HIGHER-ORDER INDECOMPOSABLE ISOLS

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0. Introduction. An effective analogue of the theory of cardinal numbers was created about ten years ago by J. C. E. Dekker. (See [1] and Dekker-Myhill [4].) In it the only sets considered are subsets of the natural numbers and the only functions considered are 1-1 partial recursive functions. In the classical theory a cardinal number may be considered to be an equivalence class containing all sets for which there is a 1-1 function mapping the set onto a given set. The Dekker analogue of a cardinal number is an equivalence class on the set of all subsets of E ($E=\{0,1,2,\ldots\}$) containing all sets α for which there is a 1-1 partial recursive function f such that the domain of f includes α and the image of α under f is a given subset of E. These equivalence classes are called recursive equivalence types, or RETs. The collection of all RETs is denoted Ω . The RET to which a set, α , belongs is denoted $\langle \alpha \rangle$.

Addition is defined on the RETs in the following manner. If $\alpha \subseteq E$ and $\beta \subseteq E$, α and β are called recursively separated if there exist disjoint recursively enumerable (RE) sets ω and θ such that $\alpha \subseteq \omega$ and $\beta \subseteq \theta$. Let A and B be RETs. The sum of A and B is defined to be the RET represented by $\alpha \cup \beta$ where $A = \langle \alpha \rangle$, $B = \langle \beta \rangle$, and α and β are recursively separated.

We define $A \le B$ for RETs A and B if there is an RET C such that A + C = B. The \le relation is a partial ordering of the RETs. If $A \le B$ we say A is a predecessor of B.

An RET is called an isol if it satisfies the additive cancellation law. The collection of all isols is denoted Λ . Thus $A \in \Lambda$ if and only if for all RETs B and C, A+B=A+C implies B=C. A subset, α , of E is called isolated if there is no 1-1 partial recursive function f whose domain includes α and such that $f(\alpha) \subseteq \alpha$. $\langle \alpha \rangle \in \Lambda$ if and only if α is isolated. A subset of E contains no infinite RE subset if and only if it is isolated. Thus Λ is the collection of equivalence classes of sets which do not have infinite RE subsets. Λ is closed under addition and predecessor. The assertions of this paragraph are proved in [4].

Dekker-Myhill [4, p. 114] define an ideal in Λ as a subsystem of Λ closed under addition and predecessor. A sequence of ideals will be defined here in order to discuss the results of this paper. Variables X, Y, Z, V, W range over Λ .

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DEFINITION 0.1. $I_0 = \{X : X \text{ is finite}\}.$

For ordinals α , $\alpha > 0$, the definition is continued by induction.

$$P_{\alpha} = \left\{ X : X = Y + Z \to Y \in \bigcup_{\alpha' < \alpha} I_{\alpha'} \lor Z \in \bigcup_{\alpha' < \alpha} I_{\alpha'} \right\}.$$

Elements of $P_{\alpha} - \bigcup_{\alpha' < \alpha} I_{\alpha'}$ will be called α -order indecomposables; they are indecomposable with respect to $\bigcup_{\alpha' < \alpha} I_{\alpha'}$.

Elements of $S_{\alpha} - \bigcup_{\alpha' < \alpha} I_{\alpha'}$ will be called α -order highly decomposable isols; they are highly decomposable with respect to $\bigcup_{\alpha' < \alpha} I_{\alpha'}$. I_{α} is the ideal generated by $P_{\alpha} \cup S_{\alpha}$.

DEFINITION 0.2. The following two definitions are made for each positive ordinal α .

- (a) $X = {}_{\alpha} Y \longleftrightarrow (\exists V)(\exists W)[X + V = Y + W \& V \in \bigcup_{\alpha' < \alpha} I_{\alpha'} \& W \in \bigcup_{\alpha' < \alpha} I_{\alpha'}].$
- (b) $X \leq_{\alpha} Y \leftrightarrow (\exists Z)[X + Z =_{\alpha} Y]$.

The main results of this paper are existence theorems for $P_{\alpha} - \bigcup_{\alpha' < \alpha} I_{\alpha'}$ and $S_{\alpha} - \bigcup_{\alpha' < \alpha} I_{\alpha'}$ for countable ordinals α . Let c denote the power of the continuum. Theorem 3.8 asserts that for each positive countable ordinal α there exists a set of c α -order indecomposables which are pairwise α -incomparable. Theorem 3.15 asserts that for each positive countable ordinal α there exists a set of c α -order highly decomposable isols which are pairwise α -incomparable. Theorem 4.1 asserts that for each positive countable ordinal α there exists an α -order highly decomposable isol which is multiple-free with respect to $=_{\alpha}$: that is, there is a c such that for no c 1 is there an c 3 satisfying c 2. In view of Theorem 1.4, which asserts that every isol is in c 3 where c 4 is the first uncountable ordinal, the first two existence results are as strong as possible.

The existence of c mutually incomparable first-order indecomposables and of c first-order highly decomposable isols has been known at least since 1958. (See Dekker [2, T1] and Dekker-Myhill [4, pp. 112–113].) The existence of c mutually incomparable first-order highly decomposable isols is an observation of Nerode although the proof given below is not his.

1. Basic properties of the ideals I_{α} . The following result will be used frequently. It is a consequence of Theorems 15(l) and 19 of Dekker-Myhill [4, pp. 80–81].

The refinement property. If $A_i \in \Lambda$ for i = 1, ..., n and $B_j \in \Lambda$ for j = 1, ..., p and $A_1 + \cdots + A_n = B_1 + \cdots + B_p$, there exists a matrix $(C_{i,j})_{i=1}^n = 1$ of isols such that $A_i = C_{i,1} + \cdots + C_{i,p}$ for i = 1, ..., p.

The relations satisfied by the elements $C_{i,j}$ will often be indicated in the following way:

$$C_{1,1} + C_{2,1} + \cdots + C_{n,1} = B_1$$
+ + + +
$$C_{1,2} + C_{2,2} + \cdots + C_{n,2} = B_2$$
+ + +
$$\vdots \quad \vdots \quad \vdots \quad \vdots$$
+ + +
$$C_{1,p} + C_{2,p} + \cdots + C_{n,p} = B_p$$

$$\parallel \quad \parallel \quad \cdots \quad \parallel$$

$$A_1 \quad A_2 \quad \cdots \quad A_n$$

LEMMA 1.1. $\bigcup_{\alpha' < \alpha} I_{\alpha'} = P_{\alpha} \cap S_{\alpha}$.

Proof. \subseteq . Let $X \in I_{\alpha'}$ for some $\alpha' < \alpha$. If X = Y + Z, then $Y \in I_{\alpha'}$ and $Z \in I_{\alpha'}$ since $I_{\alpha'}$ is an ideal. Therefore, $X \in P_{\alpha}$. Further, $X \in S_{\alpha}$ since the antecedent of the defining condition of S_{α} is never satisfied.

 \supseteq . Let $X \in S_{\alpha} - \bigcup_{\alpha' < \alpha} I_{\alpha'}$. Since X = 0 + X, $X \notin \bigcup_{\alpha' < \alpha} I_{\alpha'}$, and $X \in S_{\alpha}$, there exist isols V and W such that X = V + W, $V \notin \bigcup_{\alpha' < \alpha} I_{\alpha'}$, and $W \notin \bigcup_{\alpha' < \alpha} I_{\alpha'}$. This shows $X \notin P_{\alpha}$.

Notational remark. The equality of Lemma 1.1 may be used to shorten some expressions. E.g., $P_{\alpha} - \bigcup_{\alpha' < \alpha} I_{\alpha'} = P_{\alpha} - S_{\alpha}$, and the latter form may be used when the former is intended.

LEMMA 1.2. $\alpha_1 < \alpha_2 \rightarrow I_{\alpha_1} \subseteq I_{\alpha_2}$. $\bigcup_{\alpha' < \alpha+1} I_{\alpha'} = I_{\alpha}$.

Proof. $I_{\alpha_1} \subseteq \bigcup_{\alpha' < \alpha_2} I_{\alpha'} \subseteq P_{\alpha_2} \cap S_{\alpha_2} \subseteq I_{\alpha_2}$.

LEMMA 1.3. $\bigcup_{\alpha' < \alpha} I_{\alpha'}$ is an ideal.

Proof. Clear from Lemma 1.2.

Theorem 1.4. $X \in \Lambda \to X \in \bigcup_{\alpha' < \omega_1} I_{\alpha'}$.

Proof. Let $X \in \Lambda$. Define

$$\gamma = \text{l.u.b.} \{\alpha : \alpha < \omega_1 \& (\exists Y)[Y \leq X \& Y \in P_\alpha - S_\alpha]\} + 1.$$

Note that if α is an element of the set occurring in the definition, $\alpha < \gamma$. Also, $\gamma < \omega_1$ since there are only countably many predecessors of X. We will show $X \in S_{\gamma}$, hence $X \in I_{\gamma}$. Suppose X = Y + Z and $Z \notin P_{\gamma} \cap S_{\gamma}$. If Z = V + W implies $V \in P_{\gamma} \cap S_{\gamma}$ or $W \in P_{\gamma} \cap S_{\gamma}$, then $Z \in P_{\gamma} - S_{\gamma}$ and

$$\gamma \in \{\alpha : \alpha < \omega_1 \& (\exists Y)(Y \leq X \& Y \in P_\alpha - S_\alpha)\}.$$

We conclude $\gamma < \gamma$. Hence the implication fails, and there are V and W such that Z = V + W and $V \notin P_{\gamma} \cap S_{\gamma}$ and $W \notin P_{\gamma} \cap S_{\gamma}$. Therefore $X \in S_{\gamma}$.

LEMMA 1.5. P_{α} is closed under predecessor. I.e., $Y \leq X \& X \in P_{\alpha} \rightarrow Y \in P_{\alpha}$.

Proof. X = Y + Z for some Z. Let Y = V + W. Then X = V + (W + Z). Since $X \in P_{\alpha}$, $V \in P_{\alpha} \cap S_{\alpha}$, in which case there is nothing to prove, or $(W + Z) \in P_{\alpha} \cap S_{\alpha}$. In the latter case, since $P_{\alpha} \cap S_{\alpha}$ is an ideal (Lemma 1.3), $W \in P_{\alpha} \cap S_{\alpha}$. Therefore $Y \in P_{\alpha}$.

LEMMA 1.6. S_{α} is an ideal.

Proof. First we prove that S_{α} is closed under predecessor. Let $X_1 \leq X$ and $X \in S_{\alpha}$. Then there is an X_2 such that $X_1 + X_2 = X$. Now suppose $X_1 = Y + Z$ and $Z \notin P_{\alpha} \cap S_{\alpha}$. Then $X = (X_2 + Y) + Z$ and, since $X \in S_{\alpha}$ and $Z \notin P_{\alpha} \cap S_{\alpha}$, Z has the desired decomposition. Therefore, $X_1 \in S_{\alpha}$.

Secondly, suppose $X_1 \in S_{\alpha}$, $X_2 \in S_{\alpha}$, and $X_1 + X_2 = Y + Z$, and $Z \notin P_{\alpha} \cap S_{\alpha}$. By the refinement property, there exist isols Y_1 , Y_2 , Z_1 , Z_2 such that

Since $P_{\alpha} \cap S_{\alpha}$ is an ideal, $Z_1 \notin P_{\alpha} \cap S_{\alpha}$ or $Z_2 \notin P_{\alpha} \cap S_{\alpha}$. $Z_i \notin P_{\alpha} \cap S_{\alpha}$ implies the existence of V and W such that $Z_i = V + W$, $V \notin P_{\alpha} \cap S_{\alpha}$, and $W \notin P_{\alpha} \cap S_{\alpha}$, since $Z_i \leq X_i$ and $X_i \in S_{\alpha}$. Then $Z = (Z_{3-i} + V) + W$ is a decomposition of the desired form. We may conclude that $(X_1 + X_2) \in S_{\alpha}$.

LEMMA 1.7. $X \in I_{\alpha}$ if and only if there is a finite set of isols X_1, \ldots, X_n, Z such that each $X_i \in P_{\alpha}$, $Z \in S_{\alpha}$ and $X = \sum_{i=1}^{n} X_i + Z$.

Proof. The ideal generated by any set is the collection of all predecessors of finite sums of elements of the set. Thus I_{α} is the collection of all isols X for which there are isols $Y_1, \ldots, Y_n, Z_1, \ldots, Z_m$ such that each $Y_i \in P_{\alpha}$, each $Z_i \in S_{\alpha}$, and

$$X \leq Y_1 + \cdots + Y_n + Z_1 + \cdots + Z_m.$$

Since S_{α} is an ideal, $Z_1 + \cdots + Z_m = Z_0 \in S_{\alpha}$. By the refinement property, $X \leq Y_1 + \cdots + Y_n + Z_0$ implies the existence of isols X_1, \ldots, X_n, Z such that $X = X_1 + \cdots + X_n + Z$, $Z \leq Z_0$, and each $X_i \leq Y_i$. Since both S_{α} and P_{α} are closed under predecessors, $Z \in S_{\alpha}$ and each $X_i \in P_{\alpha}$. Hence, if $X \in I_{\alpha}$, X satisfies the conditions specified in the lemma (i.e., \rightarrow is proved.). The converse is clear.

THEOREM 1.8. If $X \in I_{\alpha}$, then there is a finite n such that any linear decomposition of X includes at most n isols of $P_{\alpha} - S_{\alpha}$. More precisely, if $\sum_{i=1}^{n+k} Y_i = X$, then there are at most n i's for which $Y_i \in P_{\alpha} - S_{\alpha}$.

Proof. Let $X \in I_{\alpha}$. By Lemma 1.7, there are isols X_1, \ldots, X_n, Z such that $X = X_1 + \cdots + X_n + Z$, $Z \in S_{\alpha}$, and each $X_i \in P_{\alpha}$. We claim that this n satisfies the conditions of the theorem. To simplify notation, we prove an example in which n=2 and k=2. Suppose $X = X_1 + X_2 + Z$, each $X_i \in P_{\alpha}$ and $Z \in S_{\alpha}$. Suppose further that $X = Y_1 + Y_2 + Y_3 + Y_4$. We must prove that there are at most two i's such that $Y_i \in P_{\alpha} - S_{\alpha}$. Equivalently we must show that there are at least two i's such that $Y_i \notin P_{\alpha} - S_{\alpha}$. By the refinement property, there exist isols $Y_{i,j}$ such that

$$\begin{array}{lllll} Y_{1,1} + Y_{1,2} + Y_{1,3} + Y_{1,4} &= X_1 \\ + & + & + & + \\ Y_{2,1} + Y_{2,2} + Y_{2,3} + Y_{2,4} &= X_2 \\ + & + & + & + \\ Y_{3,1} + Y_{3,2} + Y_{3,3} + Y_{3,4} &= Z \\ \parallel & \parallel & \parallel & \parallel \\ Y_1 & Y_2 & Y_3 & Y_4 \end{array}$$

Since $X_i \in P_\alpha$, there is at most one j=j(i) such that $Y_{i,j(i)} \notin P_\alpha \cap S_\alpha$. Thus $K=\{1,2,3,4\}-\{j(1),j(2)\}$ has at least two elements. It suffices, to prove the theorem, to prove that $k \in K$ implies $Y_k \notin P_\alpha - S_\alpha$. Let $k \in K$. Then $Y_{i,k} \in S_\alpha$ for $i \le 2$ since $Y_{i,k} \in P_\alpha \cap S_\alpha \subseteq S_\alpha$. $Y_{3,k} \in S_\alpha$ since $Y_{3,k} \le Z$ and $Z \in S_\alpha$. Therefore, $Y_k = Y_{1,k} + Y_{2,k} + Y_{3,k}$ is an element of S_α (Lemma 1.6), and so $Y_k \notin P_\alpha - S_\alpha$.

COROLLARY OF DEFINITION 0.2(a). $=_{\alpha}$ is an equivalence relation. Addition is well defined on the $=_{\alpha}$ equivalence classes.

Proof. Reflexivity and symmetry are clear. If $(V_1 + W_1 + V_2 + W_2) \in P_{\alpha} \cap S_{\alpha}$ and $X_1 + V_1 = Y + W_1$ and $Y + V_2 = X_2 + W_2$, then $X_1 + V_1 + V_2 = X_2 + W_1 + W_2$ so $=_{\alpha}$ is transitive. Again, if $(V_1 + W_1 + V_2 + W_2) \in P_{\alpha} \cap S_{\alpha}$, $X_1 + V_1 = Y_1 + W_1$, and $X_2 + V_2 = Y_2 + W_2$, then

$$X_1 + X_2 + V_1 + V_2 = Y_1 + Y_2 + W_1 + W_2$$

so addition is well defined on $=_{\alpha}$ equivalence classes.

COROLLARY OF DEFINITION 0.2(b). \leq_{α} is a partial order.

Proof. We have only to show that \leq_{α} is antisymmetric. To do this we note that $X+Y=_{\alpha}X+Z\to Y=_{\alpha}Z$. For if $V\in P_{\alpha}\cap S_{\alpha}$ and $W\in P_{\alpha}\cap S_{\alpha}$ and X+Y+V=X+Z+W, then, since $X\in \Lambda$, Y+V=Z+W. To prove the corollary, suppose $X_1\leq_{\alpha}X_2$ and $X_2\leq_{\alpha}X_1$. Then there exist Z_1 , Z_2 such that $X_1+Z_1=_{\alpha}X_2$ and $X_1=_{\alpha}X_2+Z_2$. Therefore, $X_1+Z_1+Z_2=_{\alpha}X_2+Z_2=_{\alpha}X_1$. Thus $Z_1+Z_2=_{\alpha}0$. I.e., $(Z_1+Z_2)\in P_{\alpha}\cap S_{\alpha}$. So $Z_1\in P_{\alpha}\cap S_{\alpha}$ and $X_1=_{\alpha}X_2$.

LEMMA 1.9. $X+Z=_{\alpha}Y \& Y \in P_{\alpha} \to X \in P_{\alpha} \cap S_{\alpha} \vee Z \in P_{\alpha} \cap S_{\alpha}$.

Proof. Suppose $X+Z=_{\alpha}Y$, $X \notin P_{\alpha} \cap S_{\alpha}$, and $Z \notin P_{\alpha} \cap S_{\alpha}$. There exist V and W, $(V+W) \in P_{\alpha} \cap S_{\alpha}$, such that X+Z+V=Y+W. Applying the refinement property, there exist X_i , V_i , Z_i such that

$$X_1 + Z_1 + V_1 = Y$$

+ + + +
 $X_2 + Z_2 + V_2 = W$
 $\parallel \quad \parallel \quad \parallel$
 $X \quad Z \quad V$

Since $X_2 \leq W \in P_{\alpha} \cap S_{\alpha}$, $X_2 \in P_{\alpha} \cap S_{\alpha}$. Since $X \notin P_{\alpha} \cap S_{\alpha}$, $X_1 \notin P_{\alpha} \cap S_{\alpha}$. Similarly $Z_1 \notin P_{\alpha} \cap S_{\alpha}$. Therefore $(Z_1 + V_1) \notin P_{\alpha} \cap S_{\alpha}$. Thus $Y = X_1 + (Z_1 + V_1)$ is not an element of P_{α} .

COROLLARY. $X \notin P_{\alpha} \cap S_{\alpha} \& Y \in P_{\alpha} \& X \leq_{\alpha} Y \rightarrow X =_{\alpha} Y$.

Proof. $X+Z=_{\alpha}Y$ for some Z. By the lemma, $Z=_{\alpha}0$. Thus $X=_{\alpha}Y$.

LEMMA 1.10. If $X \in P_{\alpha} - S_{\alpha}$ and each $Y_i \in P_{\alpha}$ and $X \leq_{\alpha} \sum_{i=1}^{n} Y_i$, then there is an i for which $X =_{\alpha} Y_i$.

Proof. To simplify notation, we will prove the special case of n=2. There exist Z, V, W such that $(V+W) \in P_{\alpha} \cap S_{\alpha}$ and $X+Z+V=Y_1+Y_2+W$. Applying the refinement property, there exist X_i , Z_i , V_i satisfying

Since $X_3 \leq W \in P_{\alpha} \cap S_{\alpha}$, $X_3 \in P_{\alpha} \cap S_{\alpha}$. Since $X \in P_{\alpha} - S_{\alpha}$, there is exactly one i, i=1 or i=2, for which $X_i \notin P_{\alpha} \cap S_{\alpha}$. Thus $X_i =_{\alpha} X$. By Lemma 1.9, since $Y_i \in P_{\alpha}$, $(Z_i + V_i) \in P_{\alpha} \cap S_{\alpha}$. Thus $X_i =_{\alpha} Y_i$ and so $X =_{\alpha} Y_i$.

LEMMA 1.11. If $X \leq \sum_{i=1}^{n} Y_i$ and, for each i, $Y_i \in P_{\alpha} - S_{\alpha}$, then either there is a Z such that $Z \leq X$ and $Z \in P_{\alpha} - S_{\alpha}$, or $X \in P_{\alpha} \cap S_{\alpha}$.

Proof. Suppose $X + W = \sum_{i=1}^{n} Y_i$. By the refinement property, there exist isols X_i , W_i such that for $i \le n$, $X_i + W_i = Y_i$ and such that $X = \sum_{i=1}^{n} X_i$. By Lemma 1.5, for each i, $X_i \in P_\alpha$. If, for each i, $X_i \in S_\alpha$, then $X = \sum_{i=1}^{n} X_i \in P_\alpha \cap S_\alpha$ by Lemmas 1.1 and 1.3. Otherwise there is an i for which $X_i \in P_\alpha - S_\alpha$ and, of course, $X_i \le X$.

2. Two constructions related to the sequence of ideals.

Notation. If α is a countable ordinal and $\alpha > 1$, we will say that $\alpha_i \uparrow \alpha$ only if one of the following two conditions is satisfied: α is a successor ordinal and, for all i, $0 \le i < \omega$, $\alpha_i = \alpha - 1$; or α is a limit ordinal, α is the least upper bound of $\{\alpha_i\}_{i=0}^{\infty}$, and for all i, $0 \le i < \omega$, $\alpha_i < \alpha_{i+1}$.

If $\beta \subseteq E$, $\beta = \beta_1 \cup \beta_2$, and there is a pair of disjoint RE sets, (ω, θ) , such that $\beta_1 \subseteq \omega$ and $\beta_2 \subseteq \theta$, then we may write $\beta = \beta_1 + \beta_2$ or $\beta = \beta_1 + \beta_2$ since (ω, θ) . We call β the sum of β_1 and β_2 in this case. More generally, if $n \ge 2$, $1 \le i < j \le n$ implies $\theta_i \cap \theta_j = \emptyset$, and $\beta_i \subseteq \theta_i \subseteq E$ and θ_i is RE for i = 1, ..., n, we may write

$$\bigcup_{i=1}^n \beta_i = \sum_{i=1}^n \beta_i$$

or

$$\bigcup_{i=1}^n \beta_i = \sum_{i=1}^n \beta_i \quad \text{since } \{\theta_i\}_{i=1}^n.$$

If $\delta \subseteq \beta$ and $\beta = \delta + (\beta - \delta)$, we may write $\delta \prec \beta$ and call δ a predecessor of β . $\{(\chi_f, \psi_f)\}_{f=0}^{\infty}$ is a sequence of pairs of disjoint RE subsets of $E \times E$ containing all such pairs and such that

$$(\chi_0, \psi_0) = (\{2x : x \in E\} \times E, \{2x+1 : x \in E\} \times E).$$

If $\delta \subseteq E$, we abbreviate $\delta \times \{i\}$ by δ^i . Finally, if ω is an RE subset of $E \times E$, then $\omega_{\rm ev}$ and $\omega_{\rm od}$ are disjoint RE sets such that $\omega = \omega_{\rm ev} \cup \omega_{\rm od}$ and whenever $\omega \cap E^i$ is infinite both $\omega_{\rm ev} \cap E^i$ and $\omega_{\rm od} \cap E^i$ are infinite.

In the remainder of this section we assume that $\alpha_i \uparrow \alpha$, $\alpha > 1$, and for each i, $Z_i \in P_{\alpha_i} - S_{\alpha_i}$. We will describe and discuss two constructions from the sequence $\{Z_i\}_{i=0}^{\infty}$. The first is designed to construct representatives of isols in $P_{\alpha} - S_{\alpha}$, the second representatives of isols in $S_{\alpha} - P_{\alpha}$. Any isol which has a representative resulting from the first construction, and only such an isol, will be, by definition, an element of $P[\{Z_i\}]$. $S[\{Z_i\}]$ is defined in the same manner, using the second construction instead of the first.

An observation which will be used in Construction I is that given any countable sequence of subsets of E, say $\{\xi_f\}_{f=0}^{\infty}$, there is an infinite subset of E, say ξ , such that for each f, $\xi \cap \xi_f$ is finite or $\xi \cap \xi_f$ is finite. Dekker-Myhill [4, p. 102] give a proof for the special case in which $\xi_f = \omega_f$ is the fth set in an enumeration of all RE sets. However their proof shows that the conclusion is valid for any countable sequence of sets.

Construction I. For $i \in E$, let ζ_i be a representative of Z_i . We first construct a set δ_i such that (i) $\delta_i \prec \zeta_i$, $\langle \zeta_i - \delta_i \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$, and (ii) if $f \leq i$ and $\delta_i^i \subseteq \chi_f \cup \psi_f$, then $\delta_i^i \cap \chi_f = \emptyset$ or $\delta_i^i \cap \psi_f = \emptyset$. Let $\zeta_{i,0} = \zeta_i$. Construct $\zeta_{i,n+1}$ from $\zeta_{i,n}$ in such a way that (iii) $\zeta_{i,n+1} \prec \zeta_{i,n}$, and (iv) $\langle \zeta_{i,n} - \zeta_{i,n+1} \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$ according to the following instructions. Initially, for $f \leq i$, say f is unsatisfied for i. At step n, $n \geq 0$,

let f be such that $0 \le f < i+1$ and $n = f \pmod{(i+1)}$. If f has been satisfied for i at a previous step or if $\exists ((\zeta_{i,n})^i \subseteq \chi_f \cup \psi_f)$, let $\zeta_{i,n+1} = \zeta_{i,n}$ and go to step n+1. If f has not been satisfied for i and $(\zeta_{i,n})^i \subseteq \chi_f \cup \psi_f$, say f is satisfied for i and define $\zeta_{i,n+1}$ according to the following:

$$(\zeta_{i,n+1})^i = (\zeta_{i,n})^i \cap \chi_f \quad \text{if} \quad ((\zeta_{i,n})^i \cap \psi_f) \in P_{\alpha_i} \cap S_{\alpha_i}$$
$$= (\zeta_{i,n})^i \cap \psi_f \quad \text{if} \quad ((\zeta_{i,n})^i \cap \chi_f) \in P_{\alpha_i} \cap S_{\alpha_i}.$$

Exactly one of the two conditions must occur since

$$(\zeta_{i,n})^i = ((\zeta_{i,n})^i \cap \chi_f) + ((\zeta_{i,n})^i \cap \psi_f)$$

and $\langle (\zeta_{i,n})^i \rangle \in P_{\alpha_i} - S_{\alpha_i}$. Properties (iii) and (iv) are clearly satisfied. Now go to step n+1. Observe that if f is satisfied for i at step n, then $\chi_f \cap (\zeta_{i,n'})^i = \emptyset$ or $\psi_f \cap (\zeta_{i,n'})^i = \emptyset$ for all n' > n by the construction and (iii). There are at most i+1 steps at which some f becomes satisfied for i. Let n be strictly larger than any n' such that at step n' some f becomes satisfied for i. Define $\delta_i = \zeta_{i,n}$. δ_i does satisfy property (i) for $\zeta_i - \delta_i = \sum_{j=0}^{n-1} (\zeta_{i,j} - \zeta_{i,j+1})$, each $\langle \zeta_{i,j} - \zeta_{i,j+1} \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$, and $P_{\alpha_i} \cap S_{\alpha_i}$ is an ideal. Property (ii) is satisfied by δ_i since $\delta_i^i \subseteq \chi_f \cup \psi_f$ and $f \le i$ implies that f is satisfied for i, hence that property (ii) holds.

Define $\rho_f = \{i : i \ge f \& \delta_i^t \le \chi_f \cup \psi_f\}, \ \xi_f = \rho_f \cap \{i : \chi_f \cap \delta_i^t \ne \varnothing\}.$ Since $i \in \rho_f$ implies $\delta_i^t \cap \chi_f = \varnothing$ or $\delta_i^t \cap \psi_f = \varnothing$ (property (ii)), observe $\xi_f \subseteq \rho_f \cap \{i : \psi_f \cap \delta_i^t = \varnothing\}.$ Thus (v) $\rho_f \cap \{i : \psi_f \cap \delta_i^t \ne \varnothing\} \subseteq \rho_f \cap \xi_f.$

Let ξ be a set indecomposable with respect to $\{\xi_f\}_{f=0}^{\infty}$. By this we mean that ξ is an infinite set such that for each f, $\xi \cap \xi_f$ is finite or $\xi \cap \xi_f$ is finite. Define $\beta = \bigcup_{i \in \xi} \delta_i^i$. β is the result of this construction: that is, $\langle \beta \rangle$ represents a typical element of $P[\{Z_i\}]$. (We will see later that $\langle \beta \rangle \in \Lambda$.)

LEMMA 2.1. If $\langle \beta \rangle = V + W$, there is a finite set ρ such that either $V \leq \sum_{i \in \rho} Z_i$ or $W \leq \sum_{i \in \rho} Z_i$.

Proof. Since $\langle \beta \rangle = V + W$, there is a pair of disjoint RE subsets of $E \times E$, (χ_f, ψ_f) for some f, such that $\beta = (\chi_f \cap \beta) + (\psi_f \cap \beta)$, $\langle \chi_f \cap \beta \rangle = V$, and $\langle \psi_f \cap \beta \rangle = W$. Since $\beta \subseteq \chi_f \cup \psi_f$, $\xi \subseteq \{i : i < f\} \cup \rho_f$. By the definition of ξ , $\xi \cap \xi_f$ or $\xi \cap \xi_f$ is finite.

Suppose first that $\xi \cap \xi_f$ is finite. We see that

$$\chi_{f} \cap \beta = \bigcup_{i < f \& i \in \xi} (\chi_{f} \cap \delta_{i}^{i}) \cup \bigcup_{i \in \xi \cap \xi_{f}} (\chi_{f} \cap \delta_{i}^{i})$$

$$= \sum_{i < f \& i \in \xi} (\chi_{f} \cap \delta_{i}^{i}) + \sum_{i \in \xi \cap \xi_{f}} (\chi_{f} \cap \delta_{i}^{i}) \text{ since } \{E^{i}\}_{i < f, i \in \xi \cap \xi_{f}}$$

$$\prec \sum_{i < f \& i \in \xi} \delta_{i}^{i} + \sum_{i \in \xi \cap \xi_{f}} \delta_{i}^{i} \text{ since } (\chi_{f}, \psi_{f})$$

$$\prec \sum_{i < f \& i \in \xi} \zeta_{i}^{i} + \sum_{i \in \xi \cap \xi_{f}} \zeta_{i}^{i} \text{ for } \delta_{i}^{i} \prec \zeta_{i}^{i}.$$

Thus $V = \langle \chi_f \cap \beta \rangle \leq \sum_{i < f} Z_i + \sum_{i \in \xi \cap \xi_f} Z_i$.

If $\xi \cap \xi_f$ is finite, then

$$\xi \cap \{i : \psi_f \cap \delta_i^l \neq \varnothing\} \subseteq \{i : i < f\} \cup (\xi \cap \rho_f \cap \{i : \psi_f \cap \delta_i^l \neq \varnothing\})$$

$$\subseteq \{i : i < f\} \cup (\xi \cap \rho_f \cap \xi_f) \text{ by property (v).}$$

Since $\xi \cap \xi_f$ is finite, essentially the same argument as before shows that

$$W \leq \sum_{i \in \xi \cap \bar{\xi}_{\ell}} Z_{i}.$$

Corollary. $\langle \beta \rangle \in \Lambda$.

Proof. If $\langle \beta \rangle \in \Omega - \Lambda$, then there exist V and W such that $\langle \beta \rangle = V + W$, $V \in \Omega - \Lambda$, and $W \in \Omega - \Lambda$. But the lemma shows that if $\langle \beta \rangle = V + W$, then there is a finite set ρ for which $V \leq \sum_{i \in \rho} Z_i$ or $W \leq \sum_{i \in \rho} Z_i$. Since each Z_i is in Λ , $\sum_{i \in \rho} Z_i \in \Lambda$ and, therefore, $V \in \Lambda$ or $W \in \Lambda$.

LEMMA 2.2. For any finite n, there exist isols $X_1, \ldots, X_n, Y, Y_1, \ldots, Y_n$ such that $\langle \beta \rangle = X_1 + \cdots + X_n + Y$ and, for each i, there is a j such that j > n, $X_i + Y_i = Z_j$, and $Y_i \in P_{\alpha_j} \cap S_{\alpha_j}$. In particular, therefore, $X_i \in P_{\alpha_j} - S_{\alpha_j}$.

Proof. The second statement follows from the first since $Z_j \in P_{\alpha_j} - S_{\alpha_j}$. Let j_1, \ldots, j_n be distinct elements of ξ , each $j_i > n$. Then

$$\beta = \delta_{j_1}^{j_1} + \cdots + \delta_{j_n}^{j_n} + \bigcup_{i \in \mathcal{E} - \{j_1, \dots, j_n\}} \delta_i^i.$$

By (i), $\zeta_j^i = \delta_j^i + (\zeta_j^i - \delta_j^i)$ and $\langle \zeta_j^i - \delta_j^i \rangle \in P_{\alpha_j} \cap S_{\alpha_j}$. Thus, to prove the lemma, we may let $X_i = \langle \delta_{j_i}^{j_i} \rangle$, $Y_i = \langle \zeta_{j_i}^{j_i} - \delta_{j_i}^{j_i} \rangle$, $Y = \langle \bigcup_{i \in \xi - \{j_1, \dots, j_n\}} \delta_i^i \rangle$, and, for each i the required j is j_i .

Construction II. We first construct, for each $i \in E$, a set δ_i which is a subset of E^i and satisfies the following two conditions. First, there is a finite set of isols, $R_1, \ldots, R_n, S_1, \ldots, S_n, R$ such that $\langle \delta_i \rangle = R_1 + \cdots + R_n + R$, R is finite, and, for each $j, R_j + S_j = Z_i$ and $S_j \in P_{\alpha_i} \cap S_{\alpha_i}$. Secondly, if $f \leq i$ and $\delta_i \subseteq \chi_f \cup \psi_f$, then either $\delta_i \cap \chi_f = \emptyset$ ($\delta_i \cap \psi_f = \emptyset$) or $\delta_i \cap \chi_{f,ev}$ ($\delta_i \cap \psi_{f,ev}$) and $\delta_i \cap \chi_{f,od}$ ($\delta_i \cap \psi_{f,od}$) each contain a predecessor in $P_{\alpha_i} - S_{\alpha_i}$.

Let

$$\varepsilon_{i} = \bigcup_{\{f: f \leq i \& \chi_{f} \cap E^{i} \text{ is finite}\}} (\chi_{f} \cap E^{i}) \cup \bigcup_{\{f: f \leq i \& \psi_{f} \cap E^{i} \text{ is finite}\}} (\psi_{f} \cap E^{i}).$$

The construction will force δ_i to be disjoint from ε_i . For $f \le i$, we will say that f is excluded from i whenever $\exists (E^i - \varepsilon_i \subseteq \chi_f \cup \psi_f)$. If f is excluded from i, let x_f be an element of $(E^i - \varepsilon_i) \cap [(\chi_f \cup \psi_f)]^-$. Let $\mu_i = \{x_f : f \text{ is excluded from } i\}$. The construction will force μ_i to be a subset of δ_i . Define $F_i = E^i - (\varepsilon_i \cup \mu_i)$. Since F_i differs from E^i by a finite set, F_i is an infinite recursive set. We observe that (i) if

 $f \le i$ then $F_i \subseteq \chi_f \cup \psi_f$ unless f is excluded from i: that is, if $f \le i$ and $\exists (F_i \subseteq \chi_f \cup \psi_f)$, then f is excluded from i.

We next define a sequence of sets $\delta_{i,n}$ for $n=0, 1, \ldots, 8(i+1)$ inductively on n. Inductively we show, as the sequence is defined, that for each n: (a) $\delta_{i,n} \subseteq F_i$; (b) $\delta_{i,n}$ is a finite sum of sets $\lambda_1, \ldots, \lambda_m$ such that for each j there are sets ν_j and ζ_j satisfying $\lambda_j + \nu_j = \zeta_j$, $\langle \nu_j \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$, and $\langle \zeta_j \rangle = Z_i$; and (c) if (ω, θ) is a disjoint pair of RE sets covering F_i and $\omega \cap \delta_{i,n-1}$ has a predecessor in $P_{\alpha_i} - S_{\alpha_i}$, then $\omega \cap \delta_{i,n}$ also has a predecessor in $P_{\alpha_i} - S_{\alpha_i}$. We remark that (ii) if f is not excluded from f and $f \subseteq f$, then f is a pair of sets satisfying the first condition of (c) as are f in f is an expression of f in f is a pair of sets satisfying the first condition of (c) as are f in f in

Let $\delta_{i,0} = \emptyset$. Assume $\delta_{i,8f}$ defined. Step 8f+1. If f is excluded from i, let

$$\delta_{i,8f+1} = \delta_{i,8f+2} = \cdots = \delta_{i,8f+8} = \delta_{i,8f}$$

and go to step 8(f+1)+1. If f is not excluded from i, go to step (8f+1)(A). Step (8f+1)(A). If $\chi_f \cap E^i$ is finite, let

$$\delta_{i,8f+1} = \delta_{i,8f+2} = \delta_{i,8f+3} = \delta_{i,8f+4} = \delta_{i,8f}$$

and go to step 8f+5. If $\chi_f \cap E^i$ is infinite, go to step (8f+1)(B). Step (8f+1)(B). If $\chi_{f,ev} \cap \delta_{i,8f}$ has a predecessor in $P_{\alpha_i} - S_{\alpha_i}$, let $\delta_{i,8f+1} = \delta_{i,8f+2} = \delta_{i,8f}$ and go to step 8f+3. If $\chi_{f,ev} \cap \delta_{i,8f}$ has no predecessor in $P_{\alpha_i} - S_{\alpha_i}$, go to step (8f+1)(C).

Step (8f+1)(C). Let $\delta_{i,8f+1} = \delta_{i,8f} - (\delta_{i,8f} \cap \chi_{f,ev})$. Go to step 8f+2. Since $\delta_{i,8f} \cap \chi_{f,ev} \prec \delta_{i,8f}$, the required separating sets being $(\chi_{f,ev}, \chi_{f,od} \cup \psi_f)$, and since $\delta_{i,8f} \cap \chi_{f,ev}$ has no predecessor in $P_{\alpha_i} - S_{\alpha_i}$, we may apply (b) and Lemma 1.11 to conclude $\langle \delta_{i,8f} \cap \chi_{f,ev} \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$. We now verify conditions (a)–(c). (a) is clear. To demonstrate (b), suppose $\delta_{i,8f} = \lambda_1 + \cdots + \lambda_m$, $\lambda_f + \nu_f = \zeta_f$, $\langle \nu_f \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$, and $\langle \zeta_f \rangle = Z_i$. Then $\delta_{i,8f+1} = \sum_{f=1}^m (\lambda_f - (\lambda_f \cap \chi_{f,ev}))$. Furthermore, for each f,

$$\lambda_j = (\lambda_j - (\lambda_j \cap \chi_{f, ev})) + (\lambda_j \cap \chi_{f, ev}) \quad \text{since } (\chi_{f, od} \cup \psi_{f, \chi_{f, ev}}).$$

Therefore:

$$(\lambda_t - (\lambda_t \cap \chi_{t, \text{ev}})) + ((\lambda_t \cap \chi_{t, \text{ev}}) + \nu_t) = \zeta_t.$$

To complete the proof of (b) we have only to show that $\langle (\lambda_j \cap \chi_{f,ev}) + \nu_j \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$. Since $P_{\alpha_i} \cap S_{\alpha_i}$ is an ideal and $\langle \nu_j \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$, it suffices to show $\langle \lambda_j \cap \chi_{f,ev} \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$. Since $\langle \delta_{i,8f} \cap \chi_{f,ev} \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$, it suffices to show

$$\lambda_f \cap \chi_{f,ev} \prec \delta_{i,8f} \cap \chi_{f,ev}$$
.

Now

$$\delta_{i,8f} \cap \chi_{f,ev} = \left(\lambda_j + \bigcup_{k \neq j} \lambda_k\right) \cap \chi_{f,ev}$$
$$= \left(\lambda_j \cap \chi_{f,ev}\right) + \left(\left(\bigcup_{k \neq j} \lambda_k\right) \cap \chi_{f,ev}\right)$$

and thus $\lambda_f \cap \chi_{f,ev} \prec \delta_{i,8f} \cap \chi_{f,ev}$. To demonstrate (c) suppose that (ω, θ) is a pair of disjoint RE sets covering F_i , that,

(iii) $\omega \cap \delta_{i,8f} = (\beta_1 \cap \delta_{i,8f}) + (\beta_2 \cap \delta_{i,8f})$ since (β_1, β_2) , and that $\langle \beta_1 \cap \delta_{i,8f} \rangle \in P_{\alpha_i} - S_{\alpha_i}$. It follows that

$$\omega \cap \delta_{i,8f+1} = (\beta_1 \cap \delta_{i,8f+1}) + (\beta_2 \cap \delta_{i,8f+1}) \quad \text{since } (\beta_1, \beta_2),$$

and because $\beta_1 \cup \beta_2 \supseteq \omega \cap \delta_{i,8f} \supseteq \omega \cap \delta_{i,8f+1}$. To demonstrate (c) it suffices to show that $\langle \beta_1 \cap \delta_{i,8f+1} \rangle \in P_{\alpha_i} - S_{\alpha_i}$. Now

$$\beta_1 \cap \delta_{i,8f} = (\beta_1 \cap \delta_{i,8f+1}) + (\beta_1 \cap \chi_{f,ev} \cap \delta_{i,8f})$$

since $(\chi_{f,od} \cup \psi_f, \chi_{f,ev})$ and because $\delta_{i,8f} \subseteq \delta_{i,8f+1} \cup (\delta_{i,8f} \cap \chi_{f,ev})$. Since

$$\langle \beta_1 \cap \delta_{i,8f} \rangle \in P_{\alpha_i} - S_{\alpha_i}, \langle \beta_1 \cap \delta_{i,8f+1} \rangle \in P_{\alpha_i}$$

by Lemma 1.5, and in order to prove $\langle \beta_1 \cap \delta_{i,8f+1} \rangle \notin S_{\alpha_i}$ it suffices to prove $\langle \beta_1 \cap \chi_{f,\text{ev}} \cap \delta_{i,8f} \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$ by Lemma 1.6. Now

$$\chi_{f,ev} \cap \delta_{i,8f} = (\beta_1 \cap \omega \cap \chi_{f,ev} \cap \delta_{i,8f}) + ((\beta_2 \cup \theta) \cap \chi_{f,ev} \cap \delta_{i,8f})$$

since $(\beta_1 \cap \omega, \beta_2 \cup \theta)$ and because $(\beta_1 \cap \omega) \cup (\beta_2 \cup \theta) \supseteq \delta_{i,8f} \supseteq \chi_{f,ev} \cap \delta_{i,8f}$. (To see the first inclusion we may argue as follows:

$$((\beta_{1} \cap \omega) \cup (\beta_{2} \cup \theta)) \cap \delta_{i,8f}$$

$$= ((\beta_{1} \cup \beta_{2} \cup \theta) \cap (\omega \cup \beta_{2} \cup \theta)) \cap \delta_{i,8f}$$

$$= (\beta_{1} \cup \beta_{2} \cup \theta) \cap \delta_{i,8f} \quad \text{because } \omega \cup \theta \supseteq F_{i} \supseteq \delta_{i,8f}$$

$$= ((\beta_{1} \cup \beta_{2}) \cap \delta_{i,8f}) \cup (\theta \cap \delta_{i,8f})$$

$$= (\omega \cap \delta_{i,8f}) \cup (\theta \cap \delta_{i,8f}) \quad \text{by (iii)}$$

$$= (\omega \cup \theta) \cap \delta_{i,8f} = \delta_{i,8f}.$$

$$\beta_1 \cap \omega \cap \delta_{i,8f} = \beta_1 \cap \delta_{i,8f}$$
 by (iii) because $\beta_1 \cap \beta_2 = \emptyset$.)

Therefore

$$\beta_1 \cap \omega \cap \chi_{f,ev} \cap \delta_{i,8f} = \beta_1 \cap \chi_{f,ev} \cap \delta_{i,8f} \prec \chi_{f,ev} \cap \delta_{i,8f}$$

Since $\langle \chi_{f,\text{ev}} \cap \delta_{i,8f} \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$, we have $\langle \beta_1 \cap \chi_{f,\text{ev}} \cap \delta_{i,8f} \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$ and (c) is proved.

Step 8f+2. Let λ be a subset of $\chi_{f,\text{ev}} \cap F_i$ such that $\langle \lambda \rangle = Z_i$. Such a λ exists since $\chi_{f,\text{ev}} \cap F_i$ is an infinite RE set. Let $\delta_{i,8f+2} = \delta_{i,8f+1} \cup \lambda$. Go to step 8f+3. Note that $\delta_{i,8f+2} = \delta_{i,8f+1} + \lambda$ since $(\chi_{f,\text{od}} \cup \psi_f, \chi_{f,\text{ev}})$. (a) holds since $\delta_{i,8f+1} \subseteq F_i$ and $\lambda \subseteq F_i$. (b) is immediate. To verify (c), suppose that (ω, θ) is a disjoint pair of RE sets covering F_i and that

$$\omega \cap \delta_{i,8f+1} = (\beta_1 \cap \delta_{i,8f+1}) + (\beta_2 \cap \delta_{i,8f+1}) \quad \text{since } (\beta_1, \beta_2)$$

where $\langle \beta_1 \cap \delta_{i,8f+1} \rangle \in P_{\alpha_i} - S_{\alpha_i}$. Then

$$\omega \cap \delta_{i,8f+2} = \omega \cap (\delta_{i,8f+1} \cup \lambda)$$

$$= (\omega \cap \delta_{i,8f+1}) \cup (\omega \cap \lambda)$$

$$= (\beta_1 \cap \delta_{i,8f+1}) \cup (\beta_2 \cap \delta_{i,8f+1}) \cup (\omega \cap \lambda)$$

$$= (\beta_1 \cap \delta_{i,8f+1}) + ((\beta_2 \cap \delta_{i,8f+1}) \cup (\omega \cap \lambda))$$

since $(\beta_1 \cap (\chi_{f,od} \cup \psi_f), \beta_2 \cup \chi_{f,ev})$. This verifies (c).

Steps 8f+3 and 8f+4 are described by making two changes in the descriptions of the steps labelled (8f+1)(B) through 8f+2. Each occurrence of 8f in the descriptions is replaced by 8f+2, and $\chi_{f,ev}$ and $\chi_{f,od}$ are interchanged. With these changes, step 8f+3 is the step labelled step (8f+3)(B).

Steps 8f+5 through 8f+8 are described by making similar changes in the descriptions of steps (8f+1)(A) through 8f+4. More precisely, each occurrence of 8f is replaced by 8f+4, and χ_f and ψ_f are to be interchanged throughout the descriptions of steps (8f+1)(A) through 8f+4; in particular, $\chi_{f,ev}$ is to be replaced with $\psi_{f,ev}$ etc. With these changes, step 8f+5 is the step labelled step (8f+5)(A).

Finally, set $\delta_i = \delta_{i,8(i+1)} \cup \mu_i = \delta_{i,8(i+1)} + \mu_i$ since $(E^i - \mu_i, \mu_i)$ and because $\delta_{i,8(i+1)} \subseteq F_i$ by (a). We observe that δ_i has the following properties.

- (iv) There is a finite collection of sets $\lambda_1, \ldots, \lambda_m, \nu_1, \ldots, \nu_m, \rho$ such that $m \ge 1$ and $\delta_i = \lambda_1 + \cdots + \lambda_m + \rho$, ρ is finite, and, for each j, $\langle \lambda_j + \nu_j \rangle = Z_i$ and $\langle \nu_j \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$. This follows from (b) for $\delta_{i,8(i+1)}$, the finiteness of μ_i , and the observation that $\delta_{i,2} \ne \emptyset$ since 0 is not excluded from i.
- (v) If $f \le i$ and $\chi_f \cap E^i$ ($\psi_f \cap E^i$) is finite, then $\chi_f \cap \delta_i = \emptyset$ ($\psi_f \cap \delta_i = \emptyset$). This is proved by noting that $\delta_{i,\theta(i+1)} \subseteq F_i$, hence $\delta_i \cap \epsilon_i = \emptyset$.
- (vi) If $f \le i$ and f is excluded from i, then $\exists (\delta_i \subseteq \chi_f \cup \psi_f)$. To see this recall $x_f \in \mu_i \subseteq \delta_i$ and $x_f \notin \chi_f \cup \psi_f$.
- (vii) If $f \le i$ and f is not excluded from i and $\chi_f \cap E^i$ ($\psi_f \cap E^i$) is infinite, then $\chi_{f,ev} \cap \delta_i$ and $\chi_{f,od} \cap \delta_i$ ($\psi_{f,ev} \cap \delta_i$ and $\psi_{f,od} \cap \delta_i$) each contain a predecessor in $P_{\alpha_i} S_{\alpha_i}$. Under the assumed conditions

$$\chi_{f,\text{ev}} \cap \delta_{i,8f+2}$$
 and $\chi_{f,\text{od}} \cap \delta_{i,8f+4}$ ($\psi_{f,\text{ev}} \cap \delta_{i,8f+6}$ and $\psi_{f,\text{od}} \cap \delta_{i,8f+8}$)

each contain a predecessor in $P_{\alpha_i} - S_{\alpha_i}$. It follows by property (c) that $\delta_{i,B(i+1)}$, and hence δ_i , also satisfy the conclusion.

Let ξ be an infinite isolated subset of E and let $\beta = \bigcup_{i \in \xi} \delta_i$. β is the result of this construction: that is, $\langle \beta \rangle$ represents a typical element of $S[\{Z_i\}]$. We note that since ξ is isolated and each δ_i is isolated, β is isolated so that $\langle \beta \rangle \in \Lambda$.

LEMMA 2.3. For any finite n, there exist isols $X_1, \ldots, X_n, Y_1, \ldots, Y_n, Y$ such that $\langle \beta \rangle = X_1 + \cdots + X_n + Y$ and for each i there is a j which is greater than n and such that $X_i + Y_i = Z_j$ and $Y_i \in P_{\alpha_j} \cap S_{\alpha_j}$.

Proof. The proof is immediate from (iv) since ξ is infinite.

LEMMA 2.4. If $Z \leq \langle \beta \rangle$ and $Z \notin P_{\alpha} \cap S_{\alpha}$, then there exist isols V and W such that Z = V + W, $V \notin P_{\alpha} \cap S_{\alpha}$, and $W \notin P_{\alpha} \cap S_{\alpha}$.

Proof. If $Z \le \langle \beta \rangle$, then there exists a pair of disjoint RE subsets of $E \times E$, say (χ_f, ψ_f) , such that $Z = \langle \chi_f \cap \beta \rangle$ and $\beta \subseteq \chi_f \cup \psi_f$. Let $\sigma = \{i : i \ge f \& \chi_f \cap \delta_i \ne \emptyset\}$. First we show that σ is not finite. Suppose that σ is finite. Then

$$\chi_f \cap \beta = \bigcup_{i \in \xi \& i < f} (\chi_f \cap \delta_i) \cup \bigcup_{i \in \sigma} (\chi_f \cap \delta_i)$$

$$= \sum_{i \in \xi \& i < f} (\chi_f \cap \delta_i) + \sum_{i \in \sigma} (\chi_f \cap \delta_i)$$

$$\prec \sum_{i \in \xi \& i < f} \delta_i + \sum_{i \in \sigma} \delta_i.$$

We apply (iv) to see that each $\langle \delta_i \rangle \in I_{\alpha_i}$ since $\langle \delta_i \rangle$ is in the ideal generated by P_{α_i} . Thus each $\langle \delta_i \rangle \in P_{\alpha} \cap S_{\alpha}$ by Lemma 1.1 since each $\alpha_i < \alpha$. By Lemmas 1.1 and 1.3, any predecessor of a finite sum of elements of $P_{\alpha} \cap S_{\alpha}$ is an element of $P_{\alpha} \cap S_{\alpha}$. This, together with the above inequality gives $\langle \chi_f \cap \beta \rangle \in P_{\alpha} \cap S_{\alpha}$ contradicting the assumption that $Z \notin P_{\alpha} \cap S_{\alpha}$.

Since $\chi_f \cap \beta = (\chi_{f,ev} \cap \beta) + (\chi_{f,od} \cap \beta)$ it suffices, in order to prove the lemma, to prove $\langle \chi_{f,ev} \cap \beta \rangle \notin P_{\alpha} \cap S_{\alpha}$ and $\langle \chi_{f,od} \cap \beta \rangle \notin P_{\alpha} \cap S_{\alpha}$. If $i \in \xi$ and $f \leq i$, then f is not excluded from i by (vi) since $\delta_i \subseteq \bigcup_{i \in \xi} \delta_i \subseteq \chi_f \cup \psi_f$. Furthermore, if $i \in \sigma$, then $\chi_f \cap E^i$ is infinite by (v). Therefore, the hypotheses of (vii) are satisfied whenever $i \in \sigma$ so that we may conclude that if $i \in \sigma$ then both $\chi_{f,ev} \cap \delta_i$ and $\chi_{f,od} \cap \delta_i$ contain a predecessor in $P_{\alpha_i} - S_{\alpha_i}$. If $\alpha = (\alpha - 1) + 1$ is a successor ordinal we use the fact that σ is infinite and Theorem 1.8 to conclude that $\langle \chi_{f,ev} \cap \beta \rangle \notin I_{\alpha-1} = P_{\alpha} \cap S_{\alpha}$ and $\langle \chi_{f,od} \cap \beta \rangle \notin P_{\alpha} \cap S_{\alpha}$. If α is a limit ordinal, then we argue by contradiction. Suppose $\langle \chi_{f,ev} \cap \beta \rangle \in P_{\alpha} \cap S_{\alpha} = \bigcup_{\alpha' < \alpha} I_{\alpha'}$. Then for some $\alpha' < \alpha$, $\langle \chi_{f,ev} \cap \beta \rangle \in I_{\alpha'}$. Since σ is unbounded and $\alpha_i \uparrow \alpha$, let $j \in \sigma$ be such that $\alpha_j > \alpha'$. By (vii) $\langle \chi_{f,ev} \cap \beta \rangle$ contains a predecessor in $P_{\alpha_j} - S_{\alpha_j}$ and thus $\langle \chi_{f,ev} \cap \beta \rangle \notin I_{\alpha'}$. This contradiction shows $\langle \chi_{f,ev} \cap \beta \rangle \notin P_{\alpha} \cap S_{\alpha}$. The same argument shows $\langle \chi_{f,od} \cap \beta \rangle \notin P_{\alpha} \cap S_{\alpha}$.

LEMMA 2.5. If $Z \leq \langle \beta \rangle$ and $Z \in P_{\alpha} \cap S_{\alpha}$, then there is a finite collection of isols $X_1, \ldots, X_n, V_1, \ldots, V_n, Y$ and indices j_1, \ldots, j_n such that $Z \leq \sum_{i=1}^n X_i + Y_i$, Y is finite, and, for each $i, X_i + V_i = Z_{j_i}$.

Proof. Since $Z \le \langle \beta \rangle$, there is a disjoint pair of RE subsets of $E \times E$, say (χ_f, ψ_f) , such that $Z = \langle \chi_f \cap \beta \rangle$ and $\beta \subseteq \chi_f \cup \psi_f$. If $\{i : \chi_f \cap \delta_i \ne \emptyset\}$ were infinite, we could conclude that $Z \notin P_\alpha \cap S_\alpha$ by using (vii) and Theorem 1.8 as in the previous proof. Thus $\{i : \chi_f \cap \delta_i \ne \emptyset\} = \rho$ is finite. By (iv) there exist sets $\lambda_{i,f}$, $\nu_{i,f}$, ρ_i such that $\delta_i = \lambda_{i,1} + \cdots + \lambda_{i,m_i} + \rho_i$, $\langle \lambda_{i,f} + \nu_{i,f} \rangle = Z_i$ and ρ_i is finite. Therefore

$$Z \leq \sum_{i \in \rho} \left(\sum_{j=1}^{m_i} \langle \lambda_{i,j} \rangle + \langle \rho_i \rangle \right)$$
$$= \sum_{i \in \rho} \left(\sum_{j=1}^{m_i} \langle \lambda_{i,j} \rangle \right) + \sum_{i \in \rho} \langle \rho_i \rangle.$$

3. Some existence theorems.

LEMMA 3.1. If $\alpha_i \uparrow \alpha$, $Z_i \in P_{\alpha_i} - S_{\alpha_i}$ for each i, and $Z \in P[\{Z_i\}]$, then $Z \in P_{\alpha} - S_{\alpha}$.

Proof. $Z \in P_{\alpha}$ follows immediately from Lemma 2.1 since $Z_i \in P_{\alpha_i} \subseteq P_{\alpha}$. If α is a successor ordinal, then since Z satisfies Lemma 2.2, it cannot satisfy Theorem 1.8 for $\alpha - 1$ and thus $Z \notin I_{\alpha - 1} = P_{\alpha} \cap S_{\alpha}$. If α is a limit ordinal, we see that $Z \notin P_{\alpha} \cap S_{\alpha}$ using Lemma 2.1 and an argument similar to that used in Lemma 2.4 to show that $\langle \chi_{I,\text{ev}} \cap \beta \rangle \notin P_{\alpha} \cap S_{\alpha}$ in case α is a limit ordinal.

THEOREM 3.2. For each ordinal α , $0 < \alpha < \omega_1$, $P_{\alpha} - S_{\alpha}$ is not empty.

Proof. The proof is an induction on α . For $\alpha = 1$, the theorem is implied by Theorem 43(b) of Dekker-Myhill [4, p. 102]. Assume now that $\alpha > 1$. Let $\{\alpha_i\}_{i=0}^{\infty}$ be a sequence of ordinals such that $\alpha_i \uparrow \alpha$. By the inductive hypothesis there exists a sequence of isols, $\{Z_i\}_{i=0}^{\infty}$, such that, for each i, $Z_i \in P_{\alpha_i} - S_{\alpha_i}$. Let $Z \in P[\{Z_i\}]$. By the preceding lemma, $Z \in P_{\alpha} - S_{\alpha}$.

The following lemmas lead to a strengthening of this result. Theorem 3.8 asserts that $P_{\alpha} - S_{\alpha}$ is not only nonempty, but also contains a set of c isols any two of which are α -incomparable.

LEMMA 3.3. If $\alpha_i \uparrow \alpha$, $Z_i \in P_{\alpha_i} - S_{\alpha_i}$ for each $i, B \in P[\{Z_i\}], Z \subseteq B$, and $Z \in P_{\alpha} \cap S_{\alpha}$, then there is a finite set ρ such that $Z \subseteq \sum_{i \in \rho} Z_i$.

Proof. Let $\{Z_i\}$, Z, and B satisfy the hypotheses of the lemma. Let Y be such that Z+Y=B. By Lemma 2.1, there is a finite set ρ such that $Z \leq \sum_{i \in \rho} Z_i$ or $Y \leq \sum_{i \in \rho} Z_i$. If the latter then $Y \in P_{\alpha} \cap S_{\alpha}$ since $\sum_{i \in \rho} Z_i \in P_{\alpha} \cap S_{\alpha}$. In this case $B=Z+Y\in P_{\alpha} \cap S_{\alpha}$ by Lemmas 1.1 and 1.3 since $Z \in P_{\alpha} \cap S_{\alpha}$ by assumption. This contradicts Lemma 3.1. Therefore $Z \leq \sum_{i \in \rho} Z_i$.

DEFINITION. For $X \in P_1 - I_0$ and $1 \le \alpha < \omega_1$ we define $P_{\alpha}[X]$ by induction on α . $P_1[X] = \{X\}$. For $\alpha > 1$,

$$P_{\alpha}[X] = \bigcup_{\alpha_i \uparrow \alpha \& Z_i \in P_{\alpha_i}[X]} P[\{Z_i\}].$$

For the next three lemmas we assume that $X \in P_1 - I_0$.

LEMMA 3.4. If
$$X_{\alpha} \in P_{\alpha}[X]$$
, then $X_{\alpha} \in P_{\alpha} - S_{\alpha}$.

Proof. The proof is by induction on α . For $\alpha = 1$, the lemma is clear. The inductive step is proved using Lemma 3.1. If $X_{\alpha} \in P_{\alpha}[X]$, then there is a sequence of isols $\{Z_i\}_{i=0}^{\infty}$ and there is a sequence of ordinals $\{\alpha_i\}_{i=0}^{\infty}$ such that $\alpha_i \uparrow \alpha$, $X_{\alpha} \in P[\{Z_i\}]$, and $Z_i \in P_{\alpha_i}[X]$ for each *i*. By the inductive hypothesis, $Z_i \in P_{\alpha_i} - S_{\alpha_i}$. This shows that the hypotheses of Lemma 3.1 are satisfied for X_{α} so that we may conclude that $X_{\alpha} \in P_{\alpha} - S_{\alpha}$.

LEMMA 3.5. If $Y \subseteq X_{\alpha}$, $X_{\alpha} \in P_{\alpha}[X]$, and $Y \in P_1 - I_0$, then Y = X.

Proof. The proof is by induction on α . For $\alpha=1$, we have that Y+Z=X and $Y \in P_1-I_0$ implies $Z \in I_0$ and $Y=_1X$. Now assume $X_\alpha \in P[\{Z_i\}]$ where $\alpha_i \uparrow \alpha$ and $Z_i \in P_{\alpha_i}[X]$ for each i. Suppose $Y \subseteq X_\alpha$ and $Y \in P_1-I_0$. Since $P_1 \subseteq P_\alpha \cap S_\alpha$ we may apply Lemma 3.3 to prove the existence of a finite n such that $Y \subseteq \sum_{i=1}^n Z_i$. By the refinement property there exist isols Y_1, \ldots, Y_n such that $Y_i \subseteq Z_i$ for $i=1,\ldots,n$ and $Y = \sum_{i=1}^n Y_i$. Since $Y \in P_1-I_0$ there is exactly one i' such that $Y_{i'} \notin I_0$. Therefore $Y=_1Y_{i'}$. Since $Y_i' \subseteq Z_{i'} \in P_{\alpha_{i'}}[X]$, we can apply the inductive hypothesis to conclude that $Y_{i'} = _1X$. Therefore $Y=_1X$.

LEMMA 3.6. If $X_{\alpha} \in P_{\alpha}[X]$ and $Z = {\alpha} X_{\alpha}$, then there is a Y such that $Y = {\alpha} X$ and $Y \leq Z$.

Proof. Let X_{α} and Z be isols satisfying the hypothesis of the lemma. There exist isols V and W in $P_{\alpha} \cap S_{\alpha}$ such that $Z + V = X_{\alpha} + W$. Applying the refinement property, there exist isols Z_1 , V_1 , Z_2 , V_2 such that

$$Z_1 + V_1 = X_{\alpha} + Y_{\alpha} +$$

Since $V \in P_{\alpha} \cap S_{\alpha}$, $V_1 \in P_{\alpha} \cap S_{\alpha}$. Since $X_{\alpha} \notin P_{\alpha} \cap S_{\alpha}$ by Lemma 3.4, $Z_1 \notin P_{\alpha} \cap S_{\alpha}$. If there were no Y such that $Y \in P_1 - I_0$ and $Y \subseteq Z_1$, then we would have $Z_1 \in S_1$ and thus $Z_1 \in P_{\alpha} \cap S_{\alpha}$. Therefore there is a Y such that $Y \in P_1 - I_0$ and $Y \subseteq Z_1$. Since $Z_1 \subseteq Z$ and $Z_1 \subseteq X_{\alpha}$, $Y \subseteq Z$ and $Y \subseteq X_{\alpha}$. By Lemma 3.5, $Y = X_1$.

LEMMA 3.7. If $X \in P_1 - I_0$, $U \in P_1 - I_0$, and $\exists (X = 1 U)$, and if $X_\alpha \in P_\alpha[X]$ and $U_\alpha \in P_\alpha[U]$, then $\exists (X_\alpha = \alpha U_\alpha)$.

Proof. Let X, U, X_{α} , U_{α} satisfy the hypothesis and suppose $X_{\alpha} = {}_{\alpha}U_{\alpha}$. Then there exist isols V and W in $P_{\alpha} \cap S_{\alpha}$ such that $X_{\alpha} + V = U_{\alpha} + W$. Applying the refinement property, there exist isols $X_{\alpha,1}$, $X_{\alpha,2}$, V_1 , V_2 such that

$$X_{\alpha,1} + V_1 = U_{\alpha}$$

+ + +
 $X_{\alpha,2} + V_2 = W$
 \parallel \parallel
 X_{α} V

Since $X_{\alpha,2} \le W$ and $W \in P_{\alpha} \cap S_{\alpha}$, $X_{\alpha,2} \in P_{\alpha} \cap S_{\alpha}$. Therefore $X_{\alpha,1} = {}_{\alpha} X_{\alpha}$. By Lemma 3.6 there is an isol Y such that $Y \in P_1 - I_0$, $Y \le X_{\alpha,1}$, and $Y = {}_1 X$. But $Y \le X_{\alpha,1} \le U_{\alpha}$ implies $Y \le U_{\alpha}$. Since $Y \in P_1 - I_0$, we may apply Lemma 3.5 to see that $Y = {}_1 U$. $Y = {}_1 X$ and $Y = {}_1 U$ implies $X = {}_1 U$, contradicting the hypothesis.

THEOREM 3.8. For any ordinal α , $0 < \alpha < \omega_1$, there exists a set of c isols in $P_{\alpha} - S_{\alpha}$, any two of which are α -incomparable.

Proof. For $\alpha=1$, this theorem is Corollary 1 of Theorem 44 of Dekker-Myhill [4, p. 103]. Suppose $1<\alpha<\omega_1$. Let Θ be a set of c indecomposable mutually incomparable isols: i.e., Θ is a set satisfying the conclusion of the theorem for $\alpha=1$. For each $X\in\Theta$, let X_α be an element in $P_\alpha[X]$. $\{X_\alpha:X\in\Theta\}$ is a set satisfying the conclusion of the theorem for α . For each $X\in\Theta$, $X_\alpha\in P_\alpha-S_\alpha$ by Lemma 3.4. If X and Y are elements of Θ and $X\neq Y$, then $\exists (X=_1Y)$ since $X=_1Y$ implies $X\leq Y$ or $Y\leq X$. By Lemma 3.7 it follows that $\exists (X_\alpha=_\alpha Y_\alpha)$. Finally, the corollary to Lemma 1.9 shows that X_α and Y_α are α -incomparable.

We now consider the second construction of the preceding section and derive existence theorems for $S_{\alpha}-P_{\alpha}$.

LEMMA 3.9. If
$$\alpha_i \uparrow \alpha$$
, $Z_i \in P_{\alpha_i} - S_{\alpha_i}$ for each i , and $Z \in S[\{Z_i\}]$, then $Z \in S_{\alpha} - P_{\alpha}$.

Proof. $Z \in S_{\alpha}$ follows immediately from Lemma 2.4. The proof that $Z \notin P_{\alpha} \cap S_{\alpha}$ is exactly the same as the proof of the corresponding assertion in Lemma 3.1 using Lemma 2.3 in place of Lemma 2.2.

THEOREM 3.10. For each ordinal α , $0 < \alpha < \omega_1$, $S_{\alpha} - P_{\alpha}$ is not empty.

Proof. For $\alpha = 1$, the theorem is implied by Theorem 49* of Dekker-Myhill [4, p. 112]. For $\alpha > 1$, the theorem follows from the preceding lemma and Theorem 3.2.

The following definition and lemmas lead to Theorem 3.15, which is a strengthening of Theorem 3.10.

DEFINITION. For $X \in P_1 - I_0$ and $1 < \alpha < \omega_1$ we define

$$S_{\alpha}[X] = \bigcup_{\alpha_i \uparrow \alpha \delta \epsilon Z_i \in P_{\alpha_i}[X]} S[\{Z_i\}].$$

For the next three lemmas we assume that $X \in P_1 - I_0$.

LEMMA 3.11. If $X_{\alpha} \in S_{\alpha}[X]$, then $X_{\alpha} \in S_{\alpha} - P_{\alpha}$.

Proof. The proof is a direct application of Lemmas 3.4 and 3.9.

LEMMA 3.12. If
$$Y \subseteq X_{\alpha}$$
, $X_{\alpha} \in S_{\alpha}[X]$, and $Y \in P_1 - I_0$, then $Y = X$.

Proof. Let Y and X_{α} satisfy the hypothesis of the lemma. Assume $X_{\alpha} \in S[\{Z_i\}]$ where $\alpha_i \uparrow \alpha$ and $Z_i \in P_{\alpha_i}[X]$ for each i. Since $Y \in P_{\alpha} \cap S_{\alpha}$, by Lemma 2.5 there exists a finite isol N and a finite sequence of indices j_1, \ldots, j_n (possibly with repetitions) such that $Y \leq \sum_{i=1}^n Z_{j_i} + N$. Applying the refinement property, we may decompose Y into a sum, $Y = \sum_{i=0}^n Y_i$ such that $Y_0 \leq N$ and $Y_i \leq Z_{j_i}$ for i > 0. Since $Y \in P_1 - I_0$, there is exactly one i' such that $Y_{i'} \notin I_0$. $i' \neq 0$ since $Y_0 \leq N$ and $N \in I_0$. By Lemma 3.5, since $Y_{i'} \leq Z_{j_{i'}} \in P_{\beta}[X]$ where $\beta = \alpha_{j_{i'}}$, $Y_{i'} = 1$ X. Since Y = 1 Y_{i'}, Y = 1 X.

LEMMA 3.13. If $X_{\alpha} \in S_{\alpha}[X]$ and $Z = {\alpha} X_{\alpha}$, then there is a Y such that $Y = {\alpha} X$ and $Y \leq Z$.

Proof. The proof is the same as the proof of Lemma 3.6 using Lemmas 3.11 and 3.12 in place of Lemmas 3.4 and 3.5.

LEMMA 3.14. If $X \in P_1 - I_0$, $U \in P_1 - I_0$, and $\exists (X =_1 U)$, and if $X_\alpha \in S_\alpha[X]$ and $U_\alpha \in S_\alpha[U]$, then $\exists (X_\alpha \leq_\alpha U_\alpha)$.

Proof. Let X, U, X_{α} , U_{α} satisfy the hypotheses of the lemma and suppose $X_{\alpha} \leq_{\alpha} U_{\alpha}$. Then there exist isols Z, V, W such that $V \in P_{\alpha} \cap S_{\alpha}$, $W \in P_{\alpha} \cap S_{\alpha}$, and $X_{\alpha} + Z + V = U_{\alpha} + W$. By the refinement property there exist isols $X_{\alpha,1}$, $X_{\alpha,2}$, Z_1 , Z_2 , V_1 , V_2 such that

Since $X_{\alpha,2} \leq W$ and $W \in P_{\alpha} \cap S_{\alpha}$, $X_{\alpha,2} \in P_{\alpha} \cap S_{\alpha}$. Therefore $X_{\alpha,1} = {}_{\alpha} X_{\alpha}$ and, by Lemma 3.13, there is an isol Y such that $Y \leq X_{\alpha,1}$ and $Y = {}_{1} X$. Since $X_{\alpha,1} \leq U_{\alpha}$, $Y \leq U_{\alpha}$. Since $Y \in P_{1} - I_{0}$ and $Y \leq U_{\alpha}$, $Y = {}_{1} U$ by Lemma 3.12. Therefore $X = {}_{1} U$ contradicting the hypothesis.

THEOREM 3.15. For any ordinal α , $0 < \alpha < \omega_1$, there exists a set of c isols in $S_{\alpha} - P_{\alpha}$ any two of which are α -incomparable.

Proof. For $\alpha=1$, the result may be proved in the following way. If δ is a retraceable set and $\langle \delta \rangle \leq \langle \beta \rangle$, then δ is Turing-reducible to β . By Sacks [6, Chapter 2], there exists a set of c mutually incomparable Turing degrees. By Dekker-Myhill [3, pp. 364–365], each Turing degree except the lowest can be represented by an isolated retraceable set. Since each infinite isolated retraceable set represents an element of $S_1 - I_0$ (see Dekker-Myhill [4, Theorem 49*]), the theorem follows for $\alpha=1$.

For $\alpha > 1$, the proof is similar to that of Theorem 3.8. Let Θ be a set of c indecomposable isols which are pairwise incomparable. For each $X \in \Theta$ let $X_{\alpha} \in S_{\alpha}[X]$. $\{X_{\alpha} : X \in \Theta\}$ is a set satisfying the conclusion of the theorem for α . For each $X \in \Theta$, $X_{\alpha} \in S_{\alpha} - P_{\alpha}$ by Lemma 3.11. If X and U are distinct elements of Θ , then $\exists (X =_1 U)$ since $X =_1 U$ implies $X \leq U$ or $U \leq X$. By Lemma 3.14, X_{α} and U_{α} are α -incomparable.

4. Multiple-free highly decomposable isols.

THEOREM 4.1. Let α be a positive countable ordinal. There exists a Z such that $Z \in S_{\alpha} - P_{\alpha}$ and for no natural number n, n > 1, does there exist an X satisfying $nX = {}_{\alpha}Z$.

Let $\alpha_i \uparrow \alpha$. Let $\{A_{i,j}\}$ be pairwise incomparable elements of $P_1 - I_0$ for i = 0, 1, 2, ... and j = 0, 1, 2, ...

MODIFIED CONSTRUCTION II. Modify Construction II of §2 in the following way. If, at the *j*th step in the construction of δ_i a representative of Z_i is added to $\delta_{i,j-1}$, instead add a representative of an element of $P_{\alpha_i}[A_{i,j}]$. The result of the modified construction will be called a typical element of $S_{\alpha}[\{A_{i,j}\}]$.

For each *i* there exist sets ρ_i and $\lambda_{i,j}$ and $\nu_{i,j}$ as *j* ranges over an appropriate nonempty subset of $\{1, \ldots, 8(i+1)\}$ such that $\delta_i = \sum_j \lambda_{i,j} + \rho_i$, ρ_i is finite, and, for each j, $\langle \lambda_{i,j} + \nu_{i,j} \rangle \in P_{\alpha_i}[A_{i,j}]$ and $\langle \nu_{i,j} \rangle \in P_{\alpha_i} \cap S_{\alpha_i}$. The proof is the same as that of (iv) in Construction II. Let $Z_{i,j} = \langle \lambda_{i,j} \rangle$. By Lemma 3.5, if $A \leq Z_{i,j}$ and $A \in P_1 - I_0$, then $A = {}_1 A_{i,j}$. By Lemma 3.6, there is an A such that $A \in P_1 - I_0$ and $A \leq Z_{i,j}$.

(4.1) If $Z \in S_{\alpha}[\{A_{i,j}\}]$, $Y \subseteq Z$, and $Y \in P_{\alpha} \cap S_{\alpha}$, then there is a finite isol X and a finite range of indices (i, j) such that $Y \subseteq \sum Z_{i,j} + X$.

The proof is the same as the proof of Lemma 2.5.

- (4.2) If $Z \in S_{\alpha}[A_{i,j}]$, then $Z \in S_{\alpha} P_{\alpha}$.
- (4.2) is established by the same chain of reasoning that proves Lemma 3.9.

Until the end of the proof of Lemma 4.3 let $Z \in S_{\alpha}[\{A_{i,j}\}]$ and let the isols $Z_{i,j}$ be related to Z in the way specified above.

LEMMA 4.2. If α is a countable successor ordinal greater than 1, then for any n>1 there is no X such that $nX=\alpha Z$.

Proof. In order to avoid notational complexity it will only be shown that 2 does not divide Z with respect to $=_{\alpha}$. The general proof is essentially the same. Since $\alpha = (\alpha - 1) + 1$, each $Z_{i,j} \in P_{\alpha-1} - S_{\alpha-1}$. Suppose $2X =_{\alpha} Z$. Let V and W be such that $V \in P_{\alpha} \cap S_{\alpha}$, $W \in P_{\alpha} \cap S_{\alpha}$, and 2X + V = Z + W. By Lemmas 1.2 and 1.8, there exist $V'_0, \ldots, V'_n, W'_0, \ldots, W'_m$ such that $V = \sum_{i=0}^n V'_i, W = \sum_{i=0}^m W'_i, V'_0 \in S_{\alpha-1}, W'_0 \in S_{\alpha-1}, V'_i \in P_{\alpha-1} - S_{\alpha-1}$ for $i = 1, \ldots, n$, and $W'_i \in P_{\alpha-1} - S_{\alpha-1}$ for $i = 1, \ldots, m$. Since there exist infinitely many $Z_{i,j}$ and the $Z_{i,j}$ are pairwise $(\alpha - 1)$ -incomparable by the proof of Theorem 3.8, there exists a $Z_{i,j}$ which is $(\alpha - 1)$ -incomparable with each V'_i and W'_i for i > 0. Let $Z_{i,j}$ have this property. Let $Z = Z_{i,j} + Z'$.

(i) if $A \subseteq Z'$ and $A \in P_1 - I_0$, then $\neg (A = A_{i,j})$. To see this suppose A satisfies the antecedent. Then $A + Z_{i,j} \subseteq Z' + Z_{i,j} = Z$ and $A + Z_{i,j} \in I_{\alpha-1} = P_{\alpha} \cap S_{\alpha}$. By (4.1) there exist a finite set of pairs (i', j') and a finite isol Y for which

$$A+Z_{i,j} \leq \sum Z_{i',j'}+Y.$$

 $Z_{i,j}$ must be one of the $Z_{i',j'}$ by Lemma 1.10 so that $A \leq \sum Z_{i',j'} + Y$ where the summation is over the same range of (i',j') as before except for (i,j). By the refinement property, there is an A' such that $A' = {}_{1}A$ and $A' \leq Z_{i',j'}$ for some (i',j'). Therefore $A' = {}_{1}A_{i',j'}$ and thus $A = {}_{1}A_{i',j'}$. Since $A_{i',j'}$ is incomparable with $A_{i,j}$, $A = {}_{1}A_{i,j}$.

Apply the refinement property to the equation $2X + V = Z_{i,j} + Z' + W$ obtaining

Since $Z_{i,j} \in P_{\alpha-1} - S_{\alpha-1}$, exactly one of the isols $X_{1,1}$, $X_{1,2}$, V_1 is $(\alpha-1)$ -equal to $Z_{i,j}$. Since $Z_{i,j}$ is $(\alpha-1)$ -incomparable with every predecessor of V in $P_{\alpha-1} - S_{\alpha-1}$, $X_{1,1} = {}_{\alpha-1} Z_{i,j}$ or $X_{1,2} = {}_{\alpha-1} Z_{i,j}$. Assume the former with no loss of generality.

Apply the refinement property to the equation

$$X_{1,2} + X_{2,2} + X_{3,2} = X_{1,1} + X_{2,1} + X_{3,1}$$

obtaining

$$X_{1,2,1} + X_{2,2,1} + X_{3,2,1} = X_{1,1} + X_{1,2,2} + X_{2,2,2} + X_{3,2,2} = X_{2,1} + X_{1,2,3} + X_{2,2,3} + X_{3,2,3} = X_{3,1} + X_{1,2} + X_{2,2} + X_{3,2} = X_{3,2}$$

$$(4.4)$$

Again, exactly one of $X_{1,2,1}$, $X_{2,2,1}$, $X_{3,2,1}$ is $(\alpha-1)$ -equal to $X_{1,1}$ since $X_{1,1} \in P_{\alpha-1} - S_{\alpha-1}$. $X_{1,2,1} \leq X_{1,2} \in P_{\alpha-1} \cap S_{\alpha-1}$ so $\neg (X_{1,2,1} = (\alpha-1), X_{1,1})$. $X_{3,2,1} \leq X_{3,2} \leq W$ and any predecessor of W in $P_{\alpha-1} - S_{\alpha-1}$ is $(\alpha-1)$ -incomparable with $Z_{i,j}$. Since $X_{1,1} = (\alpha-1), Z_{i,j}$, $\neg (X_{3,2,1} = (\alpha-1), X_{1,1})$. Therefore $X_{2,2,1} = (\alpha-1), X_{1,1} = (\alpha-1), Z_{i,j}$. By Lemma 3.6, $X_{2,2,1}$ contains a predecessor A such that $A = A_{i,j}$. But $X_{2,2,1} \leq X_{2,2} \leq Z'$ and thus $A \leq Z'$. This contradicts (i) and proves the lemma.

LEMMA 4.3. If α is a positive countable limit ordinal, then for any n > 1 there is no X such that $nX = \alpha Z$.

Proof. Again it will only be shown that 2 does not divide Z with respect to $=_{\alpha}$. Suppose $2X =_{\alpha} Z$. Let V and W be such that $V \in P_{\alpha} \cap S_{\alpha}$, $W \in P_{\alpha} \cap S_{\alpha}$, and 2X + V = Z + W. Then $V + W \in \bigcup_{\alpha' < \alpha} I_{\alpha'}$. Therefore $V + W \in I_{\alpha'}$ for some $\alpha' < \alpha$. Since Z satisfies an appropriately modified version of Lemma 2.3, there is an α'' and there is a pair (i, j) such that $\alpha' < \alpha''$ and $Z_{i,j} \in P_{\alpha''} - S_{\alpha''}$. Let α'' and (i, j) satisfy these properties. Let $Z = Z_{i,j} + Z'$. As in the proof of (i) for Lemma 4.2, it may be shown that (ii) if $A \le Z'$ and $A \in P_1 - I_0$, then $A \cap A \cap A_{i,j}$.

As in the proof of Lemma 4.2, apply the refinement property to the equation $2X + V = Z_{i,j} + Z' + W$ obtaining the equations (4.3). Since $Z_{i,j} \in P_{\alpha''} - S_{\alpha''}$, exactly one of $X_{1,1}$, $X_{1,2}$, V_1 is α'' -equal to $Z_{i,j}$. Since $V_1 \leq V$, $V \in I_{\alpha'}$, and $I_{\alpha'} \subseteq P_{\alpha''} \cap S_{\alpha''}$, $\exists V_1 = V_1 = V_2 = V_2 = V_3 =$

As in the proof of Lemma 4.2, reapply the refinement property to obtain (4.4). Exactly one of $X_{1,2,1}$, $X_{2,2,1}$, $X_{3,2,1}$ is α'' -equal to $X_{1,1}$ since $X_{1,1} \in P_{\alpha''} - S_{\alpha''}$. $\exists (X_{1,2,1} = \alpha'' X_{1,1}) \text{ since } X_{1,2,1} \leq X_{1,2} \in P_{\alpha''} \cap S_{\alpha''}$. $\exists (X_{3,2,1} = \alpha'' X_{1,1}) \text{ since } X_{3,2,1} \leq X_{3,2} \leq W$ and $W \in P_{\alpha''} \cap S_{\alpha''}$. Therefore $X_{2,2,1} = \alpha'' X_{1,1} = \alpha'' Z_{i,j}$. By Lemma 3.6, $X_{2,2,1}$ has a predecessor A such that $A = {}_{1}A_{i,j}$. Since $X_{2,2,1} \leq X_{2,2} \leq Z'$, $A \leq Z'$. This contradicts (ii) and proves the lemma.

LEMMA 4.4. There exists an isol Z such that $Z \in S_1 - P_1$ and for any n > 1 there is no X such that $nX = {}_1 Z$.

Proof. Define X^n δ to be the *n*-fold Cartesian product of δ with itself for $\delta \subseteq E$ and n > 1. Let $\{\omega_i\}_{i=0}^{\infty}$ be the set of all infinite RE subsets of E. For fixed n, n > 1, let $\{f_{n,i}\}_{i=0}^{\infty}$ be the set of all 1-1 partial recursive functions whose domain is a subset of X^n E and includes X^n δ for some infinite δ . If β and δ are subsets of E, define $\beta = 1$ δ if and only if there exist finite sets ρ and μ such that $\beta \cup \rho = \delta \cup \mu$.

To prove the lemma it suffices to prove the existence of a set satisfying (4.5), (4.6), and (4.7).

- (4.5) For each pair (n, i) of natural numbers with n > 1, there is no set δ such that $f_{n,i}(X^n, \delta) = {}_1\beta$.
 - (4.6) For each i, $\exists (\omega_i \subseteq \beta)$.
 - (4.7) For each i, $\omega_i \cap \beta$ is infinite.
- (4.6) implies $\langle \beta \rangle \in \Lambda$, (4.7) implies $\langle \beta \rangle \in S_1 P_1$, and (4.5) implies that $\langle \beta \rangle$ is multiple-free with respect to $=_1$.
 - (4.5) may be replaced by (4.8).
- (4.8) For each pair (n, i) of natural numbers with n > 1 there are infinitely many pairs of natural numbers (x, y) satisfying (iii) $f_{n,i}(x, y, x, ..., x) \in \beta$ and $f_{n,i}(y, x, x, ..., x) \notin \beta$. To see that (4.8) implies (4.5) suppose $f_{n,i}(x) \in \beta = 1$ for some β satisfying (4.8). Let ρ and μ be finite sets such that $f_{n,i}(x) \in \beta \in \beta = 1$ Of the infinitely many pairs (x, y) satisfying (iii), $f_{n,i}(x, y, x, ..., x) \in \rho$ for only finitely many. Hence there are infinitely many pairs (x, y) satisfying (iii) such that $\{x, y\} \subseteq \delta$. Of these infinitely many pairs $f_{n,i}(y, x, x, ..., x) \in \mu$ for only finitely many. Therefore there are infinitely many pairs (x, y) satisfying (iii) and such that $f_{n,i}(y, x, x, ..., x) \in \beta$. This contradiction shows that it suffices to prove the existence of a set, β , satisfying (4.6), (4.7), and (4.8).

Let j be a function mapping E onto $\{(n, i): n > 1\}$ in such a way that the inverse image of (n, i) is infinite for each (n, i) with n > 1. Let m be a function mapping E onto E in such a way that the inverse image of i is infinite for each $i \in E$. To construct β define a sequence of pairs of sets (β_k, δ_k) by induction on k. Let $\beta_0 = \delta_0 = \emptyset$. Assume inductively that $\beta_{k-1} \cap \delta_{k-1} = \emptyset$, $\beta_{k-1} \cup \delta_{k-1}$ is finite, and, for all k' < k-1, $\beta_{k'} \subseteq \beta_{k'+1}$ and $\delta_{k'} \subseteq \delta_{k'+1}$. If k = 3l+1, let $\beta_k = \beta_{k-1}$, $\delta_k = \delta_{k-1} \cup \{z\}$ where $z \in \omega_l \cap \overline{\beta}_{k-1}$. If k = 3l+2, let $\delta_k = \delta_{k-1}$, $\beta_k = \beta_{k-1} \cup \{z\}$ where

$$z \in \omega_{m(l)} \cap [(\beta_{k-1} \cup \delta_{k-1})]^-.$$

If k = 3l and l > 0, let $\beta_k = \beta_{k-1} \cup \{f_{n,i}(x, y, x, ..., x)\}$ and let

$$\delta_k = \delta_{k-1} \cup \{f_{n,i}(y, x, x, \ldots, x)\}\$$

where (n, i) = j(l) and $\{f_{n,i}(x, y, x, ..., x), f_{n,i}(y, x, x, ..., x)\} \cap (\beta_{k-1} \cup \delta_{k-1}) = \emptyset$. Note that in each of the three cases the inductive assumption remains satisfied. Define $\beta = \bigcup_{k=0}^{\infty} \beta_k$.

 $\beta \cap (\bigcup_{k=0}^{\infty} \delta_k) = \emptyset$ since $\beta_k \cap \delta_k = \emptyset$ and $\beta_k \subseteq \beta_{k+1}$ and $\delta_k \subseteq \delta_{k+1}$ for all k. The definition of δ_{3i+1} shows that β satisfies (4.6) for i. The definition of β_{3l+2} for the infinitely many l such that m(l) = i shows that β satisfies (4.7) for i. Finally the definitions of β_{3l} and δ_{3l} for the infinitely many positive l such that j(l) = (n, i) show that β satisfies (4.8) for any (n, i) with n > 1.

Proof of Theorem 4.1. For $\alpha = 1$, apply Lemma 4.4. For $\alpha > 1$, Theorem 3.8, (4.2), and either Lemma 4.2 if α is a successor ordinal or Lemma 4.3 if α is a limit ordinal imply the result.

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