shall have proved that  $U_n = T_n$  for every dimension  $n$  if we can prove that  $U_2 = T_2$  (for  $\nu \geq 1$ , of course). We therefore begin by investigating the relations between the group  $U_2$  and its subgroup  $T_2$ .

As in §6, we consider a basis of  $E$  consisting of two isotropic vectors  $e_1, e_2$  such that  $f(e_1, e_2) = 1$ ; let

$$U = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$$

be the matrix of a unitary transformation  $u$  with respect to that basis; the relations (1) and (2) are then satisfied. As  $\alpha$  and  $\beta$  are not both 0, there is a  $\sigma \in S$  such that in

$$UB(\sigma) = \begin{pmatrix} \alpha' & \beta' \\ \gamma' & \delta' \end{pmatrix},$$

$\alpha' = \alpha + \beta\sigma \neq 0$ ; we can therefore already suppose that  $\alpha \neq 0$ ; then it follows from the first relation (2) that  $\mu = \alpha^{-1}\beta$  and from the first relation (1) that  $\lambda = \gamma\alpha^{-1}$  are both symmetric. But then the matrix

$$B(-\lambda)UC(-\mu) = \begin{pmatrix} \alpha & 0 \\ 0 & (\alpha^{-1})^J \end{pmatrix}$$

(owing to the third relation (1)). If we observe that  $T_2$  is a normal subgroup of  $U_2$ , and that  $T_2$  is generated by the matrices  $B(\xi)$  and  $C(\eta)$  (Lemma 3), we finally see that every matrix  $U$  in the group  $U_2$  can be written as a product  $VW$ , where  $W$  belongs to the group  $T_2$ , and  $V$  has the form

$$\begin{pmatrix} \alpha & 0 \\ 0 & (\alpha^{-1})^J \end{pmatrix}.$$

In order that  $T_2 = U_2$ , it is therefore necessary and sufficient that every matrix

$$\begin{pmatrix} \alpha & 0 \\ 0 & (\alpha^{-1})^J \end{pmatrix}$$

belong to  $T_2$ . Now, for every pair of elements  $\lambda, \mu$  in  $S$ , we have

$$C(\mu)B(\lambda) = \begin{pmatrix} 1 + \mu\lambda & \mu \\ \lambda & 1 \end{pmatrix};$$

if we apply the preceding method to that matrix, we see that every matrix

$$\begin{pmatrix} \alpha & 0 \\ 0 & (\alpha^{-1})^J \end{pmatrix}$$

with  $\alpha = 1 + \mu\lambda = (\lambda^{-1} + \mu)\lambda$  belongs to  $T_2$ .

This proves that  $T_2 = U_2$  if every element  $\neq 0$  in  $K$  is a product of elements of  $S$ .

14. Let us suppose in this section that  $K$  has finite rank  $m^2$  over its center  $Z$ . We recall that  $K$  is said to be of the *first kind* if  $J$  leaves invariant every element of  $Z$ , of the *second kind* if the restriction of  $J$  to  $Z$  is not the identity (it is then an involution in  $Z$ ). Moreover, when  $K$  is of the first kind and of characteristic  $\neq 2$ , the dimension of  $S$  over  $Z$  is equal to  $m(m+1)/2$  or  $m(m-1)/2$  [7]; the easiest way to see this is to extend  $Z$  to a splitting field  $L$  of  $K$ ; the involution  $J$  is extended to  $K_{(L)}$  in an obvious way (the elements of  $L$  being invariant by  $J$ ), and by taking a basis of  $K$  over  $Z$  consisting of symmetric or skew-symmetric elements, one sees readily that the dimension over  $L$  of the space of symmetric elements of  $K_{(L)}$  is equal to the dimension over  $Z$  of the space of symmetric elements of  $K$ . But  $K_{(L)}$  is the algebra of matrices of order  $m$  over  $L$ , and an involution of that algebra leaving in-

variant the elements of  $L$  is known, namely the mapping  $X \rightarrow {}^tX$ , where  ${}^tX$  is the transposed matrix of  $X$ ; therefore [1, p. 896], one has  $X^J = P^{-1} \cdot {}^tX \cdot P$ , where  $P$  is either a symmetric or a skew-symmetric matrix. Hence, the relation  $X^J = X$  means that  $PX$  is symmetric (resp. skew-symmetric) if  $P$  is symmetric (resp. skew-symmetric); this proves at once our assertion. Similarly, it is shown that when the characteristic of  $K$  is 2, the dimension of  $S$  over  $Z$  is always  $m(m+1)/2$  when  $K$  is of the first kind.

We can now prove the following theorem.

**THEOREM 3.** *When  $K$  is a sfield of the first kind, of finite rank  $m^2$  over its center  $Z$  and of characteristic  $\neq 2$ , and such that the space  $S$  of symmetric elements in  $K$  has dimension  $m(m+1)/2$  over  $Z$ , then  $U_n = T_n$  for every  $n \geq 2$ .*

All we have to prove (according to the final remark of §13) is that, for every  $\zeta \in K$ , there exist two elements  $\xi, \eta$  in  $S$  such that  $\zeta = \xi\eta$ . If  $\theta = \eta^{-1}$ , this amounts to saying that there exists an element  $\theta \in S$  such that  $\zeta\theta$  is symmetric, which means that  $\zeta\theta - \theta\zeta^J = 0$ . But the mapping  $\theta \rightarrow \zeta\theta - \theta\zeta^J$  of  $S$  into  $K$  is linear with respect to  $Z$ , and maps  $S$  into the space  $A$  of skew-symmetric elements, which is supplementary to  $S$  in  $K$ , hence has a dimension equal to  $m(m-1)/2$ ; as  $m(m+1)/2 > m(m-1)/2$ , the kernel of the linear mapping  $\theta \rightarrow \zeta\theta - \theta\zeta^J$  is not reduced to 0, and this ends our proof.

As a corollary, we obtain Theorem 6 of [5] when  $K$  is a reflexive sfield of characteristic  $\neq 2$ : the passage from an hermitian to a skew-hermitian form over  $K$ , explained in §3, replaces the involution  $\xi \rightarrow \bar{\xi}$  in  $K$  by an involution for which the symmetric elements are the skew-symmetric elements of  $\xi \rightarrow \bar{\xi}$ , hence form a subspace of dimension 3 over the center  $Z$ .

15. Turning now to the case in which the sfield  $K$ , of finite rank  $m^2$  over  $Z$ , is a sfield of the first kind but such that  $S$  has dimension  $m(m-1)/2$  over  $Z$  (this property implying that  $K$  has a characteristic  $\neq 2$ ), we have to set aside the case  $m=2$ , in which  $S=Z$ , and therefore  $S$  cannot generate the group  $K^*$  of elements  $\neq 0$  in  $K$ . When  $m>2$ , it seems likely (due to Lemma 1) that  $S$  generates  $K^*$ , but I have not been able to prove that conjecture, and in the absence of any further assumptions, the structure of the group  $U_n/T_n$  remains unknown in that case. I shall therefore consider only the case  $m=2$ ; in other words,  $K$  is then a sfield of *generalized quaternions* over  $Z$ , and the involution  $J$  is the (unique) involution of  $K$  for which the elements of  $Z$  are the only symmetric elements.

Let us first consider the case  $n=2$ ; then  $T_2$  is simply the *unimodular group*  $SL_2(Z)$  [4, p. 30]. Moreover, as every element  $\alpha \in K$  is such that  $(\alpha^{-1})^J = \alpha \cdot (N(\alpha))^{-1}$ , where  $N(\alpha) = \alpha\alpha^J \in Z$ , it follows from §13 that every matrix  $U$  in the group  $U_2$  can be written  $\alpha X$ , where  $X$  is an arbitrary matrix in  $GL_2(Z)$  such that  $\det(X) = (N(\alpha))^{-1}$ , and  $\alpha$  is an arbitrary element in  $K^*$ . We observe in addition that  $\alpha$  and  $X$  are permutable, and that  $\alpha$  is determined by  $U$  up to a factor  $\lambda \in Z^*$  (the matrix  $X$  being then multiplied

by  $\lambda^{-1}$ ). We can therefore describe the structure of the group  $U_2$  in the following way: consider in the direct product  $K^* \times GL_2(Z)$  the subgroup  $\Gamma$  consisting of the pairs  $(\alpha, X)$  such that  $N(\alpha) \cdot \det(X) = 1$ , and let  $\Delta$  be the subgroup of  $\Gamma$  consisting of the pairs  $(\lambda, \lambda^{-1})$ , where  $\lambda \in Z^*$ ; then  $U_2$  is isomorphic to the factor group  $\Gamma/\Delta$ . We observe that  $U_2$  contains as a normal subgroup the multiplicative group  $U_1$  of elements of norm 1 in  $K$ , and that  $U_1$  and  $T_2$  commute and have as their intersection the two elements 1 and  $-1$ , which constitute the center  $W_2$  of  $T_2$ ; the quotient group  $U_2/T_2$  contains  $U_1/W_2$  as a subgroup, hence  $T_2$  is certainly not the commutator subgroup of  $U_2$ .

16. There are reasons to believe that the preceding structure of the group  $U_2(K, f)$  when  $K$  is a sfield of generalized quaternions and  $f$  a skew-hermitian form is exceptional among the corresponding groups  $U_n(K, f)$  for  $n > 2$ , much as the 4-dimensional orthogonal groups among the orthogonal groups of other dimensions. The evidence I can supply in favor of that view is summed up in the following theorem:

**THEOREM 4.** *If  $K$  is a sfield of characteristic  $\neq 2$ , and the index  $\nu$  of the form  $f$  is at least 2 (which implies  $n \geq 4$ ), then  $T_n$  is the commutator subgroup of  $U_n(K, f)$ .*

To prove that theorem, we shall establish two lemmas.

**LEMMA 6.** *Let  $P$  be a hyperbolic plane,  $\Gamma$  the group of hyperbolic rotations of plane  $P$ . Then (for  $\nu \geq 2$ ) the factor group  $\Gamma/(\Gamma \cap T_n)$  is abelian.*

Let  $e_1, e_2$  be two isotropic vectors forming a basis of  $P$ , with  $f(e_1, e_2) = 1$ ; it is then possible to find two other isotropic vectors  $e_3, e_4$  orthogonal to  $P$  and such that  $f(e_3, e_4) = 1$  (because  $\nu \geq 2$ ). Let  $Q$  and  $R$  be the totally isotropic planes determined by  $e_1, e_3$  and  $e_2, e_4$  respectively; if  $u \in U_n$  leaves invariant both planes  $Q$  and  $R$ , and  $V$  and  $W$  are the matrices of the restrictions of  $u$  to  $Q$  and  $R$ , with respect to the bases  $e_1, e_3$  and  $e_2, e_4$  respectively, one has  $W = (V')^J$ ,  $V'$  being the contragredient of  $V$ . We are going to prove that there are transformations  $u \in T_n$  of the preceding type, and such that  $V = B(\lambda)$ , where  $\lambda$  is any element of  $K$ . Let  $a = e_2\alpha + e_3\beta$  be any vector in the totally isotropic plane determined by  $e_2$  and  $e_3$ , and consider the transvection  $w$  such that  $w(x) = x + af(a, x)$ ; it leaves invariant  $e_2$  and  $e_3$ , and is such that

$$w(e_1) = e_1 - e_2\alpha\alpha^J - e_3\beta\alpha^J, \quad w(e_4) = e_4 + e_2\alpha\beta^J + e_3\beta\beta^J.$$

Let  $a_1 = e_2\alpha_1 + e_3\beta_1$  be a second isotropic vector,  $w_1$  the transvection such that  $w_1(x) = x - a_1f(a_1, x)$ ; then  $u = w_1w$  leaves invariant  $e_2$  and  $e_3$  and is such that

$$\begin{aligned} u(e_1) &= e_1 + e_2(\alpha_1\alpha_1^J - \alpha\alpha^J) + e_3(\beta_1\alpha_1^J - \beta\alpha^J), \\ u(e_4) &= e_4 + e_2(\alpha\beta^J - \alpha_1\beta_1^J) + e_3(\beta\beta^J - \beta_1\beta_1^J). \end{aligned}$$

If we take  $\alpha_1 = \alpha$  and  $\beta_1 = -\beta$ ,  $u$  leaves invariant  $Q$  and  $R$ , and is such that  $u(e_1) = e_1 - 2e_3\beta\alpha^J$ ; as the characteristic of  $K$  is not 2, it is possible to take  $\alpha$  and  $\beta$  such that  $-2\beta\alpha^J = \lambda$ , for any element  $\lambda \in K$ , and the matrix of the restriction of  $u$  to  $Q$  is then  $B(\lambda)$ . Similarly, it can be proved that  $u \in T_n$  exists such that  $V = C(\mu)$  for any  $\mu \in K$ . Therefore  $T_n$  contains all the transformations  $u \in U_n$  leaving invariant  $Q$  and  $R$  and such that the matrix of the restriction of  $u$  to  $Q$  is any matrix  $V$  in the unimodular group  $SL_2(K)$  [4, p. 30]; in particular, for any element  $\gamma$  in the commutator subgroup of  $K^*$ ,  $u \in T_n$  exists such that

$$V = \begin{pmatrix} \gamma & 0 \\ 0 & 1 \end{pmatrix},$$

[4, p. 29], which means that  $u$  is a hyperbolic rotation of plane  $P$ , such that its matrix in  $P$  is

$$\begin{pmatrix} \gamma & 0 \\ 0 & (\gamma^{-1})^J \end{pmatrix}.$$

Now we have seen in §13 that every hyperbolic rotation of plane  $P$  has a matrix (with respect to  $e_1, e_2$ ) which can be written as the product of a matrix

$$\begin{pmatrix} \alpha & 0 \\ 0 & (\alpha^{-1})^J \end{pmatrix}$$

(with  $\alpha \in K^*$ ) and a matrix of  $\Gamma \cap T_n$ . If, to every  $\alpha \in K^*$ , we associate the class of the matrix

$$\begin{pmatrix} \alpha & 0 \\ 0 & (\alpha^{-1})^J \end{pmatrix},$$

modulo the subgroup  $\Gamma \cap T_n$ , we define a homomorphism of  $K^*$  onto  $\Gamma/(\Gamma \cap T_n)$ , and the preceding result shows that the kernel of that homomorphism contains the commutator subgroup  $C$  of  $K^*$ ; hence  $\Gamma/(\Gamma \cap T_n)$  is isomorphic to a quotient group of the abelian group  $K^*/C$ .

17. LEMMA 7. Let  $P_1$  and  $P_2$  be any two hyperbolic planes. Then (for  $v \geq 2$ ) there exists a transformation  $w \in T_n$  such that  $w(P_1) = P_2$ .

It follows from Lemma 2 that there exists a transformation in  $T_n$  sending an isotropic vector in  $P_1$  into an isotropic vector in  $P_2$ ; we can therefore assume in the following proof that there exists a common isotropic vector  $e_2$  in  $P_1$  and  $P_2$ . We now consider separately several cases.

(a) The dimension  $n = 4$ . Let  $e_1$  be a second isotropic vector in  $P_1$  such that  $f(e_1, e_2) = 1$ , and let  $e_3, e_4$  be determined as in the proof of Lemma 6. There exists in  $P_2$  an isotropic vector  $e'_1$  such that  $f(e'_1, e_2) = 1$ ; we can write  $e'_1 = e_1$

$+e_2\beta+e_3\gamma+e_4\delta$ , and the condition  $f(e'_1, e'_1)=0$  is equivalent to

$$\beta - \beta^J + \gamma^J\delta - \delta^J\gamma = 0$$

which can be written  $\beta + \gamma^J\delta = (\beta + \gamma^J\delta)^J$ , and means therefore that the expression  $\beta + \gamma^J\delta$  is a symmetric element  $\lambda$ . Now, it has been proved in the proof of Lemma 6 that the transformation  $w_1$  leaving invariant  $e_2$  and  $e_3$ , and such that

$$w_1(e_1) = e_1 + e_3\gamma, \quad w_1(e_4) = e_4 - e_2\gamma^J,$$

belongs to  $T_n$ . Similarly (exchanging the parts played by  $e_3$  and  $e_4$ ), the transformation  $w_2$  leaving invariant  $e_2$  and  $e_4$ , and such that

$$w_2(e_1) = e_1 + e_4\delta, \quad w_2(e_3) = e_3 - e_2\delta^J,$$

belongs to  $T_n$ . The transformation  $w_1w_2$ , which belongs to  $T_n$ , is such that  $w_1w_2(e_2) = e_2$ , and  $w_1w_2(e_1) = e_1 + e_3\gamma + e_4\delta - e_2\gamma^J\delta$ . Let finally  $v$  be the transvection  $x \rightarrow x - e_2\lambda f(e_2, x)$ , which leaves invariant  $e_2, e_3, e_4$  and is such that  $v(e_1) = e_1 + e_2\lambda$ ; the transformation  $w = vw_1w_2$  belongs to  $T_n$ , leaves  $e_2$  invariant, and is such that

$$w(e_1) = e_1 + e_2(\lambda - \gamma^J\delta) + e_3\gamma + e_4\delta = e'_1.$$

Therefore  $w(P_1) = P_2$ , and the lemma is proved in that case.

(b)  $n > 4$  and the 3-dimensional subspace  $M = P_1 + P_2$  is isotropic. This means that there exists in  $M$  at least an isotropic vector  $c$  orthogonal to  $M$ ; such a vector cannot be in  $P_1$ , since  $P_1$  is not isotropic. Therefore the three vectors  $c, e_1, e_2$  ( $e_1$  being defined as in (a)) constitute a basis for  $M$ , such that  $f(e_1, e_2) = 1, f(e_1, c) = f(e_2, c) = 0$ . There exists then in  $E$  a fourth isotropic vector  $d$  such that  $f(c, d) = 1, f(e_1, d) = f(e_2, d) = 0$  [5, p. 18], and the four vectors  $e_1, e_2, c, d$  form the basis of a nonisotropic 4-dimensional subspace  $N$  of  $E$  containing  $P_1$  and  $P_2$  and such that the restriction of the form  $f$  to  $N$  has an index equal to 2. The result of case (a) proves then the lemma.

(c)  $n > 4$  and the space  $M$  is not isotropic. There exists then in  $M$  a non-isotropic vector  $c$  orthogonal to  $P_1$ . As the index  $\nu \geq 2$ , the restriction of  $f$  to the  $(n-2)$ -dimensional subspace  $P_1^*$  orthogonal to  $P_1$  has an index  $\geq 1$ , by Witt's theorem. Therefore (Lemma 4), there exists a hyperbolic plane  $Q$  contained in  $P_1^*$  and containing  $c$ . The subspace  $N = P_1 + Q$  is then a non-isotropic 4-dimensional subspace of  $E$ , such that the restriction of  $f$  to  $N$  has index 2, and  $N$  contains  $P_1$  and  $P_2$ . The proof of the lemma then follows as in case (b).

18. To end the proof of Theorem 4, let us consider a fixed hyperbolic plane  $P$ . We are going to show that every unitary transformation  $v$  can be written  $su$ , where  $s$  is a hyperbolic rotation of plane  $P$ , and  $u$  belongs to  $T_n$ . The result is true if  $v$  is a hyperbolic rotation of plane  $P'$ , for by Lemma 7 there exists  $t \in T_n$  such that  $t(P) = P'$ , and therefore  $v = tst^{-1}$ , where  $s$  is a



rotation of plane  $P$ ; but we can also write  $v = s(s^{-1}ts)t^{-1}$ , and as  $T_n$  is a normal subgroup,  $s^{-1}ts \in T_n$ . Suppose now that  $v$  is a product of  $p$  hyperbolic rotations (Lemma 5), and use induction on  $p$ . Let  $v = w_1 w_2$ , where  $w_1$  is a hyperbolic rotation and  $w_2$  is a product of  $p-1$  hyperbolic rotations; we can write by assumption  $w_1 = s_1 u_1$ ,  $w_2 = s_2 u_2$ , hence  $v = s_1 u_1 s_2 u_2 = s_1 s_2 (s_2^{-1} u_1 s_2) u_2$ , and this proves our contention. We have thus shown that the group  $U_n/T_n$  is isomorphic to  $\Gamma/(\Gamma \cap T_n)$ , hence abelian (and isomorphic to a quotient group of  $K^*/C$ ). Theorem 4 then follows from the fact that  $T_n/W_n$  is a simple group (Theorem 1).

19. In special cases it is possible to obtain more precise information. Let us suppose for instance that  $K$  is the sfield of *ordinary quaternions* over a *Euclidean ordered field*  $Z$  (i.e., an ordered field in which every positive element has a square root in  $Z$ ). The usual theory of quaternions can then be carried out exactly as when  $Z$  is the field  $\mathbf{R}$  of real numbers; we know therefore that every quaternion  $\xi \neq 0$  can be written in one and only one way  $\xi = \rho \zeta$ , where  $\rho \in Z$ ,  $\rho > 0$ , and  $\rho^2 = N(\xi)$ , hence  $N(\zeta) = 1$ ; moreover, every quaternion of norm 1 is a commutator; finally, if  $\xi$  and  $\eta$  are two quaternions of norm 1 and scalar 0, there is a third quaternion  $\alpha$  of norm 1 such that  $\xi = \alpha \eta \alpha^{-1}$ . We suppose as usual that  $J$  is the only involution in  $K$  leaving invariant the elements of  $Z$ , and that  $f$  is skew-hermitian. We can then show that there exists an orthogonal basis in  $E$  with respect to which  $f(x, y) = \sum_{k=1}^n \xi_k^J i \xi_k$ . Indeed, there exists an orthogonal basis  $(e_k)$  for  $f$ , and with respect to that basis,  $f(x, y) = \sum_{k=1}^n \xi_k^J \alpha_k \xi_k$ , with  $\alpha_k^J = -\alpha_k$ , which means that the scalar of the quaternion  $\alpha_k$  is 0. We can write  $\alpha_k = \rho_k \beta_k$ , with  $\rho_k > 0$ ,  $N(\beta_k) = 1$ , and  $\beta_k^J = -\beta_k$ , and therefore  $\alpha_k = \rho_k \gamma_k i \gamma_k^{-1}$ , where  $N(\gamma_k) = 1$ , hence  $\gamma_k^J = \gamma_k^{-1}$ . If we replace  $e_k$  by  $e_k(\rho^{1/2})^{-1} \gamma_k$ , we obtain for  $f(x, y)$  the canonical expression  $\sum_{k=1}^n \xi_k^J i \xi_k$ . This proves that *all nondegenerate skew-hermitian forms over  $E$  are equivalent*, hence their index is  $[n/2]$ . In particular, for  $n \geq 4$ ,  $v \geq 2$ , and therefore Theorem 4 applies. But here every matrix

$$\begin{pmatrix} \alpha & 0 \\ 0 & (\alpha^{-1})^J \end{pmatrix}$$

can be written

$$\begin{pmatrix} \gamma & 0 \\ 0 & (\gamma^{-1})^J \end{pmatrix} \begin{pmatrix} \rho & 0 \\ 0 & \rho^{-1} \end{pmatrix},$$

where  $N(\gamma) = 1$ , hence  $\gamma$  is a commutator, and  $\rho \in Z$ ; as the matrix

$$\begin{pmatrix} \rho & 0 \\ 0 & \rho^{-1} \end{pmatrix}$$

belongs to  $SL_2(Z)$ , the proof of Lemma 6 shows that we have here  $\Gamma = \Gamma \cap T_n$ , hence  $U_n = T_n$ . When  $Z = \mathbf{R}$ , this is equivalent to one of E. Cartan's theorems

on the real forms of the simple Lie groups [3, p. 286].

20. We end by mentioning some relations between our results and the properties of the commutator subgroup  $C$  of a sfield  $K$  with involution.

**THEOREM 5.** *Let  $K$  be a sfield of characteristic  $\neq 2$ , of finite rank over its center  $Z$ , and let  $J$  be an involution in  $K$  leaving invariant the elements of  $Z$ . Then, for every  $\xi \in K^*$ ,  $\xi$  and  $\xi^J$  are in the same class modulo the commutator subgroup  $C$  of  $K^*$ .*

Let  $m^2$  be the rank of  $K$  over its center, and let us suppose first that the set  $S$  of symmetric elements in  $K$  has dimension  $m(m+1)/2$  over  $Z$ . Then we have seen in §14 that every element  $\xi \in K^*$  can be written  $\xi = \alpha\beta$ , where  $\alpha$  and  $\beta$  are in  $S$ ; accordingly  $\xi^J = \beta^J\alpha^J = \beta\alpha$ , hence  $\xi^J\xi^{-1} = \beta\alpha\beta^{-1}\alpha^{-1}$ , which proves our contention in that case. If on the contrary  $S$  has dimension  $m(m-1)/2$  over  $Z$ , and  $p$  is a skew-symmetric element of  $K$ , then  $\xi \rightarrow \xi^T = p^{-1}\xi^Jp$  is an involution in  $K$  for which the symmetric elements form a space of dimension  $m(m+1)/2$  over  $Z$  (§3); therefore  $\xi$  and  $\xi^T$  are in the same class modulo  $C$ , and the same is true for  $\xi$  and  $\xi^J$ , since  $\xi$  and  $p^{-1}\xi p$  are in the same class modulo  $C$ .

The situation is reversed when  $K$  is a sfield of the second kind:

**THEOREM 6.** *Let  $K$  be a sfield of finite rank over its center  $Z$ , and let  $J$  be an involution in  $K$  which does not leave invariant every element of  $Z$ . Then there exist elements  $\xi$  in  $K^*$  such that  $\xi$  and  $\xi^J$  are not in the same class modulo  $C$ .*

The theorem being obvious when  $K$  is commutative, we can suppose that  $K$  is not commutative, hence that  $Z$  is an infinite field. The theorem will be proved if we exhibit a homomorphism  $\phi$  of  $K^*$  onto an abelian group, such that  $\phi(\xi^J) \neq \phi(\xi)$  for some  $\xi \in K^*$ . Let  $N(\xi)$  be the norm of an element  $\xi$  in the regular representation of  $K$  (considered as an algebra over its center  $Z$ );  $\xi \rightarrow N(\xi)$  is then a homomorphism of  $K^*$  into  $Z^*$ . If  $r = m^2$  is the rank of  $K$  over  $Z$ , we have  $N(\xi) = \xi^r$  for every  $\xi \in Z^*$ ; we have only therefore to verify that if the element  $\omega \in Z$  constitutes with the identity a basis of  $Z$  over the subfield  $Z_0$  of  $J$ -invariant elements, then the elements  $(x+y\omega)^r$  and  $(x+y\omega^J)^r$  cannot be identical for all values of  $x$  and  $y$  in  $Z_0$ . But as  $\omega^J \neq \omega$ , this follows at once from the fact that  $Z_0$  is an infinite field.

Theorem 6 has as a consequence that *when  $K$  is a sfield of the second kind, the groups  $U_n$  and  $T_n$  (for  $n \geq 1$ ) are always distinct*. To prove this, we have only to verify that the determinant [4] of some unitary matrix is not the identity element in  $K^*/C$ ; but this is obvious for the matrix

$$\begin{pmatrix} \alpha & 0 \\ 0 & (\alpha^{-1})^J \end{pmatrix}$$

if  $\alpha$  and  $\alpha^J$  are not in the same class modulo  $C$ .

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